Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Short Form Annual Return/Report of Small Employee

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OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

Р	art I	Annual Report I	Identification Informa	ation						
For	calend		cal plan year beginning	01/01/200	09	and ending	12/31/2	2009		
Α	This ret	turn/report is for:	X single-employer plan		multiple-e	employer plan (not multiemployer)		one-participant plan		
		turn/report is for:	first return/report	Ē	final retur	n/report				
_		,	an amended return/rep	ort	short plar	n year return/report (less than 12 m	onths)			
_	Chook	box if filing under:	Form 5558		1	extension	,	DFVC program		
C	CHECK	box ii iiiiiig under.	H	L r dogarinti	_	CATCHSION		_ bi vo program		
-	t II	Dania Dian Info	special extension (ente	•	,					
	art II		rmation—enter all reques	sted inform	nation		1h	Three-digit		
		of plan & MANGIONE 401(K) P	ΡΙ ΔΝΙ				ID	plan number		
LAVV	LLOO C	A MANOIONE 401(IV) I	LAIV					(PN) • 001		
							1c	Effective date of plan		
								01/01/1995		
			dress (employer, if for single		r plan)		2b	Employer Identification Number		
LAW	LESS 8	& MANGIONE ARCHITI	ECTS & ENGINEERS, LLP				20	(EIN) 13-3107003 Plan sponsor's telephone number		
480	NORTH	I BROADWAY					20	914-423-8844		
		NY 10701					2d	Business code (see instructions)		
							01	541310		
			d address (if same as Plan ECTS & ENGINEERS, 48		enter "Same I BROADW		30	Administrator's EIN 13-3107003		
LLP				ONKERS,		· · ·	3c	Administrator's telephone number		
								914-423-8844		
						port filed for this plan, enter the	4b	EIN		
	name, i	EIN, and the plan numb	per from the last return/repo	ort. Spons	or's name		4c	PN		
5a	Total	number of participants	at the beginning of the plan	vear			_	72		
b	5a Total number of participants at the beginning of the plan yearb Total number of participants at the end of the plan year					5b	6			
С						rear (defined benefit plans do not	0.5			
		· ·					5c	40		
6a	Were	all of the plan's assets	during the plan year invest	ed in eligil	ole assets?	(See instructions.)		X Yes No		
b						ndent qualified public accountant (I		X Yes □ No		
			•			ons.) SF and must instead use Form 5		<u>A</u> 163 [] NO		
Pa	art III	Financial Inform		mot use i	01111 0000	or and mast moteda ase i orm				
7		Assets and Liabilities				(a) Beginning of Year		(b) End of Year		
а	Total	plan assets			7a	13715	18	1671204		
b		· plan liabilities			7b		0	0		
С	Net pl	an assets (subtract line	7b from line 7a)		7с	13715	18	1671204		
8	Incom	ne, Expenses, and Tran	sfers for this Plan Year			(a) Amount		(b) Total		
а	Contri	ibutions received or rec	eivable from:							
	(1) E	mployers			8a(1)		0			
	` ,	•			` '	1771	93			
_	(3) Others (including rollovers)					0				
b		er income (loss)			83					
C), 8a(2), 8a(3), and 8b)		8c			489976		
d		, ,	t rollovers and insurance pr		8d	1901	82			
е	•	,	ctive distributions (see instr				0			
f			ers (salaries, fees, commiss	,		1	08			
g g		·		,		'				
9 h		•	, 8e, 8f, and 8g)				0	190290		
i			ne 8h from line 8c)					299686		
i		` , `	see instructions)				0			

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Part IV	Plan	Characteristics

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a

2G 2J

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

	4B							
art	V Compliance Questions							
0	During the plan year:		Yes	No		Amour	nt	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)							
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
С	Was the plan covered by a fidelity bond?	10c	X				150000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?							
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?							
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)						27887	
h	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)							
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))							
12								
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. b. Enter the minimum required contribution for this plan year.							
	Enter the minimum required contribution for this plan year							
	Enter the amount contributed by the employer to the plan for this plan year		⊢	12c				
a	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
art	VII Plan Terminations and Transfers of Assets							
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?					Y	es X No	
If "Yes," enter the amount of any plan assets that reverted to the employer this year								
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	e plar	n(s) to					
13c(1) Name of plan(s):				13c(2) EIN(s)			(3) PN(s)	
Caut	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable	e cau	se is	establi	ished.			
SB o	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this returns Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this returns, it is true, correct, and complete.							

SIGN	Filed with authorized/valid electronic signature.	09/17/2010	RONALD MANGIONE
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	09/17/2010	RONALD MANGIONE
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor