## Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2009

1 611310	in benefit Guaranty Gorporation				This Form is Open to Pu	plic		
Part I	Annual Report Ider	ntification Information						
For caler	ndar plan year 2009 or fiscal	plan year beginning 01/01/2009		and ending 12/3	1/2009			
A This r	eturn/report is for:	a multiemployer plan;	a multip	e-employer plan; or				
		x a single-employer plan;	a DFE (s	specify)				
		_	_					
<b>B</b> This r	eturn/report is:	the first return/report;	the final	return/report;				
		an amended return/report;	☐ a short r	olan year return/report (less	than 12 months).			
C If the	plan is a collectively bargain	ed plan, check here		. ,	<u> </u>			
		· –						
<b>D</b> Chec	k box if filing under:	Form 5558;		ic extension;	the DFVC program;			
		special extension (enter de	escription)					
Part I	I Basic Plan Inform	nation—enter all requested inform	nation					
	ne of plan				<b>1b</b> Three-digit plan	001		
FREDRI	CK A VALAURI MDPC PROF	FIT SHARING PLAN			number (PN) •			
					01/01/1992	<b>1c</b> Effective date of plan 01/01/1992		
2a Plan	sponsor's name and addres	s (employer, if for a single-employer	r plan)		<b>2b</b> Employer Identifica	2b Employer Identification		
(Add	ress should include room or s	suite no.)	• /		Number (EIN)	Number (EIN)		
FREDRI	CK A VALAURI MDPC				13-3583343	13-3583343		
					<b>2c</b> Sponsor's telephor	ıe		
					number 212-439-0080	number 212-439-0080		
47 EAST SUITE 2	77TH STREET		77TH STREET	2d Business code (see				
	PRK, NY 10021	SUITE 20 NEW YO	ORK, NY 10021					
					621111			
Caution	· A nenalty for the late or in	complete filing of this return/repo	ort will be assessed	unless reasonable caus	a is astablished			
		penalties set forth in the instructions.				dules		
	, , ,	as the electronic version of this retu	,	•	, , , ,			
SIGN	Filed with authorized/valid el	ectronic signature.	10/12/2010	LARRY GINSBERG				
HERE	Signature of plan administrator		Date	Enter name of individual signing as plan administrator				
	Organization of plant autilimistration		Date	Enter hame or marvidua				
SIGN								
HERE	Cianatura of amelaus dela		Data Called					
	Signature of employer/pla	ın sponsor	Date		al signing as employer or plan sp	onsor		
SIGN								
HERE								
	Signature of DFE		Date	Enter name of individua	al signing as DFE			

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2009) v.092307.1

	Form 5500 (2009)	Р	rage <b>2</b>			
3a Plan administrator's name and address (if same as plan sponsor, enter "Same") FREDRICK A VALAURI MDPC				<b>3b</b> Administrator's EIN 13-3583343		
SU	47 EAST 77TH STREET SUITE 201 NEW YORK, NY 10021			3c Administrator's telephone number 212-439-0080		
4	If the name and/or EIN of the plan sponsor has changed since the last return the plan number from the last return/report:	n/report filed fo	r this plan, enter the name, EIN	l and	4b EIN	
а	Sponsor's name				4c PN	
5	Total number of participants at the beginning of the plan year			5		1
6	Number of participants as of the end of the plan year (welfare plans complete	e only lines <b>6a</b>	, <b>6b, 6c,</b> and <b>6d</b> ).			
а	Active participants			. 6a		2
b	Retired or separated participants receiving benefits			. 6b		
С	Other retired or separated participants entitled to future benefits	. <u>6c</u>				
d	d Subtotal. Add lines 6a, 6b, and 6c					2
е	Deceased participants whose beneficiaries are receiving or are entitled to rec	. <u>6e</u>				
f	f Total. Add lines 6d and 6e			. 6f		2
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			6g		1
h	Number of participants that terminated employment during the plan year with less than 100% vested			6h		
7	Enter the total number of employers obligated to contribute to the plan (only			. 7		
8a b	If the plan provides pension benefits, enter the applicable pension feature co 2E 2G 3B 3E  If the plan provides welfare benefits, enter the applicable welfare feature codes					
9a	Plan funding arrangement (check all that apply)  (1) Insurance (2) Code section 412(e)(3) insurance contracts	9b Plan be (1) (2)	Insurance Code section 412(e)(3)			
	(3) X Trust	(3)	X Trust			
10	(4) General assets of the sponsor  Check all applicable boxes in 10a and 10b to indicate which schedules are a	(4)	General assets of the specific where indicated, enter the number	•	ched. (See instruction	ns)
		_	·	or allal	5.1.5d. (555 mstractio	10)
а	Pension Schedules (1) R (Retirement Plan Information)		al Schedules  H (Financial Inforr	mation)		
	(1) R (Retirement Plan Information) (2) MB (Multiemployer Defined Benefit Plan and Certain Money	(1) (2)	I (Financial Inform	,	Small Plan)	
	Purchase Plan Actuarial Information) - signed by the plan	(3)	A (Insurance Infor		,	

(3)

(4)

(5)

(6)

(3)

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

A (Insurance Information)

**C** (Service Provider Information)

**D** (DFE/Participating Plan Information)

**G** (Financial Transaction Schedules)

## SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

, ,	
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009	and ending 12/31/2009
A Name of plan FREDRICK A VALAURI MDPC PROFIT SHARING PLAN	B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500 FREDRICK A VALAURI MDPC	D Employer Identification Number (EIN) 13-3583343

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

## Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	375032	436330
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	375032	436330
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	<b>(b)</b> Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)		
	(2) Participants	2a(2)		
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	2b		
С	Other income	. 2c	66261	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		66261
е	Benefits paid (including direct rollovers)	. 2e		
f	Corrective distributions (see instructions)	2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions).	2h		
i	Other expenses	. 2i	4963	
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	2j		4963
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		61298
I	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
С	Real estate (other than employer real property)	3с		X	
d	Employer securities	3d		X	
е	Participant loans	3e		Χ	

Page <b>2-</b>	1	
----------------	---	--

Schedule I (Form 5500) 2009

			Yes	No	Amoun	t
3f	Loans (other than to participants)	3f		X		
g	Tangible personal property	3g		X		
	_					
Pa	art II Compliance Questions					
4	During the plan year:		Yes	No	Amour	nt
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4-		X		
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the	4a				
	participant's account balance.	4b		X		
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		Х		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X		
е	Was the plan covered by a fidelity bond?	4e	X			80000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X		
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X		
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X			
ı	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n				
5a 5b	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year  If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide		_		Amount:	es were
O.D	transferred. (See instructions.)		no pian	(3) 10 11	Their assets of habilit	OS WOIO
	5b(1) Name of plan(s)		<b>5b(2)</b> EIN(s) <b>5b(3</b>			