Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2000

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

	art I Annual Report Identification Information							
For	calendar plan year 2009 or fiscal plan year beginning 01/01/2009							
Α .	This return/report is for:	multiple-e	mployer plan (not multiemployer)		one-participant plan			
В	This return/report is for: first return/report	final retur	n/report					
	an amended return/report	short plar	year return/report (less than 12 m	onths)				
С	Check box if filing under:	automatic	extension		DFVC program	n		
	special extension (enter description)							
Pa	art II Basic Plan Information—enter all requested information	ation						
	Name of plan	20011		1b	Three-digit			
	TUCKY TIE & LUMBER CO., INC. 401(K) PROFIT SHARING PLAN				plan number	001		
					(PN) •			
					Effective date of 01/01/19			
2a	Plan sponsor's name and address (employer, if for single-employer	plan)		2b	2b Employer Identification Number			
	TUCKY TIE & LUMBER CO., INC.	J		(EIN) 61-0542659				
				2c	2c Plan sponsor's telephone number			
	BOX 414 UMBIA, KY 42728			24	270-384-3903 2d Business code (see instructions			
	- ,			Zu	321210	ee instructions)		
3a Plan administrator's name and address (if same as Plan sponsor, enter "Same")			; ")	3b	Administrator's E			
KEN ⁻	TUCKY TIE & LUMBER CO., INC. P.O. BOX 41- COLUMBIA, I			20	61-0542			
				30	Administrator's to 270-384			
4	f the name and/or EIN of the plan sponsor has changed since the las	st return/re	port filed for this plan, enter the	4b	EIN			
I	name, EIN, and the plan number from the last return/report. Sponso	r's name		40	45. 50			
52	Total number of participants at the haginning of the plan year				PN	67		
	Total number of participants at the beginning of the plan year							
b				5b		64		
С	Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)			. 5c		30		
6a	Were all of the plan's assets during the plan year invested in eligible					X Yes No		
b	Are you claiming a waiver of the annual examination and report of a							
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either 6a or 6b, the plan cannot use Fo		•			X Yes No		
Pa	irt III Financial Information	orm 5500-	or and must instead use Form o	500.				
7	Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year				
	Total plan assets	. 7a	4928	19	5026			
b	Total plan liabilities	7b						
С	Net plan assets (subtract line 7b from line 7a)	7c	4928 ⁻	19	502603			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total			
а	Contributions received or receivable from:		, ,					
	(1) Employers	8a(1)						
	(2) Participants	8a(2)						
	(3) Others (including rollovers)	8a(3)						
b	Other income (loss)	8b	11370)5				
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				113705		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	9988	58				
е	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)	. 8f	400	33				
g	Other expenses	8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				103921		
i	Net income (loss) (subtract line 8h from line 8c)	8i				9784		
i	Transfers to (from) the plan (see instructions)							

D (IV/	DI	O L	
Part IV	Plan	Characi	reristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2G 2T 3D 3H

D	11 (11)	s plant provides wellare benefits, enter the applicable wellare heat	ure codes from the	List of Flair Chara	CICIIS	lic Co	ues III	uic ilisuut	olions.		
Part	٧	Compliance Questions									
10	Dui	ing the plan year:				Yes	No		Amoun	t	
а		Vas there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			•	10b		X				
С	Wa	Was the plan covered by a fidelity bond?			10c	X				50000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						Х				
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)				10e		X				
f	Has	Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	Did	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	X				76659	
_	If th	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i		10h was answered "Yes," check the box if you either provided the required notice or one of the xceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part '	VI	Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500)) Yes No								es No		
12	ls t	nis a defined contribution plan subject to the minimum funding req	quirements of sectio	n 412 of the Code	or se	ction 3	302 of	ERISA?	. Ye	es X No	
	•	Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable	,								
		waiver of the minimum funding standard for a prior year is being a nting the waiver									
	-	completed line 12a, complete lines 3, 9, and 10 of Schedule M					Day		rear		
							12b				
		er the amount contributed by the employer to the plan for this plan				1	12c				
d	Sub	tract the amount in line 12c from the amount in line 12b. Enter the	e result (enter a min	us sign to the left	of a		12d				
е	Will	the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets									
13a	Has	a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	r?					☐ Ye	es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						13a				
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							es X No			
	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
1:	13c(1) Name of plan(s):					13	c(2) El	N(s)	13c	(3) PN(s)	
Cauti	on:	A penalty for the late or incomplete filing of this return/report	will be assessed	unless reasonab	le cau	ıse is	establ	ished.			
Under SB or	per Sch	nalties of perjury and other penalties set forth in the instructions, I edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.	declare that I have	examined this retu	ırn/re _l	oort, ir	cludin	g, if applic			
SIGN	F	iled with authorized/valid electronic signature.	09/30/2010	WILLIAM H. STEELE JR.							
HERE	- [Signature of plan administrator	Date	Enter name of in	name of individual signing as plan administrator						

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor