Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110

1210-0089

2009

This Form is Open to Public Inspection

		t Identification Information				
For	calendar plan year 2009 or f	iscal plan year beginning 01/01/20	009	and ending 1	2/31/2	009
Α -	This return/report is for:	X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan
В	This return/report is for:	first return/report	final retur	n/report		_
		an amended return/report	short plar	year return/report (less than 12 mor	nths)	
C	Check box if filing under:	☐ . X Form 5558	-	extension	,	DFVC program
	oneck box if filling under.	special extension (enter descrip		o oxionolon		
D.	wt II Doois Dlaw Infe	□ · · ·				
		ormation—enter all requested infor	mation		1h	Thus a dista
	Name of plan	S., P.S. 401(K) PROFIT SHARING PL	ΛNI		ID	Three-digit plan number
OAIX	1 3. ELWANDOWSKI, D.D.C	5., 1 .5. 401(N) 1 NOTH SHANING 1 L	AIN			(PN) • 001
					1c	Effective date of plan
						01/01/2001
		ddress (employer, if for single-employ	er plan)			Employer Identification Number
	Y J. LEWANDOWSKI, D.D.S NSULA DENTAL CENTER	S., P.S.				(EIN) 91-1842951
	N.E. RIDDELL RD SUITE A				20	Plan sponsor's telephone number 360-782-1000
	MERTON, WA 98310	•			2d	Business code (see instructions)
						621210
	Plan administrator's name a Y J. LEWANDOWSKI, D.D.S	and address (if same as Plan sponsor	enter "Same IDDELL RD.		3b	Administrator's EIN 91-1842951
	NSULA DENTAL CENTER		ON, WA 983		30	Administrator's telephone number
						360-782-1000
		plan sponsor has changed since the		port filed for this plan, enter the	4b	EIN
1	name, EIN, and the plan nun	nber from the last return/report. Spon	sor's name		4c	DN
52	Total number of participants	s at the beginning of the plan year				
		, ,			5a	3
b		s at the end of the plan year			5b	3
С		s with account balances as of the end			5c	3
6a	•	ts during the plan year invested in elig				X Yes No
b	•	of the annual examination and report	•	,		
		6? (See instructions on waiver eligibilit	•			X Yes No
Da		either 6a or 6b, the plan cannot use	Form 5500-	SF and must instead use Form 55	00.	
	rt III Financial Infor	mation		I		
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year
a	•			365905)	565047
b	•					5050.47
	,	ne 7b from line 7a)	7с	365905)	565047
8	Income, Expenses, and Tra			(a) Amount		(b) Total
а	Contributions received or re	eceivable from:	8a(1)	5846	5	
	., .,			33886	5	
	• •	ers)				
b	• • • • •	,		159410		
С	` ,	1), 8a(2), 8a(3), and 8b)				199142
d		ect rollovers and insurance premiums				
			8d		_	
е	Certain deemed and/or corr	rective distributions (see instructions)	8e			
f	Administrative service provi	iders (salaries, fees, commissions)	8f		_	
g	Other expenses		8g			
9						
h	Total expenses (add lines 8	3d, 8e, 8f, and 8g)	8h			
·	• • • • • • • • • • • • • • • • • • • •	3d, 8e, 8f, and 8g)line 8h from line 8c)				199142

Pa	rt IV	F	Plan	Cha	ract	erist	tics	
9a		•	•		pension 2R			enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
b								enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Dort	V Compliance Questions						
Part			Vac	Na	<u> </u>		
10 a	During the plan year: Was there a failure to transmit to the plan any participant contributions within the time period described in		Yes	No	-	Amount	
а	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c		X			
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10q	X				12108
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))					Yes	∏ No
12 a	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver. Mor	ctions	, and e	enter th	ne date of th		ıling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day		T C a I	
b	Enter the minimum required contribution for this plan year			12b			
	Enter the amount contributed by the employer to the plan for this plan year		1	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)	of a		12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?					Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?	under	the co	ontrol		Yes	X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify t which assets or liabilities were transferred. (See instructions.)	he pla	n(s) to	1		_	_
1	3c(1) Name of plan(s):		13	c(2) El	N(s)	13c(3) PN(s)
Caut	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	establ	ished.		
Unde SB o	or penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this ret or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return, or, it is true, correct, and complete.	urn/re	port, ir	cludin	g, if applica		
SICI	Filed with authorized/valid electronic signature 09/29/2010 GARY LIEWAN	NDOW	SKI				

20	is the specifical complete.						
SIGN	Filed with authorized/valid electronic signature.	nic signature. 09/29/2010 GARY J. LEWANDOWSK					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN							
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

Par	t I Annual Report Identification Information				
	alendar plan year 2009 or fiscal plan year beginning		and ending		
		nultiple-em	ployer plan (not multiemployer)		one-participant plan
	·	nal return/	report	i i	-
	an amended return/report s	hort plan y	ear return/report (less than 12 mon	lhs)	
C c	neck box if filing under: X Form 5558	iutomatic e	extension	I	DFVC program
	special extension (enter description)			
Par	t II Basic Plan Information—enter all requested informat	ion			
	lame of plan			1b	Three-digit
	J. LEWANDOWSKI, D.D.S., P.S. 401(K) PROFIT SHARING PLAN				plan number
			,	1500	(PN) 001
				16	Effective date of plan 01/01/2001
	Plan sponsor's name and address (employer, if for single-employer p	lan)	100000000000000000000000000000000000000		Employer Identification Number
	J. LEWANDOWSKI, D.D.S., P.S.				(EIN) 91-1842951 Plan sponsor's telephone number
	ISULA DENTAL CENTER .E. RIDDELL RD., SUITE A				360-782-1000
	IERTON WA 98310			2d	Business code (see instructions) 621210
3a 1	Plan administrator's name and address (if same as Plan sponsor, en	ter "Same")	3b	Administrator's EIN
SAME				3с	91-1842951 Administrator's telephone number
			and file of few their miners and another		360-782-1000
4 If	the name and/or EIN of the plan sponsor has changed since the last ame, EIN, and the plan number from the last return/report. Sponsor	i return/rep 's name	ort filed for this plan, enter the	4b	EIN
N_1754				4c	PN
	Total number of participants at the beginning of the plan year			5a	3
	Total number of participants at the end of the plan year			5b	3
C	Total number of participants with account balances as of the end of complete this item)	the plan ye	ear (defined benefit plans do not	5c	3
-6a	Were all of the plan's assets during the plan year invested in eligible				X Yes No
	Are you claiming a waiver of the annual examination and report of a	n independ	dent qualified public accountant (IQI	PA)	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a If you answered "No" to either 6a or 6b, the plan cannot use Fo	nd condition	ons.) 55	 NN	X Yes ∐ No
Day	If you answered "No" to either ba or bb, the plan cannot use For till Financial Information	1111 3300-	' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	00.	
7	Plan Assets and Liabilities		(a) Beginning of Year	i i	(b) End of Year
'a	Total plan assets	7a	365905	i	565047
b	Total plan liabilities	7b			
c	Net plan assets (subtract line 7b from line 7a)	7c	365905	3	565047
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total
а	Contributions received or receivable from:	100 12/227	70.16		
	(1) Employers	8a(1)	5846	-	
	(2) Participants	8a(2)	33886	4	
	(3) Others (including rollovers)	8a(3)	45044A	$\overline{}$	
b	Other income (loss)		159410	,	400442
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c		+	199142
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d			
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		_	
f	Administrative service providers (salaries, fees, commissions)				
g	Other expenses	10.0		_	
h	Total expenses (add lines 8d, 8e, 8f, and 8g)			+	
i	Net income (loss) (subtract line 8h from line 8c)			-	199142
i	Transfers to (from) the plan (see instructions)	- 8i		1	

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raye &-	

١	For	m	55	nn	-SF	20	O

Part IV		Plan	Cha	racte	erist	ics	
		4.5		ensio 2R		efits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the ins 3D	tructions
b If th	e pla	n prov	vides v	welfare	e bene	efits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the ins	lructions:

Part	V Compliance Questions		p. 64					
10	During the plan year:		Yes	No		Amoui	nt	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		×				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		х		CONTROL MARKETON		
С	Was the plan covered by a fidelity bond?	10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		х				12122
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		×	,			
f	Has the plan failed to provide any benefit when due under the plan?	10f		Х				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	Х				(12108
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		х				
í	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i			2			
Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500)).	0.5			12	П	Yes [☐ No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Cod						res [
12	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)	0 01 0.	2011011	002 01	LINOTT, II	ш		<u> </u>
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver	nth						
	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13		Г	12.20				
b	Enter the minimum required contribution for this plan year	••••		12b	18			
C	Enter the amount contributed by the employer to the plan for this plan year			12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)	t of a 		12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	********			Yes	No		N/A
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		• • • • • • • • • • • • • • • • • • • •	13a				F22
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?						Yes	X No
C	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	the pla	an(s) t	o		STATE OF		
	13c(1) Name of plan(s):		13	3c(2) E	IN(s)	13	3c(3)	PN(s)
2								
	tion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasona					_		
SB	ler penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this re or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return ef, it is true, correct, and complete.							
	1 0 1 0 1 CA DA L CARVALEN	(110	DIMICIA					

SIGN	x And	19/28/10	GARY J. LEWANDOWSKI
HERE	Signature of plan administrator	Date /	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor