Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

| P | ► Complete all entries in accordance with the instructions to the Form 5500-SF. | | | | | | | | | |
|------|--|--|---|--|-------|------------------------------------|--|--|--|--|
| | Part I Annual Report Identification Information | | | | | | | | | |
| For | For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009 | | | | | | | | | |
| Α. | This return/report is for: | single-employer plan | multiple-e | employer plan (not multiemployer) | | one-participant plan | | | | |
| В | This return/report is for: | first return/report | final retur | n/report | | | | | | |
| | | an amended return/report | short plar | n year return/report (less than 12 mor | nths) | | | | | |
| C | Check box if filing under: | Form 5558 | automatio | extension | | DFVC program | | | | |
| | | special extension (enter descripti | on) | | | | | | | |
| Pa | rt II Basic Plan Inform | nation—enter all requested inform | | | | | | | | |
| | Name of plan | Tation an requested inform | iation | | 1b | Three-digit | | | | |
| | SVILLE BONE & JOINT CENTE | R, PSC 401(K) PLAN | | | | plan number | | | | |
| | | | | | | (PN) • 001 | | | | |
| | | | | | 1c | 1c Effective date of plan | | | | |
| 20 | Diamananan's mana and addus | | \ | | 2h | 01/01/2005 | | | | |
| | Plan sponsor's name and addre SVILLE BONE & JOINT CENTE | | 2b Employer Identification Number (EIN) 82-0589016 | | | | | | | |
| | SOLOVIELE BONE & SOLINT CENTER, 1 00 | | | | | 2c Plan sponsor's telephone number | | | | |
| | EAST GRAY STREET 7TH FLOO | OR | | | | 502-562-6021 | | | | |
| LOUI | SVILLE, KY 40202-3900 | | | | 2d | Business code (see instructions) | | | | |
| 3a | Plan administrator's name and | address (if same as Plan sponsor, e | anter "Same | "د | 3h | 621111 Administrator's EIN | | | | |
| | SVILLE BONE & JOINT CENTE | | RAY STRE | ÉT 7TH FLOOR | | 82-0589016 | | | | |
| | | 3с | Administrator's telephone number | | | | | | | |
| 4 1 | 6 th a manage and / a v (TIN) at the a min | 502-562-6021 | | | | | | | | |
| | f the name and/or EIN of the pla name, EIN, and the plan number | port filed for this plan, enter the | 4b EIN | | | | | | | |
| | , , , | 4c | PN | | | | | | | |
| 5a | Total number of participants at | | 5a | 4 | | | | | | |
| b | Total number of participants at | | 5b | 4 | | | | | | |
| С | Total number of participants with | vear (defined benefit plans do not | _ | | | | | | | |
| | • | | | | 5c | 4 | | | | |
| | 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) X Yes U b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) X Yes U Yes U Yes U | | | | | | | | | |
| D | | | | | | | | | | |
| | • | | | SF and must instead use Form 55 | | | | | | |
| Pa | Part III Financial Information | | | | | | | | | |
| 7 | Plan Assets and Liabilities | | | (a) Beginning of Year | | (b) End of Year | | | | |
| а | Total plan assets | | 7a | 164086 | 3 | 267583 | | | | |
| b | Total plan liabilities | | 7b | | | | | | | |
| С | Net plan assets (subtract line 7 | b from line 7a) | 7с | 164086 | 6 | 267583 | | | | |
| 8 | Income, Expenses, and Transfe | ers for this Plan Year | | (a) Amount | | (b) Total | | | | |
| а | Contributions received or received | | | 07046 | | | | | | |
| | • • • • | | | 37916 | - | | | | | |
| | | | | 17450 | | | | | | |
| | | | | |) | | | | | |
| b | , | er income (loss) | | | | | | | | |
| C | | Ba(2), 8a(3), and 8b) | 8c | | | 103497 | | | | |
| d | 1 \ | ollovers and insurance premiums | 8d | (| | | | | | |
| е | Certain deemed and/or correcti | nd/or corrective distributions (see instructions) 8e | | | | | | | | |
| f | Administrative service providers | s (salaries, fees, commissions) | 8f | (|) | | | | | |
| g | Other expenses | | 8g | (| | | | | | |
| h | Total expenses (add lines 8d, 8 | se, 8f, and 8g) | | | | 0 | | | | |
| i | Net income (loss) (subtract line | 8h from line 8c) | 8i | | | 103497 | | | | |
| j | Transfers to (from) the plan (se | e instructions) | 8i | | | | | | | |

| | | Form 5500-SF 2009 Page 2- | | | | | | |
|-----|---------|---|---------------------|----------|----------|-------------|---------|----------------|
| Pai | rt IV | Plan Characteristics | | | | | | |
| | | plan provides pension benefits, enter the applicable pension feature codes from the List of Plan C | aracteri | stic Co | des in | the instruc | ctions: | |
| _ | | 2F 2G 2J 2K 3D | | | | | | |
| b | If the | plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Ch | aracteris | stic Co | des in t | he instruc | tions: | |
| | | | | | | | | |
| | t V | Compliance Questions | | 1 | | | | |
| 0 | | ng the plan year: | . — | Yes | No | | Amount | |
| а | | there a failure to transmit to the plan any participant contributions within the time period described CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | ^{In} 10a | | X | | | |
| b | | e there any nonexempt transactions with any party-in-interest? (Do not include transactions reporte | d | | · · | | | |
| | on li | ne 10a.) | 10b | | X | | | |
| С | Was | s the plan covered by a fidelity bond? | 10c | | X | | | |
| d | | the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraushonesty? | d 10d | | X | | | |
| е | | e any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, | | | | | | |
| | | rance service or other organization that provides some or all of the benefits under the plan? (See uctions.) | 10e | | X | | | |
| f | Has | the plan failed to provide any benefit when due under the plan? | 10f | | Χ | | | |
| g | Did t | the plan have any participant loans? (If "Yes," enter amount as of year end.) | 10a | X | | | | 4330 |
| | | s is an individual account plan, was there a blackout period? (See instructions and 29 CFR | 109 | | | | | 4000 |
| | | 0.101-3.) | 10h | | X | | | |
| i | | th was answered "Yes," check the box if you either provided the required notice or one of the eptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | | |
| art | | Pension Funding Compliance | 101 | l | | | | |
| 1 | | is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and o | omplete | Sched | lule SB | (Form | | |
| | |))) | | | | | Yes | X No |
| 2 | Is th | is a defined contribution plan subject to the minimum funding requirements of section 412 of the C | ode or se | ection 3 | 302 of | ERISA? | Yes | X No |
| | , | es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | |
| а | | vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ting the waiver | | | | | | |
| lf | | ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line | | | , | | | |
| b | Ente | r the minimum required contribution for this plan year | | | 12b | | | |
| С | Ente | r the amount contributed by the employer to the plan for this plan year | | | 12c | | | |
| d | | ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the ative amount) | | | 12d | | | |
| e | _ | the minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | No | N/A |
| | VII | Plan Terminations and Transfers of Assets | | | | | | |
| _ | | a resolution to terminate the plan been adopted during the plan year or any prior year? | | | | | X Yes | No |
| | | es," enter the amount of any plan assets that reverted to the employer this year | | | 13a | | | (|
| b | | e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough | | | ntrol | | | |
| | | e PBGC? | | | | | Yes | × No |
| С | | ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi th assets or liabilities were transferred. (See instructions.) | y the pla | an(s) to | | | | |
| | 13c(1) | Name of plan(s): | | 13 | c(2) El | N(s) | 13c(3 |) PN(s) |
| | | | | | | | | |
| | | | | | | | + | |
| | | | | | | | | |
| au | tion: A | A penalty for the late or incomplete filing of this return/report will be assessed unless reason | able ca | use is | establ | ished. | | |
| | | | | | - L P | | | |

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| SIGN | Filed with authorized/valid electronic signature. | 08/21/2010 | CYNA KHALILY |
|------|---|------------|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |