## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance wit	h the instructions to the Form 550	0-SF.					
		dentification Information								
For	calendar plan year 2009 or fisc	cal plan year beginning 01/01/200	)9	and ending 1	2/31/2	2009				
Α -	This return/report is for:	x single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan				
В -	This return/report is for:	first return/report	final retur	n/report						
		an amended return/report	short plar	n year return/report (less than 12 mo	nths)					
C	Check box if filing under: Form 5558 automatic extension				DFVC program					
		special extension (enter descripti	on)							
Pa	rt II Basic Plan Infor	mation—enter all requested inform	nation							
1a	Name of plan				1b	Three-digit				
CRAI	G R. JOLLEY, DMD, PLLC 40	1(K) PLAN				plan number				
						(PN)				
					1c	Effective date of plan 01/01/2005				
2a	Plan sponsor's name and add	ress (employer, if for single-employer	r nlan)		2h	Employer Identification Number				
	G R. JOLLEY, DMD, PLLC	read (employer, ii for alligic employer	ριατή		-2	(EIN) 14-1967811				
					2c	Plan sponsor's telephone number				
	3 216TH AVENUE SE, SUITE LE VALLEY, WA 98038-3274	В			24	425-432-1232				
IVIZ	LE VALLET, WA 30030 3214				Za	Business code (see instructions) 621210				
3a	Plan administrator's name and	d address (if same as Plan sponsor, e	enter "Same	e")	3b	Administrator's EIN				
CRAI	G R. JOLLEY, DMD, PLLC	27203 216T MAPLE VAL		E SE, SUITE B 08038-3274		14-1967811				
		W/W EE V/C		30000 021 1	3C	Administrator's telephone number 425-432-1232				
<b>4</b> II	the name and/or EIN of the pl	an sponsor has changed since the la	st return/re	eport filed for this plan, enter the	4b	EIN				
1	name, EIN, and the plan number	er from the last return/report. Sponso	or's name		40	DNI				
52	Total number of participants of	at the heginning of the plan year			4c 5a					
	Total number of participants at the beginning of the plan year					7				
	<ul><li>b Total number of participants at the end of the plan year</li><li>c Total number of participants with account balances as of the end of the plan year (defined benefit plans do not</li></ul>				5b	9				
	C Total number of participants with account balances as of the end of the complete this item)				5c	9				
6a	Were all of the plan's assets	during the plan year invested in eligib	ole assets?	(See instructions.)		X Yes No				
b		the annual examination and report of				X Yes ☐ No				
		(See instructions on waiver eligibility				X Yes   No				
Pa	rt III Financial Inform	her 6a or 6b, the plan cannot use F	01111 5500-	SF and must instead use Form 55	υυ.					
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year				
-	Total plan assets		7a	(a) Deginning of Teal 85787	,	(b) End of Teal				
b	Total plan access illinois			00101	+	100200				
C	·	7b from line 7a)		85787	,	138235				
8	Income, Expenses, and Trans		. 70	(a) Amount		(b) Total				
а	Contributions received or received			(a) Amount	(b) Total					
			8a(1)	10844	ŀ.					
	(2) Participants		8a(2)	25465	5					
	(3) Others (including rollovers	s)	. 8a(3)	(	)					
b	Other income (loss)		8b	24179	)					
С	Total income (add lines 8a(1),	, 8a(2), 8a(3), and 8b)	. 8c			60488				
d	1 \	rollovers and insurance premiums	8d	8040	)					
е	Certain deemed and/or correct	ctive distributions (see instructions)	8e	(	)					
f	Administrative service provide	ers (salaries, fees, commissions)	8f	(	)					
g	Other expenses		8g	(	)					
h	Total expenses (add lines 8d,	8e, 8f, and 8g)				8040				
i		ne 8h from line 8c)				52448				
j		see instructions)		(	)					

Part IV	Plan	Characteristics	c
railiv i	FIAII	CHALACLEH SUC:	

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2K 3D 2A

D .	11 1110	plan provides wellare beliefits, effer the applicable wellare feat	ure codes from the	List of Flatt Chara	ICICIIS	iic Coi	ues III	uie iiisuut	Alloris.		
Part	٧	Compliance Questions									
10	Dur	During the plan year:					No		Amoun	t	
а		Was there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				10b		X				
С	Was the plan covered by a fidelity bond?				10c	X				40000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						X				
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)						X				
f	Has the plan failed to provide any benefit when due under the plan?				10f		X			_	
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				10g		X				
h		If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i		f 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
Part '	VI	Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))								es X No		
12	ls t	is a defined contribution plan subject to the minimum funding rec	quirements of sectio	n 412 of the Code	or se	ction 3	302 of	ERISA?	Y	es 🔀 No	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)										
		vaiver of the minimum funding standard for a prior year is being a ting the waiver.									
	-	ompleted line 12a, complete lines 3, 9, and 10 of Schedule M					Day		Teal		
							12b				
		r the amount contributed by the employer to the plan for this plan					12c				
d							12d				
е	Will	the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A	
Part \	VII	Plan Terminations and Transfers of Assets								_	
13a	Has	a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	r?					Y	es X No	
	If "Y	es," enter the amount of any plan assets that reverted to the emp	lover this year				13a		1		
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?						es X No				
	c If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
13c(1) Name of plan(s):						<b>13c(2)</b> EIN(s)			130	(3) PN(s)	
Cauti	on:	A penalty for the late or incomplete filing of this return/report	t will be assessed (	unless reasonab	le cau	ıse is	establ	ished.	1		
Under SB or	per Sch	alties of perjury and other penalties set forth in the instructions, I edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.	declare that I have	examined this retu	ırn/rep	port, ir	cludin	g, if applic	,		
SIGN	F	Filed with authorized/valid electronic signature. 10/07/2010 TODD HUGHES									
HERE	-				individual signing as plan administrator						

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

10/97/10

Page 2-Form 5500-SF 2009 Part IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions; 2A If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Compliance Questions Yes No 10 During the plan year: Amount a Was there a failure to transmit to the plan any participant contributions within the time period described in Х 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) ...... 10a b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported Х on line 10a.)..... 10b C Was the plan covered by a fidelity bond? 10c Х 40,000 d Dld the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud Х 10d e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See 100 X f Has the plan failed to provide any benefit when due under the plan? ..... 101 Х g Did the plan have any participant loans? (if "Yes," enter amount as of year end.)...... Х 10g h If this is an individual account plan, was there a blackout period? (See Instructions and 29 CFR X 2520.101-3.) ...... 10h If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3..... Part VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form Yes Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?.. (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.......Month. Day\_ If you completed line 12s, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. 12b b Enter the minimum required contribution for this plan year. 12c C Enter the amount contributed by the employer to the plan for this plan year..... Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a 12d negative amount) ... Yes No Will the minimum funding amount reported on line 12d be met by the funding deadline?...... N/A Part VII Plan Terminations and Transfers of Assets X 13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? ..... Yes No If "Yes," enter the amount of any plan assets that reverted to the employer this year..... Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control Yes X No If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) 13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s) Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and bellef, it is true, correct, and complete Craig R. Jolley SIGN HERE Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor