Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Short Form Annual Return/Report of Small Employee

Benefit Plan

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

Pa	art I Annual Report Identification Information							
For	For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009							
Α .	This return/report is for: Single-employer plan	multiple-e	mployer plan (not multiemployer)		one-participant plan			
В	This return/report is for: first return/report	final retur	n/report					
	an amended return/report	short plan	year return/report (less than 12 mo	onths)				
С	Check box if filing under:	automatic	extension		DFVC program			
	special extension (enter description)							
Pa	art II Basic Plan Information—enter all requested informa	,						
	Name of plan	20011		1b	Three-digit			
	THWEST ORTHOPAEDIC SURGEONS, P.S. 401(K) PROFI SHARI	NG PLAN			plan number			
		_	(PN)					
		1C	Effective date of plan 12/31/2004					
2a	Plan sponsor's name and address (employer, if for single-employer	2b	Employer Identification Number					
	THWEST ORTHOPAEDIC SURGEONS, P.S				(EIN) 91-1650096			
4500	CONTINENTAL DI ACE			2c	Plan sponsor's telephone number 360-395-4523			
	CONTINENTAL PLACE NT VERNON, WA 98273			2d	Business code (see instructions)			
					621111			
	Plan administrator's name and address (if same as Plan sponsor, er			3b	Administrator's EIN			
NOR	THWEST ORTHOPAEDIC SURGEONS, P.S 1500 CONTINUMOUNT VER			30	91-1650096 Administrator's telephone number			
					360-395-4523			
	f the name and/or EIN of the plan sponsor has changed since the las name, EIN, and the plan number from the last return/report. Sponsor		port filed for this plan, enter the	4b	EIN			
l	name, Env, and the plan number from the last return/report. Sponsor	i s name		4c	PN			
5a	Total number of participants at the beginning of the plan year				72			
b	• =			- 5b	6			
С	C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not			_				
	complete this item)	. 5c						
6a b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a		,		X Yes No			
D	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a				X Yes No			
	If you answered "No" to either 6a or 6b, the plan cannot use Fo	orm 5500-	SF and must instead use Form 5	500.				
Pa	rt III Financial Information	1						
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year			
а	Total plan assets	. 7a	321493	86	4457019			
b	Total plan liabilities	. 7b		0	0			
C	Net plan assets (subtract line 7b from line 7a)	7c	321493	86	4457019			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)	28518	88				
	(2) Participants	8a(2)	26555	54				
	(3) Others (including rollovers)	8a(3)	839					
b	Other income (loss)	. 8b	100675					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			1565894			
d	Benefits paid (including direct rollovers and insurance premiums							
	to provide benefits)	8d	31960					
e	Certain deemed and/or corrective distributions (see instructions)	8e		0				
f	Administrative service providers (salaries, fees, commissions)	. 8f	420					
g	Other expenses	. 8g		0	000011			
h :	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			323811			
!	Net income (loss) (subtract line 8h from line 8c)	8i			1242083			
J	Transfers to (from) the plan (see instructions)	8j		0				

Part IV	Plan	Charact	eristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2A 2J 2G 2T 3D

D =()	V 0									
Part '							NI -	1		
	During the plan year:					Yes	No		Amount	
	/as there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				10a		X			
					10b		X			
С	Was the plan covered by a fidelity bond?				10c	X				500000
	Did the plan have a loss, whether or not reim or dishonesty?	, ,	•	•	10d		X			
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)						X			
f	as the plan failed to provide any benefit when due under the plan?				10f		X			
g	Did the plan have any participant loans? (If ")	es," enter amount as o	f year end.)		10g	X				92593
h	If this is an individual account plan, was there 2520.101-3.)	a blackout period? (Se	ee instructions and 2	9 CFR	10h		X			
i	If 10h was answered "Yes," check the box if y	10h was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3					X			
Part \	VI Pension Funding Compliance									
	Is this a defined benefit plan subject to minim 5500))								Yes	No X
12	Is this a defined contribution plan subject to t	he minimum funding re	quirements of sectio	n 412 of the Code	or se	ction 3	302 of	ERISA?	Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and	12e below, as applicab	le.)						_	_
	If a waiver of the minimum funding standard for									
	granting the waiver.				th		Day		Year	
	f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. Denter the minimum required contribution for this plan year						12b			
							12c			
d							12d			
	Will the minimum funding amount reported on					-		Yes	No	N/A
Part \	VII Plan Terminations and Trans	fers of Assets								
I3a	Has a resolution to terminate the plan been a	dopted during the plan	year or any prior yea	ır?		<u>.</u>			Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						13a			
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control							s X No		
	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
13	13c(1) Name of plan(s):					13	c(2) El	N(s)	13c(3	B) PN(s)
Cautio	on: A penalty for the late or incomplete fili	ng of this return/repor	t will be assessed	unless reasonab	le cau	use is	establ	lished.		
Under SB or	r penalties of perjury and other penalties set for Schedule MB completed and signed by an er it is true, correct, and complete.	orth in the instructions, I	declare that I have	examined this retu	ırn/re	port, ir	cludin	g, if applic		
SIGN	Filed with authorized/valid electronic signat	Filed with authorized/valid electronic signature. 08/19/2010 KATHERINE REINECKE								
HERE			Date	Enter name of in	divid	ıal sin	nina a	s nlan adr	ninietrator	

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor