## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Р	art I	Annual Report I	dentification Inform	ation				
For	calend	ar plan year 2009 or fis	cal plan year beginning	01/01/200	)9	and ending	12/31/2	2009
Α	This ref	turn/report is for:	single-employer plan	X	multiple-e	employer plan (not multiemployer)		one-participant plan
		turn/report is for:	first return/report	Ī	final retur	n/report		
_		,	an amended return/rep	ort	short plar	n year return/report (less than 12 m	onths)	
_	Oh a ala	box if filing under:	Form 5558		1	extension		DFVC program
C	Check	box if filing under:	H		1	CEXTENSION		br ve program
			special extension (ente		,			
	art II		rmation—enter all reque	sted inform	nation		41-	
	Name	•	404/K) PROFIT CLIADING	DI ANI			10	Three-digit plan number
OBK	IEN &	THOMPSON MD'S PC	401(K) PROFIT SHARING	PLAN				(PN) • 002
							1c	Effective date of plan
								01/01/2004
2a	Plan s	ponsor's name and add	dress (employer, if for single	e-employe	r plan)		2b	Employer Identification Number
OBR	IEN & 7	THOMPSON MDS PC						(EIN) 06-1638829
400		AVENUE					2c	Plan sponsor's telephone number 631-862-7062
		AVENUE , NY 11780					2d	Business code (see instructions)
								621111
			d address (if same as Plan			e")	3b	Administrator's EIN
OBR	IEN TH	HOMPSON, MDS, P.C.		)3 LAKE A T. JAMES.	VENUE NY 11780		0-	06-1638829
							3C	Administrator's telephone number 631-862-7062
4	If the na	ame and/or EIN of the p	lan sponsor has changed s	since the la	st return/re	port filed for this plan, enter the	4b	EIN
			per from the last return/repo			p		
							_	PN
5a	Total	number of participants a	at the beginning of the plan	year			<u>5а</u>	17
b	Total	number of participants a	at the end of the plan year.				5b	16
C		· ·				vear (defined benefit plans do not		44
		•					5c	14 □
		•	. ,	ū		(See instructions.)		X Yes No
b						ndent qualified public accountant (lions.)		X Yes No
			•			SF and must instead use Form !		
Pa	art III	Financial Inform	nation					
7	Plan A	Assets and Liabilities				(a) Beginning of Year		(b) End of Year
а	Total	plan assets			. 7a	4718	71	656110
b	Total	plan liabilities			7b		0	0
С	Net pl	lan assets (subtract line	7b from line 7a)		. 7с	4718	71	656110
8	Incom	ne, Expenses, and Trans	sfers for this Plan Year			(a) Amount		(b) Total
а	Contri	ibutions received or rec	eivable from:					
					8a(1)	259		
	` '	•				121	10	
_	. ,	, •	rs)		` '		0	
b		` ,				1508	01	
C		, , ,	, 8a(2), 8a(3), and 8b)		. 8c			188830
d			t rollovers and insurance p		8d	18	17	
_	•	,	ctive distributions (see inst				0	
e f			,	,		27		
t ~		·	ers (salaries, fees, commis	,		27	74	
g		•	00 Of and 0a)				0	4504
h :			, 8e, 8f, and 8g)					4591
 		` , `	ne 8h from line 8c)					184239
J	rans	iers to (from) the plan (	see instructions)		·· 8j		0	

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Part IV	Plan Characteristics	

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2G 2J 3D

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	٧	Compliance Questions										
10	Dui	During the plan year:						Amount				
а		Was there a failure to transmit to the plan any participant contributions within the time period described					X					0
b		29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)										0
b		Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X					0
С	Wa	Was the plan covered by a fidelity bond?					X					0
d	Did	the plan have a loss, whether or not reimbursed by the plan's fide	elity bond, that was	caused by fraud			.,					
	or c	dishonesty?					X					0
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See			e plan? (See	40-		X					0
£		Instructions.)										
t		Has the plan failed to provide any benefit when due under the plan?				X	X					0
g		d the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	^					184	114
h	252	is an individual account plan, was there a blackout period? (See instructions and 29 CFR 101-3.)					X					
i			h was answered "Yes," check the box if you either provided the required notice or one of the ptions to providing the notice applied under 29 CFR 2520.101-3									
Part	VI	Pension Funding Compliance										
11		is a defined benefit plan subject to minimum funding requirement							П	Yes	X	No
12		0))							<u> </u>		<u> </u>	No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? U Yes 🗓 No (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							NO				
а	`	waiver of the minimum funding standard for a prior year is being a	,	n year, see instruct	tions.	and e	enter th	ne date of t	he le	tter rul	ing	
	grai	iting the waiver.	······································	Montl								-
		completed line 12a, complete lines 3, 9, and 10 of Schedule M				Т	401	I				
		er the minimum required contribution for this plan year					12b					
	Enter the amount contributed by the employer to the plan for this plan year						12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?							Yes	1	lo	N/	/A
Part	The trial tr											
			yoar or any prior yoa	r?						Yes	X	No
154	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						13a			100		-
b	If "Yes," enter the amount of any plan assets that reverted to the employer this year											
	of the PBGC?							No				
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)											
13c(1) Name of plan(s):						<b>13c(2)</b> EIN(s)				13c(3)	PN(	s)
Caut	ion:	A penalty for the late or incomplete filing of this return/report	will be assessed :	inless reasonable	2 (21)	se is	ostabl	ished	_			
		nalties of perjury and other penalties set forth in the instructions, I							ahla	a Sche	عطيناه	
SB or	· Sch	edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.										
SIGI	, F	Filed with authorized/valid electronic signature.  09/27/2010  DENNIS OBRIEN										
HER	_	Signature of plan administrator Date Enter name of individua					dual signing as plan administrator					

Date

Enter name of individual signing as employer or plan sponsor