Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

| | art I Annual Report Identification Information | | | | | | | | |
|-----|--|------------|-------------------------------------|--------------------------------|--|--|--|--|--|
| For | calendar plan year 2009 or fiscal plan year beginning 01/01/2009 | 9 | and ending 1 | 2/31/2 | 2009 | | | | |
| Α | This return/report is for: | multiple-e | mployer plan (not multiemployer) | employer) one-participant plan | | | | | |
| В | This return/report is for: | | _ | | | | | | |
| | an amended return/report | short plan | year return/report (less than 12 mo | nths) | | | | | |
| С | Check box if filing under: Form 5558 | extension | | DFVC program | | | | | |
| | special extension (enter descriptio | n) | | | | | | | |
| Pa | art II Basic Plan Information—enter all requested informa | | | | | | | | |
| | Name of plan | | | 1b | Three-digit | | | | |
| DAT | A FUTURES, INC., 401 (K) PROFIT SHARING PLAN | | | | plan number | | | | |
| | | 4 - | (PN) | | | | | | |
| | | | | 10 | Effective date of plan 01/01/1995 | | | | |
| 2a | Plan sponsor's name and address (employer, if for single-employer | plan) | | 2b | Employer Identification Number | | | | |
| | A FUTURES, INC. | , | | | (EIN) 61-1047776 | | | | |
| | | | | 2c | Plan sponsor's telephone number | | | | |
| | SKIDMORE DRIVE LAN, KY 40831-0797 | | | 2d | 606-573-3883 Business code (see instructions) | | | | |
| | | | | | 541513 | | | | |
| | Plan administrator's name and address (if same as Plan sponsor, er | | | 3b | Administrator's EIN | | | | |
| DAT | A FUTURES, INC. 416 SKIDMO HARLAN, KY | | | 30 | 61-1047776 Administrator's telephone number | | | | |
| | | | | 30 | 606-573-3883 | | | | |
| | f the name and/or EIN of the plan sponsor has changed since the las | | port filed for this plan, enter the | 4b | EIN | | | | |
| | name, EIN, and the plan number from the last return/report. Sponso | r's name | | 40 | PN | | | | |
| 5a | Total number of participants at the beginning of the plan year | | | 5a | 51 | | | | |
| | Total number of participants at the end of the plan year | 5b | 31 | | | | | | |
| C | Total number of participants with account balances as of the end of | 36 | | | | | | | |
| | complete this item) | | | 5c | 31 | | | | |
| 6a | 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | | | | | | | | |
| b | , , | | | | X Yes □ No | | | | |
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility a If you answered "No" to either 6a or 6b, the plan cannot use Fo | | | | | | | | |
| Pa | rt III Financial Information | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Year | | (b) End of Year | | | | |
| а | Total plan assets | . 7a | 66662 | 1 | 851430 | | | | |
| b | Total plan liabilities | 7b | (|) | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | 666621 | 1 | 851430 | | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | (b) Total | | | | |
| а | Contributions received or receivable from: | 8a(1) | (| , | | | | | |
| | (1) Employers | 8a(2) | 6690 | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | 0000 | _ | | | | | |
| b | Other income (loss) | 8b | 179264 | 1 | | | | | |
| C | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | 246165 | | | | |
| d | Benefits paid (including direct rollovers and insurance premiums | | | | | | | | |
| | to provide benefits) | 8d | 61356 | 5 | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 4 | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | . 8f | | 4 | | | | | |
| g | Other expenses | . 8g | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | 61356 | | | | |
| n | 3, | | | | | | | | |
| i | Net income (loss) (subtract line 8h from line 8c) Transfers to (from) the plan (see instructions) | 8i | | | 184809 | | | | |

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| Part IV | Dlan | Characteristics | _ |
|---------|------|-----------------|---|
| Partiv | Pian | Characteristics | ۰ |

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a 2E 2G 2J 3D

If the plan provides welfare benefits, enter the applicable welfare, feature codes from the List of Plan Characteristic Codes in the instructions:

| | In the plan provides wellare benefits, enter the applicable wellare reature of | | - 10110 | | | | | | | |
|------|---|---|---------|---------|---------|---------|--------|--------|--------|--|
| art | art V Compliance Questions | | | | | ı | | | | |
| 0 | During the plan year: | <u>.</u> | | Yes | No | Amou | | nt | | |
| а | las there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | | X | | | | | |
| b | b Were there any nonexempt transactions with any party-in-interest? (Do no on line 10a.) | - | 10b | | X | | | | | |
| С | Was the plan covered by a fidelity bond? | | | | X | | | | | |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | | X | | | | | |
| е | were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | | X | | | | | |
| f | f Has the plan failed to provide any benefit when due under the plan? | | 10f | | X | | | | | |
| g | g Did the plan have any participant loans? (If "Yes," enter amount as of year | end.) | 10g | | X | | | | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | | X | | | | | |
| i | i If 10h was answered "Yes," check the box if you either provided the require exceptions to providing the notice applied under 29 CFR 2520.101-3 | | 10i | | | | | | | |
| art | art VI Pension Funding Compliance | | | | | | | | | |
| 1 | Is this a defined benefit plan subject to minimum funding requirements? (If 5500)) | "Yes," see instructions and com | plete | Sched | ule SE | 3 (Form | . [] \ | Yes | X No | |
| 2 | | | | | | | | | | |
| | (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) | • | | | | | | | | |
| | a If a waiver of the minimum funding standard for a prior year is being amort granting the waiver. | Mont | | | | | | | ng | |
| lf y | If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Fo | orm 5500), and skip to line 13. | | _ | | 1 | | | | |
| b | Enter the minimum required contribution for this plan year | | | | 12b | | | | | |
| | Enter the amount contributed by the employer to the plan for this plan year | | | | | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | | | | | |
| е | e Will the minimum funding amount reported on line 12d be met by the fundi | ng deadline? | | | | Yes | No | | N/A | |
| art | rt VII Plan Terminations and Transfers of Assets | | | | | | | | | |
| 3a | a Has a resolution to terminate the plan been adopted during the plan year o | r any prior year? | | | | | | Yes | X No | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer | this year | | | 13a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control | | | | | | | No | | |
| С | C If during this plan year, any assets or liabilities were transferred from this p which assets or liabilities were transferred. (See instructions.) | lan to another plan(s), identify th | e pla | n(s) to | | | | | | |
| 1 | 13c(1) Name of plan(s): | | | 13 | c(2) El | N(s) | 13 | c(3) F | PN(s) | |
| | | | | | | , , | | | | |
| | | | | | | | | | | |
| auti | aution: A penalty for the late or incomplete filing of this return/report will | be assessed unless reasonabl | e cau | ıse is | establ | ished. | | | | |
| B or | nder penalties of perjury and other penalties set forth in the instructions, I declar 3 or Schedule MB completed and signed by an enrolled actuary, as well as the elief, it is true, correct, and complete. | | | | | | | | | |

| SIGN | Filed with authorized/valid electronic signature. | 07/19/2010 | ROBERT L HARRIS |
|------|---|------------|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN | Filed with authorized/valid electronic signature. | 07/19/2010 | ROBERT L HARRIS |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |