|  | Form 5500-SF   |  |  | Report of Small Emplo                 | OMB Nos. 1210-0110<br>1210-0089 |  |  |  |  |  |  |
|--|--|--|--|---------------------------------------|---------------------------------|--|--|--|--|--|--|
|  | Department of the Treasury<br>Internal Revenue Service   |  | Benefit Plan   |                                       |                                 | 2009   |  |  |  |  |  |
| En   | Department of Labor<br>nployee Benefits Security Administration  | Retirement Income Security A                                     | ctions 104 and 4065 of the Employe<br>(ERISA), and section 6058(a) of the<br>ode (the Code). | ), and section 6058(a) of the         |                                 |  |  |  |  |  |  |
|  | ension Benefit Guaranty Corporation  | Inspection   |  |                                       |                                 |  |  |  |  |  |  |
| Pa   | Persion benefit Guaranty Collaboration         Part I         Annual Report Identification Information |  |  |                                       |                                 |  |  |  |  |  |  |
| For calendar plan year 2009 or fiscal plan year beginning       01/01/2009       and ending       12/31/2009 |  |  |  |                                       |                                 |  |  |  |  |  |  |
| Α -  | This return/report is for:   | single-employer plan   | employer plan (not multiemployer)  | one-participant plan                  |                                 |  |  |  |  |  |  |
| В -  | This return/report is for:   |  |  |                                       |                                 |  |  |  |  |  |  |
|  | an amended return/report short plan year return/report (less than 12 months)                           |  |  |                                       |                                 |  |  |  |  |  |  |
| C  | Check box if filing under:   |  | DFVC program   |                                       |                                 |  |  |  |  |  |  |
|  | special extension (enter description)  |  |  |                                       |                                 |  |  |  |  |  |  |
| Part II Basic Plan Information—enter all requested information   |  |  |  |                                       |                                 |  |  |  |  |  |  |
|  | Name of plan   |  |  |                                       | 1b                              | Three-digit  |  |  |  |  |  |
| PAUL   | H. PENZER MD PC PROFIT S   | SHARING PLAN   |  |                                       |                                 | plan number<br>(PN) ▶ 001                          |  |  |  |  |  |
|  |  |  |  |                                       | 1c                              | Effective date of plan                             |  |  |  |  |  |
|  |  |  |  |                                       |                                 | 01/01/1999   |  |  |  |  |  |
|  | Plan sponsor's name and addre  | ess (employer, if for single-employer                            | plan)  |                                       | 2b                              | Employer Identification Number<br>(EIN) 11-3468316 |  |  |  |  |  |
|  | BOX 314  |  |  |                                       | 2c                              | Plan sponsor's telephone number<br>516-487-7600    |  |  |  |  |  |
|  | CHO, NY 11753  |  |  |                                       | 2d                              | Business code (see instructions)<br>621111         |  |  |  |  |  |
|  | Plan administrator's name and<br>_ H. PENZER MD PC   | 3b   | Administrator's EIN<br>11-3468316  |                                       |                                 |  |  |  |  |  |  |
| FAUL   |  | P.O. BOX 31<br>JERICHO, N  |  |                                       | 30                              | Administrator's telephone number                   |  |  |  |  |  |
|  |  |  |  |                                       |                                 | 516-487-7600                                       |  |  |  |  |  |
|  |  | port filed for this plan, enter the                              | 4b   | EIN                                   |                                 |  |  |  |  |  |  |
| 1  | name, EIN, and the plan numbe  | 4c   | PN   |                                       |                                 |  |  |  |  |  |  |
| 5a Total number of participants at the beginning of the plan year  |  |  |  |                                       |                                 | 12   |  |  |  |  |  |
| b  | Total number of participants at  | 5a<br>5b   | 0  |                                       |                                 |  |  |  |  |  |  |
| С  | Total number of participants wi  | 5c   | 0  |                                       |                                 |  |  |  |  |  |  |
| 6a   |  | (See instructions.)  |  |                                       |                                 |  |  |  |  |  |  |
|  | Are you claiming a waiver of th  | e annual examination and report of a                             | an indeper   | ndent qualified public accountant (IQ | PA)                             |  |  |  |  |  |  |
|  | ,  | See instructions on waiver eligibility a                         |  |                                       |                                 | Yes No   |  |  |  |  |  |
| Pa   | rt III Financial Informa   | er 6a or 6b, the plan cannot use Fo<br>ation                     | orm 5500-  | SF and must instead use Form 55       | 00.                             |  |  |  |  |  |  |
| 7  | Plan Assets and Liabilities  |  |  | (a) Beginning of Year                 |                                 | (b) End of Year                                    |  |  |  |  |  |
| 'a   |  | n Assets and Liabilities (a) Beginning of Year<br>al plan assets |  |                                       |                                 |  |  |  |  |  |  |
| b  |  |  | 7b   |                                       | 0                               |  |  |  |  |  |  |
| с  | Net plan assets (subtract line 7b from line 7a)  |  | 7c   | 95736                                 | 0                               |  |  |  |  |  |  |
| 8  | Income, Expenses, and Transfers for this Plan Year   |  |  | (a) Amount                            | (b) Total                       |  |  |  |  |  |  |
| а  | Contributions received or recei  |  |  |                                       |                                 |  |  |  |  |  |  |
|  | ., .,  |  | 8a(1)  |                                       | 2                               |  |  |  |  |  |  |
|  |  |  | 8a(2)  |                                       | )                               |  |  |  |  |  |  |
| h  |  | )  | 8a(3)  |                                       | 2                               |  |  |  |  |  |  |
| b  | ( <i>'</i>   |  | 8b   | 680000                                | )                               | 680000   |  |  |  |  |  |
| c<br>d   |  | 8a(2), 8a(3), and 8b)<br>ollovers and insurance premiums         | 8c   |                                       |                                 | 00000  |  |  |  |  |  |
| ŭ  |  |  | 8d   | 163736                                | 5                               |  |  |  |  |  |  |
| е  | Certain deemed and/or corrective distributions (see instructions)                                      |  | 8e   | 0                                     |                                 |  |  |  |  |  |  |
| f  | dministrative service providers (salaries, fees, commissions)  |  | 8f   | (                                     | <u>)</u>                        |  |  |  |  |  |  |
| g  | Other expenses   | expenses   |  | (                                     | )                               |  |  |  |  |  |  |
| h  | Total expenses (add lines 8d, 8  | enses (add lines 8d, 8e, 8f, and 8g)                             |  |                                       |                                 | 1637366  |  |  |  |  |  |
| i  |  | e 8h from line 8c)   | -  |                                       |                                 | -957366  |  |  |  |  |  |
| j  | Transfers to (from) the plan (se   | e instructions)  | 8j   |                                       | 5                               |  |  |  |  |  |  |

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

## Page **2-**1

## Part IV Plan Characteristics

- **9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2J
- **b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| Part  | V Compliance Questions  |     |  |         |       |                 |        |  |
|---|---|-----|--|---------|-------|-----------------|--------|--|
| 10  | During the plan year:   |     |  |         | А     | mount           |        |  |
| а   | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)                            |     |  | x       |       |                 |        |  |
| b   | Vere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported n line 10a.)  |     |  | х       |       |                 |        |  |
| С   | Was the plan covered by a fidelity bond?  |     | Х  |         |       |                 | 200000 |  |
| d   | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  |     |  |         |       |                 |        |  |
| е   | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)       |     |  | x       |       |                 |        |  |
| f   | Has the plan failed to provide any benefit when due under the plan?   | 10f |  | X       |       |                 |        |  |
| g   | Did the plan have any participant loans? (If "Yes," enter amount as of year end.)   |     |  | Х       |       |                 |        |  |
| h   | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)   | 10h |  | х       |       |                 |        |  |
| i   | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3  | 10i |  |         |       |                 |        |  |
| Part  | /I Pension Funding Compliance   |     |  |         |       |                 |        |  |
| 11  | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form<br>5500))  |     |  |         |       |                 |        |  |
| 12  | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)           |     |  |         |       |                 |        |  |
|   | <ul> <li>a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.</li> <li>Month Day Year</li> </ul> |     |  |         |       |                 |        |  |
| lf y  | ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.   |     | _  |         |       |                 |        |  |
| b   | Enter the minimum required contribution for this plan year  |     |  | 12b     |       |                 |        |  |
| С   | Enter the amount contributed by the employer to the plan for this plan year   |     | 📘  | 12c     |       |                 |        |  |
| d   | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left o negative amount)  |     |  |         | _     |                 |        |  |
| е   | Will the minimum funding amount reported on line 12d be met by the funding deadline?  |     |  |         | Yes   | No              | N/A    |  |
| Part  | /II Plan Terminations and Transfers of Assets   |     |  |         |       |                 |        |  |
| 13a   | Has a resolution to terminate the plan been adopted during the plan year or any prior year?   |     |  |         |       | X Yes           | s No   |  |
|   | If "Yes," enter the amount of any plan assets that reverted to the employer this year   |     |  | 13a     |       |                 | 0      |  |
| b   | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?   |     |  |         |       |                 |        |  |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) |   |     |  |         |       |                 |        |  |
| 1   | Bc(1) Name of plan(s):  |     | <b>13c(2)</b> EIN(s) <b>13c(3)</b> PN(s) |         |       | <b>3)</b> PN(s) |        |  |
|   |   |     |  |         |       |                 |        |  |
|   |   |     |  |         |       |                 |        |  |
| Caut  | on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable  | cau | se is i                                  | establi | shed. | . <u> </u>      |        |  |

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| SIGN | Filed with authorized/valid electronic signature. | 09/22/2010 | SUSAN PENZER   |
|------|---|------------|--|
| HERE | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |
| SIGN |   |            |  |
| HERE | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |