Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Signature of DFE

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2009

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Part I	Annual Report Iden	tification Information			•					
For caler	For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009									
A This	eturn/report is for:	a multiemployer pla	n; 📗 a multip	ole-employer plan; or						
		X a single-employer p	lan; a DFE	(specify)						
B This r	eturn/report is:	the first return/repor	t; the fina	l return/report;						
		an amended return/	report; a short	plan year return/report (le	ss than 12 months).					
C If the	plan is a collectively-bargaine	ed plan, check here								
D Chec	k box if filing under:	Form 5558;	_	tic extension;	the DFVC program;					
2 01100	K BOX II IIIIII g dildor.	special extension (e		•						
Part	II Rasic Plan Inform	nation—enter all requested	•							
	ne of plan	enter an requested	i iiioiiiiatioii		1b Three-digit plan					
	OR & HABER PC PROFIT SH	HARING PLAN			number (PN) ▶	001				
					1c Effective date of plan 01/01/1995	·				
2a Plan	sponsor's name and address	s (employer, if for a single-er	nployer plan)		2b Employer Identification					
	ress should include room or s		. , . ,	Number (EIN)						
KRADIT	OR & HABER PC				13-3755011					
					2c Sponsor's telephone number					
				212-768-2100						
	H AVENUE 5TH FLOOR ORK, NY 10011		75 NINTH AVENUE FIFTH FLOOR NEW YORK, NY 10011							
					541110					
-										
Caution	: A penalty for the late or in	complete filing of this retu	rn/report will be assesse	d unless reasonable cau	se is established.					
					ort, including accompanying schedu					
statemer	nts and attachments, as well a	as the electronic version of the	nis return/report, and to the	best of my knowledge and	d belief, it is true, correct, and compl	ete.				
O.O.	Filed with authorized/valid ele	otronio cianoturo	40/42/2040	DOCED HADED						
SIGN Filed with authorized/valid electron HERE		ectronic signature.	10/13/2010	ROGER HABER						
	Signature of plan adminis	trator	Date	Enter name of individu	ıal signing as plan administrator	igning as plan administrator				
SIGN HERE										
TILICE	Signature of employer/pla	n sponsor	Date	Enter name of individu	ıal signing as employer or plan spon	sor				
SIGN HERE										
				1						

Date

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2009) v.092307.1

Enter name of individual signing as DFE

	Form FF00 (2000)				
ROGER HABER		3c Ad	3b Administrator's EIN 05-5383823 3c Administrator's telephone number 212-768-2100		
4 a	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, the plan number from the last return/report: Sponsor's name	EIN and	4b EIN 4c PN		
5	Total number of participants at the beginning of the plan year	5		7	
6	Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).				
а	Active participants	6a		5	
b	Retired or separated participants receiving benefits	6b			
	Other retired or separated participants entitled to future benefits	_			
d	Subtotal. Add lines 6a , 6b , and 6c	<u>6d</u>		5	
е	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	<u>6e</u>			
f	Total. Add lines 6d and 6e	6f		5	
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g		5	
	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h			
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).				
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic C 2E 3D If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Code				
	Plan funding arrangement (check all that apply) (1) Insurance (2) Code section 412(e)(3) insurance contracts (3) X Trust (4) General assets of the sponsor Plan benefit arrangement (check all that apply) (1) Insurance (2) Code section 412(e)(e) (3) X Trust (4) General assets of the sponsor Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the notation of the contract of the sponsor of the)(3) insurand ne sponsor	ce contracts	tions)	

b General Schedules

(1)

(2)

(3)

(4)

(5)

(6)

H (Financial Information)

A (Insurance Information)C (Service Provider Information)

I (Financial Information – Small Plan)

D (DFE/Participating Plan Information)

G (Financial Transaction Schedules)

a Pension Schedules

(1)

(2)

(3)

R (Retirement Plan Information)

MB (Multiemployer Defined Benefit Plan and Certain Money

Purchase Plan Actuarial Information) - signed by the plan

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2009

This Form is Open to Public Inspection

Tonoin Bonom Guaranty Golporation	mspection				
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009	and ending 12/31/2009				
A Name of plan KRADITOR & HABER PC PROFIT SHARING PLAN	B Three-digit plan number (PN) • 001				
C Plan sponsor's name as shown on line 2a of Form 5500 KRADITOR & HABER PC	D Employer Identification Number (EIN) 13-3755011				

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	129477	121957
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	129477	121957
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)		
	(2) Participants	2a(2)		
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	2b		
С	Other income	. 2c	15385	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		15385
е	Benefits paid (including direct rollovers)	. 2e	22905	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions).	. 2h		
i	Other expenses	. 2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		22905
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		-7520
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		X	
	Participant loans			X	

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			Yes	No		Amount	
3f	Loans (other than to participants)	3f		X			
g	Tangible personal property	3g		Χ			
			•	•			
Pa	art II Compliance Questions						
4	During the plan year:		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully			X			
h	corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		^			
	year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X			
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		Х			
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X			
е	Was the plan covered by a fidelity bond?	4e		X			
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X			
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X			
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		Х			
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X			
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X			
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
ı	Has the plan failed to provide any benefit when due under the plan?	41		X			
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		Х			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	. [] Ye	es 🛚 N	No A	Amount:		
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), id transferred. (See instructions.)	entify t	he plan	(s) to w	hich assets o	or liabilities	were
	5b(1) Name of plan(s)			5b(2)	EIN(s)	5	b(3) PN(s)