Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Signature of DFE

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2009

	, , , , , , , , , , , , , , , , , , , ,				Inis Form is Open to Public Inspection			
Part I								
For caler	ndar plan year 2009 or fiscal p	olan year beginning 01/0	1/2007	and ending 1	2/31/2007			
A This	eturn/report is for:	a multiemployer pl	an; a multi	ple-employer plan; or				
		X a single-employer	plan; a DFE	(specify)				
B This r	eturn/report is:	X the first return/repo	ort; the fina	al return/report;				
		an amended return	n/report; a shor	t plan year return/report (less than 12 months).			
C If the	plan is a collectively-bargaine	ed plan, check here	_					
D Chec	k box if filing under:	☐ Form 5558;	_	atic extension;	the DFVC program;			
2 01100	K DOX II IIIII I G GIIGOT.	special extension (,				
Part	I Rasic Plan Inform	nation—enter all requeste	, ,					
	ne of plan	idiloii—enter all requeste	ou information		1b Three-digit plan			
	ION PLAN				number (PN) • 503			
					1c Effective date of plan			
20.51					09/01/2006			
	sponsor's name and address ress should include room or s	` ' '	employer plan)		2b Employer Identification Number (EIN)			
`	D FLEISCHMAN AND ASSO	,			11-2984673			
					2c Sponsor's telephone			
					number 212-659-4834			
	DISON AVENUE, 19TH FLOC		330 MADISON AVENUE, 19 NEW YORK, NY 10017	ON AVENUE, 19TH FLOOR				
NEW YC	PRK, NY 10017	I	instructions)					
			541519					
Caution	: A penalty for the late or in	complete filing of this ret	urn/report will be assesse	d unless reasonable ca	use is established.			
			•		eport, including accompanying schedules,			
statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.								
SIGN	Filed with authorized/valid ele	ectronic signature.	06/10/2010	RICHARD MANGILO	INIMO			
HERE	Signature of plan adminis	trator	Date	Enter name of individ	dual signing as plan administrator			
Oignature or plan auministrator								
SIGN								
HERE	Signature of employer/pla	n sponsor	Date	Enter name of individ	lividual signing as employer or plan sponsor			
		- p			<u> </u>			
SIGN								
HERE								

Date

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2009) v.092307.1

Enter name of individual signing as DFE

	Form 5500 (2009) Page 2				
3a Plan administrator's name and address (if same as plan sponsor, enter "Same") RICHARD FLEISCHMAN AND ASSOCIATES, INC.			3b Administrator's EIN 11-2984673 3c Administrator's telephone		
	0 MADISON AVENUE, 19TH FLOOR W YORK, NY 10017	nu	mber 2-659-4834		
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN the plan number from the last return/report:	and	4b EIN		
а	Sponsor's name		4c PN		
5	Total number of participants at the beginning of the plan year	5	101		
6	Number of participants as of the end of the plan year (welfare plans complete only lines 6a, 6b, 6c, and 6d).				
а	Active participants	6a	121		
b	Retired or separated participants receiving benefits	6b			
С	Other retired or separated participants entitled to future benefits	6c			
d	Subtotal. Add lines 6a, 6b, and 6c	6d	121		
е	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	6e			
f	Total. Add lines 6d and 6e.	6f	121		
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g			
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h			
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7			
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes from the List of Plan Characteristic Codes in 4E	the inst	ructions:		
9a	Plan funding arrangement (check all that apply) (1)	nsurano			
10		er attac	hed. (See instructions)		
а	Pension Schedules (1) R (Retirement Plan Information) B General Schedules (1) H (Financial Information)	nation)			

(2)

(3)

(4)

(5)

(6)

I (Financial Information – Small Plan)

G (Financial Transaction Schedules)

C (Service Provider Information)D (DFE/Participating Plan Information)

A (Insurance Information)

(2)

(3)

MB (Multiemployer Defined Benefit Plan and Certain Money

Purchase Plan Actuarial Information) - signed by the plan

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

SCHEDULE C (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Service Provider Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974.

▶ File as an attachment to Form 5500.

Official Use Only

OMB No. 1210-0110

2007

This Form is Open to Public Inspection.

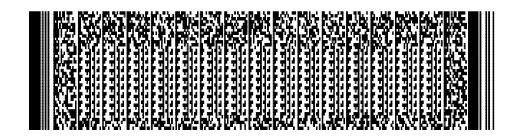
For calendar plan year 2007 or fiscal plan year beginning	01/	01/2007	, and ending	1	2/31/200	7,
A Name of plan		В	Three	e-digit		
RFA VISION PLAN			plan	number 🕨	503	
C Plan sponsor's name as shown on line 2a of Form 5500				Emp	-	ation Number
RICHARD FLEISCHMAN AND ASSOCIATES I						11-2984673
Part I Service Provider Information (see ins	truction	าร)		,		
1 Enter the total dollar amount of compensation paid by the	ne plan to	all persons, other that	an those			
listed below, who received compensation during the pla	n year: .			1		4894
2 On the first item below list the contract administrator, if a	any, as de	fined in the instructio	ns. On the other items	s, list s	ervice provide	rs in
descending order of the compensation they received for	r the servi	ces rendered during	the plan year. List onl	y the to	op 40. 103-12	IEs should
enter N/A in (c) and (d).		-				
(a) Name	(b) Employer identification number (see instructions)		(c) Official plan position			
			Contra	ct	adminis	trator
employee organization, or		Gross salary (f) Fees and allowances commissions aid by plan paid by plan			(g) Nature of service code(s) (see instructions)	
						12
(a) Name		(b) Employer identification number (see instructions)	(c) Official plan position			
(d) Relationship to employer, employee organization, or person known to be a party-in-interest	Gross salary allowances aid by plan	(f) Fees and commissions paid by plan		servi	Nature of ce code(s) nstructions)	
For Paperwork Reduction Act Notice and OMB Control Nu	mbers, s	ee the instructions t	for Form 5500.	0.1	Schedule C	(Form 5500) 2007





or	(b) Employer identification number (see instructions)	p	official plan osition	
or	Gross salary			
or	and do daiding	(f) Fees and	(g) Nature of	
or allowances paid by plan		commissions paid by plan	service code(s) (see instructions)	
	1			
(a) Name		(c) Official plan position		
nerson known to be a		(f) Fees and commissions paid by plan	(g) Nature of service code(s) (see instructions)	

	1			
(a) Name		(c) Official plan position		
or	allowances	(f) Fees and commissions paid by plan	(g) Nature of service code(s) (see instructions)	
	(e)	(b) Employer identification number (see instructions) (e) Gross salary or allowances paid by plan (b) Employer identification number (see instructions) (e) Gross salary or allowances paid by plan	(e) Gross salary or allowances instructions) (b) Employer identification number (see instructions) (b) Employer identification number (see instructions) (c) O p (d) Fees and commissions paid by plan (e) Gross salary or allowances (f) Fees and commissions	





	Schedule C (Form 5500) 2007	Page 3	
			Official Use Only
Part II	Termination Information on Accountants and Enrolled	Actuaries (see instructions)	
(a) Name_		(b) EIN	
(c) Positio	n		***************************************
(d) Addres	es		
(e) Teleph	one No		
Explanatio	n:		
(a) Name_		(b) EIN	
(C) Positio	n		
(d) Addres	es		
(e) Teleph	one No.		
Explanation	n:		
(a) Name_		(b) EIN	
(C) Positio			
(d) Addres	SS		
(e) Teleph	one No.		
Explanatio	n:		





Form 5500, Box D – DFVCP Filing

To: Department of Labor

Employee Benefits Security Administration (EBSA)

Re: Plan Sponsor: Richard Fleischman and Associates, Inc.

Plan Name: **RFA Vision Plan** EIN: 11-2984673; Plan No.: 503

Form 5500: 2007

Plan Year: 1/1/2007 – 12/31/2007

Attached is the Form 5500 filing for the RFA Vision Plan for 2007. Please be advised that coinciding with the filing with EBSA, we have also filed the return with the Department of Labor under the Delinquent Filer Voluntary Compliance Program in Charlotte, North Carolina.