Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2009

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Complete all entries in accordance with the instructions to the Form 5500-SF. **Annual Report Identification Information** For calendar plan year 2009 or fiscal plan year beginning and ending 12/31/2009 single-employer plan multiple-employer plan (not multiemployer) one-participant plan A This return/report is for: first return/report final return/report **B** This return/report is for: an amended return/report short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Three-digit 1a Name of plan plan number AMERICAN HERITAGE MANAGEMENT CORP. 401K PLAN 001 (PN) ▶ 1c Effective date of plan 01/01/2001 2a Plan sponsor's name and address (employer, if for single-employer plan) 2b Employer Identification Number AMERICAN HERITAGE MANAGEMENT CORP. 11-3334356 (EIN) 2c Plan sponsor's telephone number 718-535-3400 1755 UTICA AVENUE **BROOKLYN, NY 11234** 2d Business code (see instructions) 531310 3a Plan administrator's name and address (if same as Plan sponsor, enter "Same") **3b** Administrator's EIN 1755 UTICA AVENUE BROOKLYN, NY 11234 MERICAN HERITAGE MANAGEMENT CORP. 11-3334356 **3c** Administrator's telephone number 718-535-3400 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year..... 5a 47 **b** Total number of participants at the end of the plan year..... 49 5b C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not 45 complete this item)..... 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) X Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III | Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 252022 391836 a Total plan assets..... 7a **b** Total plan liabilities..... 7b 391836 Net plan assets (subtract line 7b from line 7a)..... 7с 252022 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 19204 8a(1) (1) Employers 43125 (2) Participants 8a(2) (3) Others (including rollovers)..... 8a(3) 87852 Other income (loss)..... 8b Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8с 150181 Benefits paid (including direct rollovers and insurance premiums 4625 to provide benefits)..... 8d Certain deemed and/or corrective distributions (see instructions) ... 8e Administrative service providers (salaries, fees, commissions)...... 8f 5742 Other expenses..... 8g 10367 Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h 139814 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions)

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Part IV	Plan Characteristics	:

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 3B 3D

D I	ii tn	e plan provides welfare benefits, enter the applicable welfare featur	re codes from the L	List of Plan Charac	cteris	iic Co	des in	tne instructi	ons:			
Part	٧	Compliance Questions										
10	During the plan year:					Yes	No		Amount			
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				10a		X					
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			•	10b		X						
C Was the plan covered by a fidelity bond?			10c	X				200000				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				10d		X						
	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			e plan? (See	10e	X				1693		
f	f Has the plan failed to provide any benefit when due under the plan?				10f		X			_		
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				10q	Χ				2078		
	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3				10i							
Part \	VI	Pension Funding Compliance										
		nis a defined benefit plan subject to minimum funding requirements?							Yes	□No		
12		his a defined contribution plan subject to the minimum funding requ							Yes	X No		
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.										
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver											
If y	ou	completed line 12a, complete lines 3, 9, and 10 of Schedule MB	3 (Form 5500), and	skip to line 13.				ı				
b	b Enter the minimum required contribution for this plan year						12b					
							12c					
	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)					-	12d		- -			
	Will the minimum funding amount reported on line 12d be met by the funding deadline?							Yes	No	N/A		
Part \	VII	Plan Terminations and Transfers of Assets								_		
13a	Has	s a resolution to terminate the plan been adopted during the plan year	ar or any prior yea	r?				ı	Yes	× No		
		es," enter the amount of any plan assets that reverted to the emplo					13a					
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?											
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)												
13c(1) Name of plan(s):						13c(2) EIN(s) 13c(3) PN(s			PN(s)			
_							_					
Cautio	on:	A penalty for the late or incomplete filing of this return/report v	will be assessed u	ınless reasonabl	e cau	ise is	establ	ished.				
SB or	Sch	nalties of perjury and other penalties set forth in the instructions, I de nedule MB completed and signed by an enrolled actuary, as well as true, correct, and complete.										
SIGN	Filed with authorized/valid electronic signature. 06/29/2010 JUAN			JUAN SINNREICH	NREICH							
HERE							nistrator					

Date

Enter name of individual signing as employer or plan sponsor