Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance wit	h the instructions to the Form 550	0-SF.					
		dentification Information								
For	calendar plan year 2009 or fisc	al plan year beginning 01/01/200	9	and ending 1	2/31/2	2009				
Α.	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan				
В	This return/report is for:	first return/report	final return/report							
		an amended return/report	an amended return/report short plan year return/report (less than 12 mo							
C	Check box if filing under:	X Form 5558	automatio	extension	DFVC program					
		special extension (enter description	on)							
Pa	rt II Basic Plan Infor	mation—enter all requested inform	ation							
1a	Name of plan				1b	Three-digit				
COW	HARBOR MANAGEMENT, LL	.C 401(K) PLAN				plan number				
					4.	(PN)				
					1C	Effective date of plan 01/01/2008				
2a	Plan sponsor's name and add	ress (employer, if for single-employer	· plan)		2b	Employer Identification Number				
	COW HARBOR MANAGEMENT, LLC					(EIN) 26-2852661				
700 5					2c Plan sponsor's telephone num					
	96 EAST 140TH STREET RONX, NY 10454				2d	718-292-4450 Business code (see instructions)				
					Ĭ	423800				
		address (if same as Plan sponsor, e			3b	Administrator's EIN				
COW	HARBOR MANAGEMENT, LL	.C 796 EAST 14 BRONX, NY			30	26-2852661 Administrator's telephone number				
						718-292-4450				
	•	port filed for this plan, enter the	4b EIN							
	name, EIN, and the plan number	er from the last return/report. Sponso	or s name		4c	PN				
5a	Total number of participants a	t the beginning of the plan year			5a	21				
b	Total number of participants a	t the end of the plan year			5b	20				
С										
	· · · · · · · · · · · · · · · · · · ·				5c	<u> 11</u>				
		during the plan year invested in eligib				Yes No				
b		he annual examination and report of (See instructions on waiver eligibility				X Yes No				
		ner 6a or 6b, the plan cannot use F								
Pa	rt III Financial Inform	ation								
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year				
а	Total plan assets		7a 9137			4 201882				
b	Total plan liabilities		. 7b)	0				
С	Net plan assets (subtract line	7b from line 7a)	. 7с	91374	ļ	201882				
8	Income, Expenses, and Trans	fers for this Plan Year		(a) Amount		(b) Total				
а	Contributions received or rece		0-(4)	35016						
	• • • •		. 8a(1)	57034	-					
				37032	_					
b	, ,	1 2 2 2 2 2 2 2 2 2								
	` ,	8a(2), 8a(3), and 8b)		32700	,	124833				
c d		rollovers and insurance premiums	. 60			124000				
u	1 (. 8d	13989)					
е	Certain deemed and/or correct	emed and/or corrective distributions (see instructions) 8e 0								
f	Administrative service provide	rs (salaries, fees, commissions)	8f 336							
g	Other expenses		. 8g							
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	. 8h			14325				
i	Net income (loss) (subtract lin	e 8h from line 8c)	. 8i			110508				
j		ee instructions)			_					

Dart IV	Plan Characteristics

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 3D 2E 2J 3H 2K 2F 2G

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

	0	plan provided from a solitone, office and approvation from a control			0.01.0				
art	٧	Compliance Questions							
0	Durii	ng the plan year:				Yes	No		Amount
а		Vas there a failure to transmit to the plan any participant contributions within the time period described i 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X		
b		Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X		
С	Was	s the plan covered by a fidelity bond?			10c		X		
d		Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See				10e	X			1553
f	Has	the plan failed to provide any benefit when due under the plan?			10f		X		
g	Did t	he plan have any participant loans? (If "Yes," enter amount as of y	year end.)		10g		X		
h	If this	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X		
i		If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3					X		
art	VI	Pension Funding Compliance							
11	Is thi	s a defined benefit plan subject to minimum funding requirements							Yes X No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а		vaiver of the minimum funding standard for a prior year is being ar							
lf v		ompleted line 12a, complete lines 3, 9, and 10 of Schedule ME			h		Day		Year
						Γ	12b		
	Enter the minimum required contribution for this plan year								
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?								
art		Plan Terminations and Transfers of Assets	Ŭ				J	<u> </u>	
3a	Has	a resolution to terminate the plan been adopted during the plan ye	ear or any prior vea	r?					Yes X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year								
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?								
С									
1		Name of plan(s):				13	c(2) EI	N(s)	13c(3) PN(s)
٠	ion: A	nonalty for the late or incomplete filling of this return for the	will be accessed:	Inlana rangenski	0.65:	100 is	ootek!	ichod	
		penalty for the late or incomplete filing of this return/report values of perjury and other penalties set forth in the instructions, I defends							hle a Schedule
SB o	· Sche	edule MB completed and signed by an enrolled actuary, as well as tirue, correct, and complete.							
SIGI	, Fil	ed with authorized/valid electronic signature.	08/27/2010	GARY MAHONEY	/				
J. J.	-								

SIGN	Filed with authorized/valid electronic signature.	08/27/2010	GARY MAHONEY
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor