Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance wit	h the instructions to the Form 5500	0-SF.				
		dentification Information							
For	calendar plan year 2009 or fisc	al plan year beginning 01/01/200)9	and ending 1	2/31/	2009			
Α .	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan		
В .	This return/report is for:	first return/report	final retur	n/report		_			
	·	an amended return/report	short plar	year return/report (less than 12 mor	nths)				
C	Check box if filing under:	Form 5558		extension		DFVC progra	am		
		special extension (enter description	1						
Do	rt II Pacia Plan Inform	<u> </u>	•						
	Irt II Basic Plan Inform Name of plan	mation—enter all requested inform	ation		1h	Three-digit		-	
	RAME OF PIAN ER CAPITAL MANAGEMENT L	P			טו	plan number			
						(PN) ▶	001		
					1c	Effective date of			
						01/01/1			
		ess (employer, if for single-employer	r plan)		2b	Employer Identi		umber	
WYP	ER CAPITAL MANAGEMENT I	LP			20	(EIN) 13-399		numbor	
745 F	FIFTH AVENUE				2c Plan sponsor's telephone number 212-508-4671				
23RE	FLOOR YORK, NY 10151				2d	Business code	(see instru	ictions)	
	<u> </u>					523110 Administrator's			
		address (if same as Plan sponsor, 6		∍")	3b				
WYPER CAPITAL MANAGEMENT LP 745 FIFTH AVENUE 23RD FLOOR				3c	13-399 Administrator's		number		
		NEW YORK	, NY 10151		,	212-50	•	Hamber	
	•	an sponsor has changed since the la		port filed for this plan, enter the	4b	EIN			
- 1	name, EIN, and the plan numbe	er from the last return/report. Sponso	or's name		10	PN			
5a	Total number of participants at	t the beginning of the plan year			5a			40	
		t the end of the plan year		ł				13	
	· ·	rith account balances as of the end o		ļ	5b			12	
С					5c			10	
6a	Were all of the plan's assets of	during the plan year invested in eligib	ole assets?	(See instructions.)			X Ye	s No	
	Are you claiming a waiver of the	ne annual examination and report of	an indeper	ndent qualified public accountant (IQF	PA)		_		
				ons.)			X Ye	s No	
Do			orm 5500-	SF and must instead use Form 550	00.				
		ation		T					
7	Plan Assets and Liabilities			(a) Beginning of Year	.	(b) End	l of Year	0.400.40	
	Total plan assets		. 7a	471924	-			646943	
b	•			0				0	
<u>C</u>	·	7b from line 7a)	. 7с	471924	-			646943	
8	Income, Expenses, and Transf			(a) Amount		(b)	Total		
а	Contributions received or rece	ivable from:	. 8a(1)	51304	ı İ				
	, , , ,		1	126996	5				
	` '	.)	` '	0					
b	, ,	,		109689					
С	` ,	8a(2), 8a(3), and 8b)						287989	
d	, , ,	rollovers and insurance premiums							
	. `		. 8d	112930)				
е	Certain deemed and/or correct	tive distributions (see instructions)	. 8e	0)				
f	Administrative service provide	rs (salaries, fees, commissions)	8f	40)				
g	Other expenses		. 8g	0)				
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	. 8h					112970	
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i					175019	
i	Transfers to (from) the plan (se	ee instructions)	. 8i	0					

D (IV/	DI	O L	
Part IV	Plan	Characi	reristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2K 2T 3D

Dorf '	.,	Compliance Overtions									
Part		Compliance Questions				Yes	No	1	A a		
		Ouring the plan year:					No		Amount		
	29 (Nas there a failure to transmit to the plan any participant contributions within the time period descr 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				10b		X				
С	Was the plan covered by a fidelity bond?				10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				10d		X				
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х					
f	Has	las the plan failed to provide any benefit when due under the plan?					X				
g	Did t	he plan have any participant loans? (If "Yes," enter amount as of y	vear end.)		10g		Χ				
h	If this	f this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10	10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part \	/I	Pension Funding Compliance									
	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))								s X No		
12	Is th	is a defined contribution plan subject to the minimum funding requ	uirements of section	n 412 of the Code	or se	ction 3	02 of	ERISA?	Ye	s X No	
	(If "Y	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable	e.)								
	grant	aiver of the minimum funding standard for a prior year is being an ing the waiver.	······································	Mon							
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB				Т		I			
	Enter the minimum required contribution for this plan year					⊢	12b				
	Enter the amount contributed by the employer to the plan for this plan year						12c				
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)					-	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?							Yes	No	N/A	
Part \	/II	Plan Terminations and Transfers of Assets									
13a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?					<u></u>			Ye	s X No	
		es," enter the amount of any plan assets that reverted to the emplo					13a				
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?						s 🛚 No				
	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
13c(1) Name of plan(s):						130	(2) EI	N(s)	13c(3) PN(s)	
Cautio	on: A	penalty for the late or incomplete filing of this return/report v	will be assessed u	ınless reasonab	le cau	se is	establ	ished.			
SB or	Sche	alties of perjury and other penalties set forth in the instructions, I dedule MB completed and signed by an enrolled actuary, as well as true, correct, and complete.									
SIGN	Fil	Filed with authorized/valid electronic signature. 05/20/2010 WYPER CAPITAL			L MAN	NAGEMENT LP					
HERE	-				dividual signing as plan administrator						

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor