## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance witl	h the instructions to the Form 550	0-SF.	1			
		dentification Information							
For	calendar plan year 2009 or fisc	al plan year beginning 01/01/200	9	and ending 1	2/31/2	2009			
Α.	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
В	This return/report is for:		_						
		an amended return/report	short plan	year return/report (less than 12 mor	nths)				
C	Check box if filing under:	Form 5558	automatio	extension		DFVC program			
	<b>9</b>	special extension (enter description	on)						
Da	rt II Basic Plan Infori	mation—enter all requested inform							
	Name of plan	mation—enter all requested inform	alion		1h	Three-digit			
	THWARD CONSTRUCTION C	OMPANY 401(K) PLAN			10	plan number			
Non	THW/TED CONCINCOTION C	OWN 7 TOT TOTAL				(PN) • 001			
					1c	Effective date of plan			
						01/01/2001			
	•	ess (employer, if for single-employer	plan)		2b	Employer Identification Number			
NOR	THWARD CONSTRUCTION C	OMPANY			0-	(EIN) 91-1029311			
1122	2 NE 15TH STREET, SUITE 10	21			2C	Plan sponsor's telephone number 425-747-1726			
	EVUE, WA 98004	5 i			2d	Business code (see instructions)			
						236110			
		address (if same as Plan sponsor, e			3b	Administrator's EIN			
NOR	THWARD CONSTRUCTION C	OMPANY 11232 NE 18 BELLEVUE,		ET, SUITE 101	2-	91-1029311			
		,			3C	Administrator's telephone number 425-747-1726			
4 1	the name and/or EIN of the pla	an sponsor has changed since the la	st return/re	port filed for this plan, enter the	4b EIN				
		er from the last return/report. Sponso		, , ,					
					4c	PN			
5a	Total number of participants a	t the beginning of the plan year			5a	14			
b	Total number of participants a	t the end of the plan year			5b	14			
С		rith account balances as of the end o			1				
	•				5c	9			
		during the plan year invested in eligib				Yes No			
D		he annual examination and report of (See instructions on waiver eligibility				X Yes No			
		ner 6a or 6b, the plan cannot use F							
Pa	rt III Financial Inform								
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year			
а	Total plan assets		. 7a	198070	)	279972			
b	Total plan liabilities			(	)	4444			
С	Net plan assets (subtract line	7b from line 7a)	. 7с	198070	)	275528			
8	Income, Expenses, and Trans			(a) Amount		(b) Total			
а	Contributions received or rece			(a) 7 mileant		(2) 10 (2)			
	(1) Employers		. 8a(1)	2840	)				
	(2) Participants		. 8a(2)	25703	3				
	(3) Others (including rollovers	s)	. 8a(3)						
b	Other income (loss)		. 8b	64366	5				
С	Total income (add lines 8a(1),	8a(2), 8a(3), and 8b)	. 8c			92909			
d	Benefits paid (including direct	rollovers and insurance premiums							
			. 8d	15451	4				
е	Certain deemed and/or correc	tive distributions (see instructions) $\dots$	. 8e		4				
f	Administrative service provide	rs (salaries, fees, commissions)	. 8f						
g	Other expenses		. 8g						
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	. 8h			15451			
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i			77458			
i	Transfers to (from) the plan (se	ee instructions)	. 8i						

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Part IV	Dian	Charact	teristics
Part IV	Plan	Characi	reristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2K 3D

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V Compliance Questions							
0	During the plan year:		Yes	No		An	nount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
C	Was the plan covered by a fidelity bond?	10c	Χ					30000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e	X					589
f	Has the plan failed to provide any benefit when due under the plan?	10f		Χ				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		Х				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i		Х				
art	VI Pension Funding Compliance							
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))						Yes	× No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code of	or se	ction 3	802 of E	ERISA?.	. [	Yes	X No
а	((If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)  a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		т-					
b	Enter the minimum required contribution for this plan year			12b				
	Enter the amount contributed by the employer to the plan for this plan year			12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left o negative amount)			12d	_		-	
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
art	VII Plan Terminations and Transfers of Assets							
3а	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		<u></u>				Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought up of the PBGC?						Yes	× No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	e plar	n(s) to					
1	13c(1) Name of plan(s):				<b>13c(2)</b> EIN(s)			
aut	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable	e cau	se is	establi	shed.			
ВВ о	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/re i, it is true, correct, and complete.							

SIGN	Filed with authorized/valid electronic signature.	07/06/2010	RICHARD GILROY					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN	Filed with authorized/valid electronic signature.	07/06/2010	RICHARD GILROY					
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection.

_	art I Annual Report Identification Information					·			
For	the calendar plan year 2009 or fiscal plan year beginning	2009	-01-01	and ending	20	009-12-31			
Α	This return/report is for:	multiple-e	mployer plan (ı	not multiemployer)	Ĺ	one-participant plan			
В	This return/report is for:	final return	n/report						
	an amended return/report	short plan	year return/rep	oort (less than 12 month	s)				
С	Check box if filing under: Form 5558	automatic	extension			DFVC program			
	special extension (enter descripti	on)							
P	art II Basic Plan Information enter all requested in	formation.							
	Name of plan					Three-digit			
	NORTHWARD CONSTRUCTION COMPANY 401(k) PLAN					plan number (PN) ▶ 001			
	,					Effective date of plan			
						2001-01-01			
2a	Plan sponsor's name and address (employer, if for single-employer	plan)				Employer Identification Number			
	Northward Construction Company					(EIN) 91-1029311 Plan sponsor's telephone number			
	11232 NE 15th Street, Suite 101				(425) 747-1726				
TTQ	Bellevue WA 98004					Business code (see instructions)			
$\frac{33}{3a}$		enter "Same")				236110 Administrator's EIN			
	Same	,							
					3c Administrator's telephone number				
						•			
4	If the name and/or EIN of the plan sponsor has changed since the la	est return/reno	at filed for this	nlan enter the	4b	FIN			
_	name, EIN and the plan number from the last return. Sponsor's Nam		it illed for tills	pian, enter the	4c				
Eo.	Total and the first of the state of the stat				5a				
ba b	Total number of participants at the beginning of the plan year Total number of participants at the end of the plan year			1	5b	14			
C	Total number of participants at the end of the plan year								
_	· · · · · · · · · · · · · · · · · · ·			<u> </u>	5c	9			
	Were all of the plan's assets during the plan year invested in eligible	-	•		• •	Yes No			
þ	Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a					X Yes No			
	If you answered "No" to either 6a or 6b, the plan cannot use Fo			ad use Form 5500.		<u> </u>			
Pa	art III Financial Information								
7	Plan Assets and Liabilities		(a) B	eginning of Year		(b) End of Year			
а	Total plan assets	. 7a		198,070		279,972			
b	Total plan liabilities	. 7b		0		4,444			
C	Net plan assets (subtract line 7b from line 7a)	. 7c		198,070		275,528			
8	Income, Expenses, and Transfers for this Plan Year			(a) Amount		(b) Total			
а	Contributions received or receivable from:	0-(4)		2,840					
	(1) Employers	. 8a(1)		25,703					
	(3) Others (including rollovers)	. 8a(3)		23,,03					
b	Other income (loss)	. 8b		64,366	T				
С	Total income(add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				92,909			
ď	Benefits paid (including direct rollovers and insurance premiums								
	to provide benefits)	• 8d		15,451	4				
е	Certain deemed and/or corrective distributions (see instructions) .				-				
f	Administrative service providers (salaries, fees, commissions)				-				
g	Other expenses	· 8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h				15,451			
i	Net income (loss) (subject line 8h from line 8c)	. <u>8i</u>				77,458			
Î	Transfers to (from) the plan (see instructions)	.l 8i	1						

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Pa	rt IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension feature 2E 2F 2J 2K 3D  If the plan provides welfare benefits, enter the applicable welfare feature.								
	Compliance Constitute							<del> </del>	
	rt V Compliance Questions				Yes	No	,	Amount	
10 a	During the plan year:  Was there a failure to transmit to the plan any participant contribution	within the time perior	I described in		103		<b>-</b>	illouit	
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducian	y Correction Program To not include transac	tions reported	10a 10b		x			
_	•		ľ	10c	x				30,000
d	·	lity bond, that was ca	used by fraud	10d	Α	х			30,000
е	Were any fees or commisions paid to any brokers, agents, or other perinsurance services or other organization that provides some or all of transtructions.)	he benefits under the	plan? (See	10e	х				589
f	Has the plan failed to provide any benefit when due under the plan?			10f		х			
g	Did the plan have any participant loans? (If "Yes," enter amount as of	year end.)		10g		х	on Challed Add States on making the providing	in dy skilywatek komponisk ingilikal atolina Nichelyk	Respublication Scann
h	2520.101-3.)			10h		х			
i	If 10h was answered "Yes," check the box if you either provided the re exceptions to providing the notice applied under 29 CFR 2520.101-3			10i					
	t VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirements 5500))	s? (If "Yes," see instru	ctions and complete	Sche	dule S	B (For	m	Yes [	X No
a If b	granting the waiver	mortized in this plan y	kip to line 13.	h		Day 12b		er ruling Year	
c d	Enter the amount contributed by the employer to the plan for this plan Subtract the amount in line 12c from the amount in line 12b. Enter the negative amount)	•	=		·  -	12c 12d			
е	Will the minimum funding amount reported on line 12d be met by the f	unding deadline?					Yes	□No □	N/A
Par	VII Plan Terminations and Transfers of Assets		· · · · · · · · · · · · · · · · · · ·						
13a	Has a resolution to terminate the plan been adopted during the plan ye	ear or any prior year?			· <u>-</u>			Yes [	x No
	If "Yes," enter the amount of any plan assets that reverted to the empl	oyer this year .			• ′	13a			
c	Were all the plan assets distributed to participants or beneficiaries, tra of the PBGC?							Yes [	x No
	13c(1) Name of plan(s):				13c	(2) EII	N(s)	13c(3) P	 N(s)
						***************************************			
							, <u>, , , , , , , , , , , , , , , , , , </u>		
Caut	on: A penalty for the late or incomplete filing of this return/report w	ill be assessed unle	ss reasonable caus	e is e	stabli	shed.		ı	
SB o	r penalties of perjury and other penalties set forth in the instructions, I de Schedule MB completed and signed by an enrolled actuary, as well as , it is true, correct, and complete)								
SIG	IN Tubl to taking								
HE	RE Signature of plan/administrator	Date 6 16 10	Enter name of indiv	vidual	signin	g as pl	an administ	rator	
SIG	y Tish a Thing		RICHA	P.T	1	7.	GILR	DY	
HE		Date 6/16/10	Enter name of indiv	idual	signin	g as ei	mployer or p	lan sponsor	