Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	 Complete all entries in accor 	dance wit	h the instructions to the Form 550	0-SF.	•			
		entification Information							
For	calendar plan year 2009 or fisca	al plan year beginning 01/01/200)9	and ending 1	2/31/2	2009			
Α.	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
В	This return/report is for:								
		an amended return/report	short plar	n year return/report (less than 12 mo	nths)				
C	Check box if filing under:	Form 5558	automatic	extension		DFVC program			
_									
Do	rt II Pacia Plan Inform	special extension (enter descripti							
		nation—enter all requested inform	nation		1h	Three-digit			
	Name of plan NIS W. GRAHAM, D.D.S., P.S. I	PROFIT SHARING DI AN			וו	plan number			
DLIN	VIO W. GIVAITAW, D.D.S., 1 .S. 1	TROTTI STIARING I LAN				(PN) • 001			
					1c	Effective date of plan			
						01/01/2002			
	•	ess (employer, if for single-employer	r plan)		2b Employer Identification Number				
DENI	NIS W. GRAHAM, D.D.S., P.S.					(EIN) 91-1361272			
					2c Plan sponsor's telephone num				
	SOUTHEAST 97TH AVENUE COUVER, WA 98664				24	360-699-2458 Business code (see instructions)			
	,				Zu	621210			
3a	Plan administrator's name and	address (if same as Plan sponsor, e	enter "Same	e")	3b	Administrator's EIN			
DENI	NIS W. GRAHAM, D.D.S., P.S.	1812 SOUTI VANCOUVE				91-1361272			
		VANCOOVE	.ix, vvA 300	104	3с	Administrator's telephone number 360-699-2458			
1 1	the name and/or FIN of the pla	n sponsor has changed since the la	et return/re	port filed for this plan, enter the	4b EIN				
		r from the last return/report. Sponse		port med for this plan, enter the	40	EIIN			
		· · ·			4c	4c PN			
5a	Total number of participants at	the beginning of the plan year			5a	a .			
b	Total number of participants at	the end of the plan year			5b	1			
С	Total number of participants wi	th account balances as of the end o	of the plan y	vear (defined benefit plans do not					
	complete this item)				5c	1			
6a	Were all of the plan's assets d	uring the plan year invested in eligib	ole assets?	(See instructions.)		Yes No			
b				ndent qualified public accountant (IQ		X Yes □ No			
	· ·			ions.)		X Yes [] No			
Pa	rt III Financial Informa		01111 5500-	SF and must instead use Form 55	υυ.				
7	Plan Assets and Liabilities			(a) Basinning of Voca		(b) End of Year			
-	Total plan assets		70	(a) Beginning of Year	2	(b) Elia of Fear 388901			
	Total plan access illinois		7a	4093					
b	•	th from line 70)				4093			
<u>_</u>		b from line 7a)	. 7с	373410	,	384808			
8	Income, Expenses, and Transfe			(a) Amount		(b) Total			
а	Contributions received or received (1) Employers	vable from:	8a(1)	14598	3				
	` , ` ,			10442	2				
)				
b	, , , , ,			106112					
C	` ,	8a(2), 8a(3), and 8b)		100112		131152			
d	, , ,	rollovers and insurance premiums	. 60			101102			
u	to provide benefits)	•	440754						
е	Certain deemed and/or correct	ive distributions (see instructions)	8e	(0				
f	Administrative service provider	s (salaries, fees, commissions)	8f 0						
g	Other expenses		. 8g	(0				
h	Total expenses (add lines 8d, 8	Be, 8f, and 8g)				119754			
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i			11398			
i		ee instructions)		()				

Form 5500-SF 2009	Page 2- 1
-------------------	------------------

Part IV	Plan	Charac	teristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2K 3E 2J

art	V Compliance Questions									
0	During the plan year:		Yes	No		Amount				
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X						
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X						
С	Was the plan covered by a fidelity bond?	10c	X					45000		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X						
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X						
f	Has the plan failed to provide any benefit when due under the plan?	10f		X						
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		Χ						
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h								
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i								
art	VI Pension Funding Compliance									
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))					[Yes	X No		
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	02 of E	ERISA?	. [Yes	X No		
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
b	Enter the minimum required contribution for this plan year			12b						
	Enter the amount contributed by the employer to the plan for this plan year			12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount)			12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A		
art	VII Plan Terminations and Transfers of Assets									
3а	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		<u></u>				Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							X No		
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
1	13c(1) Name of plan(s):				13c(2) EIN(s)			13c(3) PN(s)		
aut	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable	e cau	se is	establi	shed.					
В о	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retu Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/r, it is true, correct, and complete.									

SIGN	Filed with authorized/valid electronic signature.	09/01/2010	DENNIS W. GRAHAM
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	09/01/2010	DENNIS W. GRAHAM
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

Form 5500-SF

Department of the Treasury Internal Revenue Service

Pension Benefit Guaranty Corporation

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6068(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection.

P	Annual Report Identification Information						
For	the calendar plan year 2009 or fiscal plan year beginning	2009-	01-01 and ending	2009-12-31			
	This return/report is for: x single-employer plan	multiple-em	ployer plan (not multiemployer)	one-participa	nt plan		
	Ē	final return/	report				
В	This feturing eport is for.		ear return/report (less than 12 months)				
		1.0		DFVC progra	m		
C	Check box if filing under: x Form 5558	automatic e	extension	Drvc progra	111		
	special extension (enter description)						
Ъ	Basic Plan Information — enter all requested inform	nation.					
	Name of plan			1b Three-digit	É		
		W a Y		plan number (PN) ▶	001		
	DENNIS W. GRAHAM, D.D.S., P.S. PROFIT SHARING F	TIM	-	1c Effective date of	f plan		
				2002-01-01	·		
22	Plan sponsor's name and address (employer, if for single-employer plan	in)		2b Employer Identification Number			
AL (A	DENNIS W. GRAHAM, D.D.S., P.S.		<u> </u>	(EIN) 91-13			
			1	2c Plan sponsor's telephone number (360) 699-2458			
	1812 SOUTHEAST 97TH AVENUE			2d Business code (see instructions)			
ບຣ	VANCOUVER WA 98664			621210			
3a	Plan administrator's name and address (If same as plan employer, ent	er "Same")	;	3b Administrator's i	EIN		
	Same						
				3c Administrator's telephone number			
_	All TIN of the alon anancer has changed since the last	the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					
4	name, EIN and the plan number from the last return. Sponsor's Name	rotallinope		4c PN			
				5a	4		
5a	Total number of participants at the beginning of the plan year			5b	1		
b	Total number of participants at the end of the plan year			<u> </u>			
C	Total number of participants with account balances as of the end of the complete this item)	a pian year	denined benefit plans do not	5c	1		
62	Were all of the plan's assets during the plan year invested in eligible as	ssets? (See	e instructions.)		X Yes No		
b	Are you claiming a waiver of the annual examination and report of an i	ndependen	t qualified public accountant (IQPA)				
_	under 29 CFR 2520 104-46? (See instructions on waiver eligibility and	conditions.	.) , , , , , , , , , , , , , , , , , , ,		X Yes No		
	If you answered "No" to either 6a or 6b, the plan cannot use Form	5500-SF a	no must instead use Form 6500.				
P	rtill Financial Information	r 3		43 = 4			
7	Plan Assets and Llabilities		(a) Beginning of Year	(D) End	of Year		
a	Total plan assets	7a	377,503		388,901		
b	Total plan liabilities	7b	4,093		4,093		
C	Net plan assets (subtract line 7b from line 7a)	7c	373,410		384,808		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(p)	Total		
a	Contributions received or receivable from:		14 500	1			
	(1) Employers	8a(1)	14,598				
	(2) Participants	8a(2)	10,442	Mark Control			
	(3) Others (including rollovers)	8a(3)	0	X.			
b	Other income (loss)	8b	106,112	<u> </u>			
C	Total income(add lines 8a(1), 8a(2), 8a(3), and 8b)	8c	the state of the second st	Andrea alvelorations	131,152		
d	Benefits paid (including direct rollovers and insurance premiums		119,754				
	to provide benefits)	8d	0				
Θ	Certain deemed and/or corrective distributions (see instructions)	80	0				
f	Administrative service providers (salaries, fees, commissions)	8f	0				
9	Other expenses	89		1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	119,754		
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			11,398		
i	Net income (loss) (subject line 8h from line 8c)	18	The second second second				
J	Transfers to (from) the plan (see instructions)	8)	0		orm 5500-SE (2009)		

HEREI Signature of employer/plan sponsor

Enter name of individual signing as employer or plan sponsor

Page 2-Form 5500-SF (2009) Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2K 3E 2J b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions Amount Yes No During the plan year: Was there a failure to transmit to the plan any participant contribution within the time period described in x 10a 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) Were there any nonexempt transactions with any party-in-Interest? (Do not include transactions reported ¥ 10b 45,000 10c X Was the plan covered by a fidelity bond?.......... Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud X 10d or dishonesty? Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, Insurance services or other organization that provides some or all of the benefits under the plan? (See X 10e X Has the plan failed to provide any benefit when due under the plan? 10f X Did the plan have any participant loans? (If "Yes," enter amount as of year end.) 10g If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 10h If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 Part VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form Yes X No 5500)) Yes X No Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? . (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. 12b Enter the amount contributed by the employer to the plan for this plan year C Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a □n/a Will the minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets Yes X No 13a Has a resolution to terminate the plan been adopted during the plan year or any prior year?. . If "Yes," enter the amount of any plan assets that reverted to the employer this year Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control Yes X No If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) 13c(2) EIN(s) 13c(3) PN(s) 13c(1) Name of plan(s): Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true correct, and complete DENNIS W. GRAHAM SIGN Enter name of individual signing as plan administrator Signature of plan administra Date HERE -31-10 8 DENNIS W. GRAHAM <u> ସାମ୍ପ</u>

Date