Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2000

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

P	art I	Annual Report I	dentification Informa	ation					
For	calend	ar plan year 2009 or fis	cal plan year beginning	01/01/200)9	and ending	12/31/2	2009	
Α	This ret	turn/report is for:	x single-employer plan		multiple-e	one-participant plan			
		turn/report is for:	first return/report		final retur	n/report			
		,	an amended return/rep	ort	short plar	n year return/report (less than 12 m	onths)		
_	Chook	box if filing under:	Form 5558		-	extension	,	DFVC program	
C	CHECK	box ii iiiiig under.	special extension (ente	r doscripti	1	CALCITION		_ Di vo program	
-	4 11	Dania Blan Infan	<u> </u>	•	,				
	art II		mation—enter all reques	sted inform	nation		1h	Three-digit	
		of plan	MINING BUREAU 401(K) P	ΙΔΝ			ID	plan number	
VVAC	i ilivo i	ON INSURANCE EXAL	WINING BOILEAU 401(IX) I	LAN				(PN) ▶ 002	
							1c	Effective date of plan	
								07/01/1999	
			Iress (employer, if for single	e-employe	r plan)		2b	Employer Identification Number	
WAS	HING	ON INSURANCE EXAM	WINING BUREAU				20	(EIN) 91-0461310 Plan sponsor's telephone number	
2101	4TH A	VE, SUITE 300					20	206-273-7192	
SEA	TTLE, \	NA 98121					2d	Business code (see instructions)	
							01	525100	
		idministrator's name and ON INSURANCE EXAI	d address (if same as Plan	•	enter "Same VE, SUITE	,	30	Administrator's EIN 91-0461310	
				EATTLE, V			3c	Administrator's telephone number	
								206-273-7192	
						port filed for this plan, enter the	4b	EIN	
	name, i	EIN, and the plan numb	er from the last return/repo	rt. Sponse	or's name		4c	PN	
5a	Total	number of participants a	at the beginning of the plan	vear				7	
b	•						7		
С						vear (defined benefit plans do not	5b	·	
		· · ·					5c	7	
6a	Were	all of the plan's assets	during the plan year invest	ed in eligib	ole assets?	(See instructions.)		X Yes No	
b						ndent qualified public accountant (I		X Yes ☐ No	
			•			ions.)SF and must instead use Form 5		<u>N</u> res No	
Pa	rt III	Financial Inform		inot use i	01111 3300-	or and must instead use roini t	500.		
7		Assets and Liabilities				(a) Beginning of Year		(b) End of Year	
а					7a	1841	87	155527	
		plan liabilities			7b		0	0	
С	Net plan assets (subtract line 7b from line 7a)				1841	87	155527		
8	Incom	ome, Expenses, and Transfers for this Plan Year (a) Amount				(b) Total			
а	Contri	ibutions received or rec	eivable from:						
	(1) E	mployers			8a(1)	137	67		
	` ,					431	75		
	(3) O	thers (including rollover	s)		8a(3)				
b		` ,				247	14		
C			, 8a(2), 8a(3), and 8b)		8c			81656	
d		1 \	t rollovers and insurance pr		8d	1097	04		
е	•	,	ctive distributions (see instr						
f			ers (salaries, fees, commiss	,		6	12		
g									
h		•	, 8e, 8f, and 8g)					110316	
i			ne 8h from line 8c)					-28660	
i		` , `	see instructions)						
•									

Part IV	Dlan	Characteristics
Partiv	ı Pian	Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V Compliance Questions								
0	During the plan year:		Yes	No		Amou	unt		
а	Vas there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			X					
С	Was the plan covered by a fidelity bond?						150	000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			X					
f	Has the plan failed to provide any benefit when due under the plan?	10f X							
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g X			1294		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			Х					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			X					
art	VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))								
12									
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
	Enter the minimum required contribution for this plan year	[12b						
					12c				
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?					No) X N	I/A	
art	VII Plan Terminations and Transfers of Assets								
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes X	No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control							No	
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	e plan	n(s) to						
1	3c(1) Name of plan(s):		130	c(2) Ell	N(s)	1:	3c(3) PN	(s)	
	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable					1- 1	0.1		
SB or	penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return it is true, correct, and complete.				, , ,	,			

SIGN	Filed with authorized/valid electronic signature.	09/16/2010	WAYNE CHRISTOPHERSEN				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	09/16/2010	WAYNE CHRISTOPHERSEN				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				