Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2009

	, , , , , , , , , , , , , , , , , , , ,				Inis Form is Open to Pu Inspection	DIIC	
Part I	Annual Report Iden	tification Information					
For cale	ndar plan year 2009 or fiscal p	plan year beginning 10/01/2006		and ending 09/30/2	2007		
A This	return/report is for:	a multiemployer plan;	a multipl	e-employer plan; or			
B This	return/report is:	the first return/report;	the final	return/report;			
		an amended return/report;	a short p	lan year return/report (less t	han 12 months).		
C If the	plan is a collectively-bargaine	ed plan, check here					
	k box if filing under:	☐ Form 5558:	_	c extension;	the DFVC program;		
D Onco	K box ii iiiiiig dildei.	special extension (enter des		,			
Dort	II Pacia Blan Inform						
Part	ne of plan	nation—enter all requested informa	ation		1b Three-digit plan		
	DN WORKS, INC. PROFIT SH	IARING PLAN & TRUST			number (PN) ▶	001	
	,				1c Effective date of pla	ın	
					10/01/1988		
	•	s (employer, if for a single-employer p	plan)		2b Employer Identification		
,	ress should include room or s ON WORKS, INC	suite no.)			Number (EIN) 13-2916176		
ACTIRC	ON WORKS, INC				2c Sponsor's telephone	e	
					number	-	
		25 CLIFF	STREET		914-632-8992		
			CHELLE, NY 10801-	0000	2d Business code (see instructions)	:	
					238900		
	•	complete filing of this return/repor					
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.							
Otatomo	no and attaorimonto, ao won e	acting closurous volulous of time return			Silot, it is true, correct, and com	pioto.	
SIGN	Filed with authorized/valid ele	ectronic signature.	05/13/2010	GESSIE TASSONE			
HERE							
	Signature of plan adminis	trator	Date	Enter name of individual s	signing as plan administrator		
SIGN							
HERE							
	Signature of employer/pla	n sponsor	Date	Enter name of individual s	signing as employer or plan spo	onsor	
SIGN							

Signature of DFE Date Enter name
For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2009) v.092307.1

Enter name of individual signing as DFE

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	Plan administrator's name and address (if same as plan sponsor, enter "Sam T IRON WORKS, INC	3b Administrator's EIN 13-2916176		
			3c Administrator's tel number 914-632-8992	ephone
4	If the name and/or EIN of the plan sponsor has changed since the last return the plan number from the last return/report:	/report filed for this plan, enter the name, EIN	and 4b EIN	
а	Sponsor's name		4c PN	
5	Total number of participants at the beginning of the plan year		5	18
6	Number of participants as of the end of the plan year (welfare plans complete	e only lines 6a, 6b, 6c, and 6d).		
2	Active participants		6a	14
а	Active participants		<u>Va</u>	17
b	Retired or separated participants receiving benefits		. 6b	0
С	Other retired or separated participants entitled to future benefits		6c	0
d	Subtotal. Add lines 6a , 6b , and 6c		6d	14
				0
е	Deceased participants whose beneficiaries are receiving or are entitled to rec	ceive benefits	6e	-
f	Total. Add lines 6d and 6e.	6f	14	
g	Number of participants with account balances as of the end of the plan year (0.55	
	complete this item)		6g	11
h	Number of participants that terminated employment during the plan year with		6h	0
7	less than 100% vested	7		
8a	If the plan provides pension benefits, enter the applicable pension feature co	des from the List of Plan Characteristic Code	es in the instructions:	
	2E 2G 2J 2K f the plan provides welfare benefits, enter the applicable welfare feature codes			
9a	Plan funding arrangement (check all that apply) (1) Insurance	9b Plan benefit arrangement (check all the (1) Insurance	at apply)	
	(2) Code section 412(e)(3) insurance contracts	(2) Code section 412(e)(3)	insurance contracts	
	(3) Trust	(3) X Trust		
10	(4) General assets of the sponsor Check all applicable boxes in 10a and 10b to indicate which schedules are at	(4) General assets of the s		ruotiona)
_			bei attached. (See insti	uctions)
а	Pension Schedules (1) R (Retirement Plan Information)	b General Schedules (1) H (Financial Inform	mation)	
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	` '	nation – Small Plan)	
	Purchase Plan Actuarial Information) - signed by the plan	(3) X 1 A (Insurance Info	,	
	r dichase i lan Actuaria monnation) - signed by the plan	(*)		
	actuary	(4) C (Service Provid	,	
	, , , , , , , , , , , , , , , , , , , ,	(4) C (Service Provid	,	

SCHEDULE A (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

File as an attachment to Form 5500.

Insurance companies are required to provide the information

OMB No. 1210-0110

2009

	pursuant to ERISA section 103(a)(2).							
For calendar plan year 200	09 or fiscal pla	an year beginning 10/01/2000	3	and end		Inspection		
A Name of plan A&T IRON WORKS, INC.	PROFIT SHA	ARING PLAN & TRUST		B Three- plan n	digit umber (PN)	001		
C Plan sponsor's name as shown on line 2a of Form 5500. A&T IRON WORKS, INC D Employer Identification Number (EIN) 13-2916176								
	Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.							
1 Coverage Information:								
(a) Name of insurance ca		OMPANY						
			(e) Approximate nu	umber of	Policy or co	ontract year		
(b) EIN	(c) NAIC code	(d) Contract or identification number	persons covered at policy or contract	end of	(f) From	(g) To		
04-2708937	91626	20611		0		09/30/2006		
2 Insurance fee and communication descending order of the		nation. Enter the total fees and t	otal commissions paid. Lis	st in item 3 th	he agents, brokers, and c	other persons in		
(a) Total a	amount of con	nmissions paid		(b) Tota	al amount of fees paid			
		0				0		
3 Persons receiving com	missions and	fees. (Complete as many entrie	es as needed to report all p	ersons).				
	(a) Name	and address of the agent, broke	er, or other person to whon	n commissio	ns or fees were paid			
(b) Amount of sales ar	nd base	F	ees and other commission	s paid				
commissions pa	1	(c) Amount	(d) Purpose			(e) Organization code		
	0	0						
	(a) Name	and address of the agent, broke	er, or other person to whon	n commissio	ns or fees were paid			
(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid								
(b) Amount of sales ar commissions pa		(c) Amount	Fees and other commissions paid (d) Purpose			(e) Organization code		
(c) Amount								

Schedule A (Form 5500)	2009	Page 2- 1					
(a) Na	ame and address of the agent, bro	oker, or other person to whom commissions or fees were paid	d				
		Fees and other commissions paid					
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	(e) Organization code				
(a) Na	ame and address of the agent, bro	oker, or other person to whom commissions or fees were paid	d				
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization				
commissions paid	(c) Amount	(d) Purpose	code				
(a) Na	ame and address of the agent, bro	oker, or other person to whom commissions or fees were paid	d				
	I						
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization				
commissions paid	(c) Amount	(d) Purpose	code				
(a) Na	ame and address of the agent, bro	oker or other person to whom commissions or fees were pair					
(4)	(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid						
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization				
commissions paid	(c) Amount	(d) Purpose	code				
(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid							
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization				
commissions paid	(c) Amount	(d) Purpose	code				

Pa	art II	Investment and Annuity Contract Information Where individual contracts are provided, the entire group of such individual report.	idual contracts with each c	arrier may be treated as a unit for	purposes of
4	Curre	ent value of plan's interest under this contract in the general account at year	end	4	
_		ent value of plan's interest under this contract in separate accounts at year e	_		
_		racts With Allocated Funds:	-		
	а	State the basis of premium rates			
	b	Premiums paid to carrier		6b	
	С	Premiums due but unpaid at the end of the year		6c	
	d	If the carrier, service, or other organization incurred any specific costs in corretention of the contract or policy, enter amount			
		Specify nature of costs			
	е	Type of contract: (1) ☐ individual policies (2) ☐ group deferred (3) ☐ other (specify) ▶	d annuity		
	f	If contract purchased, in whole or in part, to distribute benefits from a termin	ating plan check here	▶ ∏	
7	Cont	racts With Unallocated Funds (Do not include portions of these contracts ma	intained in separate accou	ints)	
	а	Type of contract: (1) ☐ deposit administration (2) ☐ immedia (3) ☐ guaranteed investment (4) ☐ other ▶	te participation guarantee		
	b	Balance at the end of the previous year		7b	
	С	Additions: (1) Contributions deposited during the year	. 7c(1)		
		(2) Dividends and credits	7c(2)		
		(3) Interest credited during the year			
		(4) Transferred from separate account	7c(4)		
		(5) Other (specify below)	7c(5)		
		•			
		(6)Total additions		7c(6)	0
		Total of balance and additions (add b and c(6))		7d	
	-	Deductions:	= (4)		
		(1) Disbursed from fund to pay benefits or purchase annuities during year			
		(2) Administration charge made by carrier	. 7e(2)		
		(3) Transferred to separate account	7e(3)	0	
		(4) Other (specify below)	. 7e(4)	U	
		•			
		(5) Total deductions		7e(5)	0
	f	Balance at the end of the current year (subtract e(5) from d)		7f	

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loyer(s) or members of the same employee
ence-rated as a unit. Where contracts cove
a unit for purposes of this report.

		If more than one contract covers the same grainformation may be combined for reporting puthe entire group of such individual contracts with the entire group of such individual contracts with the contracts with the contract of the contract	rposes if such conti	racts are experienc	ce-rated as a unit. Who	ere contracts	
8	Ben	efit and contract type (check all applicable boxes)					
	а	Health (other than dental or vision)	b Dental	c	Vision	•	d Life insurance
	е	Temporary disability (accident and sickness)	f Long-term di	sability g	Supplemental unemp	oloyment I	h Prescription drug
	i [Stop loss (large deductible)	j HMO contrac	et k	PPO contract		I Indemnity contract
	m	Other (specify)					
9	Ехре	prience-rated contracts:					
	a I	Premiums: (1) Amount received		9a(1)			
		(2) Increase (decrease) in amount due but unpaid		9a(2)			
		(3) Increase (decrease) in unearned premium res	erve	9a(3)			
		(4) Earned ((1) + (2) - (3))		······		9a(4)	
	b	Benefit charges (1) Claims paid		9b(1)			
		(2) Increase (decrease) in claim reserves		9b(2)		T	
		(3) Incurred claims (add (1) and (2))				9b(3)	
		(4) Claims charged				9b(4)	
	С	Remainder of premium: (1) Retention charges (or	n an accrual basis) -				
		(A) Commissions					
		(B) Administrative service or other fees					
		(C) Other specific acquisition costs					
		(D) Other expenses					
		(E) Taxes					
		(F) Charges for risks or other contingencies					_
		(G) Other retention charges					
		(H) Total retention				9c(1)(H)	
		(2) Dividends or retroactive rate refunds. (These	amounts were p	aid in cash, or	credited.)	9c(2)	
	d	Status of policyholder reserves at end of year: (1)	Amount held to pro	ovide benefits after	retirement	9d(1)	
		(2) Claim reserves				9d(2)	
		(3) Other reserves				9d(3)	
	е	Dividends or retroactive rate refunds due. (Do no	t include amount er	ntered in c(2) .)		9e	
10		nexperience-rated contracts:					
	а	Total premiums or subscription charges paid to c	arrier			10a	
	b	If the carrier, service, or other organization incurr retention of the contract or policy, other than repo	, ,		•	10b	
	Sp	ecify nature of costs					

Part IV	Provision of Information			
11 Did th	e insurance company fail to provide any information necessary to complete Schedule A?	Yes	X No	

Schedule A (Form 5500) 2009

Part III

Welfare Benefit Contract Information

SCHEDULE D (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

File as an attachment to Form 5500.

OMB No. 1210-0110

2009

This Form is Open to Public Inspection.

For calendar plan year 2009 or fiscal p	olan year beginning	10/	01/2006 and	d enc	ling 09/30/2007
A Name of plan A&T IRON WORKS, INC. PROFIT SHA		Т		В	Three-digit plan number (PN) 001
C Plan or DFE sponsor's name as she A&T IRON WORKS, INC	own on line 2a of Form	า 5500)	D	Employer Identification Number (EIN) 13-2916176
			PSAs, and 103-12 IEs (to be cone port all interests in DFEs)	mple	eted by plans and DFEs)
a Name of MTIA, CCT, PSA, or 103-			,		
b Name of sponsor of entity listed in	(a): NEW ENGLAN	ND LIF	FE INSURANCE COMPANY		
C EIN-PN 04-2708937-	d Entity code	е	Dollar value of interest in MTIA, CCT, 103-12 IE at end of year (see instruction)		, or 0
a Name of MTIA, CCT, PSA, or 103-	12 IE:				
b Name of sponsor of entity listed in	(a):				
C EIN-PN	d Entity code	е	Dollar value of interest in MTIA, CCT, 103-12 IE at end of year (see instruction)		, ог
a Name of MTIA, CCT, PSA, or 103-	12 IE:				
b Name of sponsor of entity listed in	(a):				
C EIN-PN	d Entity code	е	Dollar value of interest in MTIA, CCT, 103-12 IE at end of year (see instruction)		, ОГ
a Name of MTIA, CCT, PSA, or 103-	12 IE:				
b Name of sponsor of entity listed in	(a):				
C EIN-PN	d Entity code	е	Dollar value of interest in MTIA, CCT, 103-12 IE at end of year (see instruction)		, ОГ
a Name of MTIA, CCT, PSA, or 103-	12 IE:				
b Name of sponsor of entity listed in	(a):				
C EIN-PN	d Entity code	е	Dollar value of interest in MTIA, CCT, 103-12 IE at end of year (see instruction		, or
a Name of MTIA, CCT, PSA, or 103-	12 IE:				
b Name of sponsor of entity listed in	(a):				
C EIN-PN	d Entity code	е	Dollar value of interest in MTIA, CCT, 103-12 IE at end of year (see instruction)		, ог
a Name of MTIA, CCT, PSA, or 103-	12 IE:				
b Name of sponsor of entity listed in	(a):				
C EIN-PN	d Entity	е	Dollar value of interest in MTIA, CCT,	PSA	, or

103-12 IE at end of year (see instructions)

Schedule D (Form 5500)	2009	Page 2- 1			
a Name of MTIA, CCT, PSA, or 103	-12 IE:				
b Name of sponsor of entity listed in	ı (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103	-12 IE:				
b Name of sponsor of entity listed in	n (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103	-12 IE:				
b Name of sponsor of entity listed in	ı (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103	-12 IE:				
b Name of sponsor of entity listed in	ı (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103	-12 IE:				
b Name of sponsor of entity listed in	ı (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103	-12 IE:				
b Name of sponsor of entity listed in	ı (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in	n (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in	ı (a):				
C EIN-PN	d Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)			
a Name of MTIA, CCT, PSA, or 103	-12 IE:				
b Name of sponsor of entity listed in	 n (a):				

Dollar value of interest in MTIA, CCT, PSA, or

Dollar value of interest in MTIA, CCT, PSA, or

103-12 IE at end of year (see instructions)

103-12 IE at end of year (see instructions)

d Entity

d Entity

code

code

C EIN-PN

C EIN-PN

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

е

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Р	art II	Information on Participating Plans (to be completed by DFEs) (Complete as many entries as needed to report all participating plans)		
а	Plan na			
b	Name o		С	EIN-PN
a	Plan na	me		
b	Name o		С	EIN-PN
а	Plan na	me		
b	Name o		С	EIN-PN
а	Plan na	me		
b	Name of plan sp		С	EIN-PN
а	Plan na	me		
b	Name of plan sp		С	EIN-PN
а	Plan na	me		
b	Name of plan sp		С	EIN-PN
а	Plan na	me		
b 	Name of plan sp		С	EIN-PN
а	Plan na	me		
b 	Name of plan sp		С	EIN-PN
а	Plan na	me		
b	Name of plan sp		С	EIN-PN
а	Plan na	me		
b	Name of plan sp		С	EIN-PN
а	Plan na	me		
b	Name of plan sp		С	EIN-PN
а	Plan na	me		
b	Name o		С	EIN-PN

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

	opodi.c.i
For calendar plan year 2009 or fiscal plan year beginning 10/01/2006	and ending 09/30/2007
A Name of plan A&T IRON WORKS, INC. PROFIT SHARING PLAN & TRUST	B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500 A&T IRON WORKS, INC	D Employer Identification Number (EIN) 13-2916176

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	19805	19937
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	19805	19937
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)		
	(2) Participants	2a(2)		
	(3) Others (including rollovers)	. 2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	282	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		282
е	Benefits paid (including direct rollovers)	. 2e		
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions)	. 2h	150	
i	Other expenses	. 2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		150
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		132
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		X	
е	Participant loans	3e		X	

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			Yes	No		Amount	
3f	Loans (other than to participants)	3f		X			
g	Tangible personal property	3g		Χ			
			•	•			
Pa	art II Compliance Questions						
4	During the plan year:		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully			X			
h	corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		^			
	year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X			
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		Х			
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X			
е	Was the plan covered by a fidelity bond?	4e		X			
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		Х			
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X			
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		Х			
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X			
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X			
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
ı	Has the plan failed to provide any benefit when due under the plan?	41		X			
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		Х			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	. [] Ye	es 🛚 N	No A	Amount:		
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), id transferred. (See instructions.)	entify t	he plan	(s) to w	hich assets o	or liabilities	were
	5b(1) Name of plan(s)			5b(2)	EIN(s)	5	b(3) PN(s)