Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance witl	h the instructions to the Form 5500)-SF.				
		lentification Information							
For	calendar plan year 2009 or fisca	al plan year beginning 01/01/200	9	and ending 1	2/31/2	2009			
Α .	This return/report is for:	x single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan		
В	This return/report is for:	first return/report	final retur	n/report		_			
	·	an amended return/report	short plar	year return/report (less than 12 mor	nths)				
C	Check box if filing under:	Form 5558	automatic	extension	DFVC program				
		special extension (enter description	on)						
Pa	rt II Basic Plan Inforr	nation—enter all requested inform	ation						
	Name of plan				1b	Three-digit			
	ATER NEW YORK UROLOGY	401(K) PLAN				plan number	001		
						(PN)			
					1C	Effective date of 01/01/2			
2a	Plan sponsor's name and addre	ess (employer, if for single-employer	nlan)		2h			er er	
	ATER NEW YORK UROLOGY,	` ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	piari)		2b Employer Identification Number (EIN) 13-4180604				
					2c Plan sponsor's telephone numb				
	NORTH BROADWAY M 103			·	914-968-0000				
	KERS, NY 10701				Zū	Business code 621399		is)	
3a	Plan administrator's name and	address (if same as Plan sponsor, e	nter "Same	e")	3b Administrator's EIN				
GRE	ATER NEW YORK UROLOGY,	LLC 944 NORTH ROOM 103	BROADW	ΑΥ	13-4180604				
		YONKERS, I	NY 10701		3c	Administrator's	•	ıber	
4 1	f the name and/or EIN of the pla	an sponsor has changed since the la	st return/re	port filed for this plan, enter the	914-968-0000 4b EIN				
	•	r from the last return/report. Sponso		,					
						C PN			
		the beginning of the plan year		<u>}</u>	5a	5a 1:			
	·	the end of the plan year		ļ	5b			13	
С		ith account balances as of the end o		The state of the s	5c			10	
62	, , , , , , , , , , , , , , , , , , , ,			(See instructions.)			X Yes	No	
	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						No		
D -			orm 5500-	SF and must instead use Form 550	00.				
	rt III Financial Informa	ation			1				
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End	l of Year	1507	
	Total plan assets		. 7a	213102			27	1567	
b	•	71. (1' 7-)	. 7b	040400			074	1507	
<u> </u>		7b from line 7a)	. 7с	213102				1567	
8 a	Income, Expenses, and Transf Contributions received or recei			(a) Amount		(b)	Total		
а			. 8a(1)						
	(2) Participants		. 8a(2)	14300					
	(3) Others (including rollovers)							
b	Other income (loss)		. 8b	44165					
С	Total income (add lines 8a(1),	8a(2), 8a(3), and 8b)	8c				58	3465	
d	Benefits paid (including direct to provide benefits)	rollovers and insurance premiums	. 8d						
е		tive distributions (see instructions)	. 8e						
f		rs (salaries, fees, commissions)	. 8f						
g	Other expenses		. 8g						
h	Total expenses (add lines 8d, 8	8e, 8f, and 8g)						0	
i		e 8h from line 8c)					58	3465	
j		ee instructions)							

Dort IV	Plan Characteristics
Part IV	Pian Unaracteristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2G 2J 2K 3D

D .	11 (11)	s plant provides wellare benefits, enter the applicable wellare heat	ure codes from the	List Of Flatt Criara	iciens	iic Coi	ics III	uie iiisuut	Juoris.	
Part	٧	Compliance Questions								
10	Dui	ing the plan year:				Yes	No		Amoun	t
а		Nas there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					Х			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				10b		X			
С	Was the plan covered by a fidelity bond?				10c	X				300000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						X			
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				762
f	Has	Has the plan failed to provide any benefit when due under the plan?					X			
g	Did	the plan have any participant loans? (If "Yes," enter amount as of	year end.)		10g		X			
_	If th	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i		if 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i					
Part '	۷I	Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500)) Yes X No								es X No	
12	ls t	nis a defined contribution plan subject to the minimum funding req	quirements of sectio	n 412 of the Code	or se	ction 3	302 of	ERISA?	Ye	es X No
	•	Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable	,							
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
	-	completed line 12a, complete lines 3, 9, and 10 of Schedule M					Day		Teal	
							12b			
		er the amount contributed by the employer to the plan for this plan					12c			
d							12d			
е	Will	the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A
Part \	VII	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	ır?					X Ye	es No
			,, ,			Г	13a		<u> </u>	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						Ye	es X No		
	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
13c(1) Name of plan(s):						13c(2) EIN(s)			13c	(3) PN(s)
Cauti	on:	A penalty for the late or incomplete filing of this return/report	will be assessed u	unless reasonab	le cau	ıse is	establ	ished.		
Under SB or	per Sch	nalties of perjury and other penalties set forth in the instructions, I edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.	declare that I have	examined this retu	ırn/re _l	port, ir	cludin	g, if applic		
SIGN	F	Filed with authorized/valid electronic signature. 09/14/2010 CARL GERARDI			I					
HERE										

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor