Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Pe	ension Benefit (Guaranty Corporation		▶ Complete all entries in accor	dance wit	h the instructions to the Form 550	0-SF.		эрсонон			
				entification Information								
For	calendar pla	an year 2009 or	fiscal	plan year beginning 01/01/200	9	and ending 1	2/31/2	2009				
A	This return/r	report is for:	X	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan			
		report is for:	П	first return/report	final retur	n/report						
	iiii3 iCtaiii/i	report is ior.	H	·]]	·	nthe)					
•	an amended return/report short plan year return/report (less than 12 m						111113)	П вемо				
C	C Check box if filing under: automatic extension						☐ DFVC program					
				special extension (enter description	,							
Pa	rt II B	asic Plan Inf	orm	ation—enter all requested inform	ation							
	Name of pl						1b	Three-digit				
MCC	ARTHY FIN	IANCE INC 401	(PR	OFIT SHARING PLAN				plan number	001			
							4 -	(PN) •				
							10	Effective date o				
22	Dian anana	or's name and a	ddrae	on (ampleyor if for single ampleyor	· nlon)		2h					
	•	IANCE INC	aares	ss (employer, if for single-employer	pian)		20	Employer Identi (EIN) 91-143				
moo.							2c		telephone number			
		E W, SUITE 302						425-77	•			
LYNN	IWOOD, W	'A 98036					2d		(see instructions)			
							0.1	522291				
		nistrator's name a NANCE INC	and a	ddress (if same as Plan sponsor, e 19401 40TH	enter "Same	e") LUTE 302	30	Administrator's 91-143				
WICC	AIXIIII I IIV	ANCE INC		LYNNWOOL			30		s telephone number			
							00	425-775-7500				
4 If	the name	and/or EIN of the	plan	sponsor has changed since the la	st return/re	eport filed for this plan, enter the	4b					
r	name, EIN,	and the plan nur	nber	from the last return/report. Sponso	or's name							
							4c	PN				
5a	5a Total number of participants at the beginning of the plan year						5a		85			
b	b Total number of participants at the end of the plan year						5b		85			
C Total number of participants with account balances as of the end of the plan year				•	F -		76					
		•					5c		76			
						(See instructions.)			X Yes No			
b						ndent qualified public accountant (IQ ions.)			X Yes No			
						SF and must instead use Form 55			П П			
Pa		nancial Info										
7	Plan Asset	ts and Liabilities				(a) Beginning of Year		(b) End	of Year			
					. 7a	760682	2	(3) =:::	1020320			
b												
C	•			from line 7a)		760682	,		1020320			
8	-			rs for this Plan Year		(a) Amount		(b) 7				
а		ons received or re				(a) Amount		(D)	Total			
u					. 8a(1)	46132	2					
						102674	4					
	` '	•			1							
b		,	,									
C		, ,		a(2), 8a(3), and 8b)		184465			333271			
d				llovers and insurance premiums	60				000271			
u					. 8d	65336						
е	•	*		re distributions (see instructions)								
f				(salaries, fees, commissions)		8297	7					
g		·										
h	•			e, 8f, and 8g)					73633			
i				8h from line 8c)					259638			
i				e instructions)								
j		() the plan	. ,500	/	· 8j	i						

Dort IV	Dian	Charac	teristics
Part IV	Plan	Charac	'teristics

SIGN HERE

Signature of employer/plan sponsor

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2J 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions

art	V Compliance Questions								
0	During the plan year:				Yes	No		Amount	
-	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)							Amount	
b	Were there any nonexempt transactions with any party-in-interest? (I on line 10a.)	Do not include trans	actions reported	10a 10b		Χ			
С	Was the plan covered by a fidelity bond?			10c	Χ				100000
d	Did the plan have a loss, whether or not reimbursed by the plan's fide or dishonesty?	10d		Х					
е	· · · · · · · · · · · · · · · · ·								
f	Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as or	f year end.)		10g		X			
h	If this is an individual account plan, was there a blackout period? (Se 2520.101-3.)		9 CFR	10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the rexceptions to providing the notice applied under 29 CFR 2520.101-3	required notice or or	ne of the	10i					
art	VI Pension Funding Compliance								
1	Is this a defined benefit plan subject to minimum funding requirement 5500))							Yes	No
2									
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule M			"		Day_		1 oui	
b	Enter the minimum required contribution for this plan year				[12b			
С	Enter the amount contributed by the employer to the plan for this plan	n year				12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the negative amount)					12d			
е	Will the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A
art	VII Plan Terminations and Transfers of Assets								
3a	Has a resolution to terminate the plan been adopted during the plan y	year or any prior yea	ar?		<u></u>			Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the emp					13a			
b	Were all the plan assets distributed to participants or beneficiaries, transfer the PBGC?	ansferred to anothe	r plan, or brought u	nder 1	he co	ntrol 		Yes	X No
С	If during this plan year, any assets or liabilities were transferred from which assets or liabilities were transferred. (See instructions.)	this plan to another	plan(s), identify the	e plar	(s) to				
1	13c(1) Name of plan(s):				13c(2) EIN(s)			13c(3	9) PN(s)
auti	ion: A penalty for the late or incomplete filing of this return/report	t will be assessed	unless reasonable	e cau	se is	establi	shed.		
SB or	er penalties of perjury and other penalties set forth in the instructions, I r Schedule MB completed and signed by an enrolled actuary, as well a f, it is true, correct, and complete.					_		,	
SIGN	Filed with authorized/valid electronic signature.	09/16/2010	T. SAM MARTIN,	СРА					
HERE Signature of plan administrator Data Enter name of individual signing as plants.					nlan adr	miniatratar			

Date

Enter name of individual signing as employer or plan sponsor

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210 - 0110 1210 - 0089

2009

This Form is Open to Public Inspection

Part	Annual Report Identification Information									
For ca	lendar plan year 2009 or fiscal plan year beginning		and ending							
A T							ırticipant plan			
В т	is return/report is for: first return/report		final return/report	•						
	an amended return/report		short plan year return/report (le	ss tha	n 12 months)					
C c	neck box if filing under:		automatic extension		· 🗆	DFVC	program			
	special extension (enter descrip	otic	n)				· -			
Part	*****									
1a	Name of plan					1b	Three-digit plan			
	McCarthy Finance, Inc. 401(k)						number (PN) DO1			
	Profit Sharing Plan					1c	Effective date of plan			
							01/01/2005			
2a	Plan sponsor's name and address (employer, if for single-emplo	oy	er plan)			2b	Employer Identification No.			
M	cCarthy Finance Inc.						(EIN) 91-1436390			
						2c	Plan sponsor's telephone no.			
	9401 40th Ave W, Suite 302						425-775-7500			
I	ynnwood WA 98036					2d	Business code (see instr.)			
					***************************************	_	522291			
3a	Plan administrator's name and address (if same as Plan spons	or,	enter "Same")			3b	Administrator's EIN			
M	cCarthy Finance Inc.									
						<u></u>	91-1436390			
	9401 40th Ave W, Suite 302					3c	Administrator's			
Ι	ynnwood WA 98036						telephone number			
						 	425-775-7500			
4	If the name and/or EIN of the plan sponsor has changed since the last re	etui	n/report filed for this plan, enter the n	ame, El	N,	4b	EIN			
	and the plan number from the last return/report. Sponsor's name					4c	PN			
5a	Total number of participants at the beginning of the plan year	٠.				5a	85			
b	Total number of participants at the end of the plan year					5b	85			
<u>C</u>	Total number of participants with account balances as of the end of the p					5c				
6a b	Were all the plan's assets during the plan year invested in eligit						X Yes No			
IJ	Are you claiming a waiver of the annual examination and report						♥ v _{**} □			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibi						X Yes No			
Part	If you answered "No" to either 6a or 6b, the plan cannot us III Financial Information	50	roim 2200-25 and must instea	ia use	rorm 5500.					
7	Plan Assets and Liabilities				(a) Boginning	f Vaa-	(h) End of Year			
a	Total plan assets			7a	(a) Beginning o	0682	(b) End of Year 1020320			
b	* *************************************			7b	/ / /	3002	1020320			
c	Total plan liabilities Net plan assets (subtract line 7b from line 7a)			7c	76	0682	1020320			
8	Income, Expenses, and Transfers for this Plan Year	••			(a) Amoun		(b) Total			
а	Contributions received or receivable from:				(L) / mount		(5) . 5.61			
(1				8a(1)	4	6132				
(2		• •		8a(2)		2674				
(3	Others (including rollovers)	• •		8a(3)						
b `	Other income (loss)			8b	18	4465				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	• •		8c			333271			
d	Benefits paid (including direct rollovers and insurance premium	 1S 1	o provide benefits)	8d	6	5336	**********************************			
е	Certain deemed and/or corrective distributions (see instructions	s)	, , , , , , , , , , , , , , , , , , , ,	8e						
f	Administrative service providers (salaries, fees, commissions)	,		8f		8297				
g	Other expenses			8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)			8h			73633			
i	Net income (loss) (subtract line 8h from line 8c)			8i			259638			
i	Transfers to (from) the plan (see instructions)			8j						
	to the piece to the piece piece production of the piece piec	_	 							

Form 5500-SF 2009

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Page		

Par	IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension fe	ature codes from the L	ist of Plan Characte	eristic C	Codes	in the i	nstructio	ns:	
	2E 2J 3D								
b	If the plan provides welfare benefits, enter the applicable welfare fea	ture codes from the Li	st of Plan Character	istic Co	odes in	the in	struction	s:	
Par	V Compliance Questions								
10	During the plan year:				Yes	No		Amoun	t
а	Was there a failure to transmit to the plan any participant contribution	ns within the time perio	nd described in						
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducia	ry Correction Program)	10a		Х			
b	Were there any nonexempt transactions with any party-in-interest? (•						
	on line 10a.)			10b		Х			
С	Was the plan covered by a fidelity bond?			10c	X			1	00000
d	Did the plan have a loss, whether or not reimbursed by the plan's fid		•						
_	or dishonesty?			10d	ļ	X			
е	Were any fees or commissions paid to any brokers, agents, or other insurance service or other organization that provides some or all of t	•							
			•	10e		x			
f	Has the plan failed to provide any benefit when due under the plan?	• • • • • • • • • • • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	10f	ļ	x			
g	Did the plan have any participant loans? (If "Yes," enter the amount	as of year end.)	• • • • • • • • • • • • • • • • • • • •	10g	-	x			
h	If this is an individual account plan, was there a blackout period? (Se			1.03	ļ				
	2520.101-3.)			10h		х			
i	If 10h was answered "Yes," check the box if you either provided the							,	
	exceptions to providing the notice applied under 29 CFR 2520.101-3	3		10i					
Par	VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirement 5500))	nts? (If "Yes," see instr	uctions and complet	e Sche	dule S	B (For	m	Yes	□No
12	Is this a defined contribution plan subject to the minimum funding requirements	s of section 412 of the Co	de or section 302 of EF	RISA?		• • • • •		Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)			• •				J	
а	If a waiver of the minimum funding standard for a prior year is being	amortized in this plan	year, see instruction	ns, and	enter	the da	te of the	letter ru	ıling
	granting the waiver.		Mo	nth	D	ау	Yea	r	
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule M				-				
b	Enter the minimum required contribution for this plan year					12b			
C	Enter the amount contributed by the employer to the plan for this pla	ın year				12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the	ne result (enter a minus	s sign to the left of a						
	negative amount)					12d	L		
e n	Will the minimum funding amount reported on line 12d be met by the	funding deadline?				$\perp \perp$	Yes	No	N/A
	Plan Terminations and Transfers of Assets							1	Tel
13a	Has a resolution to terminate the plan been adopted during the plan		?	• • • • •			, L .	Yes	X No
b	If "Yes," enter the amount of any plan assets that reverted to the em					13a	L		
D	Were all the plan assets distributed to participants or beneficiaries, t of the PBGC?	ransterred to another p	olan, or brought und	er the d	control			7 v	₩ Na
С	If during this plan year, any assets or liabilities were transferred from			lan(e) t			ــا	Yes	X No
·	which assets or liabilities were transferred. (See instructions.)	i this plan to another p	nan(s), identity the p	nan(s) i	iO.				
	I3c(1) Name of plan(s):		1	13	c(2) [=IN/e\	Τ,	13c(3)	PN/e\
	reactly mand or planto).			- 13	<u> </u>	_114(3)		130(3)	114(3)
							l		
Cau	ion: A penalty for the late or incomplete filing of this return/repo	rt will be assessed u	nless reasonable d	ause i	s esta	blishe	d.		
Unde	r penalties of perjury and other penalties set forth in the instructions, I declare th	at I have examined this re	eturn/report, including,	f applica	able, a S	Schedu	le		
SB o	Schedule MB completed and signed by an enrolled actuary, as well as the elec-	tronic version of this return	n/report, and to the bes	st of my	knowle	dge and	I		
belie	, it is true, correct, and complete		M-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1						
SIGN	So UNE CPR	9-13-10	T. Sam Mar	tin,	CPA	<u> </u>			
HERE	Signature of plan administrator	Date	Enter name of inc					nistrato	•
SIGN	V W WWW 7	V 9/15/12		7, 1					
HERE	Signature of employer/plan sponsor	Date `	Enter name of indivi	dual sigi	ning as	employ	erfor plan	sponsor	