Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	dance wit	h the instructions to the Form 550	0-SF.	
		dentification Information				
For	calendar plan year 2009 or fisc	cal plan year beginning 01/01/200	9	and ending 1	2/31/2	2009
Α.	This return/report is for:	x single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan
В	Γhis return/report is for:	first return/report	final retur	n/report		
	·	an amended return/report	short plar	n year return/report (less than 12 moi	nths)	
С	Check box if filing under:	▼ Form 5558	automatic	extension		DFVC program
	Shook box ii iiiiig anaon	special extension (enter description				
Da	rt II Basic Plan Infor	mation—enter all requested information				
	Name of plan	mation—enter all requested inform	alion		1h	Three-digit
	•	OUP PC DEFINED BENEFIT PLAN			10	plan number
		OOT TO BET INEB BETTET THE W				(PN) • 002
					1c	Effective date of plan
						01/01/2007
	•	ress (employer, if for single-employer	plan)		2b	Employer Identification Number
FING	ER LAKES ANESTHESIA GR	OUP PC			20	(EIN) 16-1614470 Plan sponsor's telephone number
301 5	GENEVA ST STE 107				20	607-330-0297
ITHA	CA, NY 14850				2d	Business code (see instructions)
0 -					01.	621111
	Plan administrator's name and ER LAKES ANESTHESIA GR	d address (if same as Plan sponsor, e OUP PC 301 S GENE			3D	Administrator's EIN 16-1614470
ITHACA, NY 14850			3c	Administrator's telephone number		
						607-330-0297
		an sponsor has changed since the las		port filed for this plan, enter the	4b	EIN
ı	name, EIN, and the plan numb	er from the last return/report. Sponso	r's name		4c	PN
5a	Total number of participants a	at the beginning of the plan year			5a	2
b		at the end of the plan year			5b	0
C	·	vith account balances as of the end of			30	0
				·	5c	
6a	Were all of the plan's assets	during the plan year invested in eligib	le assets?	(See instructions.)		X Yes No
b		the annual examination and report of				V v. D v.
		(See instructions on waiver eligibility				X Yes No
Da	rt III Financial Inform	her 6a or 6b, the plan cannot use Fo	orm 5500-	SF and must instead use Form 55	υυ.	
		lation		(a) Dentination of Vern		(I) Ford of Vern
7	Plan Assets and Liabilities Total plan assets		7-	(a) Beginning of Year	+	(b) End of Year
	. otal pian according		. 7a	243425	-	
b	•	7h from line 7a)				0
<u>C</u>		7b from line 7a)	7c	245424	-	
8	Income, Expenses, and Trans Contributions received or received			(a) Amount		(b) Total
а			. 8a(1))	
	(2) Participants		. 8a(2)	()	
		s)		()	
b	Other income (loss)	·······		92559	9	
С	Total income (add lines 8a(1),	, 8a(2), 8a(3), and 8b)	. 8c			92559
d		rollovers and insurance premiums				
			. 8d	337883	_	
е		ctive distributions (see instructions)		(- i	
f	Administrative service provide	ers (salaries, fees, commissions)		()	
g	Other expenses		. 8g	100)	
h		8e, 8f, and 8g)				337983
į		ne 8h from line 8c)				-245424
j	Transfers to (from) the plan (s	see instructions)	. 8i)	

D (IV/	DI	O L	
Part IV	Plan	Characi	reristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V Compliance Questions								
0	During the plan year:		Yes	No		Amoı	ınt		
_	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		-11100	4111		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			X					
С	Was the plan covered by a fidelity bond?	10c		X					
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X					
f	Has the plan failed to provide any benefit when due under the plan?	10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h							
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the								
	exceptions to providing the notice applied under 29 CFR 2520.101-3	10i							
art									
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500))					П	Yes	X No	
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code					+		X No	
_	, ,	5 01 56	Clion	002 01	LNIOA!	Ш	100	110	
_	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						P		
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru granting the waiver							ıg	
lf v	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day		r car .			
	Enter the minimum required contribution for this plan year		[12b					
	Enter the amount contributed by the employer to the plan for this plan year			12c					
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left								
ŭ	negative amount)			12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes No			o 📗	N/A	
art	VII Plan Terminations and Transfers of Assets								
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?					X	Yes	No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a				0	
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought			ntrol			ı		
	of the PBGC?								
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify t which assets or liabilities were transferred. (See instructions.)	he plai	n(s) to			1			
1	3c(1) Name of plan(s):		130	c(2) EI	N(s)	13	3c(3)	PN(s)	
		1							
`aut	ion: A panalty for the late or incomplete filing of this return/report will be assessed unless reasonab	do cau	ico ic	octabl	ichod				
	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonals or penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this ret					hle a	Scho	طراله	
B o	r Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return f, it is true, correct, and complete.								
SICI	Filed with authorized/valid electronic signature. 09/18/2010 KAREN REED								

SIGN HERE Signature of plan administrator Date Enter name of individual signing as plan administrator

SIGN HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor