Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance witl	h the instructions to the Form 5500	0-SF.				
		dentification Information							
For	calendar plan year 2009 or fisc	al plan year beginning 01/01/200	9	and ending 1	2/31/	2009			
Α .	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan		
В	This return/report is for:	first return/report	final retur	n/report		_			
		an amended return/report	short plan	n year return/report (less than 12 mor	nths)				
С	Check box if filing under:	X Form 5558	automatic	extension		DFVC progra	am		
		special extension (enter description	Į.						
Da	rt II Basic Plan Infor	mation—enter all requested inform							
	Name of plan	mation—enter all requested inform	allon		1h	Three-digit			
	N M. FARMER, MD, PSC 401(k	() PROFIT SHARING PLAN				plan number			
	, , , , , , , , , , , , , , , , , , , ,					(PN) •	001		
					1c	Effective date of			
						01/01/			
	Plan sponsor's name and addr N. M. FARMER, MD, PSC	ess (employer, if for single-employer	plan)		2b Employer Identification Number (EIN) 61-1308702				
JUHI	N IVI. FARIVIER, IVID, PSC				2c	(=:::)	telephone number		
4001	DUTCHMANS LANE-SUITE 5	D					4-0266		
	SVILLE, KY 40207				2d	Business code	(see instructions)		
0 -					01.	621111			
	Plan administrator's name and N. M. FARMER, MD, PSC	address (if same as Plan sponsor, e		e") .NE-SUITE 5D	30	Administrator's 61-130			
00111	Tim. Tradicity, MD, T 00	LOUISVILLE			3c		telephone number		
							4-0266		
		an sponsor has changed since the la		port filed for this plan, enter the	4b EIN				
-	name, EIN, and the plan numbe	er from the last return/report. Sponso	r's name		4 c	PN			
5a	Total number of participants at	t the beginning of the plan year			5a		8		
_		t the end of the plan year		ł					
	·	rith account balances as of the end o		ļ	5b		4		
С					5с		4		
6a	Were all of the plan's assets of	during the plan year invested in eligib	le assets?	(See instructions.)			X Yes No		
	Are you claiming a waiver of the	ne annual examination and report of	an indeper	ndent qualified public accountant (IQF	PA)				
		-		ions.)			× Yes No		
Do			orm 5500-	SF and must instead use Form 550	00.				
	rt III Financial Inform	ation		I					
7	Plan Assets and Liabilities			(a) Beginning of Year	_	(b) End	l of Year		
	Total plan assets		. 7a	111758	-		152115		
b	•			0			0		
<u> </u>		7b from line 7a)	. 7c	111758	3		152115		
8	Income, Expenses, and Trans			(a) Amount		(b)	Total		
а	Contributions received or rece (1) Employers	ivable from:	. 8a(1)	5692	2				
			1	7039	<u> </u>				
	• •	.)	1		0				
b	, ,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	` '	50535					
C	,	8a(2), 8a(3), and 8b)		30000		63266			
d		rollovers and insurance premiums					33233		
-			. 8d	22126	5				
е	Certain deemed and/or correct	tive distributions (see instructions)	. 8e	0)				
f	Administrative service provide	rs (salaries, fees, commissions)	. 8f	743	3				
g	Other expenses		. 8g	40)				
h	Total expenses (add lines 8d,	8e, 8f, and 8g)					22909		
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i				40357		
j		ee instructions)		0					

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Part IV	Plan	Charac	teristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions

art	V Compliance Questions								
0	During the plan year:		Yes	No		Amo	unt		
-	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		7 11110	<u> </u>		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X					
С	Was the plan covered by a fidelity bond?	10c	X					256000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e	X			172			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h	X						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i	X						
art	VI Pension Funding Compliance								
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and cor					П	\/	V N -	
	5500))					<u> </u>	Yes	X No	
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Cod	e or se	ction 3	302 of	ERISA?	Ш	Yes	X No	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru							ng	
lf v	granting the waiver			Day		rear			
_	Enter the minimum required contribution for this plan year		Γ	12b					
	Enter the amount contributed by the employer to the plan for this plan year			12c					
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left		···	40-1					
-	negative amount)			12d	20				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	N	o	N/A	
art	VII Plan Terminations and Transfers of Assets								
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?			ntrol		П	Yes	X No	
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	he pla	n(s) to	••••			100	□	
13c(1) Name of plan(s):					N(s)	1	3c(3)	PN(s)	
				. ,	. ,		()	()	
aut	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonal	le cau	ise is	establ	ished.	-			
nde	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retr Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return	urn/rep	ort, in	cludin	g, if applica	,			
elief	, it is true, correct, and complete.								
SIGI	Filed with authorized/valid electronic signature. 09/27/2010 JOHN M FARME	R							

SIGN HERE
Signature of plan administrator
Date
Enter name of individual signing as plan administrator

SIGN HERE
Signature of employer/plan sponsor
Date
Enter name of individual signing as plan administrator

JOHN M FARMER

Signature of employer/plan sponsor
Date
Enter name of individual signing as employer or plan sponsor

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee
Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection.

	arti Annual Report Identification Information	dance wi	in the mstruction	ons to the Form 550	U-SF.					
Fo	r the calendar plan year 2009 or fiscal plan year beginning	01/0	1/2009	and ending	12	2/31/2009				
Α	This return/report is for: x single-employer plan	multiple-	employer plan (no	ot multiemployer)	Γ	one-participant plan				
В	This return/report is for:	final retu	n/report		one participant plan					
	an amended return/report	short pla	year return/repo	ort (less than 12 month	ns)					
С	Check box if filing under: X Form 5558	i	extension		ſ	DFVC program				
	special extension (enter description	, 1)			. ь	_ Si vo program				
P	art II Basic Plan Information enter all requested info	rmation								
1a	Name of plan	mation.			1b	Three-digit				
	JOHN M. FARMER, MD, PSC 401(k) PROFIT SHARING	PLAN		•	plan number					
						(PN) ► 001 Effective date of plan				
20					01/01/1995					
2a	Plan sponsor's name and address (employer, if for single-employer p JOHN M. FARMER, MD, PSC	lan)			2b Employer Identification Number					
					(EIN) 61-1308702					
	4001 DUTCHMANS LANE-SUITE 5D				20 1	Plan sponsor's telephone number (502) 894-0266				
US	111 40207				2d I	Business code (see instructions)				
3a	the state of the s	iter "Same	")			621111 Administrator's EIN				
	SAME									
					3c /	Administrator's telephone number				
		•								
4	If the name and/or EIN of the plan sponsor has changed since the las	t return/re	ort filed for this p	plan, enter the	4b E	EIN				
	name, EIN and the plan number from the last return. Sponsor's Name)	•		4c PN					
5a	Total number of participants at the beginning of the plan year				5a	8				
D	Total number of participants at the end of the plan year.					4				
С	rotal number of participants with account balances as of the end of the	e plan vea	r (defined benefit	plans do not	_					
6a	complete this item)		5c	4						
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IODA)										
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and	condition:	S.)			· · · · XYes No				
D۵	If you answered "No" to either 6a or 6b, the plan cannot use Form	1 5500-SF	and must instea	d use Form 5500.						
7	Plan Assets and Liabilities									
a	Total plan assets	(a) Beginning of Year			(b) End of Year					
b	Total plan liabilities	7a 7b		111,758		152,115				
С	Net plan assets (subtract line 7b from line 7a)	7c		0 111,758	-	0				
8	Income, Expenses, and Transfers for this Plan Year		(-)			152,115				
а	Contributions received or receivable from:		(a)	Amount		(b) Total				
	(1) Employers	8a(1)		5,692						
	(2) Participants	8a(2)		7,039						
b	(3) Others (including rollovers)	8a(3)	· · · · · · · · · · · · · · · · · · ·	0	1					
	Other income (loss)	8b	NATIONS SUBMITTED AND ADDRESS OF	50,535						
c d	Total income(add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			eros muios es	63,266				
	to provide benefits)	8d		22,126						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		743						
g	Other expenses	8g		40						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				22,909				
i	Net income (loss) (subject line 8h from line 8c)	8i				40,357				
j	Transfers to (from) the plan (see instructions)	8j		0						

	". r	· _f							
		Form 5500-SF (2009) Page 2-							
Pai	ŧΙV	Plan Characteristics							
9a	If the	plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Character	istic (Codes	in the	instructions:	7		
		2E 2G 2J plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteris							
Pa	rt V	Compliance Questions							
10	Du	ring the plan year:		Yes	No	At	nount		
a b	29	as there a failure to transmit to the plan any participant contribution within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		х				
		line 10a.)	10b		х				
C		as the plan covered by a fidelity bond?	10c	х			2	256,000	
d	Dic or	I the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud dishonesty?	10d		х		······································		
е	ins	ere any fees or commisions paid to any brokers, agents, or other persons by an insurance carrier, urance services or other organization that provides some or all of the benefits under the plan? (See tructions.)	10e	x				172	
f	Ha	s the plan failed to provide any benefit when due under the plan?	10f		х				
g			10g		х				
h :	25	nis is an individual account plan, was there a blackout period? (See instructions and 29 CFR 20.101-3.)	10h	х					
ı	exe	Oh was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3	10i	x					
	tVI	Pension Funding Compliance					777071110000000000000000000000000000000		
11	ls 1	his a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comple	te So	hedul	e SB (I	Form		₩.	
12	Stool) Yes X No Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No								
а		'Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction	ne ar	nd ont	or the	data of the let	Har rulina		
lf	gra	inting the waiver	h		Day	/Y	ear		
b	En	ter the minimum required contribution for this plan year		. [12b				
d	Su	ter the amount contributed by the employer to the plan for this plan year btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a		-	12c 12d				
е		Il the minimum funding amount reported on line 12d be met by the funding deadline?	•	・ ∟ 		Yes [No [N/A	
Par	t VII							•	
13a		s a resolution to terminate the plan been adopted during the plan year or any prior year?	• •		 13a		Yes	X No	
b		ere all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought und	er the	e conti				——————————————————————————————————————	
С	lf c	the PBGC?	lan(s	to			Yes	X No	
	13c(I) Name of plan(s):		13	13c(2) EIN(s)		13c(3)	PN(s)	
Cau	ion: /	A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable car	use i	s esta	blishe	d.	L		
SB o	r Sch	alties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/reported and signed by an enrolled actuary, as well as the electronic version of this return/reportrue, correct	eport, rt, and	includ d to th	ling, if e best	applicable, a of my knowle	Schedule dge and		
150450	SN _	JOHN M. FARM					. •		
HE	RE	Signature of plan administrator Date Enter name of indi	vidua	ıl signi	ng as	plan administ	rator		

Date

SIGN WWW WWW. HERE Signature of employer/plan sponsor

Enter name of individual signing as employer or plan sponsor

OU JOHN M. FARMER, M.D.