Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

Pa	art I	Annual Report I	dentification Informa	tion						
For	calenda	ar plan year 2009 or fis	cal plan year beginning	01/01/200)9	and ending	12/31/2	2009		
Α	This ret	turn/report is for:	x single-employer plan	Г	multiple-e	employer plan (not multiemployer)	one-participant plan			
		turn/report is for:	first return/report	Ē	final retur	n/report				
_			an amended return/repo	ort -	short plar	n year return/report (less than 12 m	onths)			
C	Chook h	box if filing under:	Form 5558	·· -	<u> </u>	extension	,	DFVC program		
C	CHECK	box ii iiiiiig under.	special extension (enter	docorinti	1	CATCHSION		_ bi vo program		
	- n4 II	Dania Blandufan	<u> </u>		,					
	art II		mation—enter all request	ed inform	nation		1h	Three-digit		
	Name	•	ND PROFIT SHARING PLA	N			10	plan number		
CON	TROL	SENEOA 40 IKT EAN A	IND I NOITI SHARING I LA	u v				(PN) ▶ 001		
							1c	Effective date of plan		
								01/01/1989		
			lress (employer, if for single-	employe	r plan)			Employer Identification Number		
CON	TROLS	SENECA CORPORATI	ON				20	(EIN) 91-1521868 Plan sponsor's telephone number		
9107	' - 151S'	T AVENUE NE					20	425-602-4700		
		WA 98052-3512					2d	Business code (see instructions)		
							—	323100		
		dministrator's name and SENECA CORPORATI	d address (if same as Plan s		enter "Same T AVENUE	,		Administrator's EIN 91-1521868		
0011	THOL C	SENEON CON CIVIII			WA 98052		3c	Administrator's telephone number		
								425-602-4700		
						port filed for this plan, enter the	4b	EIN		
	name, E	EIN, and the plan numb	er from the last return/repor	t. Spons	or's name		4c	PN		
5a	Total r	number of participants a	at the beginning of the plan	/ear				41		
b							. 5b	42		
C		·	• •			rear (defined benefit plans do not	. 30	42		
							. 5c	34		
6a	Were	all of the plan's assets	during the plan year investe	d in eligib	ole assets?	(See instructions.)		X Yes No		
b						ndent qualified public accountant (l				
			•			ons.)		X Yes No		
Pa	rt III	Financial Inform		iot use r	· OIIII 5500-	SF and must instead use Form 5	500.			
7		Assets and Liabilities				(a) Beginning of Year		(b) End of Year		
=					7a	30220	62	312736		
		plan liabilities			7b		55	255		
C	Net pla	an assets (subtract line	7b from line 7a)			3020)7	312481		
8		e, Expenses, and Trans	,			(a) Amount		(b) Total		
а		butions received or rec				(a) Amount		(5) 10141		
	(1) Er	mployers			8a(1)					
	(2) Pa	articipants	ants		143	78				
	(3) Ot	thers (including rollover	s)		8a(3)					
b	Other	income (loss)	ome (loss)			36				
С	Total in	income (add lines 8a(1)	, 8a(2), 8a(3), and 8b)		8c			74864		
d		. \	t rollovers and insurance pre			500	-0			
_			arthur dhatallantha a fan a tanta			5999	JO			
e			ctive distributions (see instru	,			_			
t		·	ers (salaries, fees, commiss	,		443	3/			
g		•						0.1000		
h			, 8e, 8f, and 8g)					64390		
i	Net ind	come (loss) (subtract lir	ne 8h from line 8c)		8i			10474		
•			see instructions)							

Part IV	Dlan	Charac	torictics
Part IV	Plan	Charac	reristics

SIGN HERE

Signature of employer/plan sponsor

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2H 2J 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions

art	V Compliance Questions									
0	During the plan year:							Amount		
-	Was there a failure to transmit to the plan any participant contributions 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducian		10a	Yes	No X		Amount			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	Was the plan covered by a fidelity bond?			10c	Χ				250000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					Х				
е	Were any fees or commissions paid to any brokers, agents, or other prinsurance service or other organization that provides some or all of the instructions.)	10e	X				1776			
f	Has the plan failed to provide any benefit when due under the plan? .			10f		Χ				
g	Did the plan have any participant loans? (If "Yes," enter amount as of	year end.)		10g		X				
h	If this is an individual account plan, was there a blackout period? (See	this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 520.101-3.)								
i	If 10h was answered "Yes," check the box if you either provided the re	10h was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3								
art	VI Pension Funding Compliance								_	
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))									
2	Is this a defined contribution plan subject to the minimum funding req	uirements of sectio	n 412 of the Code	or sec	ction 3	02 of E	RISA?	Yes	X No	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable	,								
а	If a waiver of the minimum funding standard for a prior year is being a									
If v	granting the waiverou completed lines 3, 9, and 10 of Schedule MI			1		Day _		rear		
_	Enter the minimum required contribution for this plan year				[12b				
	Enter the amount contributed by the employer to the plan for this plan				1	12c				
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					12d				
е	Will the minimum funding amount reported on line 12d be met by the f	Will the minimum funding amount reported on line 12d be met by the funding deadline?					Yes	No	N/A	
art	VII Plan Terminations and Transfers of Assets									
3a	Has a resolution to terminate the plan been adopted during the plan ye	ear or any prior yea	ır?					Yes	X No	
	If "Yes," enter the amount of any plan assets that reverted to the empl	lover this year				13a		<u> </u>		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought und of the PBGC?					ntrol 		Yes	No X	
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
13c(1) Name of plan(s):						13c(2) EIN(s)			3) PN(s)	
Cauti	ion: A penalty for the late or incomplete filing of this return/report	will be assessed	unless reasonable	Can	se is	establi	shed			
Inde B or	or penalties of perjury and other penalties set forth in the instructions, I or Schedule MB completed and signed by an enrolled actuary, as well as f, it is true, correct, and complete.	declare that I have	examined this retur	n/rep	ort, in	cluding	, if applic	,		
SIGN	Filed with authorized/valid electronic signature. 10/14/2010 GARY WOZOW									
HERI	Signature of plan administrator Data Enter par			of individual cigning as plan administrator						

Date

Enter name of individual signing as employer or plan sponsor