## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

| For   | art I Annual Report Identification Information   |   |  |                |   |
|---|--|---|--|----------------|---|
|   | calendar plan year 2009 or fiscal plan year beginning 01/01/200  | 09  | and ending 1   | 2/31/2         | 2009  |
| Α -   | This return/report is for: X single-employer plan  | multiple-e                                | mployer plan (not multiemployer)                         |                | one-participant plan                            |
| В -   | This return/report is for: first return/report   | n/report                                  |  |                |   |
|   | an amended return/report   | short plan                                | year return/report (less than 12 mo                      | nths)          |   |
| C   | Check box if filing under: X Form 5558   | automatic                                 | extension  |                | DFVC program                                    |
|   | special extension (enter description)  | _   |  |                |   |
| Pa  | Irt II Basic Plan Information—enter all requested inform   |   |  |                |   |
|   | Name of plan   | ialion                                    |  | 1b             | Three-digit                                     |
|   | EGGERT/STRAND HUNT, LLC 401(K) PROFIT SHARING PLAN A   | AND TRUST                                 | -  |                | plan number                                     |
|   |  |   |  |                | (PN) • 001                                      |
|   |  |   |  | 1c             | Effective date of plan                          |
|   | Planta and the state of the sta | 1 \                                       |  | 2 h            | 01/01/2000                                      |
|   | Plan sponsor's name and address (employer, if for single-employe<br>EGGERT/STRAND HUNT, LLC  | r pian)                                   |  | 20             | Employer Identification Number (EIN) 91-2158241 |
|   |  |   |  | 2c             | Plan sponsor's telephone number                 |
|   | 5 - 142ND AVENUE NE, SUITE 140   |   |  |                | 425-488-1954                                    |
| WOC   | DINVILLE, WA 98072-3537  |   |  | 2d             | Business code (see instructions) 236110         |
| 3a  | Plan administrator's name and address (if same as Plan sponsor,  | enter "Same                               | 3")  | 3b             | Administrator's EIN                             |
|   | EGGERT/STRAND HUNT, LLC 18915 - 142  | ND AVENU                                  | É NE, SUITE 140  |                | 91-2158241                                      |
|   | WOODINVI   | LLE, WA 98                                | 072-3537   | 3с             | Administrator's telephone number                |
| 1 1   | f the name and/or EIN of the plan sponsor has changed since the la   | act roturn/ro                             | port filed for this plan, optor the                      | 46             | 425-488-1954                                    |
|   | name, EIN, and the plan number from the last return/report. Spons  |   | port filed for this plan, enter the                      | 40             | EIN 91-1552296                                  |
| T.R. I  | EGGERT CONSTRUCTION, INC.  |   |  | 4c             | PN  |
| 5a  | Total number of participants at the beginning of the plan year   |   |  | 5a             | 37  |
| <b>b</b> Total number of participants at the end of the plan year |  |   |  |                | 27  |
| С   | Total number of participants with account balances as of the end of  |   | •  |                | 25  |
|   | complete this item)  |   |  | 5c             | 25  |
|   | Were all of the plan's assets during the plan year invested in eligi   |   | ` '  |                | Yes   No  |
| D   | Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility  |   |  |                | X Yes ☐ No                                      |
|   | If you answered "No" to either 6a or 6b, the plan cannot use I   |   | · ·  |                |   |
| Pa  | rt III Financial Information   |   |  |                |   |
| 7   | Plan Assets and Liabilities  |   | (a) Beginning of Year                                    |                | (b) End of Year                                 |
| а   | Total plan assets  | 7a  | 404664   |                |   |
| b   | Total plan liabilities   |   | 491666   | 6              | 708601  |
|   |  | 7b  | 1316   |                | 708601<br>3388                                  |
| C   | Net plan assets (subtract line 7b from line 7a)  |   |  | 6              |   |
| 8   | Income, Expenses, and Transfers for this Plan Year   |   | 1316   | 6              | 3388  |
|   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:  | 7c  | 1316<br>490350<br>(a) Amount                             | 6              | 3388<br>705213                                  |
| 8   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c  | 1316<br>490350<br>(a) Amount                             | 3              | 3388<br>705213                                  |
| 8   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c<br>8a(1)<br>8a(2)                      | 1316<br>490350<br>(a) Amount                             | 3              | 3388<br>705213                                  |
| 8<br>a  | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c<br>8a(1)<br>8a(2)<br>8a(3)             | 1316<br>490350<br>(a) Amount<br>23250<br>80397           | 3              | 3388<br>705213                                  |
| 8<br>a<br>b   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 8a(1)<br>8a(2)<br>8a(3)<br>8b             | 1316<br>490350<br>(a) Amount                             | 3              | 3388<br>705213<br>(b) Total                     |
| 8<br>a  | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 8a(1)<br>8a(2)<br>8a(3)<br>8b             | 1316<br>490350<br>(a) Amount<br>23250<br>80397           | 3              | 3388<br>705213                                  |
| 8<br>a<br>b   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 8a(1) 8a(2) 8a(3) 8b 8c                   | 1316<br>490350<br>(a) Amount<br>23250<br>80397           | 33 7 4         | 3388<br>705213<br>(b) Total                     |
| 8<br>a<br>b<br>c  | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c<br>8a(1)<br>8a(2)<br>8a(3)<br>8b<br>8c | 1316<br>490350<br>(a) Amount<br>23253<br>80397           | 33 7 4         | 3388<br>705213<br>(b) Total                     |
| 8<br>a<br>b<br>c  | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c 8a(1) 8a(2) 8a(3) 8b 8c 8d 8e          | 1316<br>490350<br>(a) Amount<br>23253<br>80397           | 33<br>77<br>44 | 3388<br>705213<br>(b) Total                     |
| 8 a b c d   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c 8a(1) 8a(2) 8a(3) 8b 8c 8d 8d          | 1316<br>490350<br>(a) Amount<br>23253<br>80393<br>158014 | 33<br>77<br>44 | 3388<br>705213<br>(b) Total                     |
| 8 a b c d e f   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c 8a(1) 8a(2) 8a(3) 8b 8c 8d 8d 8e 8f    | 1316<br>490350<br>(a) Amount<br>23253<br>80393<br>158014 | 33<br>77<br>44 | 3388<br>705213<br>(b) Total                     |
| 8 a b c d e f   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers  | 7c 8a(1) 8a(2) 8a(3) 8b 8c 8c 8d 8e 8f 8g | 1316<br>490350<br>(a) Amount<br>23253<br>80393<br>158014 | 33<br>77<br>44 | 3388<br>705213<br>(b) Total                     |

| Form 5500-SF 2009 | Page <b>2-</b> 1 |
|-------------------|------------------|
|-------------------|------------------|

| Part IV | Plan  | Characteristics | c |
|---------|-------|-----------------|---|
| railiv  | FIAII | CHALACLEH SUC:  |   |

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2K 3D

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| art         | V Compliance Questions   |        |         |           |            |     |       |                |
|-------------|--|--------|---------|-----------|------------|-----|-------|----------------|
| 0           | During the plan year:  |        | Yes     | No        |            | An  | ount  |                |
| а           | /as there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)   |        |         | X         |            |     |       |                |
| b           | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  |        |         | X         |            |     |       |                |
| С           | Was the plan covered by a fidelity bond?   | 10c    | Χ       |           |            |     |       | 100000         |
| d           | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?   | 10d    |         | Х         |            |     |       |                |
| е           | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)  | 10e    |         | X         |            |     |       |                |
| f           | Has the plan failed to provide any benefit when due under the plan?  | 10f    |         | X         |            |     |       |                |
| g           | Did the plan have any participant loans? (If "Yes," enter amount as of year end.)  |        | X       |           |            |     |       | 10270          |
| h           | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  |        |         | Х         |            |     |       |                |
| i           | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3   | 10i    |         |           |            |     |       |                |
| art         | VI Pension Funding Compliance  |        |         |           |            |     |       |                |
| 1           | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))  |        |         |           |            | . [ | Yes   | X No           |
| 12          |  |        |         |           |            |     |       |                |
|             | (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)  If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver.  Montlou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. |        |         |           |            |     |       |                |
|             | Enter the minimum required contribution for this plan year   |        | Г       | 12b       |            |     |       |                |
|             | C Enter the amount contributed by the employer to the plan for this plan year  |        |         |           |            |     |       |                |
|             | d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)  |        |         |           |            |     |       |                |
| е           | Will the minimum funding amount reported on line 12d be met by the funding deadline?   |        |         |           | Yes        |     | No    | N/A            |
| art         | VII Plan Terminations and Transfers of Assets  |        |         |           |            |     |       |                |
| 3a          | Has a resolution to terminate the plan been adopted during the plan year or any prior year?  |        |         |           |            |     | Yes   | X No           |
|             | If "Yes," enter the amount of any plan assets that reverted to the employer this year  |        |         | 13a       |            |     |       |                |
| b           | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought u of the PBGC?  | ınder  | the co  | ntrol<br> |            |     | Yes   | X No           |
| С           | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)   | e plar | n(s) to |           |            | -   |       |                |
| 1           | 3c(1) Name of plan(s):   |        | 130     | (2) EIN   | ۱(s)       |     | 13c(3 | <b>)</b> PN(s) |
|             |  |        |         |           |            |     |       |                |
|             |  |        |         |           |            |     |       |                |
| auti        | on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable   | e cau  | se is   | establi   | shed.      |     |       |                |
| Jnde<br>B o | penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/reschedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/resit is true, correct, and complete.   | rn/rep | ort, in | cluding   | , if appli |     |       |                |
| 01          | · · · · · · · · · · · · · · · · · · ·  |        |         |           |            |     |       |                |

| SIGN | Filed with authorized/valid electronic signature. | 10/05/2010 | KRISTOFFER EGGERT  |  |  |  |  |  |
|------|---|------------|--|--|--|--|--|--|
| HERE | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |  |  |  |  |  |
| SIGN | Filed with authorized/valid electronic signature. | 10/05/2010 | KRISTOFFER EGGERT  |  |  |  |  |  |
| HERE | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |  |  |  |  |  |