Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

	art I Annual Report Identification Information							
For	calendar plan year 2009 or fiscal plan year beginning 01/01/200)9	and ending	12/31/	2009			
Α -	This return/report is for: Single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
В -	This return/report is for: first return/report	final retur	n/report					
	an amended return/report	short plar	year return/report (less than 12 m	onths)				
C	Check box if filing under: X Form 5558	automatio	extension		DFVC program			
	special extension (enter descripti							
Pa	Int II Basic Plan Information—enter all requested inform	,						
	Name of plan	idilori		1b	Three-digit			
	RI ENGINEERING, P.C. 401(K) PLAN				plan number			
				4-	(PN) F			
				10	Effective date of plan 01/01/2004			
	Plan sponsor's name and address (employer, if for single-employe	r plan)		2b	Employer Identification	Number		
DIBA	RI ENGINEERING, P.C.			20	(EIN) 20-2169402 Plan sponsor's telepho	no numbor		
	AIN STREET			20	914-479-9705	ne namber		
DOBI	BS FERRY, NY 10522			2d	2d Business code (see instructions)			
32	Dian administrator's name and address (if some as Dian apparar	ntor "Com	\n\ \n\	3h	236200 Administrator's EIN			
	Plan administrator's name and address (if same as Plan sponsor, et al. ENGINEERING, P.C. 99 MAIN ST	REET		36	20-2169402			
	DOBBS FER	RRY, NY 10	522	3с	Administrator's telepho	ne number		
4 II	f the name and/or EIN of the plan sponsor has changed since the la	st return/re	port filed for this plan, enter the	4b	EIN			
1	name, EIN, and the plan number from the last return/report. Spons	or's name		40	PN			
52	Total number of participants at the beginning of the plan year				PN	8		
_	5a Total number of participants at the beginning of the plan year							
	Total number of participants at the end of the plan year			5b				
	complete this item)			. 5c		8		
6a	Were all of the plan's assets during the plan year invested in eligit	ole assets?	(See instructions.)		X	Yes No		
b	Are you claiming a waiver of the annual examination and report of				\mathbf{x}	Yes ☐ No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either 6a or 6b, the plan cannot use F		•			ies 🗌 ivo		
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year			
а	Total plan assets	. 7a	13146	88		201165		
b	Total plan liabilities	7b		0		0		
C	Net plan assets (subtract line 7b from line 7a)	. 7с	13146	88	2			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)		0				
	(1) Linployers	oa(1)		~				
	(2) Participants	82(2)	203	30				
	(2) Participants	` '	2033					
b	(3) Others (including rollovers)	8a(3)		0				
b c	(3) Others (including rollovers)	8a(3) 8b	203: 493(0		69697		
	(3) Others (including rollovers)	8a(3) 8b		0 67		69697		
c d	(3) Others (including rollovers)	8a(3) 8b 8c 8c		0 67 0		69697		
c d	(3) Others (including rollovers)	8a(3) 8b 8c 8c 8d		0 67 0 0		69697		
c d e f	(3) Others (including rollovers)	8a(3) 8b 8c 8d 8e 8f		0 0 0 0 0		69697		
c d e f g	(3) Others (including rollovers)	8a(3) 8b 8c 8d 8e 8f 8g		0 67 0 0				
c d e f	(3) Others (including rollovers)	8a(3) 8b 8c 8d 8e 8f 8h		0 0 0 0 0		0		
c d e f g	(3) Others (including rollovers)	8a(3) 8b 8c 8d 8e 8e 8f 8g 8h		0 0 0 0 0				

Part IV	Plan	Charact	teristics

SIGN HERE

Signature of employer/plan sponsor

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V Compliance Questions							
0	During the plan year:		Yes	No		Amo	unt	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		Aiiio	unt	0
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		Χ				0
С	Was the plan covered by a fidelity bond?	10c		X				0
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		Χ				0
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				0
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				0
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				0
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI Pension Funding Compliance							
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))						Yes	X No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of I	ERISA?		Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction granting the waiver. Mon							
	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			12b				
	Enter the minimum required contribution for this plan year		··· ⊢	12c				
	Enter the amount contributed by the employer to the plan for this plan year	of a		12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	N	lo	N/A
art								
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a				0
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?							
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	ne plai	n(s) to					
13c(1) Name of plan(s):			130	c(2) EI	N(s)	1	3c(3)	PN(s)
aut	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	se is	establ	ished.			
Во	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/, it is true, correct, and complete.							
SIGI	Filed with authorized/valid electronic signature. 09/26/2010 GARY ZINK							
HER		Enter name of individual signing as plan administrator						

Date

Enter name of individual signing as employer or plan sponsor