## Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2009

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Part I	Annual Report Iden	tification Information			•				
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009									
A This return/report is for:				e-employer plan; or					
X a single-employer plan;			a DFE (s	a DFE (specify)					
<b>B</b> This return/report is:		the first return/report;	the final r	eturn/report;					
		an amended return/report;	a short p	an year return/report (less t	than 12 months).				
<b>C</b> If the	plan is a collectively-bargaine	ed plan, check here							
<b>D</b> Chec	k box if filing under:	Form 5558;	automatio	extension;	the DFVC program;				
	•	special extension (enter des	cription)		_				
Part	II Basic Plan Inform	nation—enter all requested informa	ation						
1a Nam	ne of plan	•			1b Three-digit plan	001			
ANESTH	HESIA ASSOCIATES OF WES	STERLY RETIREMENT PLAN			number (PN)				
					1c Effective date of plar 01/01/1992	n			
<b>2a</b> Plar	sponsor's name and address	s (employer, if for a single-employer	plan)		2b Employer Identificati	on			
`	ress should include room or s	,	,	Number (EIN)					
ANESTH	HESIA ASSOCIATES OF WES	STERLY		26-0201359					
				<b>2c</b> Sponsor's te number					
25 WELI	C CT	OF MELLS	CT		401-596-7477				
	RLY, RI 02891		25 WELLS ST WESTERLY, RI 02891			2d Business code (see			
					instructions) 621111				
Coution	. A nanalty for the late or in	namplata filing of this return/rener	t will be accessed	unlege regenable squae i	a actablished				
		complete filing of this return/repor enalties set forth in the instructions, I				ules			
		as the electronic version of this return							
SIGN	Filed with authorized/valid ele	ectronic signature.	05/18/2010	MARY MINN					
HERE Signature of plan administra		trator	Date	Enter name of individual s	signing as plan administrator				
SIGN									
HERE	Signature of employer/pla	n sponsor	Date	Enter name of individual s	signing as employer or plan spor	nsor			
SIGN									

Signature of DFE Date Enter name
For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2009) v.092307.1

Enter name of individual signing as DFE

	Form 5500 (2009)		D	age 2	<b>)</b>			
AN 25	Plan administrator's name and address (if same as plan sponsor, enter "San ESTHESIA ASSOCIATES OF WESTERLY WELLS ST STERLY, RI 02891	ne")		aye 2		3 <b>c</b> Ac	dministrator's EIN -0201359 dministrator's tele umber 1-596-7477	
4	If the name and/or EIN of the plan sponsor has changed since the last return the plan number from the last return/report:	n/repo	rt filed fo	r this	plan, enter the name, E	IN and	4b EIN	
а	Sponsor's name						4c PN	
5	Total number of participants at the beginning of the plan year					5		6
6	Number of participants as of the end of the plan year (welfare plans complet	e only	lines 6a	, 6b,	<b>6c,</b> and <b>6d</b> ).			
а	Active participants					6a		6
b	Retired or separated participants receiving benefits					6b		C
С	Other retired or separated participants entitled to future benefits					6с		C
d	Subtotal. Add lines <b>6a</b> , <b>6b</b> , and <b>6c</b>					6d		6
е	Deceased participants whose beneficiaries are receiving or are entitled to re	ceive	benefits.			6е		C
f	Total. Add lines <b>6d</b> and <b>6e</b>					6f		6
g	Number of participants with account balances as of the end of the plan year complete this item)					<u>6g</u>		6
h	Number of participants that terminated employment during the plan year with less than 100% vested					6h		C
7	Enter the total number of employers obligated to contribute to the plan (only		' '	<u>'</u>				
	If the plan provides pension benefits, enter the applicable pension feature con the plan provides welfare benefits, enter the applicable welfare feature code the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable pension feature code to the plan provides welfare benefits, enter the applicable pension feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits, enter the applicable welfare feature code to the plan provides welfare benefits and the plan provides welf							
9a	Plan funding arrangement (check all that apply)  (1) Insurance  (2) Code section 412(e)(3) insurance contracts  (3) X Trust	9b	Plan be (1) (2) (3)	nefit a	arrangement (check all the Insurance Code section 412(e)(3			

(4)

(1)

(2)

(3)

(4)

(5)

(6)

**b** General Schedules

Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

General assets of the sponsor

**H** (Financial Information)

A (Insurance Information)C (Service Provider Information)

I (Financial Information – Small Plan)

**D** (DFE/Participating Plan Information)

**G** (Financial Transaction Schedules)

(4)

(1)

(2)

(3)

a Pension Schedules

General assets of the sponsor

R (Retirement Plan Information)

MB (Multiemployer Defined Benefit Plan and Certain Money

Purchase Plan Actuarial Information) - signed by the plan

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

## SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

Total Bottom Board and Society Composition	mapection
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009	and ending 12/31/2009
A Name of plan ANESTHESIA ASSOCIATES OF WESTERLY RETIREMENT PLAN	B Three-digit 001 plan number (PN) ▶
C Plan sponsor's name as shown on line 2a of Form 5500	D Employer Identification Number (EIN)
ANESTHESIA ASSOCIATES OF WESTERLY	26-0201359

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

## Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year	
а	Total plan assets	. 1a	530270	699	9944
b	Total plan liabilities	. 1b			
С	Net plan assets (subtract line 1b from line 1a)	1c	530270	699	9944
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total	
а	Contributions received or receivable:				
	(1) Employers	. 2a(1)	79008		
	(2) Participants	. 2a(2)	58540		
	(3) Others (including rollovers)	. 2a(3)			
b	Noncash contributions	. 2b			
С	Other income	. 2c	34929		
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		172	2477
е	Benefits paid (including direct rollovers)	. 2e			
f	Corrective distributions (see instructions)	. 2f			
g	Certain deemed distributions of participant loans (see instructions)	. 2g			
h	Administrative service providers (salaries, fees, and commissions)	. 2h	2803		
i	Other expenses	. 2i			
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		:	2803
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		169	9674
	Transfers to (from) the plan (see instructions)	. 2I			

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
С	Real estate (other than employer real property)	3с		X	
d	Employer securities	3d		X	
	Participant loans			X	

Page <b>2-</b>	1	]	
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Schedule I	(Form	5500	2009
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			Yes	No		Amount
3f	Loans (other than to participants)	3f		X		
g	Tangible personal property	3g		X		
Pa	rt II Compliance Questions					
4	During the plan year:		Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X		
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X		
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X		
е	Was the plan covered by a fidelity bond?	4e	X			100000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X		
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X		
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X			
ı	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X		
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year	_ Ye	es 🛚 N	lo A	Amount:	
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	he plan	(s) to w	hich assets	or liabilities were
	5b(1) Name of plan(s)			5b(2)	EIN(s)	<b>5b(3)</b> PN(s)