Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	dance witl	h the instructions to the Form 5500)-SF.				
		lentification Information							
For	calendar plan year 2009 or fisca	al plan year beginning 01/01/200	9	and ending 1	2/31/2	2009			
Α .	This return/report is for:	x single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan		
В .	This return/report is for:	first return/report	final retur	n/report		_			
		an amended return/report	short plan	n year return/report (less than 12 mor	nths)				
C	Check box if filing under:	▼ Form 5558		extension		DFVC progra	am		
		special extension (enter description							
Do	rt II Pacia Plan Inform								
	Irt II Basic Plan Inform Name of plan	mation—enter all requested inform	ation		1h	Three-digit		-	
	Name of plan FONIX, LLC 401(K) PROFIT SH	IARING PLAN			טו	plan number			
						(PN) ▶	001		
					1c	Effective date of			
						01/01/2			
	2a Plan sponsor's name and address (employer, if for single-employer plan)				2b Employer Identification Number				
TEC	FONIX, LLC				(EIN) 20-2061479				
P.O.	BOX 2466				2c Plan sponsor's telephone numl 360-943-5433				
	MPIA, WA 98507				2d	Business code	(see instru	ctions)	
						321900			
	Plan administrator's name and FONIX, LLC	address (if same as Plan sponsor, e		∍")	3b Administrator's EIN 20-2061479				
ILO	ONIX, LLO	OLYMPIA, W			3c	Administrator's		number	
							3-5433	TIGITIDO!	
	•	an sponsor has changed since the la		port filed for this plan, enter the	4b EIN				
- 1	name, EIN, and the plan numbe	r from the last return/report. Sponso	r's name		4c PN				
5a	Total number of participants at	the beginning of the plan year			тс 5а				
_				}					
	• •	the end of the plan year		}	5b			17	
C	C Total number of participants with account balances as of the end of the plan year (defined benefit plan complete this item)			•	5c			12	
6a	, ,			(See instructions.)			X Yes	s No	
				ndent qualified public accountant (IQF					
				ions.)			X Yes	s No	
D-			orm 5500-	SF and must instead use Form 550	00.				
	rt III Financial Informa	ation		I					
7	Plan Assets and Liabilities			(a) Beginning of Year	(b) End of Year				
	Total plan assets		7a	11492				19198	
b	•		7b	0				0	
		7b from line 7a)	7c	11492				19198	
8	Income, Expenses, and Transf			(a) Amount	(b) Total				
а	Contributions received or recei (1) Employers	vable from:	8a(1)	0					
			8a(2)	6009					
	, ,)		0					
b	, ,	,	, ,	2306					
C	` ,	8a(2), 8a(3), and 8b)		2000				8315	
d		rollovers and insurance premiums							
-	to provide benefits)	•	. 8d	609					
е	Certain deemed and/or correct	tive distributions (see instructions)	. 8e	0					
f	Administrative service provider	rs (salaries, fees, commissions)	. 8f	0	0				
g	Other expenses		. 8g	0					
h	Total expenses (add lines 8d, 8	8e, 8f, and 8g)	8h					609	
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i		7706				
j	Transfers to (from) the plan (se	ee instructions)	. 8i	0					

Part IV	Dlan	Characteristics
Partiv	Pian	Characteristics

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 3D

D	II th	e plan provides welfare benefits, enter the applicable welfare featur	re codes from the L	list of Pian Charac	cterisi	iic Coo	ies in	tne instruc	tions:		
Part	٧	Compliance Questions									
10	Du	ring the plan year:		_		Yes	No		Amoun	it	
а		Was there a failure to transmit to the plan any participant contributions within the time period described i 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			· ·	10b		X				
С	Was the plan covered by a fidelity bond?				10c	X				10000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				10d		X				
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			plan? (See	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?				10f		X				
g	Did	the plan have any participant loans? (If "Yes," enter amount as of y	year end.)		10g		X				
		f this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i		10h was answered "Yes," check the box if you either provided the required notice or one of the xceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part \		Pension Funding Compliance									
		nis a defined benefit plan subject to minimum funding requirements?							Пу	es X No	
12		this a defined contribution plan subject to the minimum funding requi								es X No	
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.		1412 01 1110 0000	01 30	Clion	002 01	LINIOA:	□ .	оо _Ш о	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling										
	-	nting the waiver			h		Day		Year		
	f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. Description:					Г	12b				
		er the amount contributed by the employer to the plan for this plan y					12c				
d					of a		12d				
е	Will	the minimum funding amount reported on line 12d be met by the fu	unding deadline?					Yes	No	N/A	
Part \	VII	Plan Terminations and Transfers of Assets									
13a	Has	s a resolution to terminate the plan been adopted during the plan yea	ear or any prior yea	r?					Y	es X No	
	lf "۱	es," enter the amount of any plan assets that reverted to the emplo	oyer this year				13a				
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							es X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)											
13c(1) Name of plan(s):						13c(2) EIN(s) 13c(3) PN((3) PN(s)	
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.											
SB or	Sch	nalties of perjury and other penalties set forth in the instructions, I denedule MB completed and signed by an enrolled actuary, as well as a true, correct, and complete.									
SIGN	, F	Filed with authorized/valid electronic signature. 09/03/2010 CHRISTIE MCLA			UGHLIN						
HERE					individual signing as plan administrator						

Date

Enter name of individual signing as employer or plan sponsor