Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2000

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	dance wit	h the instructions to the Form 5500)-SF.			
		lentification Information					,	
For	calendar plan year 2009 or fisca	al plan year beginning 01/01/200	9	and ending 1	2/31/2	2009		
Α -	This return/report is for:	x single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan	
В -	This return/report is for:	first return/report	final retur	n/report		_		
		an amended return/report	short plar	n year return/report (less than 12 mor	nths)			
C	C Check box if filing under:				DFVC program			
		special extension (enter description						
Da	rt II Basic Plan Inforr	nation —enter all requested inform	•					
	Name of plan	mation—enter all requested inform	alion		1h	Three-digit		
	D CONSTRUCTION, INC. 401(I	K) PROFIT SHARING PLA			110	plan number		
	(,				(PN)	001	
					1c	Effective date of		
						01/01/1		
	•	ess (employer, if for single-employer	plan)		2b		ification Number	
KEEL	O CONSTRUCTION, INC.			·	2c	(EIN) 93-092	telephone number	
6869	WOODLAWN NE, SUITE 110						2-8180	
	TTLE, WA 98115				2d		(see instructions)	
0 -					01.	236200		
	Plan administrator's name and CONSTRUCTION, INC.	address (if same as Plan sponsor, e 6869 WOOD			30	Administrator's 93-092		
		SEATTLE, W		, 30112 110	3c	3c Administrator's telephone number		
							2-8180	
		an sponsor has changed since the la		port filed for this plan, enter the	4b EIN			
1	name, EIN, and the plan numbe	er from the last return/report. Sponso	or's name		4 c	PN		
5a	Total number of participants at	the beginning of the plan year			5a		19	
_	• • •	the end of the plan year		}				
	·	ith account balances as of the end of		ļ	5b		19	
С		itii account balances as of the end of		The state of the s	5с		15	
6a	Were all of the plan's assets of	during the plan year invested in eligib	le assets?	(See instructions.)			X Yes No	
	Are you claiming a waiver of the	ne annual examination and report of	an indeper	ndent qualified public accountant (IQF	PA)			
				ions.)			X Yes No	
Do			orm 5500-	SF and must instead use Form 550	00.			
	rt III Financial Informa	ation		I				
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End	l of Year	
	Total plan assets		. 7a	860473			1130002	
b	•	7. (. 7b	000470			4400000	
<u> </u>		7b from line 7a)	. 7c	860473			1130002	
8	Income, Expenses, and Transf			(a) Amount		(b)	Total	
а	Contributions received or received (1) Employers		. 8a(1)	27168				
	• • • •			73860				
)		0				
b	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `	,	, ,	175169	_			
С	,	8a(2), 8a(3), and 8b)					276197	
d		rollovers and insurance premiums						
			. 8d	0				
е	Certain deemed and/or correct	tive distributions (see instructions)	. 8e	0	Ц			
f	Administrative service provider	rs (salaries, fees, commissions)	. 8f	6668				
g	Other expenses		. 8g	0				
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	. 8h				6668	
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i				269529	
j		ee instructions)		0				

D (IV/	DI	O L	
Part IV	Plan	Characi	reristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 3D 2E 2F 2G 2J 2K

D '	11 1111	s plant provides wellare benefits, enter the applicable wellare heat	idie codes nom me	List of Flair Chara	iciens	iic Coi	ues III	ine monuc	ilons.	
Part	٧	Compliance Questions								
10	Dur	ing the plan year:				Yes	No		Amoun	t .
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)						X			
С	Wa	s the plan covered by a fidelity bond?			10c	X				150000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?									
										4590
f	Has	the plan failed to provide any benefit when due under the plan?			10f		X			_
g	Did	the plan have any participant loans? (If "Yes," enter amount as of	f year end.)		10g		X			
h		is is an individual account plan, was there a blackout period? (Se 0.101-3.)			10h		X			
i		Oh was answered "Yes," check the box if you either provided the reptions to providing the notice applied under 29 CFR 2520.101-3			10i					
Part '	VI	Pension Funding Compliance								
		is a defined benefit plan subject to minimum funding requirement							Ye	es X No
12	ls t	nis a defined contribution plan subject to the minimum funding rec	quirements of sectio	n 412 of the Code	or se	ction 3	302 of	ERISA?	Ye	es X No
	•	Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable	,							
		waiver of the minimum funding standard for a prior year is being a nting the waiver								
	-	completed line 12a, complete lines 3, 9, and 10 of Schedule M					Day		Teal	
	121									
		er the amount contributed by the employer to the plan for this plan					12c			
d	Sub	tract the amount in line 12c from the amount in line 12b. Enter the	e result (enter a min	us sign to the left	of a		12d			
е	Will	the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A
Part \	VII	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	ır?					Υe	es X No
	If "Y	es," enter the amount of any plan assets that reverted to the emp	lover this vear				13a			<u></u>
	Wei	e all the plan assets distributed to participants or beneficiaries, transperse PBGC?					ontrol		Y	es X No
	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
13	3c(1	Name of plan(s):				13	c(2) El	N(s)	13c	(3) PN(s)
Cauti	on:	A penalty for the late or incomplete filing of this return/report	t will be assessed	unless reasonab	le cau	ıse is	establ	ished.		
Under SB or	per Sch	nalties of perjury and other penalties set forth in the instructions, I edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.	declare that I have	examined this retu	ırn/re _l	port, ir	cludin	g, if applic	,	
SIGN	Filed with authorized/valid electronic signature. 07/23/2010 TODD HUGHES									
HERE Signature of plan administrator Date Enter name of individual signing as plan administrator										

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

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Part IV	Pion	1 noro	cteristics	2
3 TO C - 1 S 200 S S S S S S S	ı ıaıı	Oligia	CLCILGIGG	

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

3D 2E 2F 2G 2J 2K

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	V Compliance Questions							
10	During the plan year:			Yes	No	А	mount	
	Was there a failure to transmit to the plan any participant contribution 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducia	а	X					
b	Were there any nonexempt transactions with any party-in-interest? (I on line 10a.)	actions reported	b	Х				
. с	Was the plan covered by a fidelity bond?	************	10	c X			150,000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fide or dishonesty?	elity bond, that was	caused by fraud	d	Х			
е	of distributed in the second s						4,590	
f	Has the plan failed to provide any benefit when due under the plan?		10	f	Х			
g	Did the plan have any participant loans? (If "Yes," enter amount as of	f year end.)	10	g	Х			
	If this is an individual account plan, was there a blackout period? (Sec 2520.101-3.)		10	h	Х			
i	If 10h was answered "Yes," check the box if you either provided the rexceptions to providing the notice applied under 29 CFR 2520.101-3.	equired notice or on	e of the 10)i				
Part	Pension Funding Compliance							
11	s this a defined benefit plan subject to minimum funding requirement	s? (If "Yes," see ins	tructions and comple	e Sched	lule SE	3 (Form	Yes X No	
а	Is this a defined contribution plan subject to the minimum funding requirements, complete 12a or 12b, 12c, 12d, and 12e below, as applicable if a waiver of the minimum funding standard for a prior year is being a granting the waiver.	e.) amortized in this plan	n year, see instruction	ns, and e	enter th	ne date of the	Yes X No	
	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
	D Enter the minimum required contribution for this plan year.							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the negative amount)	e result (enter a mini	us sign to the left of a	. 1	12d			
	e Will the minimum funding amount reported on line 12d be met by the funding deadline?							
Part \	Section 1							
Contract Contract	Has a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	г?	 <u>-</u>			Yes X No	
	f "Yes," enter the amount of any plan assets that reverted to the emp				13a			
b	Nere all the plan assets distributed to participants or beneficiaries, tra	ensferred to another	plan, or brought und	er the co			Yes X No	
С	f during this plan year, any assets or liabilities were transferred from which assets or liabilities were transferred. (See instructions.)	this plan to another	plan(s), identify the p	olan(s) to) 			
13	c(1) Name of plan(s):			13	13c(2) EIN(s) 13c(3)			
						·		
Cautio	n: A penalty for the late or incomplete filing of this return/report	will be assessed u	unless reasonable o	ause is	estab	lished.	·	
SB or	penalties of perjury and other penalties set forth in the instructions, I schedule MB completed and signed by an enrolled actuary, as well a it is true, correct, and complete.	declare that I have e s the electronic vers	examined this return/ sion of this return/rep	report, in ort, and	to the	g, if applicab best of my kn	le, a Schedule lowledge and	
SIGN	Red Lines		John Hunter			***		
HERE		Date 7-22-10	Enter name of indiv	idual sig	ning a	s plan admini	strator	
SIGN				!d! *			- nlan	
HERE	Signature of employer/plan sponsor	Date	Enter name of indiv	ıduai sig	ning a	s employer o	pian sponsor	