Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2009

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [ ] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
FIRST BANKERS TRUST COMPANY 401K PROFIT SHARING PLAN

1b Three-digit plan number (PN) ▶ 003

1c Effective date of plan 01/01/1990

2a Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.)
FIRST BANKERS TRUSTSHARES, INC.

1201 BROADWAY
QUINCY, IL 62301-2811

2b Employer Identification Number (EIN) 37-1237250

2c Sponsor's telephone number 217-228-8000

2d Business code (see instructions) 551111

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/29/2010	KATHY MCNAY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	07/29/2010	ARTHUR E. GREENBANK
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address (if same as plan sponsor, enter "Same")
FIRST BANKERS TRUSTSHARES, INC.

1201 BROADWAY
QUINCY, IL 62301-2811

3b Administrator's EIN
37-1237250

3c Administrator's telephone number
217-228-8000

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	209
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	197
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	16
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	213
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	0
f	Total. Add lines **6d** and **6e**	**6f**	213
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	169
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
2G 2J 3D 2E 2K 3H 2F

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	X	Insurance
(2)		Code section 412(e)(3) insurance contracts
(3)	X	Trust
(4)		General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	X	Insurance
(2)		Code section 412(e)(3) insurance contracts
(3)	X	Trust
(4)		General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	X	**R** (Retirement Plan Information)
(2)		**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)		**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	X		**H** (Financial Information)
(2)			**I** (Financial Information – Small Plan)
(3)	X	2	**A** (Insurance Information)
(4)			**C** (Service Provider Information)
(5)	X		**D** (DFE/Participating Plan Information)
(6)			**G** (Financial Transaction Schedules)

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan
FIRST BANKERS TRUST COMPANY 401K PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500.
FIRST BANKERS TRUSTSHARES, INC.

D Employer Identification Number (EIN)
37-1237250

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year (f) From	Policy or contract year (g) To
04-1590850	65935	008684757	1	01/01/2009	12/31/2009

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
29	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
BROEMMEL, NED P.
325 S. 8TH ST STE N
QUINCY, IL 62301

(b) Amount of sales and base commissions paid	Fees and other commissions paid (c) Amount	Fees and other commissions paid (d) Purpose	(e) Organization code
22			3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
HODGSON, CHARLES E.
PO BOX 10163
PEORIA, IL 61612

(b) Amount of sales and base commissions paid	Fees and other commissions paid (c) Amount	Fees and other commissions paid (d) Purpose	(e) Organization code
7			3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end	5	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	1675
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) [X] individual policies (2) [ ] group deferred annuity

(3) [ ] other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ [ ]

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) [ ] deposit administration (2) [ ] immediate participation guarantee

(3) [ ] guaranteed investment (4) [ ] other ▶

b	Balance at the end of the previous year			7b	
c	Additions: (1) Contributions deposited during the year	7c(1)			
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)			
	(4) Transferred from separate account	7c(4)			
	(5) Other (specify below)	7c(5)			
	▶				
	(6) Total additions			7c(6)	
d	Total of balance and additions (add **b** and **c(6)**)			7d	
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)			
	(2) Administration charge made by carrier	7e(2)			
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below)	7e(4)			
	▶				
	(5) Total deductions			7e(5)	
f	Balance at the end of the current year (subtract **e(5)** from **d**)			7f	

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in **c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.			**10b**	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan
FIRST BANKERS TRUST COMPANY 401K PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500.
FIRST BANKERS TRUSTSHARES, INC.

D Employer Identification Number (EIN)
37-1237250

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
JOHN HANCOCK LIFE INSURANCE COMPANY U.S.A.

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
01-0233346	65838	13885	181	01/01/2009	12/31/2009

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	2588

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
CROWE HORWATH LLP
10 WEST BROAD ST., STE. 1700
COLUMBUS, OH 43215

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	(d) Purpose	(e) Organization code
	2563	TPA COMPENSATION	5

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
PACIFIC INVESTMENT MANAGEMENT CO.
840 NEWPORT CENTER DRIVE, SUITE 100
NEWPORT BEACH, CA 92660

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	(d) Purpose	(e) Organization code
	9	REDEMPTION FEES	6

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

T. ROWE PRICE ASSOCIATES, INC.
100 EAST PRATT STREET
BALTIMORE, MD 21202

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	
	6	REDEMPTION FEES	6

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

UBS GLOBAL ASSET MANAGEMENT
AMERICAS INC.
UBS TOWER ONE NORTH WACKER DRIVE
CHICAGO, IL 60606

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	
	5	REDEMPTION FEES	6

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

THE VANGUARD GROUP INVESTOR
INFORMATION DEPARTMENT
P.O. BOX 2600
VALLEY FORGE, PA 19482-2600

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	
	4	REDEMPTION FEES	6

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

DWS INVESTMENTS SERVICE COMPANY
210 WEST 10TH ST.
KANSAS CITY, MO 64105

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	
	1	REDEMPTION FEES	6

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	949
5	Current value of plan's interest under this contract in separate accounts at year end	5	6991383

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☒ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			7b	2317
c	Additions: (1) Contributions deposited during the year	7c(1)			
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	35		
	(4) Transferred from separate account	7c(4)			
	(5) Other (specify below)	7c(5)			
	▶				
	(6) Total additions			7c(6)	35
d	Total of balance and additions (add **b** and **c(6)**)			7d	2352
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)			
	(2) Administration charge made by carrier	7e(2)			
	(3) Transferred to separate account	7e(3)	1403		
	(4) Other (specify below)	7e(4)			
	▶				
	(5) Total deductions			7e(5)	1403
f	Balance at the end of the current year (subtract **e(5)** from **d**)			7f	949

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in **c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.			**10b**	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2009

This Form is Open to Public Inspection.

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan
FIRST BANKERS TRUST COMPANY 401K PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 003

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
FIRST BANKERS TRUSTSHARES, INC.

D Employer Identification Number (EIN)
37-1237250

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE 2045

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
01-0233346-000	P	6016

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE 2040

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
01-0233346-000	P	7232

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE 2035

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
01-0233346-000	P	7484

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE 2030

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
01-0233346-000	P	40933

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE 2020

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
01-0233346-000	P	42988

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE 2015

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
01-0233346-000	P	27012

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE 2010

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
01-0233346-000	P	5677

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFECYCLE RETIREMENT

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE AGGRESSIVE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 419396

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE GROWTH

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1759610

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE BALANCED

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2260815

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE MODERATE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 926922

a Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE CONSERVATIVE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 314553

a Name of MTIA, CCT, PSA, or 103-12 IE: DFA EMERGING MARKETS VALUE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 4860

a Name of MTIA, CCT, PSA, or 103-12 IE: NATURAL RESOURCES FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6200

a Name of MTIA, CCT, PSA, or 103-12 IE: ROYCE OPPORTUNITY

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 7998

a Name of MTIA, CCT, PSA, or 103-12 IE: ENERGY

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 17278

a Name of MTIA, CCT, PSA, or 103-12 IE: OPPENHEIMER DEVELOPING MKT			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	97626
a Name of MTIA, CCT, PSA, or 103-12 IE: INTL SMALL CAP FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
a Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE SCI & TECH			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	4030
a Name of MTIA, CCT, PSA, or 103-12 IE: JENNISON 20/20 FOCUS FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	8958
a Name of MTIA, CCT, PSA, or 103-12 IE: INTERNATIONAL OPPS FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	5412
a Name of MTIA, CCT, PSA, or 103-12 IE: SMALL CAP GROWTH INDEX			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	5398
a Name of MTIA, CCT, PSA, or 103-12 IE: SMALL CAP INDEX FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	3681
a Name of MTIA, CCT, PSA, or 103-12 IE: DAVIS FINANCIAL			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	16811
a Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN CENTURY VISTA			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	20126
a Name of MTIA, CCT, PSA, or 103-12 IE: INTL EQUITY INDEX FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	12794

a Name of MTIA, CCT, PSA, or 103-12 IE: REAL EST. SECURITIES FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10325

a Name of MTIA, CCT, PSA, or 103-12 IE: SMALL CAP OPPORTUNITIES FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: MID VALUE FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 12518

a Name of MTIA, CCT, PSA, or 103-12 IE: FUNDAMENTAL INVESTORS

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1296

a Name of MTIA, CCT, PSA, or 103-12 IE: COLUMBIA VALUE & RESTRUCTURING

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 35849

a Name of MTIA, CCT, PSA, or 103-12 IE: JPM MIDCAP VALUE FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 35684

a Name of MTIA, CCT, PSA, or 103-12 IE: FRANKLIN BALANCE SHEET

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 65007

a Name of MTIA, CCT, PSA, or 103-12 IE: VALUE FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 434

a Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE SML CAP VAL

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 36742

a Name of MTIA, CCT, PSA, or 103-12 IE: MID CAP INDEX FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6366

a Name of MTIA, CCT, PSA, or 103-12 IE: TEMPLETON WORLD

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3116

a Name of MTIA, CCT, PSA, or 103-12 IE: CAPITAL APPRECIATION FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2759

a Name of MTIA, CCT, PSA, or 103-12 IE: THE GROWTH FUND OF AMERICA

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 988

a Name of MTIA, CCT, PSA, or 103-12 IE: EATON VANCE LARGE-CAP VALUE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8530

a Name of MTIA, CCT, PSA, or 103-12 IE: JOHN HANCOCK CLASSIC VALUE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: MUTUAL GLOBAL DISCOVERY

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3423

a Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE BLUE CHIP

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE REAL ESTATE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: DWS RREEF REAL ESTATE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: OPTIMIZED VALUE FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN BALANCED FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	4849
a Name of MTIA, CCT, PSA, or 103-12 IE: UBS U.S. LARGE CAP EQUITY			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
a Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL BOND MARKET FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	24877
a Name of MTIA, CCT, PSA, or 103-12 IE: GLOBAL BOND FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	355
a Name of MTIA, CCT, PSA, or 103-12 IE: REAL RETURN BOND FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	2255
a Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL RETURN FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	112
a Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN HIGH-INCOME FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	863
a Name of MTIA, CCT, PSA, or 103-12 IE: SHORT-TERM FEDERAL			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	3150
a Name of MTIA, CCT, PSA, or 103-12 IE: INVESTMENT QUAL BOND FUND			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	2173
a Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO TOTAL RETURN			
b Name of sponsor of entity listed in (a): JOHN HANCOCK USA			
c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	125831

a Name of MTIA, CCT, PSA, or 103-12 IE: JOHN HANCOCK STRATEGIC INC

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8836

a Name of MTIA, CCT, PSA, or 103-12 IE: THE BOND FUND OF AMERICA

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3538

a Name of MTIA, CCT, PSA, or 103-12 IE: US GOVERNMENT SECURITIES

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 29582

a Name of MTIA, CCT, PSA, or 103-12 IE: MONEY MARKET FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 103839

a Name of MTIA, CCT, PSA, or 103-12 IE: FRANKLIN SMALL-MID GROWTH

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1659

a Name of MTIA, CCT, PSA, or 103-12 IE: LEGG PARTNERS AGG GROWTH

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE HEALTH SCI

b Name of sponsor of entity listed in (a): P

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 17721

a Name of MTIA, CCT, PSA, or 103-12 IE: EUROPACIFIC GROWTH FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 18388

a Name of MTIA, CCT, PSA, or 103-12 IE: LEGG MASON GROWTH

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: COLUMBIA MARSICO INTL OPPS

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: OPPENHEIMER GLOBAL

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 45553

a Name of MTIA, CCT, PSA, or 103-12 IE: BLUE CHIP GROWTH FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 34150

a Name of MTIA, CCT, PSA, or 103-12 IE: MFS UTILITIES

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14186

a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LARGE VALUE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: LEGG MASON VALUE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL STOCK MARKET INDEX FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 51063

a Name of MTIA, CCT, PSA, or 103-12 IE: DAVIS NEW YORK VENTURE

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 86382

a Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE EQUITY INC

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 13404

a Name of MTIA, CCT, PSA, or 103-12 IE: CAPITAL INCOME BUILDER

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE: 500 INDEX FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 62578

a Name of MTIA, CCT, PSA, or 103-12 IE: MUTUAL BEACON

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 60287

a Name of MTIA, CCT, PSA, or 103-12 IE: INVESTMENT COMPANY OF AMERICA

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1407

a Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO GLOBAL BOND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 12381

a Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO REAL RETURN

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2356

a Name of MTIA, CCT, PSA, or 103-12 IE: HIGH YIELD FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2528

a Name of MTIA, CCT, PSA, or 103-12 IE: U.S. HIGH YIELD BOND FUND

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2449

a Name of MTIA, CCT, PSA, or 103-12 IE: LM PARTNERS GLB HIGH YIELD

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1780

a Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE SPECTRUM INC

b Name of sponsor of entity listed in (a): JOHN HANCOCK USA

c EIN-PN 01-0233346-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

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a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan
FIRST BANKERS TRUST COMPANY 401K PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
FIRST BANKERS TRUSTSHARES, INC.

D Employer Identification Number (EIN)
37-1237250

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	13024	1752
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	324924	367054
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	2788	2797
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)	4750655	6991383
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)		
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	2316	949
(15) Other	1c(15)	32364	26626

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	5126071	7390561
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j	1235	
k	Total liabilities (add all amounts in lines 1g through1j)	1k	1235	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	5124836	7390561

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	367054	
	(B) Participants	2a(1)(B)	466949	
	(C) Others (including rollovers)	2a(1)(C)	403	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		834406
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	57	
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)		
	(F) Other	2b(1)(F)		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		57
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)	1945	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		1945
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0

		(a) Amount	(b) Total
2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		1677843
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		7023
d Total income. Add all **income** amounts in column (b) and enter total	2d		2521274
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	251712	
(2) To insurance carriers for the provision of benefits	2e(2)	866	
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		252578
f Corrective distributions (see instructions)	2f		2945
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)	26	
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		26
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		255549
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d	2k		2265725
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: WADE STABLES, P.C. **(2)** EIN: 43-1498457

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

		Yes	No	Amount
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If yes, enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2009

This Form is Open to Public Inspection.

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan
FIRST BANKERS TRUST COMPANY 401K PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
FIRST BANKERS TRUSTSHARES, INC.

D Employer Identification Number (EIN)
37-1237250

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 01-0233346 20-1171923

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete items (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

First Bankers Trustshares, Inc.
401(k) Profit Sharing Plan

Quincy, Illinois

Financial Statements

December 31, 2009 and 2008

First Bankers Trustshares, Inc.
401(k) Profit Sharing Plan
Table of Contents
December 31, 2009 and 2008

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Wade Stables P.C.
CERTIFIED PUBLIC ACCOUNTANTS
100 North Sixth Street • P.O. Box 796 • Hannibal, Missouri 63401-0796 • Phone (573) 221-5998 • Fax (573) 221-2044

Independent Auditor's Report

To the Trustees of the
First Bankers Trustshares, Inc. 401(k) Profit Sharing Plan
Quincy, Illinois

We were engaged to audit the financial statements of First Bankers Trustshares, Inc. 401(k) Profit Sharing Plan as of December 31, 2009 and 2008, and for the years ended December 31, 2009 and 2008 and the supplemental schedules as of and for the years ended December 31, 2009 and 2008 as listed in the accompanying table of contents. These financial statements and schedules are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note C, which was certified by John Hancock Life Insurance Company (U.S.A.), except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2009 and 2008, that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and schedules taken as a whole. The form and content of the information included in the financial statements and schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Respectfully submitted,

Wade Stables P.C.

Wade Stables, P.C.
Certified Public Accountants

June 17, 2010
Hannibal, Missouri

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
Statement of Net Assets Available for Plan Benefits
December 31, 2009 and 2008

	December 31,	
Investments (at fair value)	$ 7,023,507	$ 4,801,147
Receivables:		
Employees' and employer's contributions	367,054	324,924
Total Trust Assets	$ 7,390,561	$ 5,126,071
Liabilities and Net Assets		
Life insurance premiums due	$ -	$ 1,235
Benefits payable	-	-
Total Liabilities	$ -	$ 1,235
Net Assets Available for Plan Benefits	$ 7,390,561	$ 5,124,836

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
Statements of Changes in Net Assets Available for Benefits
For the Years Ended December 31, 2009 and 2008

	December 31,	
Investment income:		
Interest income	$ 57	$ 63
Dividend income -Employer securities	1,945	7,780
Increase in cash surrender value of life insurance	1,290	1,690
Various settlement fund distributions	5,733	-
Realized loss on securities distributed of employer securities	-	(46,170)
Net increase (decrease) in fair value of investments:		
Pooled separate accounts	1,677,843	(2,152,298)
Contributions:		
Employer	367,054	324,924
Employee	466,949	470,473
Employee rollover contributions	403	8,577
Total Additions	$ 2,521,274	$ (1,384,961)
Deductions From Net Assets Attributed To:		
Benefits paid or payable to participants or beneficiaries	$ 251,712	$ 359,788
Administration fees	26	-
Corrective Distributions	2,945	-
Life insurance premiums	866	2,969
Total Deductions	$ 255,549	$ 362,757
Net Increase (Decrease) in Net Assets	$ 2,265,725	$ (1,747,718)
Net Assets at Beginning of Year	5,124,836	6,872,554
Net Assets at End of Year	$ 7,390,561	$ 5,124,836

The accompanying notes are an integral part of these financial statements.

A. Description of Plan

The following description of First Bankers Trustshares, Inc. 401(k) Profit Sharing Plan provides only general information. Participants should refer to the Plan agreement for a more complex description of the Plan's provisions.

1. General

The Plan is a defined contribution plan covering all employees provided they are not an excluded employee. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). Employees will be eligible to participate in the Plan once they satisfy the age and service requirements.

For salary reduction contributions and after-tax voluntary contributions, employees will be eligible to participate in the Plan if they have attained age 21.

For matching and discretionary profit sharing contributions, employees will be eligible to participate in the Plan if they have completed one (1) year of service and have also attained age 21.

2. Excluded Employees

If an employee is a member of a class of employees identified below, they are an excluded employee for purposes of the Plan. The excluded employees are:

- Union employees whose employment is governed by a collective bargaining agreement under which retirement benefits were the subject of good faith bargaining.
- Certain non-resident aliens who have no earned income from sources within the United States.

3. Employer Contributions to the Plan

Each year, the Company will contribute to the Plan the following amounts:

The total amount of the salary reduction elected to be deferred by employees. The maximum salary reduction for 2008 calendar year was $16,000 and $21,000 for participants age 50 or older. For 2009, they were $16,500 and $22,000, respectively. Total deferrals for the Plan Years ended December 31, 2009 and 2008 were $466,949 and $470,473 respectively.

Each year, in addition to salary deferrals, the company may contribute to the Plan matching contributions and discretionary profit sharing contributions.

The company may make a matching contribution equal to a uniform percentage of salary deferrals; the company will determine the percentage each year.

In applying this matching percentage however, the company has the option to disregard salary deferrals made each year that exceed a certain dollar amount or a certain percentage of compensation for such period.

In order to share in the matching contribution, an Employee must satisfy the following conditions:

- If an employee is actively employed on the last day of the Plan Year, the employee will share in the matching contribution if they have completed a year of service during the Plan Year.
- The Employee will share in the matching contribution for the year regardless of the number of Hours of Service credited in the year of the employee’s death, disability or retirement.

3. Employer Contributions to the Plan (Continued)

Total matching contributions for the year ended December 31, 2009 and 2008, were 50% of the first 4% of compensation (capped at $245,000 for 2009 and $225,000 for 2008) that a participant elects to defer. Total matching contributions were $116,303 and $104,966 for the years ending December 31, 2009 and 2008.

Each year, the Employer may make a discretionary profit sharing contribution.

In order to share in the discretionary profit sharing contribution, an Employee must satisfy the following:

- If the Employee is actively employed on the last day of the Plan Year, the Employee will share in the discretionary profit sharing contribution if they have completed a year of service during the Plan Year.
- If the Employee terminates employment (not actively employed on the last day of the Plan Year), they will not receive a discretionary profit sharing contribution regardless of the number of hours of service credited for the Plan Year.
- The Employee will share in the discretionary profit sharing contribution for the year regardless of the number of hours of service credited in the year of the Employee's death, disability or retirement.

Any discretionary profit sharing contribution will be "allocated" or divided among participants eligible to share in the contribution for the Plan Year.

All participants will be categorized into one of the following groups:

Group A will consist of: the President.
Group B will consist of: Participants who are age 55 with 10 years of service as of 12/31/01.
Group C will consist of: all other employees.

The Employer may contribute a different amount on behalf of each group. The amount contributed on behalf of each group will be allocated to employees proportionately based on compensation compared to the total compensation of all participants in that group. The total discretionary contributions were as follows:

	2009	2008
Group A	$ -	$ -
Group B	-	-
Group C	250,751	219,958
	$ 250,751	$ 219,958

4. Investment Options

Participants have a choice of investments to elect from which to contribute. The allocation can be changed by participants at any time over the website of its investment provider John Hancock. Numerous investment options through a group annuity contract issued by the John Hancock Life Insurance Company (U.S.A.) are available. An enrollment kit explaining these choices is available to all participants.

5. Forfeitures

Forfeitures are created when participants terminate employment before becoming entitled to their full benefits under the Plan. Any forfeitures will be added to any Employer discretionary profit sharing contributions. However, forfeitures attributable to matching contributions will be allocated in the same proportion that individual compensation bears to the total compensation of all participants. The Employee will receive this allocation if he is eligible to receive a matching contribution regardless of whether they made any salary deferrals.

Total forfeitures for the plan year December 31, 2009 and 2008, were $1,752 and $12,780 respectively.

6. Transfers from Qualified Plans (Rollovers)

At the discretion of the Administrator, employees may be permitted to deposit into the Plan distributions employees have received from other plans.

Rollovers will be placed in a separate account called a "participant's rollover account."

Employees will always be 100% vested in their "rollover account."

$403 and $8,577 in participant rollovers were received during the plan year ended December 31, 2009 and 2008, respectively.

7. Vesting

A Participant shall at all times be one hundred percent (100%) vested and have a non-forfeitable interest in their Elective Contribution Account, After Tax Voluntary Account and Segregated Rollover Account. The "vested percentage" in an account attributable to discretionary profit sharing and matching contributions is determined under the following schedule and is based on vesting years of service. An Employee will always however, be 100% vested if employed on or after normal retirement age.

Years of Service	Percent Vested
Less than 2	0%
2	20%
3	40%
4	60%
5	80%
6	100%

8. Benefit Payment Options

There are various methods by which benefits may be distributed from the Plan. The method depends on employee marital status, as well as the elections they and their spouse make.

9. Year of Service and Hour Of Service

An Employee will have completed a year of service if, at the end of their first twelve consecutive months of employment they have been credited with at least 1,000 hours of service. If an employee has not been credited with 1,000 hours of service by the end of their first twelve consecutive months of employment, they will have completed a year of service at the end of any following Plan Year during which they were credited with 1,000 hours of service.

10. Loans

Loan provisions do not apply to this Plan.

B. Summary of Accounting Policies

Date of Managements Review

Subsequent events were evaluated through June 17, 2010, which is the date the financial statements were available to be issued.

Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

B. Summary of Accounting Policies (concluded)

Basis of Accounting

The financial statements of the plan are prepared on the accrual basis of accounting.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note C for discussion of fair value measurements.

Payment of Benefits

Benefits are recorded when paid.

Operating Expenses

All expenses of maintaining the Plan are paid by the Company.

C. Fair Value Measurements

The Plan's investments are reported at fair value in the accompanying statement of net assets available for benefit. The methods used to measure fair value may produce and amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

	Fair Value Measurements at Reporting Date		
December 31, 2009:	**Fair Value**	**Quoted Prices in Active Markets for Identical Assets (Level 1)**	**Significant Other Unobservable Inputs (Level 3)**
Non interest bearing cash account	$ 1,752	$ 1,752	$ -
Interest bearing cash account	2,797	2,797	-
Mutual Funds	6,992,332	6,992,332	-
Life insurance policies	26,626	-	26,626
	$ 7,023,507	$ 6,996,881	$ 26,626
December 31, 2008:			
Non interest bearing cash account	$ 13,024	$ 13,024	$ -
Interest bearing cash account	2,788	2,788	-
Mutual Funds	4,752,971	4,752,971	-
Life insurance policies	32,364	-	32,364
	$ 4,801,147	$ 4,768,783	$ 32,364

C. Fair Value Measurements (concluded)

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. Level 3 inputs would be used only when Level 1 or Level 2 inputs were not available.

Level 1 Fair Value Measurements

The fair values of mutual funds are based on quoted net asset values of the shares held by the Plan at year-end. The fair values of common stocks, corporate bonds, and U.S. Government securities are based on the closing price reported on the active market where the individual securities are traded.

Level 3 Fair Value Measurements

The life insurance policies are not actively traded and significant other observable inputs are not available. Thus, the fair value of the life insurance policies is determined by the cash surrender values provided by the insurance company. The following table provides further details of the Level 3 fair value measurements.

Fair Value Measurements Using Significant Unobservable Inputs (Level 3)

	Life Insuarce Contract	Total
December 31, 2009:		
Beginning balance	$ 32,364	$ 32,364
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	1,290	1,290
Purchases, sales, issuances, and settlements (net)	(7,028)	(7,028)
Ending balance	$ 26,626	$ 26,626
December 31, 2008:		
Beginning balance	$ 30,674	$ 30,674
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	1,690	1,690
Purchases, sales, issuances, and settlements (net)	-	-
Ending balance	$ 32,364	$ 32,364

Gains and losses (realized and unrealized) included in changes in net assets available for benefits for the year ended December 31, 2009 are reported in net appreciation in fair value of investments.

D. Investments

The Plan's assets are invested under a Group Annuity Contract issued by John Hancock Life Insurance Company. Financial information relating to those investments held by the managing agent are included in the accompanying financial statements, in the information presented in this note, and in the supplemental schedule based on information provided by the managing agent. The managing agent has certified that the information provided to the Plan administrator relating to the Plan's participation in invested assets and investment transactions is complete and accurate. This information has not been audited by independent auditors. The following table represents 5% or more of the Plan's net assets.

	2009		2008	
	Total Number of Units	Total Value	Total Number of Units	Total Value
JH Lifestyle Moderate	5,769.107970	$ 926,922	5,556.927714	$ 695,588
JH Lifestyle Balanced	11,183.719843	$ 2,260,815	9,481.060521	$ 1,436,110
JH Lifestyle Growth	6,273.366608	$ 1,759,610	5,494.964635	$ 1,140,545
JH Lifestyle Aggressive	1,464.519895	$ 419,396	-	$ -

The Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by $1,667,843 and depreciated in value by $2,152,298 for the years 2009 and 2008 respectively.

E. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

F. Administrative Expenses

Most administrative expenses, plan administration, and other costs associated with the Plan, are paid directly by First Bankers Trustshares, Inc.

Other costs such as brokerage commissions in association with security buys and sells are added to the cost of the security when purchased or, used to reduce gain or increase loss when the asset is sold.

G. Commitments and Contingencies

The Company is aware of no commitments or contingencies concerning the Plan. In addition, the Company is aware of no transactions prohibited by ERISA that have occurred.

H. Tax Status

The Internal revenue Service has determined and informed the Company by a letter dated October 5, 2001, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the Plan administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

I. Related Party or Party in Interest

There are no related parties or party in interest transactions to report as of December 31, 2009 or 2008.

J. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

Supplemental Schedules

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2009

(a)	(b) Identity of issue, borrower, lesssor, of similar party	(c) Description of investment	(d) Cost	(e) Current Value
JH	Lifecycle 2010	Mutual Fund		5,677
JH	Lifecycle 2015	Mutual Fund		27,012
JH	Lifecycle 2020	Mutual Fund		42,988
JH	Lifecycle 2030	Mutual Fund		40,933
JH	Lifecycle 2035	Mutual Fund		7,484
JH	Lifecycle 2040	Mutual Fund		7,232
JH	Life cycle 2045	Mutual Fund		6,016
JH	Lifecycle conservative	Mutual Fund		314,553
JH	Lifecycle moderate	Mutual Fund		926,922
JH	Lifecyle balance	Mutual Fund		2,260,815
JH	Lifecycle growth	Mutual Fund		1,759,610
JH	Lifecycle aggressive	Mutual Fund		419,396
JH	3 year comp	Mutual Fund		949
JH	American Funds US Government Securities	Mutual Fund		29,582
JH	The Bond Fund of America	Mutual Fund		3,538
JH	Strategic	Mutual Fund		8,836
JH	High yield	Mutual Fund		1,780
JH	PIMCO Real Return	Mutual Fund		2,356
JH	PIMCO Global Bond	Mutual Fund		12,381
JH	Total retn	Mutual Fund		125,831
JH	Short term	Mutual Fund		3,150
JH	American High Income Fund	Mutual Fund		863
JH	American Balanced Fund	Mutual Fund		4,849
JH	American Funds Investment Company of America	Mutual Fund		1,407
JH	Beacon	Mutual Fund		60,287
JH	T. Rowe Price Equity Income	Mutual Fund		13,404
JH	New York Venture	Mutual Fund		86,382
JH	Discovery	Mutual Fund		3,423
JH	Eaton Vance Large-Cap Value	Mutual Fund		8,530
JH	American Funds The Growth Fund of America	Mutual Fund		988
JH	World	Mutual Fund		3,116
JH	Utilities	Mutual Fund		14,187
JH	Global	Mutual Fund		45,553
JH	T Rowe Price Small Cap Value	Mutual Fund		36,742
JH	Franklin Balance Sheet	Mutual Fund		65,007
JH	JPM Midcap Value Fund	Mutual Fund		35,684
JH	Columbia Value and Restructuring	Mutual Fund		35,849
JH	American Funds Fundamental Investors	Mutual Fund		1,297
JH	Europacific Growth Fund	Mutual Fund		18,388
JH	T Rowe Price Health Science	Mutual Fund		17,722
JH	Franklin Small Mid Growth	Mutual Fund		1,659
JH	Vista	Mutual Fund		20,126
JH	Financial	Mutual Fund		16,811

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
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Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2009

(a)		(b) Identity of issue, borrower, lesssor, of similar party	(c) Description of investment	(d) Cost	(e) Current Value
	JH	SMCP gr in	Mutual Fund		5,398
	JH	Jttfocus	Mutual Fund		8,958
	JH	Sci tech	Mutual Fund		4,030
	JH	Develp mkt	Mutual Fund		97,626
	JH	Energy	Mutual Fund		17,278
	JH	Opportunty	Mutual Fund		7,998
	JH	Emerg mk	Mutual Fund		4,861
	JH	Money Market Fund	Mutual Fund		103,839
	JH	VS High Yield Bond Fund	Mutual Fund		2,449
	JH	VS High Yield Fund	Mutual Fund		2,528
	JH	VS Investment Quality Bond Fund	Mutual Fund		2,172
	JH	VS Total Return fund	Mutual Fund		112
	JH	VS Real Return Bond Fund	Mutual Fund		2,255
	JH	VS Global Bond Fund	Mutual Fund		355
	JH	Total Bond Market Fund	Mutual Fund		24,877
	JH	Index 500 Fund	Mutual Fund		62,578
	JH	VS Capital Appreciation Fund	Mutual Fund		2,759
	JH	Total Stock Market Index Fund	Mutual Fund		51,063
	JH	VS Blue Chip Growth Fund	Mutual Fund		34,150
	JH	Mid Cap Index Fund	Mutual Fund		6,366
	JH	VS Value Fund	Mutual Fund		434
	JH	VS Mid Value Fund	Mutual Fund		12,518
	JH	VS Real Estate Securities Fund	Mutual Fund		10,325
	JH	IDX intl	Mutual Fund		12,794
	JH	IDX small	Mutual Fund		3,682
	JH	VS International Opps Fund	Mutual Fund		5,412
	JH	VS Natural Resources Fund	Mutual Fund		6,200
		Three Life Insurance Policies	Mass Mutual Life Insurance		26,626
		Total Investments			$ 7,023,507

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
Guaranteed Funds								
3-Year Compound	Mutual Fund	$ 35	$ -	$ -	$ -	$ 35	$ -	$ -
Investment Funds								
JH American Balanced Fund	Mutual Fund	$ 717	$ -	$ -	$ -	$ 717	$ -	$ -
Fundamental Investors	Mutual Fund	1,104	-	-	-	1,104	-	-
US Government Securities	Mutual Fund	33,185	-	-	-	33,185	-	-
American High-Income Fund	Mutual Fund	815	-	-	-	815	-	-
JH Lifestyle Aggressive	Mutual Fund	77,765	-	-	-	77,765	-	-
JH American Century Vista	Mutual Fund	5,318	-	-	-	5,318	-	-
Blue chip Growth Fund	Mutual Fund	32,636	-	-	-	32,636	-	-
the Bond Fund of America	Mutual Fund	3,021	-	-	-	3,021	-	-
JH Total Bond Market Fund	Mutual Fund	4,409	-	-	-	4,409	-	-
JH Lifestyle Balanced	Mutual Fund	314,073	-	-	-	314,073	-	-
Capital Income Builder	Mutual Fund	6,221	-	-	-	6,221	-	-
JH Lifestyle Conservative	Mutual Fund	45,782	-	-	-	45,782	-	-
Capital Appreciation Fund	Mutual Fund	2,621	-	-	-	2,621	-	-
JH T. Rowe Price Equity Inc.	Mutual Fund	1,445	-	-	-	1,445	-	-
DFA Emerging Markets Value	Mutual Fund	3,512	-	-	-	3,512	-	-
JH Mutal Discovery	Mutual Fund	1,165	-	-	-	1,165	-	-
JH Templeton World	Mutual Fund	478	-	-	-	478	-	-
JH Oppenheimer Developing Mkt	Mutual Fund	14,464	-	-	-	14,464	-	-
JH EuroPacific Growth Fund	Mutual Fund	2,125	-	-	-	2,125	-	-
JH Optimized Value Fund	Mutual Fund	983	-	-	-	983	-	-
Eaton Vance Large-Cap Value	Mutual Fund	8,152	-	-	-	8,152	-	-
JH Davis Financial	Mutual Fund	5,248	-	-	-	5,248	-	-
JH T. Rowe Price Blue Chip	Mutual Fund	4,944	-	-	-	4,944	-	-
JH The Growth Fund of America	Mutual Fund	409	-	-	-	409	-	-
JH Global Bond Fund	Mutual Fund	354	-	-	-	354	-	-
JH Lifestyle Growth	Mutual Fund	246,883	-	-	-	246,883	-	-
JH Short-Term Federal	Mutual Fund	1,325	-	-	-	1,325	-	-

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
JH T. Rowe Price Health Sci	Mutual Fund	2,567	-	-	-	2,567	-	-
JH LM Partners Glb High Yield	Mutual Fund	66	-	-	-	66	-	-
High Yield Fund	Mutual Fund	2,363	-	-	-	2,363	-	-
JH Intl Equity Index Fund	Mutual Fund	2,637	-	-	-	2,637	-	-
JH T. Rowe Price Spectrum Inc	Mutual Fund	59	-	-	-	59	-	-
500 Index Fund	Mutual Fund	6,595	-	-	-	6,595	-	-
International Opps Fund	Mutual Fund	5,484	-	-	-	5,484	-	-
Investment Qual Bond Fund	Mutual Fund	1,895	-	-	-	1,895	-	-
Jennison 20/20 Focus Fund	Mutual Fund	8,152	-	-	-	8,152	-	-
JH Legg Mason Growth	Mutual Fund	545	-	-	-	545	-	-
JH legg Mason Value	Mutual Fund	1,664	-	-	-	1,664	-	-
JH UBS U.S. Large Cap Equity	Mutual Fund	537	-	-	-	537	-	-
JH Lifecycle Retirement	Mutual Fund	4,441	-	-	-	4,441	-	-
JH Lifecycle 2010	Mutual Fund	5,555	-	-	-	5,555	-	-
JH Lifecycle 2015	Mutual Fund	6,313	-	-	-	6,313	-	-
JH Lifecycle 2020	Mutual Fund	2,614	-	-	-	2,614	-	-
JH Lifecycle 2030	Mutual Fund	11,726	-	-	-	11,726	-	-
JH Lifecycle 2035	Mutual Fund	4,143	-	-	-	4,143	-	-
JH Lifecycle 2040	Mutual Fund	3,268	-	-	-	3,268	-	-
JH Lifecycle 2045	Mutual Fund	1,048	-	-	-	1,048	-	-
JH Mid Cap Stock Fund	Mutual Fund	6,114	-	-	-	6,114	-	-
JH Mutaual Beacon	Mutual Fund	8,920	-	-	-	8,920	-	-
JH JPM Mid Cap Value	Mutual Fund	5,396	-	-	-	5,396	-	-
JH Columbia Marsico Intl Opps	Mutual Fund	503	-	-	-	503	-	-
JH BlackRock Large Value	Mutual Fund	1,250	-	-	-	1,250	-	-
JH Lifestyle Moderate	Mutual Fund	109,786	-	-	-	109,786	-	-
JH Money Market Fund	Mutual Fund	15,085	-	-	-	15,085	-	-
JH T. Rowe Price Small cap Value	Mutual Fund	12,509	-	-	-	12,509	-	-
JH Mid Value Fund	Mutual Fund	9,970	-	-	-	9,970	-	-
JH Royce Opportunity	Mutual Fund	1,579	-	-	-	1,579	-	-
JH PIMCO Global Bond	Mutual Fund	12,913	-	-	-	12,913	-	-
JH PIMCO Real Return	Mutual Fund	2,060	-	-	-	2,060	-	-
JH DWS RREEF Real Estate	Mutual Fund	923	-	-	-	923	-	-
Real Estate Securities Fund	Mutual Fund	9,342	-	-	-	9,342	-	-

First Bankers Trustshares, Inc.
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(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
JH Real Return Bond Fund	Mutual Fund	7,756	-	-	-	7,756	-	-
JH Franklin Small-Mid Growth	Mutual Fund	342	-	-	-	342	-	-
JH Small Cap Index Fund	Mutual Fund	791	-	-	-	791	-	-
JH T. Rowe Price Sci & Tech	Mutual Fund	717	-	-	-	717	-	-
JH Franklin Balance Sheet	Mutual Fund	9,554	-	-	-	9,554	-	-
JH Small Cap Opportunities Fund	Mutual Fund	55	-	-	-	55	-	-
John Hancock Strategic Inc	Mutual Fund	8,510	-	-	-	8,510	-	-
JH Legg partners Agg Growth	Mutual Fund	981	-	-	-	981	-	-
T. Rowe Price Real Estate	Mutual Fund	1,978	-	-	-	1,978	-	-
Total Return Fund	Mutual Fund	105	-	-	-	105	-	-
JH PIMCO Total Return	Mutual Fund	19,066	-	-	-	19,066	-	-
JH Total Stock Market Index Fund	Mutual Fund	11,418	-	-	-	11,418	-	-
JH MFS Utilities	Mutual Fund	1,606	-	-	-	1,606	-	-
US High Yield Bond Fund	Mutual Fund	1,754	-	-	-	1,754	-	-
JH Davis New York Venture	Mutual Fund	28,354	-	-	-	28,354	-	-
JH Energy	Mutual Fund	5,349	-	-	-	5,349	-	-
Value Fund	Mutual Fund	424	-	-	-	424	-	-
JH John Hancock Classic Value	Mutual Fund	59	-	-	-	59	-	-
JH Columbia Value & Restructuring	Mutual Fund	5,633	-	-	-	5,633	-	-
JH Small Cap Growth Index	Mutual Fund	1,184	-	-	-	1,184	-	-
JH Oppenheimer Global	Mutual Fund	8,152	-	-	-	8,152	-	-
Total		$ 1,202,237	$ -	$ -	$ -	$ 1,202,237	$ -	$ -

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
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Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

Sales

(a)	(b)	(c)	(d)	(e)	(f) Expense incurred	(g) Cost	(h) Current value	(i)
Guaranteed Funds								
3-Year Compound	Mutual Fund	$ (1,402)	$ (1,402)	$ -	$ -	$ (1,402)	$ (1,402)	$ -
Investment Funds								
US Government Securities	Mutual Fund	$ (3,376)	$ (3,346)	$ -	$ -	$ (3,376)	$ (3,346)	$ (30)
JH Lifestyle Aggressive	Mutual Fund	(2,640)	(2,206)	-	-	(2,640)	(2,206)	(434)
JH American Century Vista	Mutual Fund	(35,071)	(25,101)	-	-	(35,071)	(25,101)	(9,970)
JH Lifestyle Balanced	Mutual Fund	(56,121)	(63,986)	-	-	(56,121)	(63,986)	7,864
Capital Income Builder	Mutual Fund	(6,221)	(8,262)	-	-	(6,221)	(8,262)	2,042
JH Lifestyle Conservative	Mutual Fund	(61,210)	(59,811)	-	-	(61,210)	(59,811)	(1,398)
JH DFA Emerging Markets Value	Mutual Fund	(2,186)	(1,290)	-	-	(2,186)	(1,290)	(896)
JH Templeton World	Mutual Fund	(1,613)	(1,123)	-	-	(1,613)	(1,123)	(490)
JH Oppenheimer Developing Mkt	Mutual Fund	(21,979)	(14,584)	-	-	(21,979)	(14,584)	(7,395)
JH EuroPacific Growth Fund	Mutual Fund	(4)	(3)	-	-	(4)	(3)	(1)
JH Optimized Vaule Fund	Mutual Fund	(6,121)	(5,914)	-	-	(6,121)	(5,914)	(207)
JH T. Rowe Price Blue Chip	Mutual Fund	(30,124)	(31,018)	-	-	(30,124)	(31,018)	894
JH Lifestyle Growth	Mutual Fund	(92,496)	(78,590)	-	-	(92,496)	(78,590)	(13,906)
JH Short-Term Federal	Mutual Fund	(2,012)	(2,180)	-	-	(2,012)	(2,180)	168
JH T. Rowe Price Health Sci	Mutual Fund	(13,461)	(16,005)	-	-	(13,461)	(16,005)	2,544
JH LM Partners Glb High Yield	Mutual Fund	(14,894)	(11,622)	-	-	(14,894)	(11,622)	(3,272)
Intl Equity Index Fund	Mutual Fund	(14)	(13)	-	-	(14)	(13)	(2)
JH T. Rowe Price Spectrum Inc	Mutual Fund	(15,677)	(15,237)	-	-	(15,677)	(15,237)	(440)
Intl Small Cap Fund	Mutual Fund	(1,176)	(1,016)	-	-	(1,176)	(1,016)	(160)
JH Legg Mason Growth	Mutual Fund	(3,231)	(2,573)	-	-	(3,231)	(2,573)	(658)
JH Legg Mason Value	Mutual Fund	(27,658)	(14,515)	-	-	(27,658)	(14,515)	(13,143)
UBS US Large Cap Equity	Mutual Fund	(4,540)	(2,635)	-	-	(4,540)	(2,635)	(1,904)
JH Lifecycle Retirement	Mutual Fund	(5,072)	(5,767)	-	-	(5,072)	(5,767)	695
Mutual Beacon	Mutual Fund	(11,113)	(10,212)	-	-	(11,113)	(10,212)	(901)
JPM Midcap Value Fund	Mutual Fund	(27,230)	(18,865)	-	-	(27,230)	(18,865)	(8,365)
JH Columbia Marsico Intl Opps	Mutual Fund	(6,859)	(5,435)	-	-	(6,859)	(5,435)	(1,424)
JH BlackRock Large Value	Mutual Fund	(24,860)	(17,356)	-	-	(24,860)	(17,356)	(7,503)
JH Lifestyle Moderate	Mutual Fund	(84,920)	(93,165)	-	-	(84,920)	(93,165)	8,245
Money Market Fund	Mutual Fund	(1,228)	(1,290)	-	-	(1,228)	(1,290)	62
JH T. Rowe Price Sml Cap Value	Mutual Fund	(16,666)	(12,694)	-	-	(16,666)	(12,694)	(3,973)
JH PIMCO Global Bond	Mutual Fund	(5,052)	(4,835)	-	-	(5,052)	(4,835)	(217)

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

Sales

(a)	(b)	(c)	(d)	(e)	(f) Expense incurred	(g) Cost	(h) Current value	(i)
JH PIMCO Global Bond	Mutual Fund	(5,052)	(4,835)	-	-	(5,052)	(4,835)	(217)
JH PIMCO Real Return	Mutual Fund	(16,007)	(16,421)	-	-	(16,007)	(16,421)	414
JH DWS RREEF Real Estate	Mutual Fund	(25,964)	(17,545)	-	-	(25,964)	(17,545)	(8,419)
JH Real Return Bond Fund	Mutual Fund	(9,722)	(10,863)	-	-	(9,722)	(10,863)	1,141
JH Franklin Balance Sheet	Mutual Fund	(10,193)	(7,496)	-	-	(10,193)	(7,496)	(2,697)
JH Small Cap Opportunities Fund	Mutual Fund	(7,388)	(7,126)	-	-	(7,388)	(7,126)	(262)
JH Legg Partners Agg Growth	Mutual Fund	(6,154)	(5,975)	-	-	(6,154)	(5,975)	(179)
JH T. Rowe Price Real Estate	Mutual Fund	(1,978)	(1,884)	-	-	(1,978)	(1,884)	(94)
JH PIMCO Total Return	Mutual Fund	(14,968)	(18,140)	-	-	(14,968)	(18,140)	3,172
JH Davis New York Venture	Mutual Fund	(4,544)	(2,602)	-	-	(4,544)	(2,602)	(1,942)
JH Energy	Mutual Fund	(11,075)	(8,759)	-	-	(11,075)	(8,759)	(2,316)
JH Classic Value	Mutual Fund	(15,750)	(7,268)	-	-	(15,750)	(7,268)	(8,482)
JH Columbia Value & Restructuring	Mutual Fund	(1,218)	(779)	-	-	(1,218)	(779)	(438)
JH Oppenheimer Global	Mutual Fund	(6,162)	(3,717)	-	-	(6,162)	(3,717)	(2,445)
Total		$ (715,946)	$ (639,224)	$ -	$ -	$ (715,946)	$ (639,224)	$ (76,722)

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares Inc.
401 (K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Historical Cost Summary Report for Contract No. 13885
December 31, 2009 and 2008

Investment Funds	2009	2008
US Government Securities	29,810	-
American High-Income Fund	815	-
JH - Lifestyle Aggressive	388,669	313,544
JH - American Century Vista	26,343	56,096
Blue Chip Growth Fund	32,636	-
The Bond Fund of America	3,021	-
Total Bond Market Fund	22,285	17,875
JH - Lifestyle Balanced	1,754,015	1,496,063
JH - Lifestyle Conservative	266,074	281,502
Capital Appreciation Fund	2,621	-
JH - T. Rowe Price Equity Inc.	14,495	13,050
JH - DFA Emerging Markets Value	4,224	2,898
JH - Mutual Discovery	2,866	1,701
JH - Templeton World	2,889	4,024
JH - Oppenheimer Developing Mkt	72,815	80,331
JH - EuroPacific Growth Fund	19,343	17,222
Optimized Value Fund	-	5,138
Eaton Vance Large-Cap Value	8,152	-
JH - Davis Financial	14,006	8,758
JH - T. Rowe Price Blue Chip	-	25,180
JH - American Funds Growth	914	504
Global Bond Fund	354	-
JH - Lifestyle Growth	1,541,544	1,387,157
JH - Short-Term Federal	2,866	3,552
JH - T. Rowe Price Health Sci	13,628	24,522
JH - LM Partners Glb High Yield	1,512	16,340
JH- Ivestment Company of America	-	1,661
High Yield Fund	2,363	-
JH - Int Equity Index Fund	13,043	10,420
JH - T. Rowe Price Spectrum Inc.	-	15,618
500 Index Fund	60,123	53,528
International Opps Fund	5,484	-
Investment Qual Bond Fund	1,895	-
JH- Intl Small Cap Fund	-	1,176
Jennison 20/20 Focus Fund	8,152	-
JH - Legg Mason Growth	-	2,687
JH - Legg Mason Value	-	25,994
JH - UBS U.S. Large Cap Equity	-	4,003
JD- Lifecycle Retirement	-	631
JH Lifecycle 2010	5,555	-
JH Lifecycle 2015	27,817	21,504
JH Lifecycle 2020	46,813	44,199
JH Lifecycle 2030	38,607	26,881
JH Lifecycle 2035	6,156	2,012
JH Lifecycle 2040	6,444	3,176
JH Lifecycle 2045	6,236	5,188
Mid Cap Stock Fund	6,114	-
JH - Mutual Beacon	55,901	58,094
JH - Lord Abbett Mid Cap Value	36,006	57,841
JH - Columbia Marsico Int Opp	-	6,356
JH - Blackrock Large Value	-	23,610
JH - Lifestyle Moderate	766,719	741,854
JH - Money Market	99,112	85,255
JH - T. Rowe Price Sml Cap Val	32,580	36,738
JH - Mid Value Fund	10,420	450
JH - Royce Opportunity	7,557	5,978
JH - PIMCO Global Bond	12,399	4,539
JH - PIMCO Real Return	2,001	15,947
JH - DWS RREEF Real Estate	9,342	25,041

First Bankers Trustshares Inc.
401 (K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Historical Cost Summary Report for Contract No. 13885
December 31, 2009 and 2008

Investment Funds	2009	2008
JH - Natural Resources Fund	5,990	4,715
JH - Real Return Bond Fund	2,008	3,973
JH - Franklin Small-Mid Growth	1,575	1,232
Small Cap Index	3,780	2,989
JH - T. Rowe Price Sci & Tech	3,384	2,667
JH - Franklin Balance Sheet	62,087	62,726
JH - Small Cap Opportunities Fund	-	7,333
John Hancock Strategic Inc	8,510	-
JH - Legg Partners	-	5,173
Total Return Fund	105	-
JH - PIMCO Total Return	95,768	91,670
Total Stock Market Index Fund	52,725	41,307
JH - MFS Utilities	14,450	12,844
U.S. High Yield bond Fund	1,754	-
JH - Davis New York Venture	81,214	57,405
JH - Energy	13,992	19,718
Value Fund	424	-
JH - John Hancock Classic Value	-	15,691
JH - Columbia Value & Restructuring	32,008	27,593
JH - Small Cap Growth Index	3,704	2,520
JH Oppenheimer Global	44,870	42,880
	$5,926,682	$5,442,050

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2009

(a)	(b) Identity of issue, borrower, lesssor, of similar party	(c) Description of investment	(d) Cost	(e) Current Value
	Money Market Fund - John Hancock Life Insurance Company (U.S.A.)	Money Market Fund		$ 1,752
	Federated Prime Value	Mutual Fund		2,797
JH	Lifecycle 2010	Mutual Fund		5,677
JH	Lifecycle 2015	Mutual Fund		27,012
JH	Lifecycle 2020	Mutual Fund		42,988
JH	Lifecycle 2030	Mutual Fund		40,933
JH	Lifecycle 2035	Mutual Fund		7,484
JH	Lifecycle 2040	Mutual Fund		7,232
JH	Life cycle 2045	Mutual Fund		6,016
JH	Lifecycle conservative	Mutual Fund		314,553
JH	Lifecycle moderate	Mutual Fund		926,922
JH	Lifecyle balance	Mutual Fund		2,260,815
JH	Lifecycle growth	Mutual Fund		1,759,610
JH	Lifecycle aggressive	Mutual Fund		419,396
JH	3 year comp	Mutual Fund		949
JH	American Funds US Government Securities	Mutual Fund		29,582
JH	The Bond Fund of America	Mutual Fund		3,538
JH	Strategic	Mutual Fund		8,836
JH	High yield	Mutual Fund		1,780
JH	PIMCO Real Return	Mutual Fund		2,356
JH	PIMCO Global Bond	Mutual Fund		12,381
JH	Total retn	Mutual Fund		125,831
JH	Short term	Mutual Fund		3,150
JH	American High Income Fund	Mutual Fund		863
JH	American Balanced Fund	Mutual Fund		4,849
JH	American Funds Investment Company of America	Mutual Fund		1,407
JH	Beacon	Mutual Fund		60,287
JH	T. Rowe Price Equity Income	Mutual Fund		13,404
JH	New York Venture	Mutual Fund		86,382
JH	Discovery	Mutual Fund		3,423
JH	Eaton Vance Large-Cap Value	Mutual Fund		8,530
JH	American Funds The Growth Fund of America	Mutual Fund		988
JH	World	Mutual Fund		3,116
JH	Utilities	Mutual Fund		14,187
JH	Global	Mutual Fund		45,553
JH	T Rowe Price Small Cap Value	Mutual Fund		36,742
JH	Franklin Balance Sheet	Mutual Fund		65,007
JH	JPM Midcap Value Fund	Mutual Fund		35,684
JH	Columbia Value and Restructuring	Mutual Fund		35,849
JH	American Funds Fundamental Investors	Mutual Fund		1,297
JH	Europacific Growth Fund	Mutual Fund		18,388
JH	T Rowe Price Health Science	Mutual Fund		17,722
JH	Franklin Small Mid Growth	Mutual Fund		1,659

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2009

(a)	(b) Identity of issue, borrower, lesssor, of similar party	(c) Description of investment	(d) Cost	(e) Current Value
JH	Vista	Mutual Fund		20,126
JH	Financial	Mutual Fund		16,811
JH	SMCP gr in	Mutual Fund		5,398
JH	Jttfocus	Mutual Fund		8,958
JH	Sci tech	Mutual Fund		4,030
JH	Develp mkt	Mutual Fund		97,626
JH	Energy	Mutual Fund		17,278
JH	Opportunty	Mutual Fund		7,998
JH	Emerg mk	Mutual Fund		4,861
JH	Money Market Fund	Mutual Fund		103,839
JH	VS High Yield Bond Fund	Mutual Fund		2,449
JH	VS High Yield Fund	Mutual Fund		2,528
JH	VS Investment Quality Bond Fund	Mutual Fund		2,172
JH	VS Total Return fund	Mutual Fund		112
JH	VS Real Return Bond Fund	Mutual Fund		2,255
JH	VS Global Bond Fund	Mutual Fund		355
JH	Total Bond Market Fund	Mutual Fund		24,877
JH	Index 500 Fund	Mutual Fund		62,578
JH	VS Capital Appreciation Fund	Mutual Fund		2,759
JH	Total Stock Market Index Fund	Mutual Fund		51,063
JH	VS Blue Chip Growth Fund	Mutual Fund		34,150
JH	Mid Cap Index Fund	Mutual Fund		6,366
JH	VS Value Fund	Mutual Fund		434
JH	VS Mid Value Fund	Mutual Fund		12,518
JH	VS Real Estate Securities Fund	Mutual Fund		10,325
JH	IDX intl	Mutual Fund		12,794
JH	IDX small	Mutual Fund		3,682
JH	VS International Opps Fund	Mutual Fund		5,412
JH	VS Natural Resources Fund	Mutual Fund		6,200
	Three Life Insurance Policies	Mass Mutual Life Insurance		26,626
	Total Investments			$ 7,023,507

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
Purchases								
Guaranteed Funds								
3-Year Compound	Mutual Fund	$ 35	$ -	$ -	$ -	$ 35	$ -	$ -
Investment Funds								
JH American Balanced Fund	Mutual Fund	$ 717	$ -	$ -	$ -	$ 717	$ -	$ -
Fundamental Investors	Mutual Fund	1,104	-	-	-	1,104	-	-
US Government Securities	Mutual Fund	33,185	-	-	-	33,185	-	-
American High-Income Fund	Mutual Fund	815	-	-	-	815	-	-
JH Lifestyle Aggressive	Mutual Fund	77,765	-	-	-	77,765	-	-
JH American Century Vista	Mutual Fund	5,318	-	-	-	5,318	-	-
Blue chip Growth Fund	Mutual Fund	32,636	-	-	-	32,636	-	-
the Bond Fund of America	Mutual Fund	3,021	-	-	-	3,021	-	-
JH Total Bond Market Fund	Mutual Fund	4,409	-	-	-	4,409	-	-
JH Lifestyle Balanced	Mutual Fund	314,073	-	-	-	314,073	-	-
Capital Income Builder	Mutual Fund	6,221	-	-	-	6,221	-	-
JH Lifestyle Conservative	Mutual Fund	45,782	-	-	-	45,782	-	-
Capital Appreciation Fund	Mutual Fund	2,621	-	-	-	2,621	-	-
JH T. Rowe Price Equity Inc.	Mutual Fund	1,445	-	-	-	1,445	-	-
DFA Emerging Markets Value	Mutual Fund	3,512	-	-	-	3,512	-	-
JH Mutal Discovery	Mutual Fund	1,165	-	-	-	1,165	-	-
JH Templeton World	Mutual Fund	478	-	-	-	478	-	-
JH Oppenheimer Developing Mkt	Mutual Fund	14,464	-	-	-	14,464	-	-
JH EuroPacific Growth Fund	Mutual Fund	2,125	-	-	-	2,125	-	-
JH Optimized Value Fund	Mutual Fund	983	-	-	-	983	-	-
Eaton Vance Large-Cap Value	Mutual Fund	8,152	-	-	-	8,152	-	-
JH Davis Financial	Mutual Fund	5,248	-	-	-	5,248	-	-
JH T. Rowe Price Blue Chip	Mutual Fund	4,944	-	-	-	4,944	-	-
JH The Growth Fund of America	Mutual Fund	409	-	-	-	409	-	-
JH Global Bond Fund	Mutual Fund	354	-	-	-	354	-	-
JH Lifestyle Growth	Mutual Fund	246,883	-	-	-	246,883	-	-

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
JH Short-Term Federal	Mutual Fund	1,325	-	-	-	1,325	-	-
JH T. Rowe Price Health Sci	Mutual Fund	2,567	-	-	-	2,567	-	-
JH LM Partners Glb High Yield	Mutual Fund	66	-	-	-	66	-	-
High Yield Fund	Mutual Fund	2,363	-	-	-	2,363	-	-
JH Intl Equity Index Fund	Mutual Fund	2,637	-	-	-	2,637	-	-
JH T. Rowe Price Spectrum Inc	Mutual Fund	59	-	-	-	59	-	-
500 Index Fund	Mutual Fund	6,595	-	-	-	6,595	-	-
International Opps Fund	Mutual Fund	5,484	-	-	-	5,484	-	-
Investment Qual Bond Fund	Mutual Fund	1,895	-	-	-	1,895	-	-
Jennison 20/20 Focus Fund	Mutual Fund	8,152	-	-	-	8,152	-	-
JH Legg Mason Growth	Mutual Fund	545	-	-	-	545	-	-
JH legg Mason Value	Mutual Fund	1,664	-	-	-	1,664	-	-
JH UBS U.S. Large Cap Equity	Mutual Fund	537	-	-	-	537	-	-
JH Lifecycle Retirement	Mutual Fund	4,441	-	-	-	4,441	-	-
JH Lifecycle 2010	Mutual Fund	5,555	-	-	-	5,555	-	-
JH Lifecycle 2015	Mutual Fund	6,313	-	-	-	6,313	-	-
JH Lifecycle 2020	Mutual Fund	2,614	-	-	-	2,614	-	-
JH Lifecycle 2030	Mutual Fund	11,726	-	-	-	11,726	-	-
JH Lifecycle 2035	Mutual Fund	4,143	-	-	-	4,143	-	-
JH Lifecycle 2040	Mutual Fund	3,268	-	-	-	3,268	-	-
JH Lifecycle 2045	Mutual Fund	1,048	-	-	-	1,048	-	-
JH Mid Cap Stock Fund	Mutual Fund	6,114	-	-	-	6,114	-	-
JH Mutaual Beacon	Mutual Fund	8,920	-	-	-	8,920	-	-
JH JPM Mid Cap Value	Mutual Fund	5,396	-	-	-	5,396	-	-
JH Columbia Marsico Intl Opps	Mutual Fund	503	-	-	-	503	-	-
JH BlackRock Large Value	Mutual Fund	1,250	-	-	-	1,250	-	-
JH Lifestyle Moderate	Mutual Fund	109,786	-	-	-	109,786	-	-
JH Money Market Fund	Mutual Fund	15,085	-	-	-	15,085	-	-
JH T. Rowe Price Small cap Value	Mutual Fund	12,509	-	-	-	12,509	-	-
JH Mid Value Fund	Mutual Fund	9,970	-	-	-	9,970	-	-
JH Royce Opportunity	Mutual Fund	1,579	-	-	-	1,579	-	-
JH PIMCO Global Bond	Mutual Fund	12,913	-	-	-	12,913	-	-
JH PIMCO Real Return	Mutual Fund	2,060	-	-	-	2,060	-	-
JH DWS RREEF Real Estate	Mutual Fund	923	-	-	-	923	-	-
Real Estate Securities Fund	Mutual Fund	9,342	-	-	-	9,342	-	-

First Bankers Trustshares, Inc.
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(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
JH Natural Resources Fund	Mutual Fund	1,275	-	-	-	1,275	-	-
JH Real Return Bond Fund	Mutual Fund	7,756	-	-	-	7,756	-	-
JH Franklin Small-Mid Growth	Mutual Fund	342	-	-	-	342	-	-
JH Small Cap Index Fund	Mutual Fund	791	-	-	-	791	-	-
JH T. Rowe Price Sci & Tech	Mutual Fund	717	-	-	-	717	-	-
JH Franklin Balance Sheet	Mutual Fund	9,554	-	-	-	9,554	-	-
JH Small Cap Opportunities Fund	Mutual Fund	55	-	-	-	55	-	-
John Hancock Strategic Inc	Mutual Fund	8,510	-	-	-	8,510	-	-
JH Legg partners Agg Growth	Mutual Fund	981	-	-	-	981	-	-
T. Rowe Price Real Estate	Mutual Fund	1,978	-	-	-	1,978	-	-
Total Return Fund	Mutual Fund	105	-	-	-	105	-	-
JH PIMCO Total Return	Mutual Fund	19,066	-	-	-	19,066	-	-
JH Total Stock Market Index Fund	Mutual Fund	11,418	-	-	-	11,418	-	-
JH MFS Utilities	Mutual Fund	1,606	-	-	-	1,606	-	-
US High Yield Bond Fund	Mutual Fund	1,754	-	-	-	1,754	-	-
JH Davis New York Venture	Mutual Fund	28,354	-	-	-	28,354	-	-
JH Energy	Mutual Fund	5,349	-	-	-	5,349	-	-
Value Fund	Mutual Fund	424	-	-	-	424	-	-
JH John Hancock Classic Value	Mutual Fund	59	-	-	-	59	-	-
JH Columbia Value & Restructuring	Mutual Fund	5,633	-	-	-	5,633	-	-
JH Small Cap Growth Index	Mutual Fund	1,184	-	-	-	1,184	-	-
JH Oppenheimer Global	Mutual Fund	8,152	-	-	-	8,152	-	-
Total		$ 1,202,237	$ -	$ -	$ -	$ 1,202,237	$ -	$ -

The accompanying notes are an integral part of these financial statements.

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

Sales

(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
Guaranteed Funds								
3-Year Compound	Mutual Fund	$ (1,402)	$ (1,402)	$ -	$ -	$ (1,402)	$ (1,402)	$ -
Investment Funds								
US Government Securities	Mutual Fund	$ (3,376)	$ (3,346)	$ -	$ -	$ (3,376)	$ (3,346)	$ (30)
JH Lifestyle Aggressive	Mutual Fund	(2,640)	(2,206)	-	-	(2,640)	(2,206)	(434)
JH American Century Vista	Mutual Fund	(35,071)	(25,101)	-	-	(35,071)	(25,101)	(9,970)
JH Lifestyle Balanced	Mutual Fund	(56,121)	(63,986)	-	-	(56,121)	(63,986)	7,864
Capital Income Builder	Mutual Fund	(6,221)	(8,262)	-	-	(6,221)	(8,262)	2,042
JH Lifestyle Conservative	Mutual Fund	(61,210)	(59,811)	-	-	(61,210)	(59,811)	(1,398)
JH DFA Emerging Markets Value	Mutual Fund	(2,186)	(1,290)	-	-	(2,186)	(1,290)	(896)
JH Templeton World	Mutual Fund	(1,613)	(1,123)	-	-	(1,613)	(1,123)	(490)
JH Oppenheimer Developing Mkt	Mutual Fund	(21,979)	(14,584)	-	-	(21,979)	(14,584)	(7,395)
JH EuroPacific Growth Fund	Mutual Fund	(4)	(3)	-	-	(4)	(3)	(1)
JH Optimized Vaule Fund	Mutual Fund	(6,121)	(5,914)	-	-	(6,121)	(5,914)	(207)
JH T. Rowe Price Blue Chip	Mutual Fund	(30,124)	(31,018)	-	-	(30,124)	(31,018)	894
JH Lifestyle Growth	Mutual Fund	(92,496)	(78,590)	-	-	(92,496)	(78,590)	(13,906)
JH Short-Term Federal	Mutual Fund	(2,012)	(2,180)	-	-	(2,012)	(2,180)	168
JH T. Rowe Price Health Sci	Mutual Fund	(13,461)	(16,005)	-	-	(13,461)	(16,005)	2,544
JH LM Partners Glb High Yield	Mutual Fund	(14,894)	(11,622)	-	-	(14,894)	(11,622)	(3,272)
Intl Equity Index Fund	Mutual Fund	(14)	(13)	-	-	(14)	(13)	(2)
JH T. Rowe Price Spectrum Inc	Mutual Fund	(15,677)	(15,237)	-	-	(15,677)	(15,237)	(440)
Intl Small Cap Fund	Mutual Fund	(1,176)	(1,016)	-	-	(1,176)	(1,016)	(160)
JH Legg Mason Growth	Mutual Fund	(3,231)	(2,573)	-	-	(3,231)	(2,573)	(658)
JH Legg Mason Value	Mutual Fund	(27,658)	(14,515)	-	-	(27,658)	(14,515)	(13,143)
UBS US Large Cap Equity	Mutual Fund	(4,540)	(2,635)	-	-	(4,540)	(2,635)	(1,904)
JH Lifecycle Retirement	Mutual Fund	(5,072)	(5,767)	-	-	(5,072)	(5,767)	695
Mutual Beacon	Mutual Fund	(11,113)	(10,212)	-	-	(11,113)	(10,212)	(901)
JPM Midcap Value Fund	Mutual Fund	(27,230)	(18,865)	-	-	(27,230)	(18,865)	(8,365)
JH Columbia Marsico Intl Opps	Mutual Fund	(6,859)	(5,435)	-	-	(6,859)	(5,435)	(1,424)
JH BlackRock Large Value	Mutual Fund	(24,860)	(17,356)	-	-	(24,860)	(17,356)	(7,503)
JH Lifestyle Moderate	Mutual Fund	(84,920)	(93,165)	-	-	(84,920)	(93,165)	8,245
Money Market Fund	Mutual Fund	(1,228)	(1,290)	-	-	(1,228)	(1,290)	62
JH T. Rowe Price Sml Cap Value	Mutual Fund	(16,666)	(12,694)	-	-	(16,666)	(12,694)	(3,973)

First Bankers Trustshares, Inc.
401(K) Profit Sharing Plan
EIN: 37-1237250
Plan Number: 003
Schedule H, Line 4j - Schedule of Reportable Transactions
December 31, 2009

Sales

(a) Identity of issue, borrower, lesssor, of similar party	(b) Description	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current value of asset on Transaction Date	(i) Net Gain / (Loss)
JH PIMCO Global Bond	Mutual Fund	(5,052)	(4,835)	-	-	(5,052)	(4,835)	(217)
JH PIMCO Real Return	Mutual Fund	(16,007)	(16,421)	-	-	(16,007)	(16,421)	414
JH DWS RREEF Real Estate	Mutual Fund	(25,964)	(17,545)	-	-	(25,964)	(17,545)	(8,419)
JH Real Return Bond Fund	Mutual Fund	(9,722)	(10,863)	-	-	(9,722)	(10,863)	1,141
JH Franklin Balance Sheet	Mutual Fund	(10,193)	(7,496)	-	-	(10,193)	(7,496)	(2,697)
JH Small Cap Opportunities Fund	Mutual Fund	(7,388)	(7,126)	-	-	(7,388)	(7,126)	(262)
JH Legg Partners Agg Growth	Mutual Fund	(6,154)	(5,975)	-	-	(6,154)	(5,975)	(179)
JH T. Rowe Price Real Estate	Mutual Fund	(1,978)	(1,884)	-	-	(1,978)	(1,884)	(94)
JH PIMCO Total Return	Mutual Fund	(14,968)	(18,140)	-	-	(14,968)	(18,140)	3,172
JH Davis New York Venture	Mutual Fund	(4,544)	(2,602)	-	-	(4,544)	(2,602)	(1,942)
JH Energy	Mutual Fund	(11,075)	(8,759)	-	-	(11,075)	(8,759)	(2,316)
JH Classic Value	Mutual Fund	(15,750)	(7,268)	-	-	(15,750)	(7,268)	(8,482)
JH Columbia Value & Restructuring	Mutual Fund	(1,218)	(779)	-	-	(1,218)	(779)	(438)
JH Oppenheimer Global	Mutual Fund	(6,162)	(3,717)	-	-	(6,162)	(3,717)	(2,445)
Total		$ (715,946)	$ (639,224)	$ -	$ -	$ (715,946)	$ (639,224)	$ (76,722)

The accompanying notes are an integral part of these financial statements.