Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	rdance wit	h the instructions to the Form 550	0-SF.	
		lentification Information				
For	calendar plan year 2009 or fisc	al plan year beginning 01/01/200)9	and ending 1	2/31/2	2009
Α.	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan
	This return/report is for:	first return/report	final retur	n/report		
		an amended return/report	short plar	year return/report (less than 12 mo	nths)	
C	Check box if filing under:	Form 5558	automatio	extension		DFVC program
_	[special extension (enter descripti	on)			
Da	rt II Basic Plan Inforr	mation—enter all requested inform				
	Name of plan	mation—enter all requested inform	ialion		1h	Three-digit
		01(K) RETIREMENT SAVINGS PLA	۸N		1.0	plan number
						(PN) • 001
					1c	Effective date of plan
						01/01/2007
	•	ess (employer, if for single-employer	r plan)		2b	Employer Identification Number
	NT CHIN, O.D., INC., P.S.				20	(EIN) 87-0761740
	FRAL WAY VISION CENTER 7 1ST AVE SOUTH, STE. 6				20	Plan sponsor's telephone number 253-838-5428
	ERAL WAY, WA 98003				2d	Business code (see instructions)
						621320
		address (if same as Plan sponsor, e			3b	Administrator's EIN
BKEI	NT CHIN, O.D., INC., P.S.	32717 1ST / FEDERAL V			20	87-0761740
					30	Administrator's telephone number 253-838-5428
4 1	the name and/or EIN of the pla	an sponsor has changed since the la	ast return/re	port filed for this plan, enter the	4b	EIN
ı	name, EIN, and the plan numbe	er from the last return/report. Sponse	or's name			
					4c	PN
5a	Total number of participants at	t the beginning of the plan year			5a	8
b	· ·	t the end of the plan year			5b	9
С		ith account balances as of the end c			5c	9
62	, , , , , , , , , , , , , , , , , , , ,			(See instructions.)		
				ndent qualified public accountant (IQ		
				ions.)		X Yes No
_			orm 5500-	SF and must instead use Form 55	00.	
Pa	rt III Financial Inform	ation		T		
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year
а	Total plan assets		7a	296440)	397723
b	Total plan liabilities		7b	100)	100
С	Net plan assets (subtract line 7	7b from line 7a)	7с	296340)	397623
8	Income, Expenses, and Transi	fers for this Plan Year		(a) Amount		(b) Total
а	Contributions received or rece			40046		
	` ' ' '			46349	⊣	
				43811		
_	(3) Others (including rollovers)	` '	()	
b	Other income (loss)		8b	17396	3	
C		8a(2), 8a(3), and 8b)	8c			107556
d	, ,	rollovers and insurance premiums	8d	6123	3	
е	Certain deemed and/or correct	tive distributions (see instructions)	8e	()	
f	Administrative service provide	rs (salaries, fees, commissions)	8f	150)	
g	Other expenses		8g	()	
h	·	8e, 8f, and 8g)				6273
i		e 8h from line 8c)				101283
j		ee instructions)		()	

D = = (IV/	DI	Ol
Part IV	Plan	Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2H 2J 2K 2R 3D 2F

art	V Compliance Questions							
0	During the plan year:		Yes	No		An	nount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described i 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			X				
С	Was the plan covered by a fidelity bond?							200000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?							
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			X				
f	Has the plan failed to provide any benefit when due under the plan?			X				
g	id the plan have any participant loans? (If "Yes," enter amount as of year end.)			X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3							
art	VI Pension Funding Compliance							
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))							X No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No							X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction granting the waiver. Mont tou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b Enter the minimum required contribution for this plan year								
С	Enter the amount contributed by the employer to the plan for this plan year			12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
art	VII Plan Terminations and Transfers of Assets							
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		<u></u>				Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify th which assets or liabilities were transferred. (See instructions.)	e plar	n(s) to					
1	3c(1) Name of plan(s):		13c(2) EIN(s)				13c(3) PN(s)	
	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable							
Во	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retu Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/r, it is true, correct, and complete.							

	HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			
	SIGN	Filed with authorized/valid electronic signature.	07/21/2010	BRENT O. CHIN			
I	HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
	SIGN	Filed with authorized/valid electronic signature.	07/21/2010	BRENT O. CHIN			