## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2000

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	dance witl	h the instructions to the Form 5500	0-SF.				
		lentification Information							
For	calendar plan year 2009 or fisca	al plan year beginning 01/01/200	9	and ending 1	2/31/2	2009			
A	This return/report is for:	x single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan		
В	This return/report is for:	first return/report	final return/report						
	Ī	an amended return/report	short plan	year return/report (less than 12 mor	nths)				
				extension		DFVC progra	am		
		special extension (enter description							
Dr	rt II   Racio Plan Inform	nation—enter all requested information	•						
		ilation—enter all requested informa	ation		1h	Three-digit			
	Name of plan DEN CITY SURGICAL ASSOCI	ATES, PC CASH OR DEFERRED P	PI AN & TR	UST	ID	plan number			
<b>O</b> 7 t						(PN) <b>•</b>	004		
					1c	Effective date of			
						09/01/1			
	•	ess (employer, if for single-employer	plan)		<b>2b</b> Employer Identification Number				
GAR	DEN CITY SURGICAL ASSOCI	ATES, PC			20	(EIN) 11-228	telephone number		
3 ST	ONE ARCH ROAD				20	516-76			
	WESTBURY, NY 11568				2d	Business code	(see instructions)		
						621111			
	Plan administrator's name and DEN CITY SURGICAL ASSOCI	address (if same as Plan sponsor, e ATES, PC 3 STONE AR			3b	Administrator's 11-228			
OAIX	DEN ON 1 SUNGIOAL ASSOCI	OLD WESTB			30		telephone number		
					)	516-76			
		an sponsor has changed since the las		port filed for this plan, enter the	4b EIN				
- 1	name, EIN, and the plan numbe	r from the last return/report. Sponso	r's name		40	PN			
5a	Total number of participants at	the beginning of the plan year			5a				
		the end of the plan year							
					5b		0		
С		ith account balances as of the end of		•	5с		0		
6a	Were all of the plan's assets d	luring the plan year invested in eligib	le assets?	(See instructions.)			X Yes No		
	Are you claiming a waiver of th	ne annual examination and report of	an indeper	ndent qualified public accountant (IQI	PA)				
				ons.)			X Yes No		
Da			orm 5500-	SF and must instead use Form 550	00.				
	rt III   Financial Informa	ation		T					
7	Plan Assets and Liabilities			(a) Beginning of Year		l of Year			
	Total plan assets		. 7a	357974			0		
b	•		. 7b	0			0		
<u> </u>		7b from line 7a)	7c	357974					
8	Income, Expenses, and Transf			(a) Amount	(b) Total				
а	Contributions received or recei	vable from:	. 8a(1)	O	)				
				0	)				
	• • • • • • • • • • • • • • • • • • • •	)		(					
b	, ,	,	` ` `	59190	)				
C	,	8a(2), 8a(3), and 8b)			5919				
d		rollovers and insurance premiums							
	to provide benefits)	•	. 8d	417164	64				
е	Certain deemed and/or correct	tive distributions (see instructions)	. 8e	C	0				
f	Administrative service provider	rs (salaries, fees, commissions)	. 8f	C	0				
g	Other expenses		. 8g	C	)				
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	8h			417164			
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i			-357974			
i	Transfers to (from) the plan (se	ee instructions)	. 8i						

Part IV	Plan	Charact	teristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2J 2K 3D

D .	11 1111	plan provides wellare benefits, enter the applicable wellare leat	ure codes from the	List Of Flatt Chara	Clens	110 000	163 III I	ine monuc	aloris.		
Part	٧	Compliance Questions									
10	Dur	ing the plan year:				Yes	No		Amoun	t .	
а		Was there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				10b		X				
С	Was the plan covered by a fidelity bond?				10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				10d		X				
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			e plan? (See	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?				10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				10g		X				
_	If th	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i		f 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part '	VI	Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500)) Yes								es X No		
12	ls t	nis a defined contribution plan subject to the minimum funding rec	quirements of section	n 412 of the Code	or se	ction 3	302 of	ERISA?	Ye	es 🛚 No	
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicabl	,								
		waiver of the minimum funding standard for a prior year is being a ting the waiver.									
	-	ompleted line 12a, complete lines 3, 9, and 10 of Schedule M					Day		rear		
		er the minimum required contribution for this plan year		-			12b				
С	Ente	er the amount contributed by the employer to the plan for this plan	year			[	12c				
d					of a		12d				
е	Will	the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A	
Part \	VII	Plan Terminations and Transfers of Assets								_	
13a	Has	a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	r?					X Ye	es No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						13a				
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?						es No				
	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
13c(1) Name of plan(s):					<b>13c(2)</b> EIN(s) <b>13</b>			13c	(3) PN(s)		
Cauti	on:	A penalty for the late or incomplete filing of this return/report	will be assessed	unless reasonab	le cau	se is	establ	ished.	1		
Under SB or	per Sch	alties of perjury and other penalties set forth in the instructions, I edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.	declare that I have	examined this retu	ırn/rep	ort, in	cludin	g, if applic			
SIGN	F	Filed with authorized/valid electronic signature. 10/06/2010 JOSEPH R. CAL			, M.D.						
HERE	- [				individual signing as plan administrator						

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor