Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Signature of DFE

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2009

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Part I	Annual Report Iden	tification Information	1		•				
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009 A This return/report is for: a multiemployer plan; a multiple-employer plan; or									
A This	eturn/report is for:								
		X a single-employer p	olan; a DFE	(specify)					
B This r	eturn/report is:	the first return/repo	rt; the fina	I return/report;					
		an amended return	/report; a short	plan year return/report (le	ess than 12 months).				
C If the	plan is a collectively-bargaine	ed plan, check here							
D Chec	k box if filing under:	☐ Form 5558;	_	tic extension;	the DFVC program;				
2 01100	K DOX II IIIII I G GIIGOT.	special extension (e		,					
Part	I Rasic Plan Inform	nation—enter all requeste							
	ne of plan	idition—enter an requeste	a imonnation		1b Three-digit plan				
	NOVOA MD, PA PROFIT SH	ARING PLAN			number (PN) ▶ 001				
					1c Effective date of plan 01/01/2007				
	sponsor's name and address		mployer plan)		2b Employer Identification				
`	ress should include room or s	uite no.)			Number (EIN) 34-1975928				
ELIO R.	NOVOA, MD, PA				2c Sponsor's telephone				
					number				
875 MII I	TARY TRAIL, SUITE 202	0	75 MILITARY TRAIL, SUITI	= 202	561-746-2411				
	R, FL 33458		UPITER, FL 33458	2d Business code (see instructions)					
					621111				
					-				
Caution	: A penalty for the late or in	complete filing of this retu	ırn/report will be assessed	d unless reasonable cau	ise is established.				
Under pe	enalties of perjury and other p	enalties set forth in the instr	ructions, I declare that I have	e examined this return/rep	port, including accompanying schedules,				
statemer	nts and attachments, as well a	as the electronic version of t	his return/report, and to the	best of my knowledge an	d belief, it is true, correct, and complete.				
SIGN HERE	Filed with authorized/valid ele	ectronic signature.	07/28/2010	ELIO R. NOVOA					
HEKE	Signature of plan adminis	trator	Date	Enter name of individu	ual signing as plan administrator				
SIGN									
HERE	Signature of employer/pla	n sponsor	Date	Enter name of individu	ual signing as employer or plan sponsor				
SIGN									
HERE				1					

Date

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2009) v.092307.1

Enter name of individual signing as DFE

	Form 5500 (2009)	Page	2		
ELI 875	Plan administrator's name and address (if same as plan sponsor, enter "Same O R. NOVOA, MD, PA MILITARY TRAIL, SUITE 202 PITER, FL 33458			34- 3c Adi nu	ministrator's EIN 1975928 ministrator's telephone mber I-746-2411
4 a	If the name and/or EIN of the plan sponsor has changed since the last return/r the plan number from the last return/report: Sponsor's name	report filed for this	s plan, enter the name, EIN	and	4b EIN 4c PN
5	Total number of participants at the beginning of the plan year			5	1
6	Number of participants as of the end of the plan year (welfare plans complete	only lines 6a, 6b,	6c, and 6d).		
а	Active participants			6a	1
b	Retired or separated participants receiving benefits			6b	
С	Other retired or separated participants entitled to future benefits			6c	
d	Subtotal. Add lines 6a, 6b, and 6c			6d	1
е	Deceased participants whose beneficiaries are receiving or are entitled to rece	eive benefits		6e	
f	Total. Add lines 6d and 6e			6f	1
g	Number of participants with account balances as of the end of the plan year (complete this item)	only defined contr	ibution plans	6g	1
	Number of participants that terminated employment during the plan year with a less than 100% vested			6h	
7	Enter the total number of employers obligated to contribute to the plan (only n	. , , .	· ,	7	
	If the plan provides pension benefits, enter the applicable pension feature code 2E the plan provides welfare benefits, enter the applicable welfare feature codes				
9a 10	Plan funding arrangement (check all that apply) (1) Insurance (2) Code section 412(e)(3) insurance contracts (3) X Trust (4) General assets of the sponsor Check all applicable boxes in 10a and 10b to indicate which schedules are attached.	(1) (2) (3) (4)	arrangement (check all that Insurance Code section 412(e)(3) in Trust General assets of the space indicated, enter the number	insuranc	

b General Schedules

(1)

(2)

(3)

(4)

(5)

(6)

H (Financial Information)

A (Insurance Information)C (Service Provider Information)

I (Financial Information – Small Plan)

D (DFE/Participating Plan Information)

G (Financial Transaction Schedules)

a Pension Schedules

(1)

(2)

(3)

R (Retirement Plan Information)

MB (Multiemployer Defined Benefit Plan and Certain Money

Purchase Plan Actuarial Information) - signed by the plan

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

For calendar plan year 2009 or fiscal plan year beginning 01/01/200	and ending 12/31/2009	and ending 12/31/2009					
A Name of plan ELIO R. NOVOA MD, PA PROFIT SHARING PLAN	B Three-digit plan number (PN) 001						
C Plan sponsor's name as shown on line 2a of Form 5500 ELIO R. NOVOA, MD, PA	D Employer Identification Number (EIN) 34-1975928						
Complete Schedule I if the plan covered fewer than 100 participants as of small plan under the 80-120 participant rule (see instructions). Complete S		are filing as a					
Part I Small Plan Financial Information							
Report below the current value of assets and liabilities, income, expense assets held in more than one trust. Do not enter the value of the portion benefit at a future date. Include all income and expenses of the plan inclinsurance carriers. Round off amounts to the nearest dollar.	n insurance contract that guarantees during this plan year to pay a	specific dollar					
1 Plan Assets and Liabilities:	(a) Beginning of Year (b) End of	of Year					

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	118982	243750
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	. 1c	118982	243750
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)	50000	
	(2) Participants	. 2a(2)		
	(3) Others (including rollovers)	. 2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	74768	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		124768
е	Benefits paid (including direct rollovers)	. 2e		
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions)	. 2h		
i	Other expenses	. 2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		124768
	Transfers to (from) the plan (see instructions)	. 2I		
2	On a 10 a A a set of 10 th a relative below as the set of the set		- () - (-) - (-) - (-) - (-) - (-) - (-) - (-) - (-) - (-)	

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		X	
е	Participant loans	3e		X	

Schedule I (Form 5500) 2009	Page 2- 1

Schedule I	(Form	5500)	2000
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			Yes	No		Amount	t
3f	Loans (other than to participants)	3f		X			
g	Tangible personal property	3g		Χ			
Pa	rt II Compliance Questions						
4	During the plan year:		Yes	No		Amoun	t
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X			
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X			
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X			
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X			
е	Was the plan covered by a fidelity bond?	4e		X			
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X			
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X			
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X			
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X			
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X			
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
ı	Has the plan failed to provide any benefit when due under the plan?	41		X			
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m					
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n					
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	Y	es 🔀 N	No A	Amount:		
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	he plan	(s) to w	hich asset	s or liabiliti	es were
	5b(1) Name of plan(s)			5b(2)	EIN(s)		5b(3) PN(s)

Authorized e-Signature Affidavit

I certify that I have been specifically authorized in writing by the plan administrator/employer, as applicable, to enter my EFAST2 PIN on this return/report in order to electronically submit this return/report. I further certify that: (1) I will retain a copy of the administrator's/ employer's specific written authorization in my records; (2) I have attached to this electronic filing, in addition to any other required schedules or attachments, a true and correct pdf copy of the first two pages of the completed Form 5500 or Form 5500-SF return/report bearing the manual signature of the plan administrator/employer under penalty of perjury; (3) I advised the plan administrator/employer that by selecting this electronic signature option the pdf image of that manual signature will be included with the rest of the return/report posted by the Department of Labor (DOL) on the Internet for public disclosure; and (4) I will communicate to the plan administrator/employer any inquiries and information that I receive from EFAST2, DOL, IRS or PBGC regarding this annual return/report.

S. Barrie

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Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Ádministration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ► Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

Part L Annual Report Identification Information	
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009	and ending 12/31/2009
A This return/report is for: a multiemployer plan; X a single-employer plan;	a multiple-employer plan; or a DFE (specify)
B This return/report is: the first return/report; an amended return/report; If the plan is a collectively-bargained plan, check here. D Check box if filing under: Form 5558; special extension (enter description)	the final return/report; a short plan year return/report (less than 12 months). automatic extension; the DFVC program;
Part II Basic Plan Information - enter all requested information	
1a Name of plan ELIO R. NOVOA MD, PA PROFIT SHARING PLAN	1b Three-digit plan number (PN) ▶ 001 1c Effective date of plan 01/01/2007
2a Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.) ELIO R. NOVOA, MD, PA 875 MILITARY TRAIL, SUITE 202 JUPITER FL 33458	2b Employer Identification Number (EIN) 34-1975928 2c Sponsor's telephone number 561-746-2411 2d Business code (see instructions) 621111
Caution: A penalty for the late or incomplete filling of this return/report will be assess Under penalties of perjury and other penalties set forth in the instructions, I declare that I have exam statements and attachments, as well as the electronic version of this return/report, and to the best of	ined this return/report, including accompanying schedules
SIGN Raw - 07/28/2010 ELIO	R. NOVOA

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Date

Date

Date

Form 5500 (2009) v.092307.1

HERE

SIGN HERE

SIGN HERE Signature of plan administrator

Signature of DFE

Signature of employer/plan sponsor

Enter name of individual signing as plan administrator

Enter name of individual signing as DFE

Enter name of individual signing as employer or plan sponsor

	Form 5500 (2009)	Page 2	VP		
3a SA	Plan administrator's name and address (If same as plan sponsor, entended \ensuremath{ME}	ator's	EIN		
			3c Administr number	ator's	telephone
4	If the name and/or EIN of the plan sponsor has changed since the IEIN and the plan number from the last return/report:	last return/report	t filed for this plan, enter the name		4b EIN
а	Sponsor's name				4c PN
5	Total number of participants at the beginning of the plan year			5	1
6	Number of participants as of the end of the plan year (welfare plans	complete only lin	es 6a, 6b, 6c, and 6d).		4-
а	Active participants			6a	1
b	Retired or separated participants receiving benefits			6b	0
С	Other retired or separated participants entitled to future benefits			6c	0
d	Subtotal. Add lines 6a, 6b, and 6c			6d	1
е	Deceased participants whose beneficiaries are receiving or are entitle	ed to receive ber	nefits	6e	0
f	Total. Add lines 6d and 6e			6f	1
g	Number of participants with account balances as of the end of the p complete this item)			6g	1
h	Number of participants that terminated employment during the plan less than 100% vested.			6h	0
7	Enter the total number of employers obligated to contribute to the pl	an (only multier	mployer plans complete this item)	7	1-1
8a	If the plan provides pension benefits, enter the applicable pension for $2\mathrm{F}$.	eature codes fro	m the List of Plan Characteristic C	Codes	in the instructions:
b	If the plan provides welfare benefits, enter the applicable welfare fea	ature codes from	the List of Plan Characteristic Co	odes in	the instructions:
9 a	Plan funding arrangement (check all that apply)	9b Plan	benefit arrangement (check all t	that ap	ply)
	(1) Insurance (2) Code section 412(a)(2) insurance contracts	(1)	Insurance		
	(2) Code section 412(e)(3) insurance contracts (3) X Trust	(2)	Code section 412(e)(3) in X Trust	nsuran	ce contracts
	(4) General assets of the sponsor	(3)	General assets of the spor	nsor	
10	Check all applicable boxes in 10a and 10b to indicate which schedules are atte				nstructions)
a	Pension Schedules	b General Sc	chedules		
	(1) R (Retirement Plan information)	(1)	H (Financial Information)		
	(2) MB (Multiemployer Defined Benefit and Certain Money	(2) X	I (Financial Information – S	Small F	Plan)
	Purchase Plan Actuarial Information) - signed by the plan	(3)	A (Insurance Information)		
	actuary SB (Single - Employer Defined Benefit Plan Actuaria)	(4)	C (Service Provider Inform		
	(3) SB (Single - Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	(5)	D (DFE/Participating Plan		
	mnormation) - signed by the plan actuary	(6)	G (Financial Transaction S	cnedu	iles)