Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

0000

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance with	n the instructions to the Form 5500	0-SF.		
		dentification Information					
For	calendar plan year 2009 or fisc	al plan year beginning 02/01/200)9	and ending 0	1/31/	2010	
Α -	This return/report is for:	x single-employer plan	multiple-e	mployer plan (not multiemployer)		one-participa	int plan
В -	This return/report is for:	first return/report	final retur	n/report			
		an amended return/report	short plan	year return/report (less than 12 mor	nths)		
C	Check box if filing under:	X Form 5558	automatic	extension		DFVC progra	am
		special extension (enter description	on)				
Pa	rt II Basic Plan Inforr	mation—enter all requested inform	nation				
1a	Name of plan	·			1b	Three-digit	
PAR	KER J. WARD JR MD,PC PRO	FIT SHARING PLAN				plan number	001
				•	10	(PN) •	
					10	Effective date o 02/01/1	
2a	Plan sponsor's name and addr	ess (employer, if for single-employer	r plan)		2b	Employer Identi	fication Number
	KER J WARD JR MD,PC					(EIN) 16-099	
4575	A CT MAIN CTREET				2c	Plan sponsor's t	telephone number
	EAST MAIN STREET WICH, NY 13815		2d	Business code (
						621111	
	Plan administrator's name and KER J WARD JR MD,PC	address (if same as Plan sponsor, e			3b	Administrator's	
PARI	VER J WARD JR MD,PC	157 EAST N NORWICH,		= '	30	16-099	telephone number
			000-000-0000				
	4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter th name, EIN, and the plan number from the last return/report. Sponsor's name					EIN	
ı	name, EIN, and the plan numbe	er from the last return/report. Sponso	or's name		4c	PN	
5a	Total number of participants at	t the beginning of the plan year			5a		7
b		the end of the plan year		ł	5b		7
С	Total number of participants w	ith account balances as of the end o	of the plan y	ear (defined benefit plans do not			
	complete this item)				5c		2
	•	0 , ,		(See instructions.)			X Yes No
b				dent qualified public accountant (IQF ons.)			X Yes No
				SF and must instead use Form 550		••••••	
Pa	rt III Financial Informa						
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End	of Year
а	Total plan assets		. 7a	2409639)		3217605
b	Total plan liabilities		. 7b	0)		
С	Net plan assets (subtract line 7	7b from line 7a)	. 7с	2409639)		3217605
8	Income, Expenses, and Transi	fers for this Plan Year		(a) Amount		(b) 1	Γotal
а	Contributions received or rece	ivable from:	. 8a(1)				
	., , ,				\dashv		
	, ,)			_		
b	` ` ` ` ` `		` '	841438	3		
C	` ,	8a(2), 8a(3), and 8b)		3.1.65			841438
d	, , ,	rollovers and insurance premiums					, , , , ,
				20625			
е		tive distributions (see instructions)			4		
f	Administrative service provide	rs (salaries, fees, commissions)			4		
g	·			12847	<u> </u>		
h		8e, 8f, and 8g)					33472
ĺ :	` , `	e 8h from line 8c)					807966
J	ransters to (from) the plan (se	ee instructions)	. 8i				

Form 5500-SF 2009	Page 2- 1
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		•	
Part IV	Plan	(`hara	cteristics
ı aıtıv ı	ı ıaıı	Onara	JIGI IƏLIGƏ

HERE

SIGN HERE Signature of plan administrator

Signature of employer/plan sponsor

Filed with authorized/valid electronic signature.

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions

art	V Compliance Questions							
)	During the plan year:		Yes	No		Amo	ount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
С	Was the plan covered by a fidelity bond?	10c	X				2	260000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the			Х				
	exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art '								
	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500))					П	Yes	X No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code							X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)	01 30	CHOIT	JUZ 01	LINIOA	Ц		٠.٠
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru	ctions	and e	nter th	ne date d	f the le	tter rulii	na
	granting the waiver.							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_		1			
b	Enter the minimum required contribution for this plan year			12b				
С	Enter the amount contributed by the employer to the plan for this plan year			12c				
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)			12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	١	No	N/A
rt \	VII Plan Terminations and Transfers of Assets							
a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		<u></u>				Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a				
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?			ntrol			Yes	X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify t which assets or liabilities were transferred. (See instructions.)	he plar	n(s) to					
13	3c(1) Name of plan(s):		130	c(2) El	N(s)		13c(3)	PN(s)
		1						
auti	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	estahl	ished			
	penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this ret					icable.	a Sche	dule
3 or	Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return it is true, correct, and complete.							
ic N	Filed with authorized/valid electronic signature. 09/22/2010 PARKER WARD	ID						

Date

Date

09/22/2010

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

PARKER WARD JR

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

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Foi	r the calendar plan year 200	9 or fiscal plan year beginning	200	9-02-01	and ending	20	10-01-31	
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В	This return/report is for:	first return/report	final retu	ırn/report				
		an amended return/report	short pla	an year return/rep	oort (less than 12 month	ns)		
C	Check box if filing under:	x Form 5558	automat	ic extension		Γ	DFVC program	
	ŭ	special extension (enter descri	ption)				_	
р	art II Basic Plan In	nformation enter all requested			.,,,,,			
	Name of plan	MOTHULIOII CINCI CINTEQUEDIO	<u> </u>				Three-digit	
	Parker J Ward Jr	MD,PC Profit Sharing Plan	i				olan number PN) ▶	001
	Lainel of Hala of	ID/IO IIOIIO DIMILING III					ffective date of p	lan
							1972-02-01	
2a	· · · · · · · · · · · · · · · · · · ·	address (employer, if for single-employ	er plan)				Employer Identific EIN) 16-0990	
	Parker J Ward Jr MD, PC						Plan sponsor's tel	
	157 East Main Street						() -	
IIS	NORWICH				Business code (se 521111	ee instructions)		
	· ·	NY 13815 and address (If same as plan employe	er, enter "Sam	e ¹⁷)			dministrator's Ell	N
	Same			•				
						3c /	dministrator's tel	ephone number
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the						:IN	
•	name, EIN and the plan nu	mber from the last return. Sponsor's N	lame			4c F		
	Tatal number of particle and	s at the beginning of the plan year .				5a	1	7
Ja b	•	s at the end of the plan year			1	5b		7
C		s with account balances as of the end				_		-
<u> </u>	complete this item)					5c		Yes No
6a b		is during the plan year invested in eligion of the annual examination and report of				• •		<u> </u>
Ŋ	under 29 CFR 2520.104-46	67 (See instructions on waiver eligibility	and conditio	ns.)				X Yes No
	If you answered "No" to e	ither 6a or 6b, the plan cannot use l	Form 5500-SI	and must Inste	ead use Form 5500.		· LPIN-T	
	art III Financial Info	ormation		<u></u>				
7	Plan Assets and Liabilities			(a) Be	eginning of Year		(b) End of	
	Total plan assets		7a		2,409,639	1		3,217,605
b	Total plan liabilities ,		· · 7b		0			2 017 605
C	Net plan assets (subtract lin		7c		2,409,639			3,217,605
8	Income, Expenses, and Tra			(1	a) Amount	9888	(b) To	tal
a	Contributions received or re (1) Employers	eceivable from:	8a(1)					
	(2) Participants		8a(2)			8 6500		4000046
	(3) Others (including rollov	ers)	8a(3)					
b	Other Income (loss)		8b		841,438			
Ç	Total income(add lines 8a(1		8c					841,438
d	Benefits paid (including dire to provide benefits)	ct rollovers and insurance premiums	0.5		20,625			
е		rective distributions (see instructions)	8e		20,023	1		
f		ders (salaries, fees, commissions)				1		
g	Other expenses	·	8g		12,847	1		
h	·		-				ACT AND ADDRESS OF THE PARTY OF	33,472
i	Total expenses (add lines 8	d, 8e, 8f, and 8g) ine 8h from line 8c)						807,966
i	, , , ,	(see Instructions)	8j	programmer discovered in		100000		

	Form 5500-SF (2009)	F	age 2-		_				
Pa	rt IV Plan Characteristics								
	If the plan provides pension benefits, enter the applicable pension feat 3D 2E If the plan provides welfare benefits, enter the applicable welfare feature.								
Pa	rt V Compliance Questions								
10	During the plan year:				Yes	No	А	mount	
	Was there a failure to transmit to the plan any participant contribution 29 CFR 2510.3-102? (See Instructions and DOL's Voluntary Fiduciar Were there any nonexempt transactions with any party-in-interest? (I on line 10a.)	ry Correction Program Do not include transa	ctions reported			x			•
_	,			10c	х			260,	000
Ċ	Did the plan have a loss, whether or not reimbursed by the plan's fide or dishonesty?	elity bond, that was c	aused by fraud	-		х			
6	insurance services or other organization that provides some or all of instructions.)	the benefits under the	plan? (See	1		x			
f	Has the plan failed to provide any benefit when due under the plan?					-			
ç	Did the plan have any participant loans? (If "Yes," enter amount as o If this is an individual account plan, was there a blackout period? (Se 2520.101-3.)	ee Instructions and 29	CFR	· 10g	•	x			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101-3	required notice or one	of the	. 101					
	t VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requiremen 5500))	its? (If "Yes," see inst	uctions and com	iplete Sc	hedul	e SB (i	-orm	Yes X	10
li k c	Subtract the amount in line 12c from the amount in line 12b. Enter th negative amount)	amortized in this plan B (Form 5500), and s n year re result (enter a minu	year, see instruction in the left.	ctions, and conth	ond enter	er the Day	date of the le	tter ruling	_
- A - C - C - C - C - C - C - C - C - C	Will the minimum funding amount reported on line 12d be met by the	funding deadline?			• •				
13 <i>a</i>	Has a resolution to terminate the plan been adopted during the plan if "Yes," enter the amount of any plan assets that reverted to the empty.	ployer this year			• •	13a	= + + +	Yes X	lo
	Were all the plan assets distributed to participants or beneficiaries, to of the PBGC?					rol		Yes X	lo
	13c(1) Name of plan(s):				13	c(2) El	N(s)	13c(3) PN(s)	
			,				···		
				<u> </u>					
Unde SB o	ion: A penalty for the late or incomplete filing of this return/report varieties of perjury and other penalties set forth in the instructions, I do schedule MB completed and signed by an enrolled actuary, as well as fails is true, correct, and complete.	leclare that I have exa	mined this return	n/report,	includ	ing, if	applicable, a	Schedule edge and	
SI		9/15/10	Parker J W	ard, d	Jr, M	Ð			
) H		Date	Enter name of i	ndividua	l signi	ng as l	olan adminis	trator	
SIC	N ₀	Date	Enter name of i	ndividua	l signi	no as e	emplover or i	olan sponsor	
- Nebeste	all ordinarace or embioλεωδιατι εδομέση	1 240	LINOI HARRIO OF		. vigitii				

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	Name of plan	MOTHULIOII CINCI CINTEQUEDIO	IIIOIIIIIIIII				Three-digit	
	Parker J Ward Jr	MD,PC Profit Sharing Plan	i				olan number PN) ▶	001
	Lainel o. Hala ol	ID/IO IIOIIO DIMILING III					ffective date of p	lan
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	Same			•				
						3c /	dministrator's tel	ephone number
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the						:IN	
•	name, EIN and the plan nu	mber from the last return. Sponsor's N	lame			4c F		
	Tatal number of particle and	s at the beginning of the plan year .				5a	1	7
Ja b	•	s at the end of the plan year			1	5b		7
C		s with account balances as of the end				_		-
<u> </u>	complete this item)					5c		Yes No
6a b		is during the plan year invested in eligion of the annual examination and report of				• •		<u> </u>
Ŋ	under 29 CFR 2520.104-46	67 (See instructions on waiver eligibility	and conditio	ns.)				X Yes No
	If you answered "No" to e	ither 6a or 6b, the plan cannot use l	Form 5500-SI	and must Inste	ead use Form 5500.		· LPIN-T	
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11	Is this a defined benefit plan subject to minimum funding requiremen 5500))	its? (If "Yes," see inst	uctions and com	iplete Sc	hedul	e SB (i	-orm	Yes X	10
li k c	Subtract the amount in line 12c from the amount in line 12b. Enter th negative amount)	amortized in this plan B (Form 5500), and s n year re result (enter a minu	year, see instruction in the left.	ctions, and conth	ond enter	er the Day	date of the le	tter ruling	
- A - C - C - C - C - C - C - C - C - C	Will the minimum funding amount reported on line 12d be met by the	funding deadline?			• •				
13 <i>a</i>	Has a resolution to terminate the plan been adopted during the plan if "Yes," enter the amount of any plan assets that reverted to the empty.	ployer this year			• •	13a	= + + +	Yes X	lo
	Were all the plan assets distributed to participants or beneficiaries, to of the PBGC?					rol		Yes X	lo
	13c(1) Name of plan(s):				13	c(2) El	N(s)	13c(3) PN(s)	
			,				···		
				<u> </u>					
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SI		9/15/10	Parker J W	ard, d	Jr, M	Ð			
) H		Date	Enter name of i	ndividua	l signi	ng as l	olan adminis	trator	
SIC	N ₀	Date	Enter name of i	ndividua	l signi	no as e	emplover or i	olan sponsor	
- Nebeste	all ordinarace or embioλεωδιατι εδομέση	1 240	LINOI HARRIO OF		. vigitii				

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	Parker J Ward Jr	MD,PC Profit Sharing Plan	i				olan number PN) ▶	001
	Lainel o. Hala ol	ID/IO IIOIIO DIMILING III					ffective date of p	lan
							1972-02-01	
2a	· · · · · · · · · · · · · · · · · · ·	address (employer, if for single-employ	er plan)				Employer Identific EIN) 16-0990	
	Parker J Ward Jr MD, PC						Plan sponsor's tel	
	157 East Main Street						() -	
IIS	NORWICH				Business code (se 521111	ee instructions)		
	· ·	NY 13815 and address (If same as plan employe	er, enter "Sam	e ¹⁷)			dministrator's Ell	N
	Same			•				
						3c /	dministrator's tel	ephone number
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•	name, EIN and the plan nu	mber from the last return. Sponsor's N	lame			4c F		
	Tatal number of particle and	s at the beginning of the plan year .				5a	1	7
Ja b	•	s at the end of the plan year			1	5b		7
C		s with account balances as of the end				_		-
<u> </u>	complete this item)					5c		Yes No
6a b		is during the plan year invested in eligion of the annual examination and report of				• •		<u> </u>
Ŋ	under 29 CFR 2520.104-46	67 (See instructions on waiver eligibility	and conditio	ns.)				X Yes No
	If you answered "No" to e	ither 6a or 6b, the plan cannot use l	Form 5500-SI	and must Inste	ead use Form 5500.		· LPIN-T	
	art III Financial Info	ormation		<u></u>				
7	Plan Assets and Liabilities			(a) Be	eginning of Year		(b) End of	
	Total plan assets		7a		2,409,639	1		3,217,605
b	Total plan liabilities ,		· · 7b		0			2 017 605
C	Net plan assets (subtract lin		7c		2,409,639			3,217,605
8	Income, Expenses, and Tra			(1	a) Amount	9888	(b) To	tal
a	Contributions received or re (1) Employers	eceivable from:	8a(1)					
	(2) Participants		8a(2)			8 6500		4000046
	(3) Others (including rollov	ers)	8a(3)					
b	Other Income (loss)		8b		841,438			
Ç	Total income(add lines 8a(1		8c					841,438
d	Benefits paid (including dire to provide benefits)	ct rollovers and insurance premiums	0.5		20,625			
е		rective distributions (see instructions)	8e		20,023	1		
f		ders (salaries, fees, commissions)				1		
g	Other expenses	·	8g		12,847	1		
h	·		-				ACT AND ADDRESS OF THE PARTY OF	33,472
i	Total expenses (add lines 8	d, 8e, 8f, and 8g) ine 8h from line 8c)						807,966
i	, , , ,	(see Instructions)	8j	programmer discovered in		100000		

	Form 5500-SF (2009)	F	age 2-		_				
Pa	rt IV Plan Characteristics								
	If the plan provides pension benefits, enter the applicable pension feat 3D 2E If the plan provides welfare benefits, enter the applicable welfare feature.								
Pa	rt V Compliance Questions								
10	During the plan year:				Yes	No	А	mount	
	Was there a failure to transmit to the plan any participant contribution 29 CFR 2510.3-102? (See Instructions and DOL's Voluntary Fiduciar Were there any nonexempt transactions with any party-in-interest? (I on line 10a.)	ry Correction Program Do not include transa	ctions reported			x			•
_	,			10c	х			260,	000
Ċ	Did the plan have a loss, whether or not reimbursed by the plan's fide or dishonesty?	elity bond, that was c	aused by fraud	-		х			
6	insurance services or other organization that provides some or all of instructions.)	the benefits under the	plan? (See	1		x			
f	Has the plan failed to provide any benefit when due under the plan?					-			
ç	Did the plan have any participant loans? (If "Yes," enter amount as o If this is an individual account plan, was there a blackout period? (Se 2520.101-3.)	ee Instructions and 29	CFR	· 10g	•	x			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101-3	required notice or one	of the	. 101					
	t VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requiremen 5500))	its? (If "Yes," see inst	uctions and com	iplete Sc	hedul	e SB (i	-orm	Yes X	10
li k c	Subtract the amount in line 12c from the amount in line 12b. Enter th negative amount)	amortized in this plan B (Form 5500), and s n year re result (enter a minu	year, see instruction in the left.	ctions, and conth	ond enter	er the Day	date of the le	tter ruling	
- A - C - C - C - C - C - C - C - C - C	Will the minimum funding amount reported on line 12d be met by the	funding deadline?			• •				
13 <i>a</i>	Has a resolution to terminate the plan been adopted during the plan if "Yes," enter the amount of any plan assets that reverted to the empty.	ployer this year			• •	13a	= + + +	Yes X	lo
	Were all the plan assets distributed to participants or beneficiaries, to of the PBGC?					rol		Yes X	lo
	13c(1) Name of plan(s):				13	c(2) El	N(s)	13c(3) PN(s)	
			,				···		
				<u> </u>					
Unde SB o	ion: A penalty for the late or incomplete filing of this return/report varieties of perjury and other penalties set forth in the instructions, I do schedule MB completed and signed by an enrolled actuary, as well as fails is true, correct, and complete.	leclare that I have exa	mined this return	n/report,	includ	ing, if	applicable, a	Schedule edge and	
SI		9/15/10	Parker J W	ard, d	Jr, M	Ð			
) H		Date	Enter name of i	ndividua	l signi	ng as l	olan adminis	trator	
SIC	N ₀	Date	Enter name of i	ndividua	l signi	no as e	emplover or i	olan sponsor	
- Nebeste	all ordinarace or embioλεωδιατι εδομέση	1 240	LINOI HARRIO OF		. vigitii				