# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2009**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

**A** This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [X] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

**D** Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
MILLER CASTINGS, INC. 401(K) PLAN AND TRUST

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 10/01/1992

**2a** Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.)
MILLER CASTINGS, INC.

2503 PACIFIC PARK DR
WHITTIER, CA 90601

**2b** Employer Identification Number (EIN) 95-2873159

**2c** Sponsor's telephone number 562-695-0461

**2d** Business code (see instructions) 331500

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/04/2010 | ROBERTA BARRON |
| | **Signature of plan administrator** | Date | Enter name of individual signing as plan administrator |
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/04/2010 | ROBERTA BARRON |
| | **Signature of employer/plan sponsor** | Date | Enter name of individual signing as employer or plan sponsor |
| **SIGN HERE** | | | |
| | **Signature of DFE** | Date | Enter name of individual signing as DFE |

**3a** Plan administrator's name and address (if same as plan sponsor, enter "Same")
MILLER CASTINGS, INC.

2503 PACIFIC PARK DR
WHITTIER, CA 90601

**3b** Administrator's EIN
95-2873159

**3c** Administrator's telephone number
562-695-0461

**4** If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

**a** Sponsor's name

**4b** EIN

**4c** PN

| | | | |
|---|---|---|---|
| **5** | Total number of participants at the beginning of the plan year | **5** | 352 |
| **6** | Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**). | | |
| **a** | Active participants | **6a** | 247 |
| **b** | Retired or separated participants receiving benefits | **6b** | 0 |
| **c** | Other retired or separated participants entitled to future benefits | **6c** | 62 |
| **d** | Subtotal. Add lines **6a**, **6b**, and **6c** | **6d** | 309 |
| **e** | Deceased participants whose beneficiaries are receiving or are entitled to receive benefits | **6e** | 0 |
| **f** | Total. Add lines **6d** and **6e** | **6f** | 309 |
| **g** | Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | 222 |
| **h** | Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | 80 |
| **7** | Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
3D 2E 2J 2K 2F 2G 2S

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

**9a** Plan funding arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | X | Insurance |
| **(2)** | | Code section 412(e)(3) insurance contracts |
| **(3)** | | Trust |
| **(4)** | | General assets of the sponsor |

**9b** Plan benefit arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | X | Insurance |
| **(2)** | | Code section 412(e)(3) insurance contracts |
| **(3)** | | Trust |
| **(4)** | | General assets of the sponsor |

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

| | | |
|---|---|---|
| **(1)** | X | **R** (Retirement Plan Information) |
| **(2)** | | **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary |
| **(3)** | | **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary |

**b General Schedules**

| | | | |
|---|---|---|---|
| **(1)** | X | | **H** (Financial Information) |
| **(2)** | | | **I** (Financial Information – Small Plan) |
| **(3)** | X | 1 | **A** (Insurance Information) |
| **(4)** | X | | **C** (Service Provider Information) |
| **(5)** | X | | **D** (DFE/Participating Plan Information) |
| **(6)** | | | **G** (Financial Transaction Schedules) |

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2009**

**This Form is Open to Public Inspection**

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

**A** Name of plan
MILLER CASTINGS, INC. 401(K) PLAN AND TRUST

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500.
MILLER CASTINGS, INC.

**D** Employer Identification Number (EIN)
95-2873159

## Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
GREAT WEST LIFE AND ANNUITY

| **(b)** EIN | **(c)** NAIC code | **(d)** Contract or identification number | **(e)** Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | **(f)** From | **(g)** To |
| 84-0467907 | 68322 | 92147-01 | 222 | 01/01/2009 | 12/31/2009 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

| **(a)** Total amount of commissions paid | **(b)** Total amount of fees paid |
|---|---|
| 0 | 0 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| 4 | Current value of plan's interest under this contract in the general account at year end | 4 | 502924 |
| 5 | Current value of plan's interest under this contract in separate accounts at year end | 5 | 1112811 |

**6** Contracts With Allocated Funds:

**a** State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| **b** | Premiums paid to carrier | 6b | |
| **c** | Premiums due but unpaid at the end of the year | 6c | |
| **d** | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | 6d | |

Specify nature of costs ▶

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐

**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶

| | | | | | |
|---|---|---|---|---|---|
| **b** | Balance at the end of the previous year | | | 7b | 490860 |
| **c** | Additions: (1) Contributions deposited during the year | 7c(1) | 114347 | | |
| | (2) Dividends and credits | 7c(2) | 0 | | |
| | (3) Interest credited during the year | 7c(3) | 15528 | | |
| | (4) Transferred from separate account | 7c(4) | 0 | | |
| | (5) Other (specify below) | 7c(5) | 25757 | | |
| | ▶ LOAN PAYMENTS | | | | |
| | (6) Total additions | | | 7c(6) | 155632 |
| **d** | Total of balance and additions (add **b** and **c(6)**) | | | 7d | 646492 |
| **e** | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | 7e(1) | 87889 | | |
| | (2) Administration charge made by carrier | 7e(2) | 3715 | | |
| | (3) Transferred to separate account | 7e(3) | 51964 | | |
| | (4) Other (specify below) | 7e(4) | 0 | | |
| | ▶ | | | | |
| | (5) Total deductions | | | 7e(5) | 143568 |
| **f** | Balance at the end of the current year (subtract **e(5)** from **d**) | | | 7f | 502924 |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in **c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount. | | | **10b** | |

Specify nature of costs ▶

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

| SCHEDULE C (Form 5500) | Service Provider Information | OMB No. 1210-0110 |
|---|---|---|
| Department of the Treasury<br>Internal Revenue Service<br>Department of Labor<br>Employee Benefits Security Administration<br>Pension Benefit Guaranty Corporation | This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br>▶ **File as an attachment to Form 5500.** | **2009**<br>**This Form is Open to Public Inspection.** |

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

**A** Name of plan
MILLER CASTINGS, INC. 401(K) PLAN AND TRUST

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
MILLER CASTINGS, INC.

**D** Employer Identification Number (EIN)
95-2873159

## Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

### 1 Information on Persons Receiving Only Eligible Indirect Compensation

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

ADVISED ASSET GROUP

8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE, CO 80111

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 | INVESTMENT MGMT | 2306 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

GREAT-WEST LIFE AND ANNUITY COMPANY

8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE, CO 80111

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 64 | RECORDKEEPER | 6325 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [X] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes [ ] No [ ] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

## Part I Service Provider Information (continued)

**3** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

## Part II Service Providers Who Fail or Refuse to Provide Information

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

## Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

| | |
|---|---|
| **a** Name: | **b** EIN: |
| **c** Position: | |
| **d** Address: | **e** Telephone: |

Explanation:

| | |
|---|---|
| **a** Name: | **b** EIN: |
| **c** Position: | |
| **d** Address: | **e** Telephone: |

Explanation:

| | |
|---|---|
| **a** Name: | **b** EIN: |
| **c** Position: | |
| **d** Address: | **e** Telephone: |

Explanation:

| | |
|---|---|
| **a** Name: | **b** EIN; |
| **c** Position: | |
| **d** Address: | **e** Telephone: |

Explanation:

| | |
|---|---|
| **a** Name: | **b** EIN; |
| **c** Position: | |
| **d** Address: | **e** Telephone: |

Explanation:

# SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

## DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2009**

**This Form is Open to Public Inspection.**

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

**A** Name of plan
MILLER CASTINGS, INC. 401(K) PLAN AND TRUST

**B** Three-digit plan number (PN) ▶ 001

**C** Plan or DFE sponsor's name as shown on line 2a of Form 5500
MILLER CASTINGS, INC.

**D** Employer Identification Number (EIN)
95-2873159

**Part I** **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

**a** Name of MTIA, CCT, PSA, or 103-12 IE: FUTURE FUNDS SERIES ACCT II OF GWL

**b** Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INS. CO.

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 84-0467907-003 | P | 1112811 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| | | |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| | | |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| | | |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| | | |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| | | |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

## Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

**a** Plan name

| **b** Name of plan sponsor | **c** EIN-PN |
|---|---|

# SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2009**

**This Form is Open to Public Inspection**

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

**A** Name of plan
MILLER CASTINGS, INC. 401(K) PLAN AND TRUST

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
MILLER CASTINGS, INC.

**D** Employer Identification Number (EIN)
95-2873159

## Part I Asset and Liability Statement

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **a** Total noninterest-bearing cash | 1a | 0 | 0 |
| **b** Receivables (less allowance for doubtful accounts): | | | |
| **(1)** Employer contributions | 1b(1) | 0 | 0 |
| **(2)** Participant contributions | 1b(2) | 0 | 0 |
| **(3)** Other | 1b(3) | 0 | 0 |
| **c** General investments: | | | |
| **(1)** Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | 0 | 0 |
| **(2)** U.S. Government securities | 1c(2) | 0 | 0 |
| **(3)** Corporate debt instruments (other than employer securities): | | | |
| **(A)** Preferred | 1c(3)(A) | 0 | 0 |
| **(B)** All other | 1c(3)(B) | 0 | 0 |
| **(4)** Corporate stocks (other than employer securities): | | | |
| **(A)** Preferred | 1c(4)(A) | 0 | 0 |
| **(B)** Common | 1c(4)(B) | 0 | 0 |
| **(5)** Partnership/joint venture interests | 1c(5) | 0 | 0 |
| **(6)** Real estate (other than employer real property) | 1c(6) | 0 | 0 |
| **(7)** Loans (other than to participants) | 1c(7) | 0 | 0 |
| **(8)** Participant loans | 1c(8) | 167730 | 190982 |
| **(9)** Value of interest in common/collective trusts | 1c(9) | 0 | 0 |
| **(10)** Value of interest in pooled separate accounts | 1c(10) | 789872 | 1112811 |
| **(11)** Value of interest in master trust investment accounts | 1c(11) | 0 | 0 |
| **(12)** Value of interest in 103-12 investment entities | 1c(12) | 0 | 0 |
| **(13)** Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | 0 | 0 |
| **(14)** Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | 490860 | 502924 |
| **(15)** Other | 1c(15) | 0 | 0 |

| | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **1d** Employer-related investments: | | | |
| **(1)** Employer securities | 1d(1) | 0 | 0 |
| **(2)** Employer real property | 1d(2) | 0 | 0 |
| **e** Buildings and other property used in plan operation | 1e | 0 | 0 |
| **f** Total assets (add all amounts in lines 1a through 1e) | 1f | 1448462 | 1806717 |
| **Liabilities** | | | |
| **g** Benefit claims payable | 1g | 0 | 0 |
| **h** Operating payables | 1h | | |
| **i** Acquisition indebtedness | 1i | 0 | 0 |
| **j** Other liabilities | 1j | 0 | 0 |
| **k** Total liabilities (add all amounts in lines 1g through1j) | 1k | 0 | 0 |
| **Net Assets** | | | |
| **l** Net assets (subtract line 1k from line 1f) | 1l | 1448462 | 1806717 |

## Part II Income and Expense Statement

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| Income | | (a) Amount | (b) Total |
|---|---|---|---|
| **a Contributions:** | | | |
| **(1)** Received or receivable in cash from: **(A)** Employers | 2a(1)(A) | 58300 | |
| **(B)** Participants | 2a(1)(B) | 227232 | |
| **(C)** Others (including rollovers) | 2a(1)(C) | 0 | |
| **(2)** Noncash contributions | 2a(2) | 0 | |
| **(3)** Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)** | 2a(3) | | 285532 |
| **b Earnings on investments:** | | | |
| **(1)** Interest: | | | |
| **(A)** Interest-bearing cash (including money market accounts and certificates of deposit) | 2b(1)(A) | 0 | |
| **(B)** U.S. Government securities | 2b(1)(B) | 0 | |
| **(C)** Corporate debt instruments | 2b(1)(C) | 0 | |
| **(D)** Loans (other than to participants) | 2b(1)(D) | 0 | |
| **(E)** Participant loans | 2b(1)(E) | 10218 | |
| **(F)** Other | 2b(1)(F) | 15528 | |
| **(G)** Total interest. Add lines **2b(1)(A)** through **(F)** | 2b(1)(G) | | 25746 |
| **(2)** Dividends: **(A)** Preferred stock | 2b(2)(A) | 0 | |
| **(B)** Common stock | 2b(2)(B) | 0 | |
| **(C)** Registered investment company shares (e.g. mutual funds) | 2b(2)(C) | | |
| **(D)** Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)** | 2b(2)(D) | | 0 |
| **(3)** Rents | 2b(3) | | 0 |
| **(4)** Net gain (loss) on sale of assets: **(A)** Aggregate proceeds | 2b(4)(A) | 0 | |
| **(B)** Aggregate carrying amount (see instructions) | 2b(4)(B) | 0 | |
| **(C)** Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result | 2b(4)(C) | | 0 |

| | | | (a) Amount | (b) Total |
|---|---|---|---|---|
| 2b | (5) Unrealized appreciation (depreciation) of assets: (A) Real estate | 2b(5)(A) | 0 | |
| | (B) Other | 2b(5)(B) | 0 | |
| | (C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B) | 2b(5)(C) | | 0 |
| | (6) Net investment gain (loss) from common/collective trusts | 2b(6) | | 0 |
| | (7) Net investment gain (loss) from pooled separate accounts | 2b(7) | | 223846 |
| | (8) Net investment gain (loss) from master trust investment accounts | 2b(8) | | 0 |
| | (9) Net investment gain (loss) from 103-12 investment entities | 2b(9) | | 0 |
| | (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) | 2b(10) | | 0 |
| c | Other income | 2c | | 0 |
| d | Total income. Add all **income** amounts in column (b) and enter total | 2d | | 535124 |
| | **Expenses** | | | |
| e | Benefit payment and payments to provide benefits: | | | |
| | (1) Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 147908 | |
| | (2) To insurance carriers for the provision of benefits | 2e(2) | 0 | |
| | (3) Other | 2e(3) | 0 | |
| | (4) Total benefit payments. Add lines 2e(1) through (3) | 2e(4) | | 147908 |
| f | Corrective distributions (see instructions) | 2f | | 8207 |
| g | Certain deemed distributions of participant loans (see instructions) | 2g | | 12123 |
| h | Interest expense | 2h | | 0 |
| i | Administrative expenses: (1) Professional fees | 2i(1) | 0 | |
| | (2) Contract administrator fees | 2i(2) | 8631 | |
| | (3) Investment advisory and management fees | 2i(3) | 0 | |
| | (4) Other | 2i(4) | 0 | |
| | (5) Total administrative expenses. Add lines 2i(1) through (4) | 2i(5) | | 8631 |
| j | Total expenses. Add all **expense** amounts in column (b) and enter total | 2j | | 176869 |
| | **Net Income and Reconciliation** | | | |
| k | Net income (loss). Subtract line 2j from line 2d | 2k | | 358255 |
| l | Transfers of assets: | | | |
| | (1) To this plan | 2l(1) | | 0 |
| | (2) From this plan | 2l(2) | | 0 |

## Part III Accountant's Opinion

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

**(1)** [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

**(1)** Name: LODGEN, LACHER, GOLDDITCH **(2)** EIN: 95-4366929

**d** The opinion of an independent qualified public accountant is **not attached** because:

**(1)** [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

## Part IV Compliance Questions

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

| | | Yes | No | Amount |
|---|---|---|---|---|
| **a** | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | 4a | | X | |
| **b** | Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) | 4b | | X | |
| **c** | Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | 4c | | X | |
| **d** | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) | 4d | | X | |
| **e** | Was this plan covered by a fidelity bond? | 4e | X | | 500000 |
| **f** | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | 4f | | X | |
| **g** | Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | 4g | | X | |
| **h** | Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | 4h | | X | |
| **i** | Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) | 4i | X | | |
| **j** | Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.) | 4j | | X | |
| **k** | Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | 4k | | X | |
| **l** | Has the plan failed to provide any benefit when due under the plan? | 4l | | X | |
| **m** | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | 4m | | X | |
| **n** | If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. | 4n | | X | |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If yes, enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| **5b(1)** Name of plan(s) | **5b(2)** EIN(s) | **5b(3)** PN(s) |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

# SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2009**

**This Form is Open to Public Inspection.**

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

**A** Name of plan
MILLER CASTINGS, INC. 401(K) PLAN AND TRUST

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
MILLER CASTINGS, INC.

**D** Employer Identification Number (EIN)
95-2873159

## Part I Distributions

**All references to distributions relate only to payments of benefits during the plan year.**

**1** Total value of distributions paid in property other than in cash or the forms of property specified in the instructions ..... **1** 0

**2** Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 20-3691708

**Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.**

**3** Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. ..... **3**

## Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

**4** Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**If the plan is a defined benefit plan, go to line 8.**

**5** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

**If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.**

**6** **a** Enter the minimum required contribution for this plan year ..... **6a**

**b** Enter the amount contributed by the employer to the plan for this plan year ..... **6b**

**c** Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) ..... **6c**

**If you completed line 6c, skip lines 8 and 9.**

**7** Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**8** If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

## Part III Amendments

**9** If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☒ **No**

## Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

**10** Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

**11** **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

**b** If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

**12** Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

## Part V Additional Information for Multiemployer Defined Benefit Pension Plans

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

**14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

| | | |
|---|---|---|
| **a** The current year | **14a** | |
| **b** The plan year immediately preceding the current plan year | **14b** | |
| **c** The second preceding plan year | **14c** | |

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|---|---|
| **a** The corresponding number for the plan year immediately preceding the current plan year | **15a** | |
| **b** The corresponding number for the second preceding plan year | **15b** | |

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|---|---|---|
| **a** Enter the number of employers who withdrew during the preceding plan year | **16a** | |
| **b** If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers | **16b** | |

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

## Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

**19** If the total number of participants is 1,000 or more, complete items (a) through (c)

**a** Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

**b** Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

MILLER CASTINGS, INC. 401(k) PLAN & TRUST

FINANCIAL STATEMENTS

DECEMBER 31, 2009 AND 2008

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LODGEN, LACHER, GOLDITCH, SARDI,
SAUNDERS & HOWARD LLP

CERTIFIED PUBLIC ACCOUNTANTS

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ROBERT J. COHEN, C.P.A.
ROBERT FRIEDMAN, C.P.A.
BONNIE I. BROWN

Independent Auditor's Report

Board of Trustees
Miller Castings, Inc. 401(k) Plan and Trust
Whittier, California

We were engaged to audit the statements of net assets available for benefits of Miller Castings, Inc. 401(k) Plan and Trust as of December 31, 2009 and 2008, the related statement of changes in net assets available for benefits for the year ended December 31, 2009, and the supplemental schedule as of December 31, 2009 as listed in the accompanying index. These financial statements and schedule are the responsibility of the Plan's management.

As permitted by 29 CFR.2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Great-West Life & Annuity Insurance Company, the Custodian of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedule. We have been informed by the Plan Administrator that the Custodian holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained a certification from the custodian as of and for the years ended December 31, 2009 and 2008, that the information provided to the Plan Administrator by the Custodian is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and schedule taken as a whole. The form and content of the information included in the financial statements and schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

*Lodgen, Lacher, Golditch, Sardi, Saunders & Howard LLP*

Encino, California
September 7, 2010



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MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

DECEMBER 31, 2009 AND 2008

| | 2009 | 2008 |
|---|---|---|
| Assets: | | |
| Investments, at fair market value: | | |
| Mutual funds in pooled separate accounts | $ 1,112,811 | $ 792,122 |
| Guaranteed insurance contracts | 502,924 | 488,610 |
| Participants loans | 190,982 | 161,007 |
| | 1,806,717 | 1,441,739 |
| Receivables: | | |
| Participants' contributions | 6,538 | 5,479 |
| Employer's contribution | - | 1,601 |
| Other receivable | - | 6,963 |
| | 6,538 | 14,043 |
| Total assets | 1,813,255 | 1,455,782 |
| Liabilities: | | |
| Excess contributions payable | - | 10,904 |
| Net assets available for benefits | $ 1,813,255 | $ 1,444,878 |

See accompanying independent auditor's report and notes to the financial statements.

MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

YEAR ENDED DECEMBER 31, 2009

| | | |
|---|---|---|
| Additions to net assets attributed to: | | |
| Contributions: | | |
| Participants | $ 233,770 | |
| Employer | 58,300 | |
| | | $ 292,070 |
| Investment income: | | |
| Interest income | 15,528 | |
| Interest on participant loans | 10,218 | |
| Net appreciation in fair value of investments | 227,327 | |
| | | 253,073 |
| | | 545,143 |
| Deductions to net assets attributed to: | | |
| Benefits paid to participants | 168,238 | |
| Administrative expenses | 8,528 | |
| | | 176,766 |
| Net increase in net assets | | 368,377 |
| Net assets available for benefits, beginning of year | | 1,444,878 |
| Net assets available for benefits, end of year | | $ 1,813,255 |

See accompanying independent auditor's report and notes to the financial statements.

MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2009 AND 2008

1. Description of plan:

The following description of the Miller Casting, Inc, 401(k) Plan and Trust (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General:

The Plan is a prototype defined contribution plan covering all full-time employees of Miller Castings, Inc. (the "Company") who have six months of service and are age twenty-one. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions and matching:

Each year, participants may contribute up to the statutory limits of pretax annual compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. The Company makes a discretionary match of 50% of the first 2% of compensation contributed and 25% of the next 2% of compensation contributed. Contributions are subject to certain limitations. Effective with the paycheck dated December 4, 2009, the trustees voted to temporarily suspend matching contributions to the Plan. The Company has made contributions of $58,300 and $99,153 in 2009 and 2008, respectively.

Effective February 1, 2008, the Plan was amended to provide for automatic enrollment of participants with an automatic election to defer 2% of eligible compensation unless the participant makes a contrary salary reduction agreement election on or after 30 days' notice. The amendments also decrease the eligibility condition to ninety days of service and expand Plan entry date to the first day of each month of the Plan year.

Participant accounts:

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contributions and (b) Plan earnings. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting:

Participants are immediately vested in their contributions plus actual earnings thereon. Vesting in the Company contributions portion of their accounts plus earnings thereon is based on years of continuous service. A participant is 100% vested after six years of credited service.

A participant shall be 100% vested in Company contributions without regard to years of service in the event of death, permanent disability, or attainment of age 65.

1. Description of plan (continued):

Investment options:

Upon enrollment in the Plan, a participant may direct employee contributions in 1% increments in any of the thirty mutual funds and fixed income funds offered by the Plan. Participants may change their investment options amongst mutual funds at the participant's discretion.

Participant loans:

Participants may borrow from their fund accounts a minimum of $1,000, up to a maximum equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms are up to 5 years, or 20 years for the purchase of a primary residence. The loans are secured by the balance in the participant's account, and the current loans bear interest between 4.25% and 9.25%. Principal and interest are paid ratably through payroll deductions. The loan will be deemed a distribution if the participant terminates employment with Miller Castings, Inc. prior to paying off the loan.

Payment of benefits:

On termination of services due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or annual installments over a period of ten years. For termination of service due to other reasons, a participant may receive the value of the vested interest of his or her account as a lump-sum distribution.

Forfeited accounts:

At December 31, 2009 and 2008, forfeited non-vested accounts totaled $799 and $0 respectively. Forfeitures during the year ended December 31, 2009 were $7,245, of which $6,494 were used to reduce employer contributions.

2. Summary of significant accounting policies:

The summary of significant accounting policies of the Plan is presented to assist in understanding the Plan's financial statements. The financial statements and notes are the representation of the Plan's management. These accounting policies conform to generally accepted accounting principles and have been consistently applied in the preparation of the financial statements.

Estimates:

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts of assets and liabilities and disclosures contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Accordingly, actual results may differ from those estimates.

2. Summary of significant accounting policies (continued):

Basis of accounting:

The accompanying financial statements are presented on the accrual method of accounting and include only the assets, liabilities, and changes in net assets of the Plan.

Investment valuation and income recognition:

The Plan's investments consist of shares of registered investment companies (mutual funds held in pooled separate accounts), which are valued at quoted market prices which represent the net asset value of shares held by the Plan at year end, and insurance company unallocated contracts, which are valued at quoted market price. Purchases and sales of securities are recorded on a trade-date basis. Interest income on loans is recorded on the accrual basis.

Payment of benefits:

Benefits are recorded when paid.

Plan termination:

Although it has not expressed any intent to do so, the Company has the right to terminate the Plan at any time subject to the provisions of ERISA. Upon termination of the Plan, the entire amount of each participant's account, with respect to the Plan, shall become 100% vested and non-forfeitable.

Date of administrator's review:

Subsequent events have been evaluated by the Plan administrator through September 7, 2010, which is the date the financial statements were available to be issued.

3. Guaranteed insurance contracts:

The Plan has entered into benefit-responsive investment contracts with Great-West Life & Annuity Insurance Company. The contracts are not fully benefit-responsive. The insurance company maintains the contributions in a pooled account. The account is credited with earnings on the underlying investments (principally investments in the general funds of the insurance companies) and charged for participant withdrawals and administrative expenses. The contracts are included in the financial statements at fair value, as reported to the Plan by the insurance company. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. The guaranteed investment contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. Earnings on this contract averaged 2.96% and 3.33% for the years ended December 31, 2009 and 2008, respectively.

4. Fair value measurements:

The Plan's investments are stated at fair value. Participant loans are valued at cost which approximates fair market value.

| | | Fair value measurements using: | |
|---|---|---|---|
| | Fair value | Quoted Prices in Active Markets For Identical Assets (Level 1) | Significant Unobservable Inputs (Level 3) |
| December 31, 2009 | | | |
| Mutual funds | $ 1,112,811 | $ 1,112,811 | $ 0 |
| Insurance contract | 502,924 | 0 | 502,924 |
| Participant loans | 190,982 | 0 | 190,982 |
| Total | $ 1,806,717 | $ 1,112,811 | $ 693,906 |
| December 31, 2008 | | | |
| Mutual funds | $ 792,122 | $ 792,122 | $ 0 |
| Insurance contract | 488,610 | 0 | 488,610 |
| Participant loans | 161,007 | 0 | 161,007 |
| Total | $ 1,441,739 | $ 792,122 | $ 649,617 |

SFAS No. 157, Fair Value Measurements, establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. No Level 2 inputs were available to the Plan, and Level 3 inputs were only used when Level 1 or Level 2 inputs were not available.

4. Fair value measurements (continued):

Level 1 fair value measurements:
The fair value of mutual funds is based on quoted net asset values of the shares held by the Plan at year-end.

Level 3 fair value measurements:
The guaranteed investment contract with an insurance company and participant loans are not actively traded and significant other observable inputs are not available. Thus, the fair value of the guaranteed investment contract is determined by discounting the related cash flows based on current yields of similar instruments with comparable durations, and the fair value of participant loans is equal to the amortized cost of the loans because the loans are secured by each respective participant's account balance. The following table provides further details of the Level 3 fair value measurements.

Fair value measurements using significant unobservable inputs (Level 3):

| December 31, 2009 | Investment contract | Participant loans | Total |
|---|---|---|---|
| Beginning balance | $ 488,610 | $ 161,007 | $ 649,617 |
| Total gains or losses (realized and unrealized) included in changes in net assets available for benefits | - | - | - |
| Purchases, sales, issuances, and settlements (net) | 14,314 | 29,975 | 44,289 |
| Ending balance | $ 502,924 | $ 190,982 | $ 693,906 |

4. Fair value measurements (continued):

Fair value measurements using significant unobservable inputs (Level 3):

| December 31, 2008 | Investment contract | Participant loans | Total |
|---|---|---|---|
| Beginning balance | $ 287,617 | $ 147,683 | $ 435,300 |
| Total gains or losses (realized and unrealized) included in changes in net assets available for benefit | - | - | - |
| Purchases, sales, issuances, and settlements (net) | 200,993 | 13,324 | 214,317 |
| Ending balance | $ 488,610 | $ 161,007 | $ 649,617 |

5. Unaudited information prepared and certified by the custodian:

The following information included in the accompanying financial statements and supplementary information was obtained from data that was prepared and certified to be complete and accurate by the custodian as of December 31, 2009 and 2008:

| | 2009 | 2008 |
|---|---|---|
| Investments, at fair value: | | |
| Mutual funds in pooled separate accounts | $ 1,112,811 | $ 792,122 |
| Investment contracts | 502,924 | 488,610 |
| Participant loans | 190,982 | 161,007 |
| Net appreciation (depreciation) in fair value of investments | 227,327 | (394,227) |

The Plan investments are classified as pooled separate accounts, unallocated contracts or participant loans. The pooled separate accounts are invested in publicly traded registered investment companies (mutual funds). The investment contracts consist of guaranteed insurance contract held in the general accounts of the Trustee. Investments in the pooled separate accounts and investment contracts are directed by the Plan participants. Participant loans have various maturities through the year 2014 and bear interest rates of 4.25% to 9.25% per year.

5. Unaudited information prepared and certified by the custodian (continued):

The following table represents investments that are 5% or more of the Plan's net assets. The investments are stated at fair value:

| | 2009 | 2008 |
|---|---|---|
| Participant loans | $ 190,982 | $ 161,007 |
| Maxim Moderate Profile II | 143,603 | 116,408 |
| Alliance Bernstein International Value | 88,255 | - |
| Maxim US Government Securities Fund | 89,516 | 84,752 |
| Oppenheimer Capital Appreciation – A | 175,190 | 119,498 |
| Oppenheimer Global A | 92,982 | - |
| Guaranteed Portfolio Fund | 499,835 | 488,610 |
| | $ 1,280,363 | $ 970,275 |

6. Tax status:

The Internal Revenue Service has determined and informed the Company by a letter dated November 2001, that the Plan and related trust are designed in accordance with the applicable requirements of the Internal Revenue Code. Although the Plan has been amended since receiving the determination letter, the Plan administrator believes that the Plan is designed and currently being operated in compliance with the applicable requirements of the Internal Revenue Code.

7. Risks and uncertainties:

The Plan investments in various investment securities, which are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' accounts balances and the amounts reported in the statement of net assets available for benefits.

8. Plan administration:

Assets are held by the custodian of the Plan. Participant and Company contributions are held and managed by the trustee, which invests cash received, interest and dividend income and makes distributions to participants.

Certain administrative functions are performed by officers of the Company. No such officer or employee receives any compensation from the Plan. Administrative expenses of the Plan are generally paid by the Company.

9. Reconciliation of financial statements to Schedule H of Form 5500:

The following is a reconciliation of net assets available for benefits per the financial statements to Schedule H of Form 5500:

| | 2009 | 2008 |
|---|---|---|
| Net assets available for benefits per the financial statements | $ 1,813,255 | $ 1,444,878 |
| Reduction to participant loans | - | 6,723 |
| Excess contributions payable | - | 10,904 |
| Contributions receivable | (6,538) | (7,080) |
| Other receivable | - | (6,963) |
| Net assets available for benefits per the Form 5500 | $ 1,806,717 | $ 1,448,462 |

The following is a reconciliation of increase in net assets available for benefits for the year ended December 31, 2009 per the financial statements to the Form 5500:

| | 2009 |
|---|---|
| Increase in net assets available for benefits per financial statements | $ 368,377 |
| Employee contributions | (6,538) |
| Net appreciation in fair value of investments | (3,481) |
| Administrative expenses | (103) |
| Net income per the Form 5500 | $ 358,255 |

SUPPLEMENTARY INFORMATION

MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

EIN: 95-2873159 PN:001

ATTACHMENT TO FORM 5500 - SCHEDULE H, LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES

AS OF DECEMBER 31, 2009

| (a) | (b) Identity of issue, borrower, lessor, or similar party | (c) Description of investment | (d) Cost | (e) Current value |
|---|---|---|---|---|
| | Forfeitures | Cash | | $ 799 |
| * | Guaranteed Portfolio Fund | Fixed Annuities | | 499,835 |
| * | Short Term Fund I | Mutual Fund | | 2,290 |
| * | Maxim Aggressive Profile II | Mutual Fund | | 21,846 |
| * | Maxim Moderate Profile II | Mutual Fund | | 143,603 |
| * | Maxim Conservative Profile II | Mutual Fund | | 35,639 |
| * | Alliance Bernstein International Value R | Mutual Fund | | 88,255 |
| * | American Funds EuroPacific Growth R3 | Mutual Fund | | 15,458 |
| * | Oppenheimer Global A | Mutual Fund | | 92,982 |
| * | First American Small Cap Select A | Mutual Fund | | 15,868 |
| * | Lord Abbett Value Opportunities A | Mutual Fund | | 60,906 |
| * | Marisco Focus | Mutual Fund | | 12,495 |
| * | Maximum Index 600 | Mutual Fund | | 27,212 |
| * | Royce Total Return K | Mutual Fund | | 452 |
| * | Ridge Worth Small Cap Growth Fund | Mutual Fund | | 637 |
| * | Columbia Mid Cap Value R | Mutual Fund | | 6,269 |
| * | Fidelity Advisor Leveraged Co Stk - T | Mutual Fund | | 1,087 |
| * | Fidelity Advisor Mid Cap T | Mutual Fund | | 9,559 |
| * | American Funds Growth Fund of Amer R3 | Mutual Fund | | 58,296 |
| * | Maximum S&P 500 Index | Mutual Fund | | 63,593 |
| * | Maxim T. Rowe Price Equity Income | Mutual Fund | | 57,263 |
| * | Oppenheimer Capital Appreciation A | Mutual Fund | | 175,190 |
| * | Riversource Diversified Equity Income R3 | Mutual Fund | | 15,648 |
| * | Maxim Bond Index | Mutual Fund | | 62,318 |
| * | Maxim Loomis Sayles Bond Portfolio | Mutual Fund | | 451 |
| * | Maxim US Government Securities Fund | Mutual Fund | | 89,516 |
| * | MFS International Value R2 | Mutual Fund | | 43,718 |
| * | PIMCO Total Return Admin | Mutual Fund | | 1,559 |
| * | Putnam Int'l Capital Opportunities | Mutual Fund | | 12,991 |
| | Participant Loans | 4.25% - 9.25% | | 190,982 |
| | | | | $ 1,806,717 |

* Represents a party-in-interest to the Plan for which a statutory exemption exists.

See accompanying independent auditor's report and notes to the financial statements.

# ASSETS HELD FOR INVESTMENT PURPOSES



**Miller Castings 401k Plan**
**01-JAN-09 to 31-DEC-09**



| INVESTMENT OPTION | MATURITY DATE | INTEREST RATE | COST OF ASSETS | CURRENT VALUE |
|---|---|---|---|---|
| 1PBAXCP | | | 32,822.12 | 35,638.94 |
| 1PBAXMP | | | 125,448.39 | 143,602.98 |
| 1PBAXAP | | | 20,506.77 | 21,845.81 |
| 192AFEP | | | 77,320.65 | 88,255.09 |
| 1288MIM | | | 43,895.17 | 43,717.65 |
| 186OGA | | | 82,784.75 | 92,982.48 |
| 1241PIO | | | 12,865.13 | 12,991.16 |
| 1226FA | | | 14,165.67 | 15,867.53 |
| 193MX6 | | | 25,786.03 | 27,212.21 |
| 1245RTK | | | 443.11 | 452.21 |
| 1125SS | | | 701.23 | 637.07 |
| 1239CVR | | | 57,403.23 | 58,296.45 |
| 1225FL | | | 1,004.65 | 1,087.24 |
| 174FAMC | | | 8,890.17 | 9,558.71 |
| 1284LVP | | | 58,645.44 | 60,905.54 |
| 195AFGF | | | 17,676.86 | 15,457.70 |
| 1146NY | | | 5,878.44 | 6,269.16 |
| 1LGRMAR | | | 11,671.80 | 12,495.38 |
| 194MX5 | | | 60,875.77 | 63,593.47 |
| 180TEIX | | | 53,537.08 | 57,262.78 |
| 1LGROCA | | | 165,299.11 | 175,190.14 |
| 1227RD | | | 15,318.05 | 15,647.55 |
| 1BIMXBI | | | 58,222.02 | 62,317.97 |
| 1BMSXLC | | | 383.74 | 449.93 |
| 1BIMXUS | | | 78,781.05 | 89,516.49 |
| 1BIMPTR | | | 1,522.97 | 1,560.34 |
| 1MMF-I | | 4.000 | 57.46 | 113.29 |
| 1MMF-I | | 6.050 | 993.86 | 2,176.22 |
| 1PORT | | 2.800 | 459,019.19 | 499,835.35 |
| | | | **1,491,919.91** | **1,614,936.84** |
| PARTICIPANT LOANS | VARIOUS | 4.250-9.250 | 190,798.39 | 190,981.57 |
| FORFEITURES | | | 0.00 | 798.95 |

Attachment to Form 5500, Schedule H, Part 4, Item I
EIN # 95-2873159

# ASSETS HELD FOR INVESTMENT PURPOSES



**Miller Castings 401k Plan**
**01-JAN-09 to 31-DEC-09**



| INVESTMENT OPTION | MATURITY DATE | INTEREST RATE | COST OF ASSETS | CURRENT VALUE |
|---|---|---|---|---|

LEGEND

INVESTMENT OPTION:

| | |
|---|---|
| 1PBAXCP | Maxim Conservative Profile II |
| 1PBAXAP | Maxim Aggressive Profile II |
| 1288MIM | MFS International Value R2 |
| 1241PIO | Putnam Int'l Capital Opportunities R |
| 193MX6 | Maxim Index 600 |
| 1125SS | RidgeWorth Small Cap Growth Fund I |
| 1225FL | Fidelity Advisor Leveraged Co Stk - T |
| 1284LVP | Lord Abbett Value Opportunities A |
| 1146NY | Davis NY Venture R |
| 194MX5 | Maxim S & P 500 Index |
| 1LGROCA | Oppenheimer Capital Appreciation A |
| 1BIMXBI | Maxim Bond Index |
| 1BIMXUS | Maxim US Government Securities Fund |
| 1MMF-I | Short Term Fund I |
| 1PBAXMP | Maxim Moderate Profile II |
| 192AFEP | American Funds EuroPacific Growth R3 |
| 186OGA | Oppenheimer Global A |
| 1226FA | First American Small Cap Select A |
| 1245RTK | Royce Total Return K |
| 1239CVR | Columbia Mid Cap Value R |
| 174FAMC | Fidelity Advisor Mid Cap T |
| 195AFGF | American Funds Growth Fund of Amer R3 |
| 1LGRMAR | Marsico Focus |
| 180TEIX | Maxim T. Rowe Price Equity Income |
| 1227RD | RiverSource Diversified Equity Income R3 |
| 1BMSXLC | Maxim Loomis Sayles Bond Portfolio |
| 1BIMPTR | PIMCO Total Return Admin |
| 1PORT | Guaranteed Portfolio Fund |

COST OF ASSETS: The original cost of the assets in each investment option as of the last day of the plan year
CURRENT VALUE: The value of all assets in each investment option as of the last day of the plan year

MILLER CASTINGS, INC. 401(k) PLAN & TRUST

FINANCIAL STATEMENTS

DECEMBER 31, 2009 AND 2008

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LODGEN, LACHER, GOLDITCH, SARDI,
SAUNDERS & HOWARD LLP

CERTIFIED PUBLIC ACCOUNTANTS

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ROBERT FRIEDMAN, C.P.A.
BONNIE I. BROWN

Independent Auditor's Report

Board of Trustees
Miller Castings, Inc. 401(k) Plan and Trust
Whittier, California

We were engaged to audit the statements of net assets available for benefits of Miller Castings, Inc. 401(k) Plan and Trust as of December 31, 2009 and 2008, the related statement of changes in net assets available for benefits for the year ended December 31, 2009, and the supplemental schedule as of December 31, 2009 as listed in the accompanying index. These financial statements and schedule are the responsibility of the Plan's management.

As permitted by 29 CFR.2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Great-West Life & Annuity Insurance Company, the Custodian of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedule. We have been informed by the Plan Administrator that the Custodian holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained a certification from the custodian as of and for the years ended December 31, 2009 and 2008, that the information provided to the Plan Administrator by the Custodian is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and schedule taken as a whole. The form and content of the information included in the financial statements and schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

*Lodgen, Lacher, Golditch, Sardi, Saunders & Howard LLP*

Encino, California
September 7, 2010



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MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

DECEMBER 31, 2009 AND 2008

| | 2009 | 2008 |
|---|---|---|
| Assets: | | |
| Investments, at fair market value: | | |
| Mutual funds in pooled separate accounts | $ 1,112,811 | $ 792,122 |
| Guaranteed insurance contracts | 502,924 | 488,610 |
| Participants loans | 190,982 | 161,007 |
| | 1,806,717 | 1,441,739 |
| Receivables: | | |
| Participants' contributions | 6,538 | 5,479 |
| Employer's contribution | - | 1,601 |
| Other receivable | - | 6,963 |
| | 6,538 | 14,043 |
| Total assets | 1,813,255 | 1,455,782 |
| Liabilities: | | |
| Excess contributions payable | - | 10,904 |
| Net assets available for benefits | $ 1,813,255 | $ 1,444,878 |

See accompanying independent auditor's report and notes to the financial statements.

MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

YEAR ENDED DECEMBER 31, 2009

| | | |
|---|---|---|
| Additions to net assets attributed to: | | |
| Contributions: | | |
| Participants | $ 233,770 | |
| Employer | 58,300 | |
| | | $ 292,070 |
| Investment income: | | |
| Interest income | 15,528 | |
| Interest on participant loans | 10,218 | |
| Net appreciation in fair value of investments | 227,327 | |
| | | 253,073 |
| | | 545,143 |
| Deductions to net assets attributed to: | | |
| Benefits paid to participants | 168,238 | |
| Administrative expenses | 8,528 | |
| | | 176,766 |
| Net increase in net assets | | 368,377 |
| Net assets available for benefits, beginning of year | | 1,444,878 |
| Net assets available for benefits, end of year | | $ 1,813,255 |

See accompanying independent auditor's report and notes to the financial statements.

MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2009 AND 2008

1. Description of plan:

The following description of the Miller Casting, Inc, 401(k) Plan and Trust (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General:

The Plan is a prototype defined contribution plan covering all full-time employees of Miller Castings, Inc. (the "Company") who have six months of service and are age twenty-one. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions and matching:

Each year, participants may contribute up to the statutory limits of pretax annual compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. The Company makes a discretionary match of 50% of the first 2% of compensation contributed and 25% of the next 2% of compensation contributed. Contributions are subject to certain limitations. Effective with the paycheck dated December 4, 2009, the trustees voted to temporarily suspend matching contributions to the Plan. The Company has made contributions of $58,300 and $99,153 in 2009 and 2008, respectively.

Effective February 1, 2008, the Plan was amended to provide for automatic enrollment of participants with an automatic election to defer 2% of eligible compensation unless the participant makes a contrary salary reduction agreement election on or after 30 days' notice. The amendments also decrease the eligibility condition to ninety days of service and expand Plan entry date to the first day of each month of the Plan year.

Participant accounts:

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contributions and (b) Plan earnings. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting:

Participants are immediately vested in their contributions plus actual earnings thereon. Vesting in the Company contributions portion of their accounts plus earnings thereon is based on years of continuous service. A participant is 100% vested after six years of credited service.

A participant shall be 100% vested in Company contributions without regard to years of service in the event of death, permanent disability, or attainment of age 65.

1. Description of plan (continued):

Investment options:

Upon enrollment in the Plan, a participant may direct employee contributions in 1% increments in any of the thirty mutual funds and fixed income funds offered by the Plan. Participants may change their investment options amongst mutual funds at the participant's discretion.

Participant loans:

Participants may borrow from their fund accounts a minimum of $1,000, up to a maximum equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms are up to 5 years, or 20 years for the purchase of a primary residence. The loans are secured by the balance in the participant's account, and the current loans bear interest between 4.25% and 9.25%. Principal and interest are paid ratably through payroll deductions. The loan will be deemed a distribution if the participant terminates employment with Miller Castings, Inc. prior to paying off the loan.

Payment of benefits:

On termination of services due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or annual installments over a period of ten years. For termination of service due to other reasons, a participant may receive the value of the vested interest of his or her account as a lump-sum distribution.

Forfeited accounts:

At December 31, 2009 and 2008, forfeited non-vested accounts totaled $799 and $0 respectively. Forfeitures during the year ended December 31, 2009 were $7,245, of which $6,494 were used to reduce employer contributions.

2. Summary of significant accounting policies:

The summary of significant accounting policies of the Plan is presented to assist in understanding the Plan's financial statements. The financial statements and notes are the representation of the Plan's management. These accounting policies conform to generally accepted accounting principles and have been consistently applied in the preparation of the financial statements.

Estimates:

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts of assets and liabilities and disclosures contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Accordingly, actual results may differ from those estimates.

2. Summary of significant accounting policies (continued):

Basis of accounting:

The accompanying financial statements are presented on the accrual method of accounting and include only the assets, liabilities, and changes in net assets of the Plan.

Investment valuation and income recognition:

The Plan's investments consist of shares of registered investment companies (mutual funds held in pooled separate accounts), which are valued at quoted market prices which represent the net asset value of shares held by the Plan at year end, and insurance company unallocated contracts, which are valued at quoted market price. Purchases and sales of securities are recorded on a trade-date basis. Interest income on loans is recorded on the accrual basis.

Payment of benefits:

Benefits are recorded when paid.

Plan termination:

Although it has not expressed any intent to do so, the Company has the right to terminate the Plan at any time subject to the provisions of ERISA. Upon termination of the Plan, the entire amount of each participant's account, with respect to the Plan, shall become 100% vested and non-forfeitable.

Date of administrator's review:

Subsequent events have been evaluated by the Plan administrator through September 7, 2010, which is the date the financial statements were available to be issued.

3. Guaranteed insurance contracts:

The Plan has entered into benefit-responsive investment contracts with Great-West Life & Annuity Insurance Company. The contracts are not fully benefit-responsive. The insurance company maintains the contributions in a pooled account. The account is credited with earnings on the underlying investments (principally investments in the general funds of the insurance companies) and charged for participant withdrawals and administrative expenses. The contracts are included in the financial statements at fair value, as reported to the Plan by the insurance company. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. The guaranteed investment contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. Earnings on this contract averaged 2.96% and 3.33% for the years ended December 31, 2009 and 2008, respectively.

4. Fair value measurements:

The Plan's investments are stated at fair value. Participant loans are valued at cost which approximates fair market value.

| | | Fair value measurements using: | |
|---|---|---|---|
| | Fair value | Quoted Prices in Active Markets For Identical Assets (Level 1) | Significant Unobservable Inputs (Level 3) |
| December 31, 2009 | | | |
| Mutual funds | $ 1,112,811 | $ 1,112,811 | $ 0 |
| Insurance contract | 502,924 | 0 | 502,924 |
| Participant loans | 190,982 | 0 | 190,982 |
| Total | $ 1,806,717 | $ 1,112,811 | $ 693,906 |
| December 31, 2008 | | | |
| Mutual funds | $ 792,122 | $ 792,122 | $ 0 |
| Insurance contract | 488,610 | 0 | 488,610 |
| Participant loans | 161,007 | 0 | 161,007 |
| Total | $ 1,441,739 | $ 792,122 | $ 649,617 |

SFAS No. 157, Fair Value Measurements, establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. No Level 2 inputs were available to the Plan, and Level 3 inputs were only used when Level 1 or Level 2 inputs were not available.

4. Fair value measurements (continued):

Level 1 fair value measurements:
The fair value of mutual funds is based on quoted net asset values of the shares held by the Plan at year-end.

Level 3 fair value measurements:
The guaranteed investment contract with an insurance company and participant loans are not actively traded and significant other observable inputs are not available. Thus, the fair value of the guaranteed investment contract is determined by discounting the related cash flows based on current yields of similar instruments with comparable durations, and the fair value of participant loans is equal to the amortized cost of the loans because the loans are secured by each respective participant's account balance. The following table provides further details of the Level 3 fair value measurements.

Fair value measurements using significant unobservable inputs (Level 3):

| December 31, 2009 | Investment contract | Participant loans | Total |
|---|---|---|---|
| Beginning balance | $ 488,610 | $ 161,007 | $ 649,617 |
| Total gains or losses (realized and unrealized) included in changes in net assets available for benefits | - | - | - |
| Purchases, sales, issuances, and settlements (net) | 14,314 | 29,975 | 44,289 |
| Ending balance | $ 502,924 | $ 190,982 | $ 693,906 |

4. Fair value measurements (continued):

Fair value measurements using significant unobservable inputs (Level 3):

| December 31, 2008 | Investment contract | Participant loans | Total |
|---|---|---|---|
| Beginning balance | $ 287,617 | $ 147,683 | $ 435,300 |
| Total gains or losses (realized and unrealized) included in changes in net assets available for benefit | - | - | - |
| Purchases, sales, issuances, and settlements (net) | 200,993 | 13,324 | 214,317 |
| Ending balance | $ 488,610 | $ 161,007 | $ 649,617 |

5. Unaudited information prepared and certified by the custodian:

The following information included in the accompanying financial statements and supplementary information was obtained from data that was prepared and certified to be complete and accurate by the custodian as of December 31, 2009 and 2008:

| | 2009 | 2008 |
|---|---|---|
| Investments, at fair value: | | |
| Mutual funds in pooled separate accounts | $ 1,112,811 | $ 792,122 |
| Investment contracts | 502,924 | 488,610 |
| Participant loans | 190,982 | 161,007 |
| Net appreciation (depreciation) in fair value of investments | 227,327 | (394,227) |

The Plan investments are classified as pooled separate accounts, unallocated contracts or participant loans. The pooled separate accounts are invested in publicly traded registered investment companies (mutual funds). The investment contracts consist of guaranteed insurance contract held in the general accounts of the Trustee. Investments in the pooled separate accounts and investment contracts are directed by the Plan participants. Participant loans have various maturities through the year 2014 and bear interest rates of 4.25% to 9.25% per year.

5. Unaudited information prepared and certified by the custodian (continued):

The following table represents investments that are 5% or more of the Plan's net assets. The investments are stated at fair value:

| | 2009 | 2008 |
|---|---|---|
| Participant loans | $ 190,982 | $ 161,007 |
| Maxim Moderate Profile II | 143,603 | 116,408 |
| Alliance Bernstein International Value | 88,255 | - |
| Maxim US Government Securities Fund | 89,516 | 84,752 |
| Oppenheimer Capital Appreciation – A | 175,190 | 119,498 |
| Oppenheimer Global A | 92,982 | - |
| Guaranteed Portfolio Fund | 499,835 | 488,610 |
| | $ 1,280,363 | $ 970,275 |

6. Tax status:

The Internal Revenue Service has determined and informed the Company by a letter dated November 2001, that the Plan and related trust are designed in accordance with the applicable requirements of the Internal Revenue Code. Although the Plan has been amended since receiving the determination letter, the Plan administrator believes that the Plan is designed and currently being operated in compliance with the applicable requirements of the Internal Revenue Code.

7. Risks and uncertainties:

The Plan investments in various investment securities, which are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' accounts balances and the amounts reported in the statement of net assets available for benefits.

8. Plan administration:

Assets are held by the custodian of the Plan. Participant and Company contributions are held and managed by the trustee, which invests cash received, interest and dividend income and makes distributions to participants.

Certain administrative functions are performed by officers of the Company. No such officer or employee receives any compensation from the Plan. Administrative expenses of the Plan are generally paid by the Company.

9. Reconciliation of financial statements to Schedule H of Form 5500:

The following is a reconciliation of net assets available for benefits per the financial statements to Schedule H of Form 5500:

| | 2009 | 2008 |
|---|---|---|
| Net assets available for benefits per the financial statements | $ 1,813,255 | $ 1,444,878 |
| Reduction to participant loans | - | 6,723 |
| Excess contributions payable | - | 10,904 |
| Contributions receivable | (6,538) | (7,080) |
| Other receivable | - | (6,963) |
| Net assets available for benefits per the Form 5500 | $ 1,806,717 | $ 1,448,462 |

The following is a reconciliation of increase in net assets available for benefits for the year ended December 31, 2009 per the financial statements to the Form 5500:

| | 2009 |
|---|---|
| Increase in net assets available for benefits per financial statements | $ 368,377 |
| Employee contributions | (6,538) |
| Net appreciation in fair value of investments | (3,481) |
| Administrative expenses | (103) |
| Net income per the Form 5500 | $ 358,255 |

SUPPLEMENTARY INFORMATION

MILLER CASTINGS, INC. 401(k) PLAN AND TRUST

EIN: 95-2873159 PN:001

ATTACHMENT TO FORM 5500 - SCHEDULE H, LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES

AS OF DECEMBER 31, 2009

| (a) | (b) Identity of issue, borrower, lessor, or similar party | (c) Description of investment | (d) Cost | (e) Current value |
|---|---|---|---|---|
| | Forfeitures | Cash | | $ 799 |
| * | Guaranteed Portfolio Fund | Fixed Annuities | | 499,835 |
| * | Short Term Fund I | Mutual Fund | | 2,290 |
| * | Maxim Aggressive Profile II | Mutual Fund | | 21,846 |
| * | Maxim Moderate Profile II | Mutual Fund | | 143,603 |
| * | Maxim Conservative Profile II | Mutual Fund | | 35,639 |
| * | Alliance Bernstein International Value R | Mutual Fund | | 88,255 |
| * | American Funds EuroPacific Growth R3 | Mutual Fund | | 15,458 |
| * | Oppenheimer Global A | Mutual Fund | | 92,982 |
| * | First American Small Cap Select A | Mutual Fund | | 15,868 |
| * | Lord Abbett Value Opportunities A | Mutual Fund | | 60,906 |
| * | Marisco Focus | Mutual Fund | | 12,495 |
| * | Maximum Index 600 | Mutual Fund | | 27,212 |
| * | Royce Total Return K | Mutual Fund | | 452 |
| * | Ridge Worth Small Cap Growth Fund | Mutual Fund | | 637 |
| * | Columbia Mid Cap Value R | Mutual Fund | | 6,269 |
| * | Fidelity Advisor Leveraged Co Stk - T | Mutual Fund | | 1,087 |
| * | Fidelity Advisor Mid Cap T | Mutual Fund | | 9,559 |
| * | American Funds Growth Fund of Amer R3 | Mutual Fund | | 58,296 |
| * | Maximum S&P 500 Index | Mutual Fund | | 63,593 |
| * | Maxim T. Rowe Price Equity Income | Mutual Fund | | 57,263 |
| * | Oppenheimer Capital Appreciation A | Mutual Fund | | 175,190 |
| * | Riversource Diversified Equity Income R3 | Mutual Fund | | 15,648 |
| * | Maxim Bond Index | Mutual Fund | | 62,318 |
| * | Maxim Loomis Sayles Bond Portfolio | Mutual Fund | | 451 |
| * | Maxim US Government Securities Fund | Mutual Fund | | 89,516 |
| * | MFS International Value R2 | Mutual Fund | | 43,718 |
| * | PIMCO Total Return Admin | Mutual Fund | | 1,559 |
| * | Putnam Int'l Capital Opportunities | Mutual Fund | | 12,991 |
| | Participant Loans | 4.25% - 9.25% | | 190,982 |
| | | | | $ 1,806,717 |

* Represents a party-in-interest to the Plan for which a statutory exemption exists.

See accompanying independent auditor's report and notes to the financial statements.