Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Pa	art I Annual Report Identification Information								
For	For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009								
Α	This return/report is for: Single-employer plan	multiple-e	mployer plan (not multiemployer)	one-participant plan					
В	return/report is for: X first return/report								
	an amended return/report short plan year return/report (less than 12 months)								
С	Check box if filing under: Form 5558	automatic	extension		DFVC program				
	special extension (enter description								
Pa	art II Basic Plan Information—enter all requested informa	,							
	Name of plan	11011		1b	Three-digit				
	N UROLOGY ASSOCIATES, INC. 401K PLAN				plan number				
			(PN) • 003						
		1C	Effective date of plan 01/01/2009						
2a	Plan sponsor's name and address (employer, if for single-employer	2b	Employer Identification Number						
MAIN	N UROLOGY ASSOCIATES, INC.				(EIN) 16-0985156				
CC 4E	MAIN CTREET			2c	Plan sponsor's telephone number 716-631-0932				
	5 MAIN STREET LIAMSVILLE, NY 14221-5934		2d	Business code (see instructions)					
					621111				
	Plan administrator's name and address (if same as Plan sponsor, en UROLOGY ASSOCIATES, INC. 6645 MAIN ST		2")	3b	Administrator's EIN				
IVIAII	WILLIAMSVIL		4221-5934	30	16-0985156 Administrator's telephone number				
					716-631-0932				
	If the name and/or EIN of the plan sponsor has changed since the las		port filed for this plan, enter the	4b	EIN				
	name, EIN, and the plan number from the last return/report. Sponsor	rs name		4c	PN				
5a	Total number of participants at the beginning of the plan year	5a	37						
b	Total number of participants at the end of the plan year	5b	53						
С	Total number of participants with account balances as of the end of	F	F2						
	complete this item)	5c	53						
	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
D	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	If you answered "No" to either 6a or 6b, the plan cannot use Fo	rm 5500-	SF and must instead use Form 5	500.					
Pa	art III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year				
а	Total plan assets	7a		0	3089827				
b	Total plan liabilities	7b							
<u>_</u>	Net plan assets (subtract line 7b from line 7a)	7c		0	3089827				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total				
а	Contributions received or receivable from: (1) Employers	8a(1)	20752	1					
	(2) Participants	8a(2)	118938						
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	59034	8					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			92089				
d	Benefits paid (including direct rollovers and insurance premiums		4000	7					
_	to provide benefits)	'		1	-				
e	Certain deemed and/or corrective distributions (see instructions)	8e		_					
t ~	Administrative service providers (salaries, fees, commissions)	8f		_					
g	Other expenses	8g	3800	3	F4000				
n :	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			54390				
 	Net income (loss) (subtract line 8h from line 8c)	8i			866507				
J	Transfers to (from) the plan (see instructions)	8j	222332	0					

		Form 5500-SF 2009 Page 2- 1							
9a	2E	Plan Characteristics e plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Character 2F 2G 2J 2K 2T 3D e plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 2F 2G 2J 2K 2T 3D e plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics							
art	: V	Compliance Questions							
0	Du	ring the plan year:		Yes	No		Amount		
а		s there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a	Χ				464	
b		re there any nonexempt transactions with any party-in-interest? (Do not include transactions reported line 10a.)	10b		X				
С	Wa	s the plan covered by a fidelity bond?			X				
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud			Х				
е	ins	ere any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, urance service or other organization that provides some or all of the benefits under the plan? (See tructions.)	10e	X				1273	
f	Ha	s the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X				3255	
	If th	nis is an individual account plan, was there a blackout period? (See instructions and 29 CFR 20.101-3.)	10h		X				
İ		Oh was answered "Yes," check the box if you either provided the required notice or one of the septions to providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI	Pension Funding Compliance							
11		nis a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and colo))					Yes	s X No	
	(If " If a gra	this a defined contribution plan subject to the minimum funding requirements of section 412 of the Coc Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructing the waiver	uctions	, and e	enter th	e date of th	Yes	uling	
	-	completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13		Г	12b				
_		er the minimum required contribution for this plan year			120 12c				
c d	Enter the amount contributed by the employer to the plan for this plan year				12d				
е		the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
art	VII	Plan Terminations and Transfers of Assets							
3a	Has	s a resolution to terminate the plan been adopted during the plan year or any prior year?					Yes	s X No	
	<u>If "</u> `	es," enter the amount of any plan assets that reverted to the employer this year	<u></u>		13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control						s X No		
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify ch assets or liabilities were transferred. (See instructions.)	the pla	n(s) to)				
1	13c(1) Name of plan(s):		13c(2) EIN(s)				13c(3) PN(s)	

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	09/30/2010	PHILIP ALIOTTA
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor