Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

	art I Annual Report Identification Information							
For	calendar plan year 2009 or fiscal plan year beginning 01/01/2009	9	and ending	2/31/2	2009			
Α	This return/report is for:	multiple-e	mployer plan (not multiemployer)		one-participant plan			
	This return/report is for:	final retur	n/report					
_	an amended return/report	short plan	year return/report (less than 12 mo	nths)				
_	Check box if filing under:		extension	,	DFVC program			
C	special extension (enter description		CALCHSION		_ bi vo program			
D.		•						
	art II Basic Plan Information—enter all requested information	ation		1h	Throo digit			
	Name of plan THIER & HEAD, P.S. 401K PROFIT SHARING PLAN			ID	Three-digit plan number			
OLO	THER WHEND, I.O. FOR THOM TO MAIN OF EAR				(PN) • 001			
				1c	Effective date of plan			
				01/01/1993				
	Plan sponsor's name and address (employer, if for single-employer	plan)		2b Employer Identification Number				
CLO	THIER & HEAD, P.S.			20	(EIN) 91-1253866 Plan sponsor's telephone number			
1301	FIFTH AVE. SUITE 2800				206-622-1326			
SEA [®]	TTLE, WA 98101-2675			2d	Business code (see instructions)			
2-		. "0	m	26	541211			
	Plan administrator's name and address (if same as Plan sponsor, et THIER & HEAD, P.S. 1301 FIFTH /			30	Administrator's EIN 91-1253866			
	SEATTLE, W			3с	Administrator's telephone number			
					206-622-1326			
	If the name and/or EIN of the plan sponsor has changed since the last		port filed for this plan, enter the	4b	EIN			
	name, EIN, and the plan number from the last return/report. Sponso	i S Hallie		4c	PN			
5a	Total number of participants at the beginning of the plan year			5a	69			
b	Total number of participants at the end of the plan year			5b	69			
С								
	complete this item)	5c	69					
6a	Were all of the plan's assets during the plan year invested in eligible	le assets?	(See instructions.)		X Yes 📙 No			
b	Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a				X Yes □ No			
	If you answered "No" to either 6a or 6b, the plan cannot use Fo		<i>'</i>					
Pa	art III Financial Information	0000	or and made motoda add room od					
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year			
а	Total plan assets	. 7a	291024	8	4008325			
b	Total plan liabilities	. 7b		0	0			
С	Net plan assets (subtract line 7b from line 7a)	7c	291024	В	4008325			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total			
а					·			
	(1) Employers	8a(1)	13763	3	_			
	(2) Participants	8a(2)	39183	5				
_	(3) Others (including rollovers)	8a(3)		_				
b	` '	8b	85916	9				
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			1388637			
d	Benefits paid (including direct rollovers and insurance premiums		İ					
		8d	29046	0				
e	to provide benefits)	. 8d 8e	29046	0				
e f	to provide benefits) Certain deemed and/or corrective distributions (see instructions)	. 8e						
f	to provide benefits)	8e 8f	29046					
f g	to provide benefits)	8e 8f 8g			290560			
f	to provide benefits)	8e 8f 8g 8h			290560 1098077			
f g	to provide benefits)	8e 8f 8g			290560 1098077			

Part IV	Dian	Charac	torictics
Partiv	Pian	C.narac	reristics

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D

D I	II th	e plan provides welfare benefits, enter the applicable welfare featur	re codes from the L	List of Plan Charac	cteris	iic Co	des in	tne instruct	ions:			
Part	٧	Compliance Questions										
10	Du	ring the plan year:				Yes	No		Amount			
а		Vas there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			•	10b		X					
С	Was the plan covered by a fidelity bond?				10c	X				200000		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						X					
	ins	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, nsurance service or other organization that provides some or all of the benefits under the plan? (See nstructions.)			10e		X					
f	Has the plan failed to provide any benefit when due under the plan?				10f		X					
g	Did	oid the plan have any participant loans? (If "Yes," enter amount as of year end.)			10q	Χ				8069		
		this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 520.101-3.)					X					
i		Oh was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3			10i							
Part \	VI	Pension Funding Compliance										
	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))								s No			
12	ls t	his a defined contribution plan subject to the minimum funding requ	uirements of section	n 412 of the Code	or se	ction 3	302 of	ERISA?	Yes	s X No		
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.							_			
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver											
If y	ou	completed line 12a, complete lines 3, 9, and 10 of Schedule MB	3 (Form 5500), and	I skip to line 13.		_		I				
b	Enter the minimum required contribution for this plan year						12b					
							12c					
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)					-	12d					
	Will the minimum funding amount reported on line 12d be met by the funding deadline?							Yes	No	N/A		
Part \	VII	Plan Terminations and Transfers of Assets										
13a	Has	s a resolution to terminate the plan been adopted during the plan year	ear or any prior yea	r?				ı	Yes	s X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						13a					
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?											
	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)											
13c(1) Name of plan(s):					13c(2) EIN(s) 13c(3) PI				3) PN(s)			
Cautio	on:	A penalty for the late or incomplete filing of this return/report v	will be assessed ι	ınless reasonabl	e cau	ıse is	establ	ished.	1			
SB or	Sch	nalties of perjury and other penalties set forth in the instructions, I do nedule MB completed and signed by an enrolled actuary, as well as true, correct, and complete.										
SIGN	ı	iled with authorized/valid electronic signature.	ed with authorized/valid electronic signature. 07/15/2010 CATHLEEN TAYLOR									
HERE		Signature of plan administrator Date Enter name of				individual signing as plan administrator						

Date

Enter name of individual signing as employer or plan sponsor