Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

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2009

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Complete all entries in accordance with the instructions to the Form 5500-SF. **Annual Report Identification Information** For calendar plan year 2009 or fiscal plan year beginning and ending 12/31/2009 single-employer plan multiple-employer plan (not multiemployer) one-participant plan A This return/report is for: first return/report final return/report **B** This return/report is for: an amended return/report short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Three-digit 1a Name of plan plan number PROFIT-SHARING PLAN UNDER GASTROENTEROLOGY OF WESTCHESTER, P.C. RETIREMENT TRUST 004 (PN) ▶ 1c Effective date of plan 05/09/1994 2a Plan sponsor's name and address (employer, if for single-employer plan) 2b Employer Identification Number GASTROENTEROLOGY OF WESTCHESTER, PC 13-3952350 (EIN) 2c Plan sponsor's telephone number 914-779-3333 ONE PONDFIELD ROAD WEST **BRONXVILLE, NY 10708** 2d Business code (see instructions) 621111 3a Plan administrator's name and address (if same as Plan sponsor, enter "Same") **3b** Administrator's EIN GASTROENTEROLOGY OF WESTCHESTER, PC ONE PONDFIELD ROAD WEST 13-3952350 **BRONXVILLE, NY 10708 3c** Administrator's telephone number 914-779-3333 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year..... 5a **b** Total number of participants at the end of the plan year..... 5b 8 C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) X Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III | Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 380313 470860 a Total plan assets..... 7a **b** Total plan liabilities..... 7b \cap 470860 Net plan assets (subtract line 7b from line 7a)..... 7с 380313 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: (1) Employers 3080 8a(1) 3080 8a(2) (2) Participants (3) Others (including rollovers)..... 8a(3) 87418 Other income (loss)..... 8b Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8с 93578 Benefits paid (including direct rollovers and insurance premiums 0 to provide benefits)..... 8d 0 Certain deemed and/or corrective distributions (see instructions) ... 8e Administrative service providers (salaries, fees, commissions)...... 8f 0 3031 Other expenses..... 8g 3031 Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h 90547 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions) 0

D (IV/	DI	O L	
Part IV	Plan	Characi	reristics

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2H 2J 3D

SIGN HERE

Signature of employer/plan sponsor

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

	, ,									
Part	V Compliance Questions									
10	0 During the plan year:						es No Amount			
а	Was there a failure to transmit to the plan any participant contribution 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducia			X						
b	Were there any nonexempt transactions with any party-in-interest? (· ·	а						
	on line 10a.)			b	X					
С	Was the plan covered by a fidelity bond?	10	С	X						
d	Did the plan have a loss, whether or not reimbursed by the plan's fid or dishonesty?	*	d	X						
е	Were any fees or commissions paid to any brokers, agents, or other insurance service or other organization that provides some or all of t instructions.)	e plan? (See	e	X						
f	f Has the plan failed to provide any benefit when due under the plan?									
g	Did the plan have any participant loans? (If "Yes," enter amount as o	q	Χ							
h	If this is an individual account plan, was there a blackout period? (Se 2520.101-3.)	29 CFR		Х						
i	10h was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3				X					
Part '	VI Pension Funding Compliance									
	Is this a defined benefit plan subject to minimum funding requiremen						☐ Yes 🛚	No		
12	5500))						_	No		
	Is this a defined contribution plan subject to the minimum funding re (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable to the contribution of th	•	on 412 or the Code of	Section	302 01 1	EKIOA!		140		
	If a waiver of the minimum funding standard for a prior year is being granting the waiverou completed line 12a, complete lines 3, 9, and 10 of Schedule N		Month _					_		
_	b Enter the minimum required contribution for this plan year									
C Enter the amount contributed by the employer to the plan for this plan year					12c					
	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)									
е	Will the minimum funding amount reported on line 12d be met by the			Yes	No N	N/A				
art '	/II Plan Terminations and Transfers of Assets									
3a	Has a resolution to terminate the plan been adopted during the plan	year or any prior ye	ar?				Yes X	No		
	If "Yes," enter the amount of any plan assets that reverted to the emp	oloyer this year			13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?									
С	If during this plan year, any assets or liabilities were transferred from which assets or liabilities were transferred. (See instructions.)	this plan to anothe	r plan(s), identify the p	lan(s) to	0		 			
13c(1) Name of plan(s):					13c(2) EIN(s) 13c(3) PN			(s)		
Cauti	on: A penalty for the late or incomplete filing of this return/repor	t will be assessed	unless reasonable c	ause is	establ	ished.				
SB or	penalties of perjury and other penalties set forth in the instructions, I Schedule MB completed and signed by an enrolled actuary, as well it is true, correct, and complete.									
6104	Filed with authorized/valid electronic signature.	JAMES EHRLICH								
SIGN HERE		Date	Enter name of individual signing as plan administrator							

Date

Enter name of individual signing as employer or plan sponsor