Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

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2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	➤ Complete all entries in accor	rdance wit	h the instructions to the Form 550	0-SF.	<u> </u>				
Part I Annual Report Identification Information										
For	calendar plan year 2009 or fisc	cal plan year beginning 01/01/200	09	and ending 1	2/31/2	2009				
Α.	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan				
В	This return/report is for:	first return/report	final retur	al return/report						
		an amended return/report	short plar	n year return/report (less than 12 mor	nths)					
C	Check box if filing under:					DFVC program				
	special extension (enter description)					_				
Pa	rt II Basic Plan Infor	mation—enter all requested inform								
	Name of plan	That en an requested intern	iation		1b	Three-digit				
	•	401K PROFIT SHARING PLAN & TR	UST			plan number				
						(PN) • 001				
					1c	Effective date of plan				
	D				26	07/01/1999				
	Plan sponsor's name and add THWAVE NORTH AMERICA,	ress (employer, if for single-employe	r plan)		2b Employer Identification Number (EIN) 91-1753124					
NOIN	THWAVE NORTH AMERICA,	inc.			2c	Plan sponsor's telephone number				
	CYNTHIA LYKINS				206-762-2955					
	224TH ST. SW #A-7 ONDS, WA 98026				2d	Business code (see instructions)				
	·	d address (if some as Dlan ananos a	antor "Com	~"\	2 h	423990 Administrator's EIN				
	THWAVE NORTH AMERICA,	d address (if same as Plan sponsor, e INC. C/O CYNTH		=)	SD	91-1753124				
		7317 224TH EDMONDS,			3c	Administrator's telephone number				
					206-762-2955					
		lan sponsor has changed since the la		port filed for this plan, enter the	4b	EIN				
	name, EIN, and the plan number from the last return/report. Sponsor's name					PN				
5a	a Total number of participants at the beginning of the plan year				5a	9				
b		at the end of the plan year			5b	8				
С	·	• •			35					
	Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				5c	7				
6a	Were all of the plan's assets	during the plan year invested in eligil	ole assets?	(See instructions.)		X Yes No				
b		the annual examination and report of				X Yes ☐ No				
		(See instructions on waiver eligibility her 6a or 6b, the plan cannot use F				X Yes No				
Pa	rt III Financial Inform		01111 3300-	or and must misteau use i orm 55						
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year				
-	Total plan assets		7a	36474	ı	61833				
b	. otal pran according			(-	0				
С	•	7b from line 7a)		36474		61833				
8	Income, Expenses, and Trans			(a) Amount	(b) Total					
а	Contributions received or received			(a) 7 uno ant		(5) 10101				
	(1) Employers		8a(1)	7848	3					
	(2) Participants		8a(2)	7848	3					
	(3) Others (including rollovers	s)	8a(3)							
b	Other income (loss)		8b	9663	3					
С	Total income (add lines 8a(1)	, 8a(2), 8a(3), and 8b)	8c			25359				
d		rollovers and insurance premiums	8d							
е		ctive distributions (see instructions)	8e							
f	Administrative service provide	ers (salaries, fees, commissions)	8f							
g	Other expenses		8g							
h	•	8e, 8f, and 8g)				0				
i		ne 8h from line 8c)				25359				
i		see instructions)								

Part IV	Dlan	Charac	torictics
Part IV	Plan	Charac	reristics

SIGN HERE

Signature of employer/plan sponsor

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

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art	V Compliance Questions									
0	During the plan year:		Yes	No Amount						
а	Was there a failure to transmit to the plan any participant contributions within the time period described i 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X						
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X						
С	Was the plan covered by a fidelity bond?	10c		Χ						
d	d the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud dishonesty?			X						
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X						
f	Has the plan failed to provide any benefit when due under the plan?	10f		X						
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10q		X						
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i								
art	VI Pension Funding Compliance									
1	s this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 500))									
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Co	de or se	ection 3	302 of I	ERISA?		Yes	X No		
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1: Enter the minimum required contribution for this plan year		Γ	12b						
				12c						
	Enter the amount contributed by the employer to the plan for this plan year	ft of a		12d						
е	/ill the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	N	lo	N/A		
art										
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a						
b	Vere all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?									
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
13c(1) Name of plan(s):				13c(2) EIN(s)				PN(s)		
auti	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasona	ble cau	ıse is	establ	ished.					
ВВ ог	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this re Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return, it is true, correct, and complete.			,	<i>-</i>	,				
SIGI	Filed with authorized/valid electronic signature. 07/27/2010 CYNTHIA LYKINS									
HER		individ	ual sig	ning as	s plan adr	ninistra	ator			

Date

Enter name of individual signing as employer or plan sponsor