Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	➤ Complete all entries in accor	rdance wit	h the instructions to the Form 550	0-SF.	•	
		dentification Information					
For	calendar plan year 2009 or fisc	cal plan year beginning 01/01/200	09	and ending 1	2/31/2	2009	
Α -	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan	
	This return/report is for:	first return/report	final retur	n/report			
		an amended return/report	short plar	year return/report (less than 12 mo	nths)		
C	C Check box if filing under:					DFVC program	
	3 · · · ·	special extension (enter descripti	on)				
Da	rt II Basic Plan Infor	mation—enter all requested inform					_
	Name of plan	mation—enter all requested inform	ialion		1h	Three-digit	_
		ES, P.S. 401(K) PROFIT SHARING	PI AN		10	plan number	
0,101	THE THOUGHT THE THE	20,110.101(10)1110111 011111110				(PN) • 001	
					1c	Effective date of plan	
						07/01/1983	
	•	ress (employer, if for single-employe	r plan)		2b	Employer Identification Number	
CAS	CADE VASCULAR ASSOCIAT	ES, P.S.				(EIN) 91-1223900	
1000	COLITIL VAKIMA OT CLUTE O	204			2C	Plan sponsor's telephone number 253-383-3325	ſ
	SOUTH YAKIMA ST, SUITE 2 DMA, WA 98405	204			2d	Business code (see instructions)	_
						621111	
		d address (if same as Plan sponsor, e		,	3b	Administrator's EIN	
CAS	CADE VASCULAR ASSOCIAT	ES, P.S. 1802 SOUT TACOMA, V		ST, SUITE 204		91-1223900	
		17100W/1, V	77 30400		3c	Administrator's telephone number 253-383-3325	٢
4 H	the name and/or FIN of the pl	lan sponsor has changed since the la	ast return/re	port filed for this plan, enter the	4h	EIN	_
		er from the last return/report. Spons		port mod for the plant, officer the	75	LIIV	_
					4c	PN	
5a	Total number of participants a	at the beginning of the plan year			5a	5	7
b	Total number of participants a	at the end of the plan year			5b	5	5
С	Total number of participants v	with account balances as of the end of	of the plan y	vear (defined benefit plans do not			
	complete this item)				5c	5	5
6a	Were all of the plan's assets	during the plan year invested in eligil	ole assets?	(See instructions.)		X Yes L N	Ю
b		the annual examination and report of				X Yes □ N	ما
		(See instructions on waiver eligibility her 6a or 6b, the plan cannot use F				N	Ю
Pa	rt III Financial Inform		01111 3300-	or and must misteau use i orm 55	00.		_
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year	_
-	Total plan assets		7a	3785554	1	(b) Elia of Teal 479917	0
b	. ota. pra.: accoro			070000-		473017	_
	•			270555		470017	^
<u>C</u>		7b from line 7a)	7с	3785554	+	479917	U
8	Income, Expenses, and Trans			(a) Amount		(b) Total	_
а	Contributions received or received (1) Employers	ervable from:	8a(1)	162276	6		
	`, , ,			175789	,		
		s)					
b	, ,	•	` '	775302	,		
C	Other income (loss)					111336	7
d		rollovers and insurance premiums	60			111330	<u>'</u>
u	, ,		8d	83926	3		
е	Certain deemed and/or correct	ctive distributions (see instructions)	8e				
f	Administrative service provide	ers (salaries, fees, commissions)	8f	12000)		
g	Other expenses		8g	3825	5		
h	Total expenses (add lines 8d,	8e, 8f, and 8g)				9975	1
i		ne 8h from line 8c)				101361	6
j		see instructions)					

Dart IV	Plan Characteristics
Partiv	Fian Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2G 2J 2K 3B 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

	V Compliance Questions							
art	•			1				
0	During the plan year:		Yes	No		mou	ınt	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	e there any nonexempt transactions with any party-in-interest? (Do not include transactions reporte ne 10a.)			X	<u> </u>			
С	Was the plan covered by a fidelity bond?						500000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		х				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				
f	as the plan failed to provide any benefit when due under the plan?			X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	Χ		1			20499
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	re a blackout period? (See instructions and 29 CFR						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art		101						
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp					<u> </u>	Yes	X No
2	5500))					+		
2								
а	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruc-	tions	and a	nter th	a data of the	الماد	ar rulii	na
u	granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year			12b				
С	C Enter the amount contributed by the employer to the plan for this plan year							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount)		[12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?						N/A	
art	VII Plan Terminations and Transfers of Assets							
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?					П	Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a	1			·
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify th which assets or liabilities were transferred. (See instructions.)	e plar	n(s) to					
1	3c(1) Name of plan(s):		130	(2) EII	N(s)	1:	3c(3)	PN(s)
	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable						<u> </u>	
B or	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retu Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/r, it is true, correct, and complete.							
	Filed with authorized/valid electronic signature. 08/26/2010 TODD KIHARA							

SIGN	Filed with authorized/valid electronic signature.	08/26/2010	TODD KIHARA
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	08/26/2010	TODD KIHARA
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor