

<div>Form 5500</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Annual Return/Report of Employee Benefit Plan</div> <div>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ Complete all entries in accordance with the instructions to the Form 5500.</div>	<div>OMB Nos. 1210-0110 1210-0089</div> <div>2009</div> <div>This Form is Open to Public Inspection</div>
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Part I	Annual Report Identification Information
For calendar plan year 2009 or fiscal plan year beginning 08/01/2006 and ending 07/31/2007	
A	This return/report is for: <div><div><input type="checkbox"/> a multiemployer plan;</div><div><input checked="" type="checkbox"/> a single-employer plan;</div><div><input type="checkbox"/> a multiple-employer plan; or</div><div><input type="checkbox"/> a DFE (specify) ____</div></div>
B	This return/report is: <div><div><input type="checkbox"/> the first return/report;</div><div><input checked="" type="checkbox"/> an amended return/report;</div><div><input type="checkbox"/> the final return/report;</div><div><input type="checkbox"/> a short plan year return/report (less than 12 months).</div></div>
C	If the plan is a collectively-bargained plan, check here. . . . . ▶ <input type="checkbox"/>
D	Check box if filing under: <div><div><input type="checkbox"/> Form 5558;</div><div><input type="checkbox"/> automatic extension;</div><div><input checked="" type="checkbox"/> the DFVC program;</div><div><input type="checkbox"/> special extension (enter description)</div></div>

Part II	Basic Plan Information—enter all requested information	
1a	Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC	1b Three-digit plan number (PN) ▶ 002
		1c Effective date of plan 08/01/1990
2a	Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.) REC ADVANCED SILICON MATERIALS LLC  1616 S. PIONEER WAY MOSES LAKE, WA 98837	2b Employer Identification Number (EIN) 20-3097239  2c Sponsor's telephone number 509-750-8590  2d Business code (see instructions) 331400

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/20/2010	ELSE THORESEN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

<b>3a</b> Plan administrator's name and address (if same as plan sponsor, enter "Same") REC ADVANCED SILICON MATERIALS LLC  1616 S. PIONEER WAY MOSES LAKE, WA 98837		<b>3b</b> Administrator's EIN 20-3097239
		<b>3c</b> Administrator's telephone number 509-750-8590
<b>4</b> If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:  <b>a</b> Sponsor's name		<b>4b</b> EIN  <b>4c</b> PN
<b>5</b> Total number of participants at the beginning of the plan year	<b>5</b>	691
<b>6</b> Number of participants as of the end of the plan year (welfare plans complete only lines <b>6a</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).		
<b>a</b> Active participants.....	<b>6a</b>	275
<b>b</b> Retired or separated participants receiving benefits.....	<b>6b</b>	119
<b>c</b> Other retired or separated participants entitled to future benefits.....	<b>6c</b>	289
<b>d</b> Subtotal. Add lines <b>6a</b> , <b>6b</b> , and <b>6c</b> .....	<b>6d</b>	683
<b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	<b>6e</b>	8
<b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....	<b>6f</b>	691
<b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....	<b>6g</b>	
<b>h</b> Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested.....	<b>6h</b>	0
<b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) .....	<b>7</b>	
<b>8a</b> If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 1A 1G 1I		
<b>b</b> If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:		

<b>9a</b> Plan funding arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor	<b>9b</b> Plan benefit arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor
<b>10</b> Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
<b>a Pension Schedules</b> (1) <input checked="" type="checkbox"/> <b>R</b> (Retirement Plan Information) (2) <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary  (3) <input type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	<b>b General Schedules</b> (1) <input checked="" type="checkbox"/> <b>H</b> (Financial Information) (2) <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan) (3) <input type="checkbox"/> <b>A</b> (Insurance Information) (4) <input checked="" type="checkbox"/> <b>C</b> (Service Provider Information) (5) <input checked="" type="checkbox"/> <b>D</b> (DFE/Participating Plan Information) (6) <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules)

<b>SCHEDULE C</b> <b>(Form 5500)</b>  Department of the Treasury Internal Revenue Service  Department of Labor Employee Benefits Security Administration  Pension Benefit Guaranty Corporation	<b>Service Provider Information</b>  This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).  ▶ <b>File as an attachment to Form 5500.</b>	OMB No. 1210-0110
		<b>2009</b>
		<b>This Form is Open to Public Inspection.</b>

For calendar plan year 2009 or fiscal plan year beginning 08/01/2006 and ending 07/31/2007	
<b>A</b> Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC	<b>B</b> Three-digit plan number (PN) ▶ 002
<b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 REC ADVANCED SILICON MATERIALS LLC	<b>D</b> Employer Identification Number (EIN) 20-3097239

<b>Part I</b>	<b>Service Provider Information (see instructions)</b>
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

**1 Information on Persons Receiving Only Eligible Indirect Compensation**

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

<b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

UNION BANK OF CALIFORNIA, N.A.

33-6032427

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
26	NONE	138888	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**Part I Service Provider Information (continued)**

**3** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide



**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>SCHEDULE D</b> <b>(Form 5500)</b>  Department of the Treasury Internal Revenue Service  Department of Labor Employee Benefits Security Administration	<b>DFE/Participating Plan Information</b>  This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).  ► <b>File as an attachment to Form 5500.</b>	OMB No. 1210-0110  <b>2009</b>  <b>This Form is Open to Public Inspection.</b>
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For calendar plan year 2009 or fiscal plan year beginning 08/01/2006 and ending 07/31/2007

<b>A</b> Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC	<b>B</b> Three-digit plan number (PN) ► 002
<b>C</b> Plan or DFE sponsor's name as shown on line 2a of Form 5500 REC ADVANCED SILICON MATERIALS LLC	<b>D</b> Employer Identification Number (EIN) 20-3097239

<b>Part I</b>	<b>Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)</b> (Complete as many entries as needed to report all interests in DFEs)
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**a** Name of MTIA, CCT, PSA, or 103-12 IE: UBOC STABLE VALUE FUND CL A

**b** Name of sponsor of entity listed in (a): UNION BANK OF CALIFORNIA

<b>c</b> EIN-PN 94-6758698-001	<b>d</b> Entity code C	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

<b>c</b> EIN-PN	<b>d</b> Entity code	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

<b>c</b> EIN-PN	<b>d</b> Entity code	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

<b>c</b> EIN-PN	<b>d</b> Entity code	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

<b>c</b> EIN-PN	<b>d</b> Entity code	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

<b>c</b> EIN-PN	<b>d</b> Entity code	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

<b>c</b> EIN-PN	<b>d</b> Entity code	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)

**Part II Information on Participating Plans (to be completed by DFEs)**

(Complete as many entries as needed to report all participating plans)

**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN

<b>SCHEDULE H</b> <b>(Form 5500)</b>  <small>Department of the Treasury Internal Revenue Service</small>  <small>Department of Labor Employee Benefits Security Administration</small>  <small>Pension Benefit Guaranty Corporation</small>	<b>Financial Information</b>  This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).  <b>► File as an attachment to Form 5500.</b>	OMB No. 1210-0110  <b>2009</b>  <b>This Form is Open to Public Inspection</b>
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For calendar plan year 2009 or fiscal plan year beginning <u>08/01/2006</u> and ending <u>07/31/2007</u>		
<b>A</b> Name of plan <u>PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC</u>	<b>B</b> Three-digit plan number (PN) ►	<u>002</u>
<b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 <u>REC ADVANCED SILICON MATERIALS LLC</u>	<b>D</b> Employer Identification Number (EIN)  <u>20-3097239</u>	

<b>Part I</b>	<b>Asset and Liability Statement</b>
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**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
<b>a</b> Total noninterest-bearing cash .....	<b>1a</b>	0	0
<b>b</b> Receivables (less allowance for doubtful accounts):			
<b>(1)</b> Employer contributions .....	<b>1b(1)</b>	3381487	527496
<b>(2)</b> Participant contributions .....	<b>1b(2)</b>		
<b>(3)</b> Other.....	<b>1b(3)</b>		
<b>c</b> General investments:			
<b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit) .....	<b>1c(1)</b>	502822	28835
<b>(2)</b> U.S. Government securities.....	<b>1c(2)</b>		
<b>(3)</b> Corporate debt instruments (other than employer securities):			
<b>(A)</b> Preferred .....	<b>1c(3)(A)</b>	0	22571928
<b>(B)</b> All other.....	<b>1c(3)(B)</b>		
<b>(4)</b> Corporate stocks (other than employer securities):			
<b>(A)</b> Preferred .....	<b>1c(4)(A)</b>		
<b>(B)</b> Common .....	<b>1c(4)(B)</b>	7234450	0
<b>(5)</b> Partnership/joint venture interests .....	<b>1c(5)</b>		
<b>(6)</b> Real estate (other than employer real property) .....	<b>1c(6)</b>		
<b>(7)</b> Loans (other than to participants) .....	<b>1c(7)</b>		
<b>(8)</b> Participant loans .....	<b>1c(8)</b>		
<b>(9)</b> Value of interest in common/collective trusts.....	<b>1c(9)</b>	1321	0
<b>(10)</b> Value of interest in pooled separate accounts.....	<b>1c(10)</b>		
<b>(11)</b> Value of interest in master trust investment accounts .....	<b>1c(11)</b>		
<b>(12)</b> Value of interest in 103-12 investment entities .....	<b>1c(12)</b>		
<b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds).....	<b>1c(13)</b>	10482855	425
<b>(14)</b> Value of funds held in insurance company general account (unallocated contracts).....	<b>1c(14)</b>		
<b>(15)</b> Other .....	<b>1c(15)</b>	0	1300000

**1d** Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities .....	<b>1d(1)</b>		
(2) Employer real property .....	<b>1d(2)</b>		
<b>e</b> Buildings and other property used in plan operation .....	<b>1e</b>		
<b>f</b> Total assets (add all amounts in lines 1a through 1e) .....	<b>1f</b>	21602935	24428684

**Liabilities**

<b>g</b> Benefit claims payable .....	<b>1g</b>		
<b>h</b> Operating payables .....	<b>1h</b>	30588	21561
<b>i</b> Acquisition indebtedness .....	<b>1i</b>		
<b>j</b> Other liabilities .....	<b>1j</b>		
<b>k</b> Total liabilities (add all amounts in lines 1g through 1j) .....	<b>1k</b>	30588	21561

**Net Assets**

<b>l</b> Net assets (subtract line 1k from line 1f) .....	<b>1l</b>	21572347	24407123
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**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

**Income****a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: <b>(A)</b> Employers .....	<b>2a(1)(A)</b>	987996	
<b>(B)</b> Participants .....	<b>2a(1)(B)</b>		
<b>(C)</b> Others (including rollovers) .....	<b>2a(1)(C)</b>		
(2) Noncash contributions .....	<b>2a(2)</b>		
(3) Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> .....	<b>2a(3)</b>		987996

**b Earnings on investments:****(1) Interest:**

<b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit) .....	<b>2b(1)(A)</b>	80625	
<b>(B)</b> U.S. Government securities .....	<b>2b(1)(B)</b>		
<b>(C)</b> Corporate debt instruments .....	<b>2b(1)(C)</b>	39262	
<b>(D)</b> Loans (other than to participants) .....	<b>2b(1)(D)</b>		
<b>(E)</b> Participant loans .....	<b>2b(1)(E)</b>		
<b>(F)</b> Other .....	<b>2b(1)(F)</b>	6047	
<b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....	<b>2b(1)(G)</b>		125934

(2) Dividends: <b>(A)</b> Preferred stock .....	<b>2b(2)(A)</b>		
<b>(B)</b> Common stock .....	<b>2b(2)(B)</b>	124467	
<b>(C)</b> Registered investment company shares (e.g. mutual funds) .....	<b>2b(2)(C)</b>		
<b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....	<b>2b(2)(D)</b>		124467

(3) Rents .....	<b>2b(3)</b>		
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(4) Net gain (loss) on sale of assets: <b>(A)</b> Aggregate proceeds .....	<b>2b(4)(A)</b>	72210462	
<b>(B)</b> Aggregate carrying amount (see instructions) .....	<b>2b(4)(B)</b>	71095316	
<b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....	<b>2b(4)(C)</b>		1115146

		(a) Amount	(b) Total
<b>2b</b> (5) Unrealized appreciation (depreciation) of assets: <b>(A)</b> Real estate.....	<b>2b(5)(A)</b>		
<b>(B)</b> Other .....	<b>2b(5)(B)</b>	226797	
<b>(C)</b> Total unrealized appreciation of assets. Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....	<b>2b(5)(C)</b>		226797
<b>(6)</b> Net investment gain (loss) from common/collective trusts .....	<b>2b(6)</b>		3
<b>(7)</b> Net investment gain (loss) from pooled separate accounts .....	<b>2b(7)</b>		
<b>(8)</b> Net investment gain (loss) from master trust investment accounts .....	<b>2b(8)</b>		
<b>(9)</b> Net investment gain (loss) from 103-12 investment entities .....	<b>2b(9)</b>		
<b>(10)</b> Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	<b>2b(10)</b>		1717739
<b>c</b> Other income.....	<b>2c</b>		14506
<b>d</b> Total income. Add all <b>income</b> amounts in column (b) and enter total.....	<b>2d</b>		4312588

**Expenses**

<b>e</b> Benefit payment and payments to provide benefits:			
<b>(1)</b> Directly to participants or beneficiaries, including direct rollovers .....	<b>2e(1)</b>	1358413	
<b>(2)</b> To insurance carriers for the provision of benefits .....	<b>2e(2)</b>		
<b>(3)</b> Other .....	<b>2e(3)</b>		
<b>(4)</b> Total benefit payments. Add lines <b>2e(1)</b> through <b>(3)</b> .....	<b>2e(4)</b>		1358413
<b>f</b> Corrective distributions (see instructions) .....	<b>2f</b>		
<b>g</b> Certain deemed distributions of participant loans (see instructions).....	<b>2g</b>		
<b>h</b> Interest expense.....	<b>2h</b>		
<b>i</b> Administrative expenses: <b>(1)</b> Professional fees .....	<b>2i(1)</b>		
<b>(2)</b> Contract administrator fees .....	<b>2i(2)</b>		
<b>(3)</b> Investment advisory and management fees .....	<b>2i(3)</b>		
<b>(4)</b> Other .....	<b>2i(4)</b>	119399	
<b>(5)</b> Total administrative expenses. Add lines <b>2i(1)</b> through <b>(4)</b> .....	<b>2i(5)</b>		119399
<b>j</b> Total expenses. Add all <b>expense</b> amounts in column (b) and enter total.....	<b>2j</b>		1477812

**Net Income and Reconciliation**

<b>k</b> Net income (loss). Subtract line <b>2j</b> from line <b>2d</b> .....	<b>2k</b>		2834776
<b>l</b> Transfers of assets:			
<b>(1)</b> To this plan.....	<b>2l(1)</b>		0
<b>(2)</b> From this plan .....	<b>2l(2)</b>		0

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: KPMG LLP

(2) EIN: 13-5565207

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

- 4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

	Yes	No	Amount
<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....		X	
<b>b</b> Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....		X	
<b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....		X	
<b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
<b>e</b> Was this plan covered by a fidelity bond?.....	X		5000000
<b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....		X	
<b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....		X	
<b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....		X	
<b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.).....	X		
<b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.).....	X		
<b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....		X	
<b>l</b> Has the plan failed to provide any benefit when due under the plan? .....		X	
<b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.).....			
<b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....			

- 5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  
If yes, enter the amount of any plan assets that reverted to the employer this year ..... ☐ Yes ☒ No Amount:

- 5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

**5b(1)** Name of plan(s)

**5b(2)** EIN(s)

**5b(3)** PN(s)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)



<div>SCHEDULE R (Form 5500)  Department of the Treasury Internal Revenue Service  Department of Labor Employee Benefits Security Administration  Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2009</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2009 or fiscal plan year beginning 08/01/2006 and ending 07/31/2007		
A Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC		B Three-digit plan number (PN) ► 002
C Plan sponsor's name as shown on line 2a of Form 5500 REC ADVANCED SILICON MATERIALS LLC		D Employer Identification Number (EIN) 20-3097239
Part I Distributions		
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 33-6032427		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....		3 0
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)		
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A		
If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____		
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year .....		6a
b Enter the amount contributed by the employer to the plan for this plan year .....		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A		
Part III Amendments		
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box. <input type="checkbox"/> Increase <input type="checkbox"/> Decrease <input type="checkbox"/> Both <input checked="" type="checkbox"/> No		
Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.		
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? <input type="checkbox"/> Yes <input type="checkbox"/> No		
11 a Does the ESOP hold any preferred stock? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) <input type="checkbox"/> Yes <input type="checkbox"/> No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? <input type="checkbox"/> Yes <input type="checkbox"/> No		
For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.		
Schedule R (Form 5500) 2009 v.092308.1		

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

<b>a</b> The current year .....	<b>14a</b>	
<b>b</b> The plan year immediately preceding the current plan year .....	<b>14b</b>	
<b>c</b> The second preceding plan year .....	<b>14c</b>	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

<b>a</b> The corresponding number for the plan year immediately preceding the current plan year .....	<b>15a</b>	
<b>b</b> The corresponding number for the second preceding plan year .....	<b>15b</b>	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

<b>a</b> Enter the number of employers who withdrew during the preceding plan year .....	<b>16a</b>	
<b>b</b> If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers .....	<b>16b</b>	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. .... ☐

**Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans**

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ..... ☐

- 19** If the total number of participants is 1,000 or more, complete items (a) through (c)

**a** Enter the percentage of plan assets held as:  
 Stock: \_\_\_\_\_% Investment-Grade Debt: \_\_\_\_\_% High-Yield Debt: \_\_\_\_\_% Real Estate: \_\_\_\_\_% Other: \_\_\_\_\_%

**b** Provide the average duration of the combined investment-grade and high-yield debt:  
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate item 19(b)?  
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): \_\_\_\_\_



**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Financial Statements and Supplemental Schedules

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

(With Independent Auditors' Report Thereon)

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

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**KPMG LLP**  
Suite 900  
801 Second Avenue  
Seattle, WA 98104

## **Independent Auditors' Report**

The Administrative Committee  
Pension Plan for the Employees of  
Advanced Silicon Materials LLC:

We were engaged to audit the financial statements and supplemental schedules of the Pension Plan for the Employees of Advanced Silicon Materials LLC as of July 31, 2009, 2008, 2007, 2006, 2005, and 2004, and for the years then ended, as listed in the accompanying table of contents. These financial statements and supplemental schedules are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in note 6, which was certified by Union Bank of California, the trustee of the Plan, except for comparing such information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee as of July 31, 2009, 2008, 2007, 2006, 2005, and 2004 and for the years then ended, that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedules taken as a whole. The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

As further discussed in note 1(g), the Plan was not timely amended to bring it into compliance with certain regulatory requirements. The plan sponsor has also identified certain other errors and operational issues. The plan sponsor intends to file an application under the Voluntary Compliance Program to correct these defects.

**KPMG LLP**

Seattle, Washington  
September 6, 2010

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Statements of Net Assets Available for Benefits

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

	<u>2009</u>	<u>2008</u>	<u>2007</u>	<u>2006</u>	<u>2005</u>	<u>2004</u>
Assets:						
Investments at fair value:						
Registered investment company funds	\$ 9,304,952	1,216,447	29,260	10,985,678	9,078,563	6,811,337
Common commingled trust fund	—	—	—	1,321	1,075,919	1,040,856
Commercial paper	13,748,241	21,659,463	22,571,928	—	—	—
Repurchase agreement	—	—	1,300,000	—	—	—
Bankers acceptance	—	1,094,137	—	—	—	—
Common stocks	—	—	—	7,234,449	7,445,055	6,202,269
Total investments	<u>23,053,193</u>	<u>23,970,047</u>	<u>23,901,188</u>	<u>18,221,448</u>	<u>17,599,537</u>	<u>14,054,462</u>
Employer contributions receivable	<u>—</u>	<u>—</u>	<u>527,496</u>	<u>3,381,487</u>	<u>562,300</u>	<u>2,691,710</u>
Total assets	<u>23,053,193</u>	<u>23,970,047</u>	<u>24,428,684</u>	<u>21,602,935</u>	<u>18,161,837</u>	<u>16,746,172</u>
Liabilities:						
Administrative expenses payable	<u>10,910</u>	<u>20,821</u>	<u>21,561</u>	<u>30,588</u>	<u>29,073</u>	<u>23,693</u>
Net assets available for benefits	<u>\$ 23,042,283</u>	<u>23,949,226</u>	<u>24,407,123</u>	<u>21,572,347</u>	<u>18,132,764</u>	<u>16,722,479</u>

See accompanying notes to financial statements.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Statements of Changes in Net Assets Available for Benefits  
Years ended July 31, 2009, 2008, 2007, 2006, 2005, and 2004

	<u>2009</u>	<u>2008</u>	<u>2007</u>	<u>2006</u>	<u>2005</u>	<u>2004</u>
Investment earnings:						
Interest income	\$ 574,323	1,195,076	125,936	41,276	43,168	25,801
Dividend income	—	9	308,634	310,620	234,365	156,710
Net appreciation (depreciation) in fair value of investment:						
Common stocks	4,096	10,282	1,129,653	593,329	951,932	1,072,077
Registered investment company funds	—	18	1,533,572	562,158	1,070,210	227,162
Commercial paper	(27,406)	(185,340)	226,797	—	—	—
Net investment earnings	551,013	1,020,045	3,324,592	1,507,383	2,299,675	1,481,750
Contributions	—	—	987,996	3,381,487	562,300	3,391,710
Benefit payments	(1,386,527)	(1,393,692)	(1,358,413)	(1,329,005)	(1,344,649)	(1,364,309)
Administrative expenses	(71,429)	(84,250)	(119,399)	(120,282)	(107,041)	(124,792)
Net (decrease) increase	(906,943)	(457,897)	2,834,776	3,439,583	1,410,285	3,384,359
Net assets available for benefits at beginning of year	23,949,226	24,407,123	21,572,347	18,132,764	16,722,479	13,338,120
Net assets available for benefits at end of year	<u>\$ 23,042,283</u>	<u>23,949,226</u>	<u>24,407,123</u>	<u>21,572,347</u>	<u>18,132,764</u>	<u>16,722,479</u>

See accompanying notes to financial statements.



**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

**(1) Description of Plan**

**(a) General**

The following description of the Pension Plan for the Employees of Advanced Silicon Materials LLC (the Plan) provides only general information. Participants should refer to the plan document for a more complete description of the Plan's provisions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended. The Plan is a defined benefit plan covering all nonunion employees of Advanced Silicon Materials LLC (the Company). All nonunion employees become eligible for Plan participation upon employment.

Effective September 20, 2002, the Company entered into a joint venture with Renewable Energy Corporation, forming a new company called Solar Grade Silicon. Certain employees of the Company became employees of Solar Grade Silicon. These participants ceased accruing benefits in the Plan and became fully vested.

In July 2005, Komatsu Ltd. (Komatsu) the former parent company of the Company sold 75% of the ownership interest of the Company to Renewable Energy Corporation establishing REC – Advanced Silicon Materials, LLC (REC Silicon). On December 31, 2005 REC Silicon amended to freeze the Plan by closing entry and freezing accrued benefits. All active participants as of December 31, 2005 were vested, regardless of company service time.

**(b) Contributions**

Contributions to the Plan are made by the Company in amounts determined by management with the assistance of its actuary. Contributions met the minimum funding requirements of ERISA in the July 31, 2009, 2008, 2007, 2006, 2005, and 2004 plan years.

**(c) Vesting**

Prior to July 31, 2005, participants in the Plan were fully vested after five years of service. All active participants as of December 31, 2005 were vested, regardless of company service time.

**(d) Administrator of the Plan**

An employee of the Company is designated as the administrator of the Plan, with actuarial services provided by Altman & Cronin.

**(e) Plan Benefits**

The Plan provides that eligible employees who had any service prior to 1996 receive a monthly pension benefit calculated using the greater of the regular, alternate, or minimum formulas. Eligible employees who were hired after 1995 and prior to December 31, 2005 receive a monthly pension benefit using the greater of the regular or minimum formulas.

- The regular formula is based on 1.2% of the average monthly compensation and years of credited service plus \$12.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

- The alternative formula is based on 1.5% of the average monthly compensation, and years of credited service through December 31, 1995 reduced by 1.5% of monthly 1995 Primary Social Security Benefit.
- The minimum formula is based on 2% – 10% (depending on years of credited service) of the average monthly compensation, years of credited service and \$12.

Payments to plan participants or beneficiaries are payable, at the participants' or beneficiaries' option, in one of the following ways:

- Single life annuity
- Joint and survivor annuity
- Level Income (Social Security Adjustment Option)
- Lump-sum payment (only applicable if the total value of the benefit is less than \$5,000)

**(f) Termination of the Plan**

In the event of termination of the Plan, the rights of each participant to benefits accrued to the date the Plan was frozen (December 31, 2005) shall continue to be vested and nonforfeitable, and the right of each participant to any other benefits accrued to the date the Plan was frozen (December 31, 2005) shall be fully vested and nonforfeitable to the extent then funded under the priority rules set forth in Section 4044 of ERISA.

Should the Plan terminate at some future time, its net assets generally will not be available on a pro rata basis to provide participants' benefits. Whether a particular participant's accumulated plan benefits will be paid depends on both the priority of those benefits and the level of benefits guaranteed by the Pension Benefit Guaranty Corporation (PBGC) at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty, while other benefits may not be provided for at all.

For added security, the Company pays premiums to PBGC to insure certain benefits under the Plan. If the Plan terminates, PBGC generally guarantees most vested normal and early retirement benefits.

**(g) Tax Status**

The Internal Revenue Service has determined and informed the Company in a letter dated May 17, 2005 that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). The Plan has subsequently been amended. Management believes the Plan and trust continue to be designed in accordance with the IRC. The Plan was not timely amended to bring it into compliance with the requirements of the Pension Funding Equity Act, the Pension Protection Act and certain other requirements. The Company has also identified certain other errors and operational issues. The Company intends to file an application under the Voluntary Compliance Program (VCP) to correct these defects. The Plan's administrator expects that the final outcome of the VCP will not have a material effect on the Plan's financial statements or any impact to the Plan's

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

qualified tax status. Therefore, no provision for income taxes has been included in the Plan's financial statements.

**(h) Expenses**

Trustee and investment management expenses are paid by the Plan. All other expenses including actuarial expenses are paid by the Company.

**(2) Summary of Significant Accounting Policies**

**(a) Basis of Accounting**

The accompanying financial statements are prepared on the accrual basis of accounting.

**(b) Use of Estimates**

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan's management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of additions to, and deductions from, net assets during the reporting period. Actual results could differ from those estimates.

**(c) Investments**

Investments in common stock and registered investment company funds are stated at fair value, based on quoted closing market prices. Commercial paper is stated at fair value based on yields currently available on comparable securities of issuers with similar credit ratings. Repurchase agreement is stated at fair value based on the carrying value due to the short period of time between issuance and maturity. Bankers acceptance is stated at fair value based on the discounted value of the face value. The Stable Value Fund, a common commingled trust fund, invests primarily in guaranteed investment contracts and is valued at fair value based on the fair value of the underlying assets using discounted cash flows. The average yield and crediting rate for 2006, 2005 and 2004 was 3.83%, 3.49%, and 3.32% respectively.

**(d) Risks and Uncertainties**

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee demographic, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

The plan assets are invested in a variety of investments, Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility risks. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

**(e) *Income Recognition***

Net appreciation (depreciation) in fair value of investments represents realized gains and losses and the change in unrealized gains and losses from one period to the next. Interest is recorded when earned. Dividends are recorded on the ex-dividend date. Purchases and sales of securities are recorded on a trade-date basis.

**(f) *Payment of Benefits***

Benefit payments to participants are recorded upon distribution.

**(g) *Fair Value of Financial Instruments***

The Plan adopted Financial Accounting Standards Board (FASB) Statement of Financial Standards No. 157, *Fair Value Measurements* (SFAS 157), effective August 1, 2008. In accordance with SFAS 157, fair value is defined as the price that the Plan would receive upon selling an investment in an orderly transaction to an independent buyer in the principal or most advantageous market of the investment. SFAS 157 established a three-tier hierarchy to maximize the use of observable market data and minimize the use of unobservable inputs, and to establish classification of fair value measurements for disclosure purposes. Inputs refer broadly to the assumptions that market participants would use in pricing the asset or liability, including assumptions about risk. Inputs may be observable or unobservable. Observable inputs are inputs that reflect the assumptions market participants would use in pricing the asset or liability developed based on market data obtained from sources independent of the reporting entity. Unobservable inputs are inputs that reflect the reporting entity's own assumptions about the assumptions market participants would use in pricing the asset or liability developed based on the best information available. The three-tier hierarchy of inputs is summarized in the three broad levels listed below.

- Level 1 – quoted prices in active markets for identical investments
- Level 2 – other significant observable inputs (including quoted prices for similar investments, interest rates, prepayment speeds, credit risk, etc.)
- Level 3 – significant unobservable inputs (including the Plan's own assumptions in determining the fair value of investments)

Following is a description of the valuation methodologies used for assets measured at fair value as of July 31, 2009.

*Registered investment company funds:* Valued at the net asset value (NAV) of shares held by the Plan at the year-end based on quoted market prices.

*Commercial paper:* Valued based on yields currently available on comparable securities of issuers with similar credit ratings.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The table below summarizes the fair values of the Plan's investments as of July 31, 2009. No Level 3 investments were held by the Plan as of July 31, 2009.

	<u>Fair value</u>	<u>Level 1</u>	<u>Level 2</u>
Registered investment company funds	\$ 9,304,952	9,304,952	—
Commercial paper	13,748,241	—	13,748,241
Total	<u>\$ 23,053,193</u>	<u>9,304,952</u>	<u>13,748,241</u>

**(h) Reclassifications**

Certain 2004 amounts have been reclassified to conform to the present year presentation.

**(3) Investments in Excess of 5% of Net Assets**

The following table presents the fair value of individual investments that represent 5% or more of the Plan's net assets at July 31:

	<u>2009</u>	<u>2008</u>	<u>2007</u>	<u>2006</u>	<u>2005</u>
HighMark Diversified Money Market Fund	\$ 9,304,952	1,216,447	*	*	*
Deutsche Bank Repurchase Agreement	—	—	1,300,000	—	—
Fidelity Advisor Series VIII Fund	—	—	—	1,956,661	1,457,360
HighMark Bond Fund	—	—	—	1,098,048	1,086,355
PIMCO Total Return Fund	—	—	—	1,367,462	*
John Hancock Cap Series Fund	—	—	—	*	908,250
Union Bank of California Stable Value Fund	—	—	—	*	1,075,919

\* Investment holding does not meet the 5% threshold

**(4) Actuarial Assumptions and Procedures**

The projected unit credit cost method is being used for funding of the Plan.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

Accumulated benefits are those future benefit payments that individual participants have accumulated under the Plan's provisions based on the employee's compensation and service rendered to date. The actuarial present value of accumulated benefits is the sum of accumulated benefits adjusted to reflect the probability of payment (by means of death, disability, termination, or retirement) and the time value of money (through discounts for interest). The actuarial valuations for each year were prepared as of August 1st. Had the valuations been prepared as of July 31st, there would be no material difference. The significant actuarial assumptions used in the valuations are as follows:

Discount rate/investment return	2005 and 2006:	8% per year
	2007, 2008 and 2009:	5% per year
Mortality	2005:	Based on the 1983 Group Annuity Mortality Table with separate rates for males and females.
	2006 and 2007:	For nondisabled participants, the RP2000 Mortality Table for males and females. For participants on Disability Retirement, the RP2000 Disabled Mortality Table for males and females.
	2008 and 2009:	Based on the IRS 2008 Static Mortality Table stipulated under IRS proposed regulations 1.430(h)(3)-1(b). The IRS 2008 Static Mortality Table is the RP-2000 Mortality Table for annuitant and nonannuitants projected for mortality improvement by Scale AA.
Normal retirement age	Expected retirement beginning at age 58 with 100% assumed to retire at age 65.	

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, then different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated benefits.

**(5) Accumulated Plan Benefit Obligation**

A summary of the actuarial present value of accumulated plan benefit obligation at July 31, 2009, 2008, 2007, 2006, 2005, and 2004 is as follows:

	<u>2009</u>	<u>2008</u>	<u>2007</u>	<u>2006</u>	<u>2005</u>	<u>2004</u>
Vested benefits – participants						
currently receiving payments	\$ 13,238,382	13,816,124	14,139,605	7,252,566	10,446,722	10,723,109
Other vested benefits	14,457,690	13,686,866	13,379,254	10,580,905	6,296,226	5,237,843
Nonvested benefits	<u>53,226</u>	<u>124,760</u>	<u>129,610</u>	<u>64,401</u>	<u>128,829</u>	<u>665,804</u>
Accumulated plan						
benefit obligation \$	<u><u>27,749,298</u></u>	<u><u>27,627,750</u></u>	<u><u>27,648,469</u></u>	<u><u>17,897,872</u></u>	<u><u>16,871,777</u></u>	<u><u>16,626,756</u></u>

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

Changes to the actuarial present value of accumulated plan benefit obligation for the years ended July 31, 2009, 2008, 2007, 2006, 2005 and 2004 were a result of the following:

	<u>2009</u>	<u>2008</u>	<u>2007</u>	<u>2006</u>	<u>2005</u>	<u>2004</u>
Accumulated plan benefit obligation, beginning of year	\$ 27,627,750	27,648,469	17,897,872	16,871,777	16,626,756	16,142,002
Benefits paid	(1,386,527)	(1,393,692)	(1,358,413)	(1,329,005)	(1,344,649)	(1,364,309)
Actuarial variance	—	—	—	—	—	35,991
Change in actuarial assumptions	—	—	9,067,489	397,047	—	—
Increase for interest due to decrease in the discount period	1,346,724	1,347,581	1,377,493	1,296,582	1,276,355	1,237,735
Benefits accumulated	161,351	25,392	664,028	661,471	957,628	575,337
Change in plan provisions	—	—	—	—	(644,313)	—
	<u>          </u>	<u>          </u>	<u>          </u>	<u>          </u>	<u>          </u>	<u>          </u>
Actuarial plan benefit obligation, end of year	\$ <u>27,749,298</u>	<u>27,627,750</u>	<u>27,648,469</u>	<u>17,897,872</u>	<u>16,871,777</u>	<u>16,626,756</u>

The change in plan provisions for 2005 represents the freeze of the Plan as of December 31, 2005. Beginning December 31, 2005, no new entrants are permitted into the Plan and the average monthly compensation is frozen as of that date.

The change in actuarial assumptions for 2006 represents a change in the mortality table as described above.

The change in actuarial assumptions for 2007 represents a change in the investment return from 8% to 5% based on the change in investment allocation.

**(6) Information Certified by the Trustee**

The following information was certified by Union Bank of California (UBOC), the trustee, as being complete and accurate:

- Investments at fair value
- Interest income
- Dividend income
- Net appreciation (depreciation) in fair value of investments
- Investment information included in notes 2 and 3
- Schedule of assets (held at end of year)
- Schedule of reportable transactions

**(7) Party-in-Interest Transactions**

Certain plan investments are shares managed by UBOC. UBOC is the trustee as defined by the Plan, and therefore, these transactions qualify as party-in-interest transactions.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

**(8) Subsequent Events**

Subsequent events have been evaluated through September 6, 2010, the date of independent auditors' report.



**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2009

<b>Identity of issuer, borrower, or similar party</b>	<b>Description of investment</b>	<b>Number of shares or units</b>	<b>Cost</b>	<b>Market value</b>
HighMark Diversified Money Market Fund*	Registered investment company fund	9,304,952	\$ 9,304,952	9,304,952
Aegon NV	Commercial paper 9/1/09	1,150,000	1,148,270	1,149,758
BMW US Capital LLC	Commercial paper 8/3/09	1,150,000	1,148,788	1,150,000
Eaton Corporation	Commercial paper 8/6/09	1,150,000	1,149,185	1,149,966
FCAR Owner Trust	Commercial paper 8/3/09	1,150,000	1,149,636	1,150,000
Giro Balanced Funding Corporation	Commercial paper 8/6/09	1,150,000	1,149,810	1,149,966
Governor & Co Bank of Ireland	Commercial paper 8/5/09	1,150,000	1,146,406	1,149,977
ING America Insurance Holding	Commercial paper 8/27/09	1,150,000	1,149,208	1,149,804
Prudential PLC	Commercial paper 8/5/09	1,100,000	1,096,700	1,099,978
Silver Tower US Fund	Commercial paper 8/3/09	1,150,000	1,149,758	1,150,000
Three Pillars Funding Co	Commercial paper 8/3/09	1,150,000	1,149,765	1,150,000
TSL USA Inc	Commercial paper 8/10/09	1,150,000	1,149,016	1,149,931
Wellpoint Inc.	Commercial paper 10/8/09	1,150,000	1,147,649	1,148,861
			<u>\$ 23,039,143</u>	<u>23,053,193</u>

\* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2008

<b>Identity of issuer, borrower, or similar party</b>	<b>Description of investment</b>	<b>Number of shares or units</b>	<b>Cost</b>	<b>Market value</b>
HighMark Diversified Money Market Fund*	Registered investment company fund	1,216,446	\$ 1,216,447	1,216,447
Aetna Inc	Commercial Paper 8/1/08	1,100,000	1,097,983	1,100,000
Bank of America	Bankers Acceptances 10/08/08	1,100,000	1,093,846	1,094,137
Barclays US Funding LLC	Commercial Paper 8/18/08	1,000,000	997,851	998,900
Chevron Phillips chemical	Commercial Paper 8/7/08	1,100,000	1,097,300	1,099,593
Diageo capital PLC	Commercial Paper 8/6/08	1,100,000	1,094,368	1,099,659
Dominion Resources Inc	Commercial Paper 8/28/08	1,100,000	1,096,255	1,097,976
Dow Chemical	Commercial Paper 10/6/08	1,100,000	1,091,475	1,094,192
Fortune Brands	Commercial Paper 9/3/08	1,100,000	1,096,987	1,097,404
General Electric Capital Services	Commercial Paper 10/22/08	1,100,000	1,093,125	1,092,784
Giro Balanced Funding Corporation	Commercial Paper 8/1/08	1,100,000	1,099,919	1,100,000
JP Morgan Chase and Company	Commercial Paper 10/16/08	1,100,000	1,092,850	1,093,312
Kellogg Company	Commercial Paper 8/14/08	1,100,000	1,094,538	1,099,054
Mitsui & Co.	Commercial Paper 8/4/08	1,000,000	997,644	999,810
Natexis Banques Poplr	Commercial Paper 8/4/08	1,000,000	995,692	999,810
Prudential PLC	Commercial Paper 8/8/08	1,000,000	993,717	999,570
Ryder Systems Inc.	Commercial Paper 8/7/08	1,100,000	1,099,291	1,099,593
Staples Inc	Commercial Paper 8/5/08	1,100,000	1,097,484	1,099,725
Tesco PLC	Commercial Paper 8/1/08	1,195,000	1,194,900	1,195,000
UBS Finance Delaware	Commercial Paper 8/5/08	1,100,000	1,095,883	1,099,725
Virginia Electric Power	Commercial Paper 9/4/08	1,100,000	1,096,755	1,097,316
Westpac Banking Corporation	Commercial Paper 9/18/08	1,100,000	1,094,280	1,096,040
			\$ 23,928,590	23,970,047

\* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2007

Identity of issuer, borrower, or similar party	Description of investment	Number of shares or units	Cost	Market value
HighMark Diversified Money Market Fund*	Registered investment company fund	28,335.14	\$ 28,835	28,835
PIMCO Total Return Fund	Registered investment company fund	41.52	424	425
Apreco LLC	Commercial Paper 9/17/07	1,100,000	1,080,101	1,092,476
Athos Funding LTD	Commercial Paper 8/27/07	1,100,000	1,094,838	1,095,820
BASF Aktiengesllschaf	Commercial Paper 8/17/07	850,000	838,392	848,011
Bavaria Universal Fund	Commercial Paper 8/10/07	1,100,000	1,086,044	1,098,548
Beta Financial Incorporated	Commercial Paper 8/17/07	1,100,000	1,084,993	1,097,426
British Telecommunication PLC	Commercial Paper 8/13/07	1,100,000	1,085,453	1,098,064
Chesham Finance LLC	Commercial Paper 9/20/07	1,100,000	1,079,584	1,091,992
Coca-Cola company	Commercial Paper 11/1/07	1,100,000	1,073,301	1,085,381
Curzon Funding LLC	Commercial Paper 11/15/07	1,100,000	1,070,989	1,083,159
Duke Energy Carolinas	Commercial Paper 8/10/07	1,100,000	1,085,964	1,098,548
Eaton LLC & Eaton LTD	Commercial Paper 9/10/07	1,100,000	1,081,215	1,093,587
Kellogg company	Commercial Paper 8/10/07	1,100,000	1,085,937	1,098,548
Merrill Lynch and Company	Commercial Paper 12/12/07	1,100,000	1,066,990	1,078,990
Mitsubishi International Co.	Commercial Paper 11/08/07	1,100,000	1,071,985	1,084,270
Rhineland Funding Capital	Commercial Paper 8/15/07	1,100,000	1,091,663	1,097,745
Stanfield Victoria Funding	Commercial Paper 11/21/07	1,100,000	1,070,043	1,082,202
Starbucks corporation	Commercial Paper 9/20/07	1,100,000	1,090,717	1,091,992
Swedish National Housing Finance	Commercial Paper 11/14/07	1,100,000	1,071,119	1,083,313
Time Warner Incorporated	Commercial Paper 8/9/07	1,100,000	1,085,994	1,098,713
UBS Finance Delaware	Commercial Paper 10/10/07	1,000,000	978,663	989,830
Westpac Banking Corporation	Commercial Paper 11/14/07	1,100,000	1,071,147	1,083,313
Deutsche Bank	Repurchase Agreement, 5.29%, due 8/1/07	1,300,000	1,300,000	1,300,000
			\$ 23,674,391	23,901,188

\* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2006

Identity of issuer, borrower, or similar party	Description of investment	Number of shares or units	Cost	Market value
HighMark Diversified Money Market Fund*	Registered investment company fund	502,822.63	\$ 502,823	502,823
Dodge & Cox Income Fund	Registered investment company fund	22,749.288	286,702	281,636
Fidelity Diversified International Fund	Registered investment company fund	86,692.983	1,454,281	1,956,661
John Hancock Classic Value Fund	Registered investment company fund	36,182.754	775,577	924,469
Harbor Small Cap Growth Fund	Registered investment company fund	42,489.166	545,076	538,763
HighMark Bond Fund*	Registered investment company fund	106,091.629	1,129,765	1,098,048
HighMark Large Cap Value Fund*	Registered investment company fund	16,835.114	221,583	230,136
HighMark Small Cap Value Fund*	Registered investment company fund	28,563.306	404,909	517,567
Hotchkis & Wiley Large Cap Value Fund	Registered investment company fund	22,463.321	505,171	521,149
iShares MSCI EAFE Value Index Fund	Registered investment company fund	8,300	502,893	526,552
iShares Russell Midcap Growth Fund	Registered investment company fund	1,000	97,710	92,530
MFS International Growth Fund	Registered investment company fund	23,996.956	550,842	620,321
Pacific Capital Small Cap Fund	Registered investment company fund	22,913.676	379,916	410,613
PIMCO Total Return Fund	Registered investment company fund	132,763.344	1,395,179	1,367,462
T. Rowe Price Growth Stock Fund	Registered investment company fund	18,967.64	475,379	538,871
T. Rowe Price New Horizons Fund	Registered investment company fund	19,551.855	561,966	604,348
Torrey Institutional Fund	Registered investment company fund	1.155	130	128
Vanguard Fixed Income Securities Fund	Registered investment company fund	24,221.657	253,832	253,601
Union Bank of California stable value fund*	Common commingled trust fund	1,320.51	1,321	1,321
Abbot Labs	Common stock	3,350	145,230	160,030
Alcoa Inc	Common stock	1,300	37,920	38,935
Allstate Corp	Common stock	2,550	98,649	144,891
American International Group Inc	Common stock	1,400	91,396	84,938
Anheuser Bush Companies Inc	Common stock	2,950	141,658	142,043
Applera Corp-Applied Biosys	Common stock	5,900	121,944	189,685
Ashland Inc	Common stock	1,100	72,229	73,161
AT&T Inc	Common stock	4,950	130,496	148,451
Automatic Data Processing Inc	Common stock	2,150	95,305	94,084
Avon Products Inc	Common stock	700	28,693	20,293
Bank America Corp	Common stock	3,100	112,974	159,743
Baxter International Inc	Common stock	1,650	55,853	69,300

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2006

<b>Identity of issuer, borrower, or similar party</b>	<b>Description of investment</b>	<b>Number of shares or units</b>	<b>Cost</b>	<b>Market value</b>
BellSouth Corp	Common stock	2,750	\$ 66,832	107,718
Burlington Northern Santa Fe Corp	Common stock	700	23,310	48,237
Caterpillar Inc	Common stock	150	7,266	10,631
Chevron Inc	Common stock	1,600	93,088	105,248
Citigroup Inc	Common stock	2,900	146,262	140,099
Clear Channel Communications Inc	Common stock	1,150	39,982	33,293
ConocoPhillips	Common stock	3,150	95,861	216,216
Constellation Energy Group	Common stock	300	12,086	17,373
Costco Wholesale Corp	Common stock	1,100	42,353	58,036
Cummins Inc	Common stock	300	25,644	35,100
Deere & Co	Common stock	600	42,342	43,542
Disney Walt Co	Common stock	3,650	67,649	108,369
Emerson Electric Co	Common stock	450	27,162	35,514
Energy East Corp	Common stock	2,850	76,009	69,341
Enterprise Products Partners LP	Common stock	1,550	37,311	41,339
Exxon Mobil Corp	Common stock	2,500	106,414	169,350
Fiserv Inc	Common stock	2,900	127,496	126,614
FPL Group Inc	Common stock	2,500	99,271	107,850
Gannett Inc	Common stock	1,500	106,614	78,180
General Electric Co	Common stock	3,850	158,092	125,857
General Mills Inc	Common stock	2,450	124,176	127,155
Goldman Sachs Group Inc	Common stock	1,100	108,641	168,025
Hartford Financial Services Group Inc	Common stock	1,900	122,263	161,196
HCA Inc	Common stock	1,200	57,104	58,992
Hewlett Packard Co	Common stock	6,000	177,038	191,460
Home Depot Inc	Common stock	3,200	97,565	111,072
Intel Corp	Common stock	5,400	142,576	97,200
Intl Business Machines Corp	Common stock	1,700	154,034	131,597
Johnson & Johnson	Common stock	1,900	105,041	118,845
Johnson Controls Inc	Common stock	900	49,284	69,084
JP Morgan Chase & Co	Common stock	3,400	129,040	155,108

## Schedule I

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2006

<b>Identity of issuer, borrower, or similar party</b>	<b>Description of investment</b>	<b>Number of shares or units</b>	<b>Cost</b>	<b>Market value</b>
Kimberly Clark Corp	Common stock	2,200	\$ 122,343	134,310
Kraft Foods Inc	Common stock	1,400	49,064	45,360
Leggett & Platt Inc	Common stock	2,150	59,736	49,063
Lehman Bros Holdings Inc	Common stock	1,700	81,499	110,415
Lexmark International Inc	Common stock	3,000	163,156	162,150
Lockheed Martin Corp	Common stock	1,400	87,765	111,552
Manpower Inc	Common stock	1,200	54,402	71,376
Marathon Oil Corp	Common stock	2,350	68,712	213,004
Mattell Inc	Common stock	2,700	45,250	48,708
McDonalds Corp	Common stock	3,400	108,245	120,326
Microsoft Corp	Common stock	5,150	139,158	123,909
Morgan Stanley	Common stock	800	36,920	53,200
National City Corp	Common stock	4,050	136,182	145,800
Nike Inc	Common stock	1,150	95,956	90,850
Nokia Corp	Common stock	8,350	125,639	165,748
Northrop Grumman Corp	Common stock	2,350	117,847	155,547
Pfizer Inc	Common stock	5,950	143,854	154,641
PG&E Corp	Common stock	1,650	60,257	68,772
Principal Financial Group Inc	Common stock	850	36,268	45,900
Quest Diagnostics Inc	Common stock	2,550	95,038	153,306
Tyco International	Common stock	2,350	72,042	61,312
Viacom Inc	Common stock	400	18,605	13,940
Wal Mart Stores Inc	Common stock	2,650	135,671	117,925
Waste Management Inc	Common stock	4,250	128,140	146,115
Wells Fargo & Co	Common stock	2,100	106,562	151,914
Weyerhaeuser Co	Common stock	2,150	141,198	126,111
			<u>\$ 16,274,717</u>	<u>18,221,448</u>

\* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2005

<b>Identity of issuer, borrower, or similar party</b>	<b>Description of investment</b>	<b>Number of shares or units</b>	<b>Cost</b>	<b>Market value</b>
HighMark Diversified Money Market Fund*	Registered investment company fund	197,574.2	\$ 197,574	197,574
Fidelity Diversified International Fund	Registered investment company fund	74,279	1,187,307	1,457,360
John Hancock Classic Value Fund	Registered investment company fund	37,749	806,721	908,250
Harbor Small Cap Growth Fund	Registered investment company fund	39,192	503,791	532,615
HighMark Bond Fund*	Registered investment company fund	101,056	1,076,878	1,086,355
HighMark Small Cap Value Fund*	Registered investment company fund	28,995	402,997	567,151
Hotchkis & Wiley Large Cap Value Fund	Registered investment company fund	23,698	532,157	571,362
Marshall International Stock Fund	Registered investment company fund	43,410	533,814	562,156
Pacific Capital Small Cap Fund	Registered investment company fund	26,770	442,400	489,619
PIMCO Total Return Fund	Registered investment company fund	52,910	559,839	565,603
Preferred International Value Fund	Registered investment company fund	42,408	629,211	699,301
T.Rowe Price Growth Stock Fund	Registered investment company fund	18,955	475,000	521,249
T.Rowe Price New Horizons Fund	Registered investment company fund	18,966	543,000	607,674
Torrey Institutional Fund	Registered investment company fund	2,683	301,381	312,294
Union Bank of California stable value fund*	Common commingled trust fund	1,075,919.21	1,075,919	1,075,919
Abbot Labs	Common stock	2,700	119,453	125,901
Activision Inc New	Common stock	4,233	55,219	86,142
Alcoa Inc	Common stock	1,450	42,295	40,673
Allstate Corp	Common stock	2,900	112,189	177,654
American Intl Group Inc	Common stock	550	39,679	33,110
Anheuser Bush Cos Inc	Common stock	3,050	147,300	135,268
Applera Corp-Applied Biosys	Common stock	3,700	75,740	77,034
Automatic Data Processing Inc	Common stock	2,400	106,387	106,584
Avon Products Inc.	Common stock	800	32,792	26,168
Baker Hughes Inc.	Common stock	900	38,877	50,886
Bank America Corp	Common stock	3,150	111,799	137,340
Baxter Intl Inc	Common stock	4,450	150,634	174,752
BellSouth Corp	Common stock	3,550	86,274	97,980
Bristol Myers Squibb Co	Common stock	5,950	169,855	148,631
Burlington Northern Santa Fe Corp	Common stock	800	24,228	43,400
Caterpillar Inc	Common stock	2,100	101,727	113,211

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2005

<b>Identity of issuer, borrower, or similar party</b>	<b>Description of investment</b>	<b>Number of shares or units</b>	<b>Cost</b>	<b>Market value</b>
Citigroup Inc	Common stock	2,750	\$ 139,445	119,625
Clear Channel Communications Inc	Common stock	1,250	45,343	40,800
ConocoPhillips	Common stock	3,500	106,512	219,065
Constellation Energy Group	Common stock	800	32,230	48,168
Costco Wholesale Corp	Common stock	1,300	50,053	59,761
Disney Walt Co (Holding Company)	Common stock	4,300	79,696	110,252
Emerson Electric Co	Common stock	500	30,180	32,900
Engelhard Corp.	Common stock	3,600	106,859	103,284
Exxon Mobil Corp	Common stock	2,800	119,183	164,500
Federated Dept Stores Inc	Common stock	2,650	87,412	201,056
Fiserv Inc.	Common stock	1,300	55,562	57,681
FPL Group Inc.	Common stock	2,250	86,041	97,020
General Electric Co	Common stock	3,850	159,725	132,825
General Mills Inc	Common stock	2,800	141,915	132,720
Goldman Sachs Group Inc.	Common stock	1,250	123,456	134,350
Hartford Finl Svcs Group Inc	Common stock	2,150	138,350	173,226
HCA Inc.	Common stock	1,200	56,662	59,100
Hewlett Packard Co	Common stock	6,950	205,069	171,109
Home Depot Inc	Common stock	3,750	114,334	163,163
Intel Corp	Common stock	5,750	151,817	156,055
Intl Business Machines Corp	Common stock	650	67,939	54,249
Invitrogen Corp.	Common stock	150	9,119	12,866
Johnson & Johnson	Common stock	1,750	94,926	111,930
Johnson CTLS Inc.	Common stock	1,050	57,498	60,312
JP Morgan Chase & Co	Common stock	3,600	136,541	126,504
Kimberly Clark Corp	Common stock	2,250	123,713	143,460
Kraft Foods Inc.	Common stock	1,600	56,073	48,880
Leggett & Platt Inc.	Common stock	2,350	65,293	59,432
Lehman Bros Holdings Inc.	Common stock	1,000	95,881	105,130
Lexmark International Inc.	Common stock	1,100	73,753	68,970
Lockheed Martin Corp.	Common stock	1,550	97,169	96,720



**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2005

<b>Identity of issuer, borrower, or similar party</b>	<b>Description of investment</b>	<b>Number of shares or units</b>	<b>Cost</b>	<b>Market value</b>
Marathon Oil Corp	Common stock	4,700	\$ 137,423	274,285
McDonalds Corp.	Common stock	3,600	114,612	112,212
Morgan Stanley	Common stock	1,900	87,686	100,795
National City Corp.	Common stock	4,300	144,573	158,713
Nokia Corp	Common stock	9,300	139,452	148,335
Northrop Grumman Corp	Common stock	2,650	132,892	146,943
PepsiCo Inc	Common stock	850	46,782	46,351
Pfizer Inc.	Common stock	4,100	106,219	108,650
PG&E Corp.	Common stock	1,900	69,387	71,497
Principal Financial Group Inc.	Common stock	700	28,735	30,765
Quest Diagnostics Inc	Common stock	2,900	108,082	148,886
SBC Communications Inc	Common stock	5,450	143,678	133,253
SLM Corp.	Common stock	750	36,073	38,618
Symantec Corp.	Common stock	7,364	167,635	161,629
Texas Instruments	Common stock	4,800	82,463	152,448
Time Warner Inc	Common stock	6,000	87,317	102,120
Tyco Intl Ltd New	Common stock	4,750	145,617	144,733
Viacom Inc	Common stock	800	30,816	26,792
Wachovia Corp	Common stock	600	27,467	30,228
Wal Mart Stores Inc	Common stock	2,900	148,470	143,115
Waste Management Inc	Common stock	3,850	115,550	108,262
Wells Fargo & Co	Common stock	2,350	119,248	144,149
Weyerhaeuser Co	Common stock	1,050	66,816	72,429
			<u>\$ 15,877,179</u>	<u>17,599,537</u>

\* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2008:							
HighMark	Diversified Money Market Fund	1	\$ 1,202,285	—	\$ —	—	—
HighMark	Diversified Money Market Fund	1	2,280,825	—	—	—	—
HighMark	Diversified Money Market Fund	1	4,400,000	—	—	—	—
HighMark	Diversified Money Market Fund	1	2,250,054	—	—	—	—
HighMark	Diversified Money Market Fund	1	3,401,476	—	—	—	—
HighMark	Diversified Money Market Fund	1	2,300,000	—	—	—	—
HighMark	Diversified Money Market Fund	1	2,300,000	—	—	—	—
HighMark	Diversified Money Market Fund	1	2,300,064	—	—	—	—
HighMark	Diversified Money Market Fund	1	2,300,000	—	—	—	—
HighMark	Diversified Money Market Fund	—	—	1	2,277,176	2,277,176	—
HighMark	Diversified Money Market Fund	—	—	1	1,199,559	1,199,559	—
HighMark	Diversified Money Market Fund	—	—	1	2,294,727	2,294,727	—
HighMark	Diversified Money Market Fund	—	—	1	2,299,010	2,299,010	—
HighMark	Diversified Money Market Fund	—	—	1	2,298,756	2,298,756	—
HighMark	Diversified Money Market Fund	—	—	1	2,298,852	2,298,852	—
HighMark	Diversified Money Market Fund	—	—	1	3,447,277	3,447,277	—
HighMark	Diversified Money Market Fund	—	—	1	2,297,497	2,297,497	—
Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2008:							
Commercial papers:							
Abbey National LLC	ABBEY NATIONAL LLC 11/04/08	1	1,092,520	1	1,092,520	1,092,520	—
Aetna Inc	AETNA INC DC/P 9/17/08	1	1,096,168	1	1,096,168	1,096,168	—
Aetna Inc	AETNA INC DC/P 11/21/08	1	1,096,975	1	1,096,975	1,096,975	—
Aetna Inc	AETNA INC DC/P 11/03/08	1	1,094,280	1	1,094,280	1,094,280	—
Alcoa Inc.	Alcoa DC/P 10/30/08	1	1,093,736	1	1,093,736	1,093,736	—
Alcoa Inc.	Alcoa INC DC/P 11/17/08	1	1,098,258	1	1,098,258	1,098,258	—
Aluminum Co	Aluminum Co of America DC/P 11/05/08	1	1,098,900	1	1,098,900	1,098,900	—
Aluminum Co	Aluminum Co of America DC/P 12/09/08	1	1,099,137	1	1,099,137	1,099,137	—
Amstel Funding Corp.	Amstel FDG CORP DC/P 5/11/09	1	1,149,866	1	1,149,866	1,149,866	—
Amstel Funding Corp.	Amstel FDG CORP DC/P 5/14/09	1	1,149,962	1	1,149,962	1,149,962	—
Amstel Funding Corp.	Amstel FDG CORP DC/P 5/18/09	1	1,149,859	1	1,149,859	1,149,859	—
Autobahn Funding Corporation	Autobahn FDG COMP DC/P 6/15/09	1	1,149,800	1	1,149,800	1,149,800	—
Autobahn Funding Corporation	Autobahn FDG CO DC/P 6/23/09	1	1,149,668	1	1,149,668	1,149,668	—
Autobahn Funding Corporation	Autobahn FDG COMP DC/P 6/04/09	1	1,149,965	1	1,149,965	1,149,965	—
Autobahn Funding Corporation	Autobahn FDG CO DC/P 7/20/09	1	1,149,120	1	1,149,120	1,149,120	—
Autobahn Funding Corporation	Autobahn FDG CP DC/P 7/01/09	1	1,149,706	1	1,149,706	1,149,706	—
Avery Dennison	Avery Dennison DC/P 8/11/08	1	1,099,757	1	1,099,757	1,099,757	—
Avery Dennison	Avery Dennison DC/P 8/13/08	1	999,926	1	999,926	999,926	—
Avery Dennison	Avery Dennison DC/P 8/26/08	1	1,049,921	1	1,049,921	1,049,921	—
Avery Dennison	Avery Dennison DC/P 8/28/08	1	1,124,917	1	1,124,917	1,124,917	—
Avery Dennison	Avery Dennison Corp DC/P 8/04/08	1	1,099,743	1	1,099,743	1,099,743	—
Avery Dennison	Avery Dennison Corp DC/P 8/05/08	1	999,924	1	999,924	999,924	—
Avery Dennison	Avery Dennison Corp DC/P 8/08/08	1	999,926	1	999,926	999,926	—
Avery Dennison	Avery Dennison Corp DC/P 10/23/08	1	1,099,870	1	1,099,870	1,099,870	—
Avery Dennison	Avery Dennison Corp DC/P 10/24/08	1	999,882	1	999,882	999,882	—
BMW US Capital LLC	BMW US CAP DC/P 5/28/09	1	1,148,960	1	1,148,960	1,148,960	—
BMW US Capital LLC	BMW US CAPTL LLC DC/P 6/05/09	1	1,149,693	1	1,149,693	1,149,693	—
BMW US Capital LLC	BMW US CAPTL LLC DC/P 7/01/09	1	1,148,962	1	1,148,962	1,148,962	—
BNP Paribas Finance	BNP PARIBAS FINANCE DC/P 11/06/08	1	1,145,331	1	1,145,331	1,145,331	—
CBA De Finance Inc.	CBA DE FINANCE INC DC/P 10/06/08	1	1,095,178	1	1,095,178	1,095,178	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
CVS Corp.	CVS CORPORATION INC DC/P 10/20/08	1	\$ 999,583	1	\$ 999,583	999,583	—
CVS Corp.	CVS CORPORATION INC DC/P 10/22/08	1	999,876	1	999,876	999,876	—
CVS Corp.	CVS CORPORATION INC DC/P 10/27/08	1	999,629	1	999,629	999,629	—
CVS Corp.	CVS CORPORATION INC DC/P 10/28/08	1	1,099,855	1	1,099,855	1,099,855	—
CVS Corp.	CVS CORPORATION INC DC/P 10/29/08	1	999,868	1	999,868	999,868	—
CVS Corp.	CVS CORPORATION INC DC/P 11/19/08	1	1,097,861	1	1,097,861	1,097,861	—
CVS Corp.	CVS CORPORATION INC DC/P 12/08/08	1	1,097,263	1	1,097,263	1,097,263	—
CVS Corp.	CVS CORPORATION INC DC/P 1/06/09	1	1,094,506	1	1,094,506	1,094,506	—
CVS Corp.	CVS CAREMARK CORP DC/P 2/03/09	1	1,147,714	1	1,147,714	1,147,714	—
CVS Corp.	CVS CAREMARK CORP DC/P 3/02/09	1	1,148,588	1	1,148,588	1,148,588	—
Cadbury Schweppes	CADBURY SCHWEPES DISC/P 11/20/08	1	1,098,096	1	1,098,096	1,098,096	—
Cadbury Schweppes	CADBURY SCHWEPES DC/P 12/04/08	1	1,098,182	1	1,098,182	1,098,182	—
Cadbury Schweppes	CADBURY SCHWEPES FINCL DC/P 41/27/09	1	1,148,297	1	1,148,297	1,148,297	—
Centrica PLC	CENTRICA PLC DC/P 1/26/09	1	1,094,425	1	1,094,425	1,094,425	—
Centrica PLC	CENTRICA PLC DC/P 3/25/09	1	1,147,596	1	1,147,596	1,147,596	—
Chevron Phillips	CHEVRON PHILLIPS DC/P 9/10/08	1	997,725	1	997,725	997,725	—
Chevron Phillips	CHEVRON PHILLIPS CHML DC/P 9/17/08	1	999,806	1	999,806	999,806	—
Chevron Phillips	CHEVRON PHILLIPS CHML DC/P 9/18/08	1	999,826	1	999,826	999,826	—
Chevron Phillips	CHEVRON PHILLIPS CHML DC/P 9/19/08	1	999,813	1	999,813	999,813	—
Chevron Phillips	CHEVRON PHILLIPS CHML DC/P 10/03/08	1	899,900	1	899,900	899,900	—
Chevron Phillips	CHEVRON PHILLIPS CHML DC/P 11/13/08	1	993,833	1	993,833	993,833	—
Chevron Phillips	CHEVRON PHILLIPS CHML DC/P 12/01/08	1	1,099,458	1	1,099,458	1,099,458	—
Clorox Company	CLOROX CO DC/P 9/16/08	1	997,356	1	997,356	997,356	—
Clorox Company	CLOROX COMPANY DC/P 9/19/08	1	1,099,809	1	1,099,809	1,099,809	—
Clorox Company	CLOROX COMPANY (THE) DC/P 12/12/08	1	1,097,433	1	1,097,433	1,097,433	—
Clorox Company	CLOROX COMPANY DC/P 2/04/09	1	1,148,339	1	1,148,339	1,148,339	—
Danske Corp.	DANSKE CORP DC/P 9/11/08	1	1,097,353	1	1,097,353	1,097,353	—
Detroit Edison	DETROIT EDISON CO DC/P 2/04/09	1	1,099,358	1	1,099,358	1,099,358	—
Devon Energy	DEVON ENERGY CORP DC/P 1/05/09	1	1,098,075	1	1,098,075	1,098,075	—
Diageo Capital PLC	DIAGEO CAPITAL PLC DC/P 10/27/08	1	1,094,555	1	1,094,555	1,094,555	—
Diageo Capital PLC	DIAGEO CAPITAL PLC DC/P 12/10/08	1	1,092,774	1	1,092,774	1,092,774	—
Dominion Resources	DOMINION RESOURCES DC/P 9/08/08	1	999,123	1	999,123	999,123	—
Dominion Resources	DOMINION RESOURCES DC/P 10/09/08	1	997,460	1	997,460	997,460	—
Dominion Resources	DOMINION RESOURCES DC/P 11/10/08	1	1,096,214	1	1,096,214	1,096,214	—
Dominion Resources	DOMINION RESOURCES DC/P 12/02/08	1	1,096,700	1	1,096,700	1,096,700	—
Eaton Corp.	EATON CORP DC/P 6/01/09	1	1,148,882	1	1,148,882	1,148,882	—
Eureka Securitization Inc.	EUREKA SECURITZN DC/P 5/12/09	1	1,149,960	1	1,149,960	1,149,960	—
Eureka Securitization Inc.	EUREKA SECURITZN INC DC/P 5/13/09	1	1,149,960	1	1,149,960	1,149,960	—
Eureka Securitization Inc.	EUREKA SECURITZN INC DC/P 5/18/09	1	1,149,899	1	1,149,899	1,149,899	—
Eureka Securitization Inc.	EUREKA SEC INC DC/P 5/20/09	1	1,149,920	1	1,149,920	1,149,920	—
Eureka Securitization Inc.	EUREKA SECUR INC DC/P 5/27/09	1	1,149,963	1	1,149,963	1,149,963	—
Eureka Securitization Inc.	EUREKA SEC INC DC/P 5/28/09	1	1,149,963	1	1,149,963	1,149,963	—
Eureka Securitization Inc.	EUREKA SECURITZN INC DC/P 5/29/09	1	1,149,963	1	1,149,963	1,149,963	—
Eureka Securitization Inc.	EUREKA SECURITZN INC DC/P 5/07/09	1	1,149,960	1	1,149,960	1,149,960	—
Eureka Securitization Inc.	EUREKA SECURITZN DC/P 6/10/09	1	1,149,963	1	1,149,963	1,149,963	—
Eureka Securitization Inc.	EUREKA SEC INC DC/P 6/11/09	1	1,149,963	1	1,149,963	1,149,963	—
Eureka Securitization Inc.	EUREKA SECURITZN INC DC/P 6/12/09	1	1,149,963	1	1,149,963	1,149,963	—
Eureka Securitization Inc.	EUREKA SECURITZN DC/P 6/15/09	1	1,149,895	1	1,149,895	1,149,895	—
Eureka Securitization Inc.	EUREKA SECURITZN INC DC/P 6/17/09	1	1,149,963	1	1,149,963	1,149,963	—
Eureka Securitization Inc.	EUREKA SECURITZN DC/P 6/19/09	1	1,149,966	1	1,149,966	1,149,966	—
Eureka Securitization Inc.	EUREKA SECURITZN INC DC/P 6/01/09	1	1,149,885	1	1,149,885	1,149,885	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Eureka Securitization Inc.	EUREKA SECUR INCDC/P 6/02/09	1	\$ 1,149,960	1	\$ 1,149,960	1,149,960	—
Eureka Securitization Inc.	EUREKA SECURTZN DC/P 6/03/09	1	1,149,965	1	1,149,965	1,149,965	—
Eureka Securitization Inc.	EUREKA SECURTZN INC DC/P 6/04/09	1	1,149,960	1	1,149,960	1,149,960	—
Eureka Securitization Inc.	EUREKA SECURTZN INC DC/P 6/08/09	1	1,149,880	1	1,149,880	1,149,880	—
Eureka Securitization Inc.	EUREKA SECURTZN DC/P 6/09/09	1	1,149,960	1	1,149,960	1,149,960	—
FCAR Owner Trust	FCAR OWNER TRUST DC/P 60/9/09	1	1,149,620	1	1,149,620	1,149,620	—
Fortune Brands	FORTUNE BRANDS DC/P 10/20/08	1	996,667	1	996,667	996,667	—
Fortune Brands	FORTUNE BRANDS INC DC/P 10/21/08	1	999,889	1	999,889	999,889	—
Fortune Brands	FORTUNE BRANDS INC DC/P 10/22/08	1	999,889	1	999,889	999,889	—
Fortune Brands	FORTUNE BRANDS DC/P 10/23/08	1	899,900	1	899,900	899,900	—
Fortune Brands	FORTUNE BRANDS INC DC/P 10/31/08	1	1,049,898	1	1,049,898	1,049,898	—
Gannett Co.	GANNETT CO DC/P 8/11/08	1	999,758	1	999,758	999,758	—
General Electric	GENERAL ELECTRIC CO DC/P 12/29/08	1	1,096,303	1	1,096,303	1,096,303	—
General Mills Inc.	GENERAL MILLS DC/P 10/28/08	1	994,313	1	994,313	994,313	—
General Mills Inc.	GENERAL MILLS INC DC/P 11/04/08	1	1,098,770	1	1,098,770	1,098,770	—
General Mills Inc.	GENERAL MILLS INC DC/P 11/07/08	1	1,099,908	1	1,099,908	1,099,908	—
General Mills Inc.	GENERAL MILLS DC/P 12/08/08	1	1,096,601	1	1,096,601	1,096,601	—
Giro Balanced Funding	GIRO BALANCED DC/P 8/11/08	1	999,792	1	999,792	999,792	—
Giro Balanced Funding	GIRO BALANCED FDG DC/P 8/06/08	1	1,099,922	1	1,099,922	1,099,922	—
Giro Balanced Funding	GIRO BALANCED DC/P 5/14/09	1	1,149,361	1	1,149,361	1,149,361	—
Giro Balanced Funding	GIRO BALANCED FDG DC/P 5/21/09	1	1,149,968	1	1,149,968	1,149,968	—
Giro Balanced Funding	GIRO BALANCED FDG DC/P 5/26/09	1	1,149,840	1	1,149,840	1,149,840	—
Giro Balanced Funding	GIRO BAL FDG DC/P 6/18/09	1	1,149,968	1	1,149,968	1,149,968	—
Giro Balanced Funding	GIRO BALANCED FDG DC/P 7/15/09	1	1,149,828	1	1,149,828	1,149,828	—
Giro Balanced Funding	GIRO BALANCED FDG DC/P 7/27/09	1	1,149,799	1	1,149,799	1,149,799	—
Giro Balanced Funding	GIRO BALANCED DC/P 7/08/09	1	1,149,971	1	1,149,971	1,149,971	—
Greyhawk Funding LLC	GREYHAWK FUNDING LLC DC/O 8/06/08	1	999,931	1	999,931	999,931	—
Harley Davidson	HARLEY DAVIDSON DC/P 10/10/08	1	1,097,708	1	1,097,708	1,097,708	—
Harley Davidson	HARLEY DAVIDSON FND DC/P 10/29/08	1	1,049,752	1	1,049,752	1,049,752	—
HJ Heinz Finance	HEINZ HJ FIN DC/P 10/02/08	1	995,811	1	995,811	995,811	—
HJ Heinz Finance	HEINZ HJ FIN DC/P 11/06/08	1	1,094,653	1	1,094,653	1,094,653	—
HJ Heinz Finance	HEINZ HJ FIN DC/P 1/08/09	1	1,095,738	1	1,095,738	1,095,738	—
HJ Heinz Finance	HEINZ HJ FIN DC/P 2/23/09	1	1,147,904	1	1,147,904	1,147,904	—
HJ Heinz Finance	HEINZ HJ FIN DC/P 4/21/09	1	1,147,444	1	1,147,444	1,147,444	—
Hewlett Packard Co.	HEWLETT PACKARD CO DC/P 11/13/08	1	997,414	1	997,414	997,414	—
ITT Corp	ITT CORP DC/P 8/27/08	1	1,097,736	1	1,097,736	1,097,736	—
ITT Corp	ITT CORP DC/P 10/31/08	1	1,094,867	1	1,094,867	1,094,867	—
ITT Corp	ITT CORP DC/P 11/12/08	1	1,098,931	1	1,098,931	1,098,931	—
ITT Corp	ITT CORP DC/P 12/12/08	1	1,098,778	1	1,098,778	1,098,778	—
ITT Corp	ITT CORP DC/P 12/22/08	1	1,098,778	1	1,098,778	1,098,778	—
ITT Corp	ITT CORP DC/P 12/04/08	1	1,095,933	1	1,095,933	1,095,933	—
ITT Corp	ITT CORP DC/P 1/06/09	1	1,097,250	1	1,097,250	1,097,250	—
ITT Corp	ITT CORP DC/P 2/03/09	1	1,097,433	1	1,097,433	1,097,433	—
ITT Corp	ITT CORP DC/P 3/02/09	1	1,148,275	1	1,148,275	1,148,275	—
ITT Corp	ITT CORP DC/P 4/01/09	1	1,148,131	1	1,148,131	1,148,131	—
Ingersol Rand	INGERSOLL RAND DC/P 11/20/08	1	1,097,594	1	1,097,594	1,097,594	—
Ingersol Rand	INGERSOLL RAND GBL CO DC/P 1/20/09	1	1,149,760	1	1,149,760	1,149,760	—
Ingersol Rand	INGERSOLL RAND GBL CO DC/P 1/05/09	1	1,093,644	1	1,093,644	1,093,644	—
Ingersol Rand	INGERSOLL RAND GBL CO DC/P 2/20/09	1	1,147,980	1	1,147,980	1,147,980	—
Ingersol Rand	INGERSOLL RAND GBL CO DC/P 3/24/09	1	1,147,212	1	1,147,212	1,147,212	—
Ingersol Rand	INGERSOLL RAND GBL CO DC/P 4/16/09	1	1,147,833	1	1,147,833	1,147,833	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
KBC Financial Products	KBC FIN PRODS DC/P 6/26/09	1	\$ 997,951	1	\$ 997,951	997,951	—
Kansas City Power and Light	KANSAS CITY PWR & LGHT DC/P 12/23/08	1	1,179,926	1	1,179,926	1,179,926	—
Kellogg company	KELLOGG COMPANY DC/P 12/03/08	1	1,098,258	1	1,098,258	1,098,258	—
Kellogg company	KELLOGG COMPANY DC/P 1/06/09	1	1,095,637	1	1,095,637	1,095,637	—
Kinder Morgan Energy	KINDER MORGAN ENERGY DC/P 10/07/08	1	999,847	1	999,847	999,847	—
Kraft Foods	KRAFT FOODS DC/P 10/06/08	1	899,625	1	899,625	899,625	—
Kraft Foods	KRAFT FOODS INC DC/P 11/26/08	1	1,097,540	1	1,097,540	1,097,540	—
Lexington Parker Capital	LEXINGTON PKR CPTL DC/P 9/18/08	1	999,819	1	999,819	999,819	—
Mitsubishi International Corp	MITSUBISHI INTL CORP DC/P 10/17/08	1	996,375	1	996,375	996,375	—
Mitsui & Co. USA	MITSUMI & CO USA INC DC/P 11/05/08	1	1,097,433	1	1,097,433	1,097,433	—
Morrigan FDG	MORRIGAN TRR FDG LLC D/P 2/13/09	1	1,149,776	1	1,149,776	1,149,776	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 2/17/09	1	1,149,776	1	1,149,776	1,149,776	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 2/26/09	1	1,149,353	1	1,149,353	1,149,353	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 2/04/09	1	1,149,952	1	1,149,952	1,149,952	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 3/17/09	1	1,148,837	1	1,148,837	1,148,837	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 3/23/09	1	1,149,880	1	1,149,880	1,149,880	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 3/25/09	1	1,149,960	1	1,149,960	1,149,960	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 3/26/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 3/27/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 3/30/09	1	1,149,885	1	1,149,885	1,149,885	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 3/02/09	1	1,149,776	1	1,149,776	1,149,776	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 4/13/09	1	1,149,840	1	1,149,840	1,149,840	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/15/09	1	1,149,960	1	1,149,960	1,149,960	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/17/09	1	1,149,960	1	1,149,960	1,149,960	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/20/09	1	1,149,885	1	1,149,885	1,149,885	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/21/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/22/09	1	1,149,963	1	1,149,963	1,149,963	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/23/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 4/24/09	1	1,149,960	1	1,149,960	1,149,960	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 4/27/09	1	1,149,885	1	1,149,885	1,149,885	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/28/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 4/30/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 4/02/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 4/03/09	1	1,149,962	1	1,149,962	1,149,962	—
Morrigan FDG	MORRIGAN TRR FDG LLC DC/P 5/01/09	1	1,149,960	1	1,149,960	1,149,960	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/16/08	1	998,775	1	998,775	998,775	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/23/08	1	1,098,653	1	1,098,653	1,098,653	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/24/08	1	1,099,847	1	1,099,847	1,099,847	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/28/08	1	1,099,297	1	1,099,297	1,099,297	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/29/08	1	1,099,847	1	1,099,847	1,099,847	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/30/08	1	1,049,854	1	1,049,854	1,049,854	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/31/08	1	1,099,855	1	1,099,855	1,099,855	—
Nissan Motors	NISSAN MTR ACCP DC/P 10/09/08	1	1,097,311	1	1,097,311	1,097,311	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/12/08	1	1,099,019	1	1,099,019	1,099,019	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/13/08	1	1,099,878	1	1,099,878	1,099,878	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/14/08	1	1,099,878	1	1,099,878	1,099,878	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/18/08	1	1,099,878	1	1,099,878	1,099,878	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/19/08	1	1,099,893	1	1,099,893	1,099,893	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/20/08	1	1,099,878	1	1,099,878	1,099,878	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/24/08	1	1,099,620	1	1,099,620	1,099,620	—
Nissan Motors	NISSAN MTR ACCP DC/P 11/05/08	2	2,198,992	2	2,198,992	2,198,992	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Nissan Motors	NISSAN MTR ACCP DC/P 12/12/08	1	\$ 1,099,419	1	\$ 1,099,419	1,099,419	—
Nissan Motors	NISSAN MTR ACCP DC/P 12/17/08	1	1,099,274	1	1,099,274	1,099,274	—
Nissan Motors	NISSAN MTR ACCP DC/P 12/22/08	1	1,099,328	1	1,099,328	1,099,328	—
Nissan Motors	NISSAN MTR ACCP DC/P 12/29/08	1	1,099,175	1	1,099,175	1,099,175	—
Nissan Motors	NISSAN MTR ACCP DC/P 12/01/08	1	1,098,824	1	1,098,824	1,098,824	—
Nissan Motors	NISSAN MTR ACCP DC/P 12/08/08	1	1,098,877	1	1,098,877	1,098,877	—
Nissan Motors	NISSAN MTR ACCEPTANCE DC/P 1/13/09	1	1,149,385	1	1,149,385	1,149,385	—
Nissan Motors	NISSAN MTR ACCEPTANCE DC/P 1/20/09	1	1,149,617	1	1,149,617	1,149,617	—
Nissan Motors	NISSAN MTR ACCEPTANCE DC/P 1/28/09	1	1,149,904	1	1,149,904	1,149,904	—
Nissan Motors	NISSAN MTR ACCEPTANCE DC/P 2/25/09	1	1,148,671	1	1,148,671	1,148,671	—
Nissan Motors	NISSAN MTR ACCEPTANCE DC/P 2/09/09	1	1,149,201	1	1,149,201	1,149,201	—
Nissan Motors	NISSAN MTR ACCEPTANCE DC/P 3/03/09	1	1,149,657	1	1,149,657	1,149,657	—
OGE Energy corp	OGE ENERGY CORP DC/P 8/11/08	1	999,475	1	999,475	999,475	—
Old Slip Funding	OLD SLIP FDG DC/P 8/07/08	1	1,099,924	1	1,099,924	1,099,924	—
Pacific Gas & Electric	PG&E DC/P 8/26/08	1	1,098,708	1	1,098,708	1,098,708	—
Pacific Gas & Electric	PACIFIC GAS & ELECTRIC DC/P 8/04/08	1	1,194,711	1	1,194,711	1,194,711	—
Pacific Gas & Electric	PACIFIC GAS & ELECTRIC DC/P 9/23/08	1	1,097,433	1	1,097,433	1,097,433	—
Pacific Gas & Electric	PACIFIC GAS & ELECTRIC DC/P 10/14/08	1	1,098,656	1	1,098,656	1,098,656	—
Pacific Gas & Electric	PACIFIC GAS & ELECTRIC DC/P 10/21/08	1	1,098,900	1	1,098,900	1,098,900	—
Pacific Gas & Electric	PACIFIC GAS & ELECTRIC DC/P 2/04/09	1	1,149,655	1	1,149,655	1,149,655	—
Pacific Gas & Electric	PACIFIC GAS & ELECTRIC DC/P 3/30/09	1	1,148,366	1	1,148,366	1,148,366	—
Pacificorp	PACIFICORP DC/P 11/04/08	1	1,099,901	1	1,099,901	1,099,901	—
Pacificorp	PACIFICORP DC/P 11/05/08	1	1,099,902	1	1,099,902	1,099,902	—
Pepsi Bottling Group	PEPSI BOTTLING GROUP DC/P 10/15/08	1	1,099,465	1	1,099,465	1,099,465	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/12/09	1	1,149,928	1	1,149,928	1,149,928	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/13/09	1	1,149,928	1	1,149,928	1,149,928	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/16/09	1	1,149,784	1	1,149,784	1,149,784	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/17/09	1	1,149,931	1	1,149,931	1,149,931	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/19/09	1	1,149,931	1	1,149,931	1,149,931	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/20/09	1	1,149,931	1	1,149,931	1,149,931	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/24/09	1	1,149,725	1	1,149,725	1,149,725	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 4/13/09	1	1,149,530	1	1,149,530	1,149,530	—
Perry Global Fund	PERRY GLB FD DC/P 4/14/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 4/21/09	1	1,149,553	1	1,149,553	1,149,553	—
Perry Global Fund	PERRY GLB FD DC/P 4/22/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD DC/P 4/23/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD DC/P 4/27/09	1	1,149,808	1	1,149,808	1,149,808	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 4/28/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD DC/P 4/02/09	1	1,149,361	1	1,149,361	1,149,361	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 4/06/09	1	1,149,719	1	1,149,719	1,149,719	—
Perry Global Fund	PERRY GLB FD DC/P 5/04/09	1	1,149,617	1	1,149,617	1,149,617	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 5/08/09	1	1,149,744	1	1,149,744	1,149,744	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 8/07/08	1	999,928	1	999,928	999,928	—
Perry Global Fund	PERRY GLB FD DC/P 8/08/08	1	1,099,920	1	1,099,920	1,099,920	—
Perry Global Fund	PERRY GLOBAL FDG DC/P 9/17/08	1	999,806	1	999,806	999,806	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/10/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/11/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/17/09	1	1,149,917	1	1,149,917	1,149,917	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/18/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/23/09	1	1,149,681	1	1,149,681	1,149,681	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/24/09	1	1,149,936	1	1,149,936	1,149,936	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/25/09	1	\$ 1,149,936	1	\$ 1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/27/09	1	1,149,872	1	1,149,872	1,149,872	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/02/09	1	1,149,808	1	1,149,808	1,149,808	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/03/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/04/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/05/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/06/09	1	1,149,936	1	1,149,936	1,149,936	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 2/09/09	1	1,149,808	1	1,149,808	1,149,808	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/11/09	1	1,149,497	1	1,149,497	1,149,497	—
Perry Global Fund	PERRY GLB FD LTD/LLC DC/P 3/04/09	1	1,149,681	1	1,149,681	1,149,681	—
Principal Financial Services	PRINCIPAL FIN SER DC/P 6/10/09	1	1,149,401	1	1,149,401	1,149,401	—
Principal Financial Services	PRINCIPAL FIN SER DC/P 7/07/09	1	1,149,508	1	1,149,508	1,149,508	—
Prudential PLC	PRUDENTIAL PLC DC/P 11/10/08	1	1,092,788	1	1,092,788	1,092,788	—
SabMiller PLC	SABMILLER PLC CD/P 8/28/08	1	1,003,927	1	1,003,927	1,003,927	—
Sempra Energy Holdings	SEMPRA ENERGY HLDS DC/P 10/15/08	1	1,094,317	1	1,094,317	1,094,317	—
Sempra Energy Holdings	SEMPRA ENGY HLDGS DC/P 10/20/08	1	1,099,045	1	1,099,045	1,099,045	—
Sempra Energy Holdings	SEMPRA ENERGY HOLDINGS DC/P 10/21/08	1	999,861	1	999,861	999,861	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 10/28/08	1	1,098,663	1	1,098,663	1,098,663	—
Sempra Energy Holdings	SEMPRA ENERGY HOLDINGS DC/P 10/30/08	1	1,099,840	1	1,099,840	1,099,840	—
Sempra Energy Holdings	SEMPRA ENERGY HLDS DC/P 10/31/08	1	1,099,855	1	1,099,855	1,099,855	—
Sempra Energy Holdings	SEMPRA ENGY HLD DC/P 11/10/08	1	1,098,946	1	1,098,946	1,098,946	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 11/13/08	1	1,099,583	1	1,099,583	1,099,583	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 11/17/08	1	1,099,565	1	1,099,565	1,099,565	—
Sempra Energy Holdings	SEMPRA ENERGY HLDS DC/P 11/03/08	1	1,099,542	1	1,099,542	1,099,542	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 12/01/08	1	1,098,075	1	1,098,075	1,098,075	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 12/08/08	1	1,098,931	1	1,098,931	1,098,931	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 1/07/09	1	1,095,463	1	1,095,463	1,095,463	—
Sempra Energy Holdings	SEMPRA ENERGY HOLDINGS DC/P 2/11/09	1	1,147,764	1	1,147,764	1,147,764	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 2/26/09	1	1,149,425	1	1,149,425	1,149,425	—
Sempra Energy Holdings	SEMPRA ENERGY HLDGS DC/P 4/03/09	1	1,148,515	1	1,148,515	1,148,515	—
Silver Tower US	SILVER TOWER US DC/P 6/10/09	1	1,149,962	1	1,149,962	1,149,962	—
Silver Tower US	SILVER TOWER US DC/P 6/03/09	1	1,149,965	1	1,149,965	1,149,965	—
Silver Tower US	SILVER TOWER US FD DC/P 6/05/09	1	1,149,923	1	1,149,923	1,149,923	—
Silver Tower US	SILVER TOWER US FD DC/P 6/08/09	1	1,149,885	1	1,149,885	1,149,885	—
Silver Tower US	SILVER TOWER US DC/P 6/09/09	1	1,149,962	1	1,149,962	1,149,962	—
Silver Tower US	SILVER TOWER US DC/P 7/13/09	1	1,149,743	1	1,149,743	1,149,743	—
Silver Tower US	SILVER TOWER US DC/P 7/20/09	1	1,149,750	1	1,149,750	1,149,750	—
Silver Tower US	SILVER TOWER US DC/P 7/27/09	1	1,149,750	1	1,149,750	1,149,750	—
Silver Tower US	SILVER TOWER US FDG DC/P 7/01/09	1	1,149,094	1	1,149,094	1,149,094	—
Silver Tower US	SILVER TOWER US DC/P 7/02/09	1	1,149,965	1	1,149,965	1,149,965	—
Silver Tower US	SILVER TOWER US DC/P 7/06/09	1	1,149,861	1	1,149,861	1,149,861	—
Staples Inc	STAPLES INC DC/P 8/11/08	1	1,099,734	1	1,099,734	1,099,734	—
Staples Inc	STAPLES INC DC/P 8/12/08	1	1,099,911	1	1,099,911	1,099,911	—
Staples Inc	STAPLES INC DC/P 8/13/08	1	1,099,913	1	1,099,913	1,099,913	—
Staples Inc	STAPLES INC DC/P 8/15/08	1	1,099,823	1	1,099,823	1,099,823	—
Staples Inc	STAPLES INC DC/P 8/18/08	1	1,099,725	1	1,099,725	1,099,725	—
Staples Inc	STAPLES INC DC/P 8/19/08	1	1,044,914	1	1,044,914	1,044,914	—
Staples Inc	STAPLES INC DC/P 8/20/08	1	1,049,914	1	1,049,914	1,049,914	—
Staples Inc	STAPLES INC DC/P 8/21/08	1	1,049,915	1	1,049,915	1,049,915	—
Staples Inc	STAPLES INC DC/P 8/22/08	1	1,049,915	1	1,049,915	1,049,915	—
Staples Inc	STAPLES INC DC/P 8/25/08	1	1,049,742	1	1,049,742	1,049,742	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Staples Inc	STAPLES INC DC/P 8/27/08	1	\$ 1,049,914	1	\$ 1,049,914	1,049,914	—
Staples Inc	STAPLES INC DC/P 8/06/08	1	1,099,908	1	1,099,908	1,099,908	—
Staples Inc	STAPLES INC DC/P 8/08/08	1	1,099,820	1	1,099,820	1,099,820	—
Staples Inc	STAPLES INC DC/P 9/10/08	1	1,034,918	1	1,034,918	1,034,918	—
Staples Inc	STAPLES INC DC/P 9/11/08	1	1,039,915	1	1,039,915	1,039,915	—
Staples Inc	STAPLES INC DC/P 9/12/08	1	999,918	1	999,918	999,918	—
Staples Inc	STAPLES INC DC/P 9/16/08	1	999,650	1	999,650	999,650	—
Staples Inc	STAPLES INC DC/P 9/02/08	1	1,139,517	1	1,139,517	1,139,517	—
Staples Inc	STAPLES INC DC/P 9/03/08	1	1,139,907	1	1,139,907	1,139,907	—
Staples Inc	STAPLES INC DC/P 9/04/08	1	1,024,916	1	1,024,916	1,024,916	—
Staples Inc	STAPLES INC DC/P 9/05/08	1	1,024,917	1	1,024,917	1,024,917	—
Staples Inc	STAPLES INC DC/P 9/08/08	1	1,024,748	1	1,024,748	1,024,748	—
Staples Inc	STAPLES INC DC/P 9/09/08	1	1,034,915	1	1,034,915	1,034,915	—
Staples Inc	STAPLES INC DC/P 10/29/08	1	999,100	1	999,100	999,100	—
Staples Inc	STAPLES INC DC/P 11/12/08	1	1,099,093	1	1,099,093	1,099,093	—
Staples Inc	STAPLES INC DC/P 11/17/08	1	1,099,305	1	1,099,305	1,099,305	—
Staples Inc	STAPLES INC DC/P 11/03/08	1	899,300	1	899,300	899,300	—
Staples Inc	STAPLES INC DC/P 11/06/08	1	1,099,890	1	1,099,890	1,099,890	—
Staples Inc	STAPLES INC DC/P 12/11/08	1	1,099,038	1	1,099,038	1,099,038	—
Staples Inc	STAPLES INC DC/P 12/03/08	1	1,097,580	1	1,097,580	1,097,580	—
Staples Inc	STAPLES INC DC/P 1/07/09	1	1,095,240	1	1,095,240	1,095,240	—
Staples Inc	STAPLES INC DC/P 2/23/09	1	1,148,725	1	1,148,725	1,148,725	—
Staples Inc	STAPLES INC DC/P 2/02/09	1	1,148,222	1	1,148,222	1,148,222	—
Staples Inc	STAPLES INC DC/P 3/24/09	1	1,148,471	1	1,148,471	1,148,471	—
Starbucks Corp	STARBUCKS CORP DC/P 12/04/08	1	1,099,905	1	1,099,905	1,099,905	—
Sumitomo Corp	SUNITOMO CORP DC/P 6/16/09	1	1,148,222	1	1,148,222	1,148,222	—
TSL (USA) Inc	TSL (USA) INC DC/P 2/10/09	1	1,149,949	1	1,149,949	1,149,949	—
TSL (USA) Inc	TSL (USA) INC DC/P 2/11/09	1	1,149,949	1	1,149,949	1,149,949	—
TSL (USA) Inc	TSL (USA) INC DC/P 2/17/09	1	1,149,681	1	1,149,681	1,149,681	—
TSL (USA) Inc	TSL (USA) INC DC/P 2/26/09	1	1,149,882	1	1,149,882	1,149,882	—
TSL (USA) Inc	TSL (USA) INC DC/P 2/02/09	1	1,149,609	1	1,149,609	1,149,609	—
TSL (USA) Inc	TSL (USA) INC DC/P 3/06/09	1	1,149,374	1	1,149,374	1,149,374	—
TSL (USA) Inc	TSL (USA) INC DC/P 4/20/09	1	1,149,438	1	1,149,438	1,149,438	—
TSL (USA) Inc	TSL (USA) INC DC/P 6/02/09	1	1,148,700	1	1,148,700	1,148,700	—
Tesco PLC	TESCO PLC DC/P 8/12/08	1	999,924	1	999,924	999,924	—
Textron Financial	TEXTRON FINANCIAL DC/P 10/30/08	1	1,098,717	1	1,098,717	1,098,717	—
Textron Financial	TEXTRON FINCL DC/P 11/12/08	1	1,098,625	1	1,098,625	1,098,625	—
Textron Financial	TEXTRON FINCL DC/P 11/21/08	1	1,099,875	1	1,099,875	1,099,875	—
Textron Financial	TEXTRON FINANCIAL DC/P 12/18/08	1	1,099,908	1	1,099,908	1,099,908	—
Textron Financial	TEXTRON FINANCIAL DC/P 1/20/09	1	1,098,610	1	1,098,610	1,098,610	—
Textron Financial	TEXTRON INC DC/P 10/17/08	1	1,098,663	1	1,098,663	1,098,663	—
Textron Financial	TEXTRON INC DC/P 11/19/08	1	1,099,198	1	1,099,198	1,099,198	—
Textron Financial	TEXTRON INC DC/P 12/11/08	1	1,099,817	1	1,099,817	1,099,817	—
Textron Financial	TEXTRON INC DC/P 12/12/08	1	1,099,924	1	1,099,924	1,099,924	—
Textron Financial	TEXTRON INC DC/P 12/01/08	1	1,098,319	1	1,098,319	1,098,319	—
Textron Financial	TEXTRON INC DC/P 12/03/08	1	1,099,633	1	1,099,633	1,099,633	—
Textron Financial	TEXTRON INC DC/P 12/04/08	1	1,099,884	1	1,099,884	1,099,884	—
Textron Financial	TEXTRON INC DC/P 12/09/08	1	1,099,236	1	1,099,236	1,099,236	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/15/09	1	1,149,754	1	1,149,754	1,149,754	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/16/09	1	1,149,963	1	1,149,963	1,149,963	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/17/09	1	1,149,963	1	1,149,963	1,149,963	—



**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Three Pillars Funding	THREE PILLARS FDG DC/P 7/20/09	1	\$ 1,149,890	1	\$ 1,149,890	1,149,890	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/21/09	1	1,149,963	1	1,149,963	1,149,963	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/22/09	1	1,149,962	1	1,149,962	1,149,962	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/23/09	1	1,149,962	1	1,149,962	1,149,962	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/24/09	1	1,149,962	1	1,149,962	1,149,962	—
Three Pillars Funding	THREE PILLARS FDG DC/P 7/27/09	1	1,149,885	1	1,149,885	1,149,885	—
Torchmark Corp	TORCHMARK CORP DC/P 6/08/09	1	1,148,837	1	1,148,837	1,148,837	—
Toyota Motor Credit	TOYOTA MOTOR CREDIT DC/P 12/11/08	1	994,944	1	994,944	994,944	—
Transocean Inc	TRANSOCEAN INC DC/P 11/12/08	1	1,099,795	1	1,099,795	1,099,795	—
Transocean Inc	TRANSOCEAN INC DC/P 11/14/08	1	1,099,908	1	1,099,908	1,099,908	—
Transocean Inc	TRANSOCEAN INC DC/P 11/20/08	1	1,099,911	1	1,099,911	1,099,911	—
Transocean Inc	TRANSOCEAN INC DC/P 11/21/08	1	1,099,907	1	1,099,907	1,099,907	—
UBS Financial	UBS FIN DEL 7/27/09	1	1,146,751	1	1,146,751	1,146,751	—
United Healthcare	UNITED HEALTHCARE DC/P 9/05/08	1	1,097,213	1	1,097,213	1,097,213	—
Virginia Electric & Power	VIRGINIA ELECT & PWR DC/P 10/01/08	1	1,097,608	1	1,097,608	1,097,608	—
Virginia Electric & Power	VIRGINIA ELECT & PWR DC/P 11/03/08	1	1,096,272	1	1,096,272	1,096,272	—
Virginia Electric & Power	VIRGINIA ELECT & PWR DC/P 1/29/09	1	1,089,508	1	1,089,508	1,089,508	—
Virginia Electric & Power	VIRGINIA ELECT & PWR DC/P 4/13/09	1	1,149,310	1	1,149,310	1,149,310	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 11/21/08	1	1,099,910	1	1,099,910	1,099,910	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/11/08	1	1,099,936	1	1,099,936	1,099,936	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/15/08	1	1,099,780	1	1,099,780	1,099,780	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/16/08	1	1,099,928	1	1,099,928	1,099,928	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/17/08	1	1,099,924	1	1,099,924	1,099,924	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/30/08	1	1,074,934	1	1,074,934	1,074,934	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/30/08	1	1,099,908	1	1,099,908	1,099,908	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/03/08	1	1,099,910	1	1,099,910	1,099,910	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 12/04/08	1	1,099,910	1	1,099,910	1,099,910	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 1/21/09	1	1,149,946	1	1,149,946	1,149,946	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 2/02/09	1	1,149,649	1	1,149,649	1,149,649	—
Volkswagen of America	VOLKSWAGEN OF AMERICA DC/P 2/09/09	1	1,149,553	1	1,149,553	1,149,553	—
Volvo Group	VOLVO GROUP TRSY NA INC DC/P 6/10/09	1	1,149,253	1	1,149,253	1,149,253	—
Volvo Group	VOLVO GROUP TRSYDC/P 6/22/09	1	1,149,253	1	1,149,253	1,149,253	—
Volvo Group	VOLVO GROUP TRSY DC/P 7/08/09	1	1,149,128	1	1,149,128	1,149,128	—
Wellpoint	WELLPOINT DC/P 10/02/08	1	1,097,386	1	1,097,386	1,097,386	—
Wellpoint	WELLPOINT INC DC/P 1/05/09	1	1,095,463	1	1,095,463	1,095,463	—
Wellpoint	WELLPOINT INC DC/P 4/02/09	1	1,145,528	1	1,145,528	1,145,528	—
Western Union Co	WESTER UNION DC/P 10/02/08	1	1,099,856	1	1,099,856	1,099,856	—
Western Union Co	WESTER UNION CO DC/P 12/15/08	1	1,091,096	1	1,091,096	1,091,096	—
Whirlpool Corp	WHIRLPOOL CORP DC/P 9/25/08	1	1,098,533	1	1,098,533	1,098,533	—
Whirlpool Corp	WHIRLPOOL CORP DC/P 10/15/08	1	1,097,815	1	1,097,815	1,097,815	—
Whirlpool Corp	WHIRLPOOL CORP DC/P 11/03/08	1	1,096,753	1	1,096,753	1,096,753	—
Wisconsin Energy Corp	WISCONSIN ENERGY CORP DC/P 11/06/08	1	1,099,313	1	1,099,313	1,099,313	—
Wisconsin Energy Corp	WISCONSIN ENERGY CORP DC/P 12/03/08	1	1,098,289	1	1,098,289	1,098,289	—
Wisconsin Energy Corp	WISCONSIN ENERGY CORP DC/P 1/05/09	1	1,094,202	1	1,094,202	1,094,202	—
Wisconsin Energy Corp	WISCONSIN ENERGY CORP DC/P 4/17/09	1	1,149,150	1	1,149,150	1,149,150	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

<u>Issuer</u>	<u>Description</u>	<u>Number of purchases</u>	<u>Amount purchased</u>	<u>Number of sales</u>	<u>Proceeds</u>	<u>Cost of asset</u>	<u>Net gain</u>
Registered investment company fund: Highmark Diversified	HIGHMARK DIVERSIFIED MMKT FUND FD	150	\$ 69,666,938	70	\$ 61,578,430	61,578,430	—

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2007:							
Commercial papers:							
Daimlerchrysler	DAIMLERCHRYSLER REV AUTO DC 8/21/07	1	\$ 1,998,611	—	\$ —	—	—
Daimlerchrysler	DAIMLERCHRYSLER REV AUTO DC 8/21/07	—	—	1	1,998,611	1,998,611	—
Deutsche Bank	DEUTSCHE BK REPO 5.26% 8/02/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BK REPO 5.26% 8/02/07	—	—	1	1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BK REPO 5.29% 8/01/07	—	—	1	1,300,000	1,300,000	—
Legacy Capital	LEGACY CPTL CO DC/P 8/22/07	1	1,999,659	—	—	—	—
Legacy Capital	LEGACY CPTL CO DC/P 8/23/07	1	1,999,661	—	—	—	—
Legacy Capital	LEGACY CPTL CO DC/P 8/22/07	—	—	1	1,999,659	1,999,659	—
Legacy Capital	LEGACY CPTL CO DC/P 8/23/07	—	—	1	1,999,661	1,999,661	—
Morgan Stanley	MORGAN STANLEY DC/P 2/07/08	1	1,949,880	—	—	—	—
Morgan Stanley	MORGAN STANLEY DC/P 2/07/08	—	—	1	1,949,880	1,949,880	—
Prudential	PRUDENTIAL PLC DC/P 1/25/08	1	1,951,119	—	—	—	—
Prudential	PRUDENTIAL PLC DC/P 1/25/08	—	—	1	1,951,119	1,951,119	—
Societe Gen	SOCIETE GEN NO AMERICA DC/P 10/24/07	1	1,378,210	—	—	—	—
Societe Gen	SOCIETE GEN NO AMERICA DC/P 10/24/07	—	—	1	1,378,210	1,378,210	—
Swedbank	SWEDBANK DC/P 10/24/07	1	1,980,139	—	—	—	—
Swedbank	SWEDBANK DC/P 10/24/07	—	—	1	1,980,139	1,980,139	—
Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2007:							
Commercial papers:							
Aetna Inc	AETNA INC DC/P 6/25/08	1	995,821	1	995,821	995,821	—
Aetna Inc	AETNA INC DC/P 10/30/07	1	1,090,833	1	1,090,833	1,090,833	—
Aetna Inc	AETNA INC DC/P 12/10/07	1	1,095,140	1	1,095,140	1,095,140	—
Alcoa Inc	ALCOA INC DC/P 3/20/08	1	1,091,396	1	1,091,396	1,091,396	—
Aluminum Co	ALUMINUM CO DC/P 5/30/08	1	1,094,408	1	1,094,408	1,094,408	—
Alcoa Inc	ALCOA DC/P 7/21/08	1	1,096,355	1	1,096,355	1,096,355	—
Alcoa Inc	ALCOA DC/P 12/28/07	1	1,095,169	1	1,095,169	1,095,169	—
Archer Daniels Midland	ARCHER DANIELS MIDLAND DC/P 4/08/08	1	989,790	1	989,790	989,790	—
Astrazeneca	ASTRAZENECA PLC DC/P 4/28/08	1	1,114,694	1	1,114,694	1,114,694	—
Avery Dennison	AVERY DENNISON CORP DC/P 7/31/08	1	1,194,907	1	1,194,907	1,194,907	—
Bank of Ireland	GOVERNOR & CO OF BANK OF IRELAND 7/01/08	1	995,340	1	995,340	995,340	—
Bank of Scotland	BANK OF STLD TRSY DC/P 7/01/08	1	1,085,838	1	1,085,838	1,085,838	—
Bank of Scotland	BANK OF STLD DC/P 6/10/08	1	1,092,073	1	1,092,073	1,092,073	—
Bank of Scotland	BANK OF SCOTLAND DC/P 12/05/07	1	996,125	1	996,125	996,125	—
Bank America	BK AMERICA DC/P 7/24/08	1	1,141,329	1	1,141,329	1,141,329	—
Barclays	BARCLAYS US FDG DC/P 2/07/08	1	1,090,196	1	1,090,196	1,090,196	—
Barclays	BARCLAYS US FDG DC/P 7/16/08	1	994,458	1	994,458	994,458	—
Barclays	BARCLAYS US FDG DC/P 10/29/07	1	990,445	1	990,445	990,445	—
Britannia Building Society	BRITANNIA BLDNG SOC DC/P 10/24/07	1	1,086,823	1	1,086,823	1,086,823	—
BNSF	BURLINGTON NRTHN SANTA FE 7/02/08	1	1,099,914	1	1,099,914	1,099,914	—
BNSF	BURL NRTHN SNTA FE DC/P 7/03/08	1	999,922	1	999,922	999,922	—
CVS Corporation	CVS CORP DC/P 12/06/07	1	1,095,658	1	1,095,658	1,095,658	—
Cardinal Health Inc	CARDINAL HEALTH INC DC/P 2/15/08	1	1,096,101	1	1,096,101	1,096,101	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/11/08	1	1,099,629	1	1,099,629	1,099,629	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/13/08	1	1,099,876	1	1,099,876	1,099,876	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/14/08	1	1,099,875	1	1,099,875	1,099,875	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/15/08	1	1,099,875	1	1,099,875	1,099,875	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/21/08	1	1,099,878	1	1,099,878	1,099,878	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/22/08	1	1,099,875	1	1,099,875	1,099,875	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/25/08	1	\$ 1,099,624	1	\$ 1,099,624	1,099,624	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/26/08	1	1,099,876	1	1,099,876	1,099,876	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/27/08	1	1,099,876	1	1,099,876	1,099,876	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/05/08	1	1,099,876	1	1,099,876	1,099,876	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/06/08	1	1,099,870	1	1,099,870	1,099,870	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/07/08	1	1,099,878	1	1,099,878	1,099,878	—
Cedar Springs Capital	CEDAR SPRG CPTL DC/P 2/08/08	1	1,099,878	1	1,099,878	1,099,878	—
Cedar Springs Capital	CEDAR SPRG CPTL CO DC/P 11/14/07	1	1,099,841	1	1,099,841	1,099,841	—
Cedar Springs Capital	CEDAR SPRG CPTL CO DC/P 11/15/07	1	1,099,840	1	1,099,840	1,099,840	—
Cedar Springs Capital	CEDAR SPRG CPTL CO DC/P 11/16/07	1	1,099,837	1	1,099,837	1,099,837	—
Cedar Springs Capital	CEDAR SPRG CPTL CO DC/P 11/19/07	1	1,149,492	1	1,149,492	1,149,492	—
Cedar Springs Capital	CEDAR SPRG CPTL CO DC/P 11/20/07	1	1,149,834	1	1,149,834	1,149,834	—
Cedar Springs Capital	CEDAR SPRG CPTL CO DC/P 11/06/07	1	1,099,844	1	1,099,844	1,099,844	—
Cedar Springs Capital	CEDAR SPRG CPTL CO DC/P 11/07/07	1	1,099,844	1	1,099,844	1,099,844	—
Chesham Financial	CHESHAM FIN DC/P 3/24/08	1	1,099,591	1	1,099,591	1,099,591	—
Chesham Financial	CHESHAM FIN LLC DC/P 6/03/08	1	1,099,913	1	1,099,913	1,099,913	—
Chesham Financial	CHESHAM FIN LLC DC/P 6/09/08	1	1,099,748	1	1,099,748	1,099,748	—
Chevron Phillips	CHEVRON PHIL CHML DC/P 1/16/08	1	993,793	1	993,793	993,793	—
Chevron Phillips	CHVRN PHILPS DC/P 4/01/08	1	1,099,896	1	1,099,896	1,099,896	—
Chevron Phillips	CHEVRON PHILLIPS CHEML DC/P 6/30/08	1	1,099,743	1	1,099,743	1,099,743	—
Citibank	CITIBANK NA C/D 5.15% 2/29/08	1	1,000,000	1	1,000,000	1,000,000	—
Clorox Company	CLOROX CO DC/P 6/27/08	1	1,096,891	1	1,096,891	1,096,891	—
Clorox Company	CLOROX COMPANY DC/P 7/31/08	1	1,096,956	1	1,096,956	1,096,956	—
Computer Sciences	COMPUTER SCIENCES DC/P 2/21/08	1	1,093,956	1	1,093,956	1,093,956	—
Computer Sciences	COMPUTER SCIENCES CORP DC/P 3/28/08	1	1,096,654	1	1,096,654	1,096,654	—
Computer Sciences	COMPUTER SCIENCES DC/P 10/09/07	1	997,124	1	997,124	997,124	—
Computer Sciences	COMPUTER SCIENCES CORP DC/P 11/27/07	1	995,089	1	995,089	995,089	—
Concord Capital	CONCORD CPTL DC/P 4/10/08	1	1,008,926	1	1,008,926	1,008,926	—
Concord Capital	CONCORD CPTL DC/P 4/09/08	1	1,049,920	1	1,049,920	1,049,920	—
Daimler Chrysler	DAIMLERCHRYSLER REV AUTO DC 8/21/07	1	1,998,611	1	1,998,611	1,998,611	—
Depfa Bank	DEPFA BANK DC/O 1/22/08	1	1,089,775	1	1,089,775	1,089,775	—
Depfa Bank	DEPFA BANK PLC DC/P 3/10/08	1	1,096,988	1	1,096,988	1,096,988	—
Devon Energy	DEVON ENERGY DC/P 2/05/08	1	1,099,885	1	1,099,885	1,099,885	—
Devon Energy	DEVON ENER DC/P 4/07/08	1	849,788	1	849,788	849,788	—
Devon Energy	DEVON ENERGY CORP DC/P 6/16/08	1	1,096,456	1	1,096,456	1,096,456	—
Daigeo Capital	DAIGEO CPTL DC/P 5/08/08	1	1,093,184	1	1,093,184	1,093,184	—
Daigeo Capital	DIAGED CAPITAL PLC DC/P 12/07/08	1	1,090,463	1	1,090,463	1,090,463	—
Dominion Resources	DOMINION RESOURCES DC/P 7/16/08	1	1,096,407	1	1,096,407	1,096,407	—
Dow Chemical	DOW CHEM CO DC/P 4/10/08	1	1,091,872	1	1,091,872	1,091,872	—
Dow Chemical	DOW CHEMICAL CO DC/P 7/08/08	1	1,141,471	1	1,141,471	1,141,471	—
Deutsche Bank	DEUTSCHE BANK REPO 5.25% 8/07/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 4.89% 10/31/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 4.62% 11/02/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.26% 8/02/07	1	1,300,000	1	1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.26% 8/03/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 4.82% 11/01/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.25% 8/06/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.27% 8/08/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.45% 8/10/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.27% 8/09/07	1	1,000,000	1	1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.5% 8/13/07	1	1,000,000	1	1,000,000	1,000,000	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 8/14/07	1	\$ 1,000,000	1	\$ 1,000,000	1,000,000	—
Deutsche Bank	DEUTSCHE BANK REPO 4.6% 11/05/07	1	1,000,000	1	1,000,000	1,000,000	—
Fortis Banque	FORTIS BANQ DC/P 7/14/08	1	1,093,177	1	1,093,177	1,093,177	—
Fortune Brands	FORTUNE BRAND INC DC/P 6/04/08	1	1,072,826	1	1,072,826	1,072,826	—
Fortune Brands	FORTUNE BRANDS INC DC/P 12/06/07	1	991,608	1	991,608	991,608	—
GE Capital	GE CPTL DC/P 7/09/08	1	1,142,870	1	1,142,870	1,142,870	—
GE Corporation	GE CO DC/P 3/27/08	1	1,095,059	1	1,095,059	1,095,059	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 5/07/08	1	1,099,919	1	1,099,919	1,099,919	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 5/09/08	1	999,925	1	999,925	999,925	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 6/11/08	1	1,099,921	1	1,099,921	1,099,921	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 6/26/08	1	1,099,921	1	1,099,921	1,099,921	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 7/22/08	1	1,099,924	1	1,099,924	1,099,924	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 7/24/08	1	1,099,924	1	1,099,924	1,099,924	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 7/07/08	1	999,700	1	999,700	999,700	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 7/09/08	1	1,049,921	1	1,049,921	1,049,921	—
Giro Balanced Fund	GIRO BALANCED FDG DC/PO 8/20/07	1	1,096,109	1	1,096,109	1,096,109	—
Goldman Sachs	GOLDMAN SACHS GRP INC DC/P 1/29/08	1	1,088,900	1	1,088,900	1,088,900	—
Greyhawk Funding	GREYHAWK FDG LLC DC/P 2/12/08	1	1,099,884	1	1,099,884	1,099,884	—
Greyhawk Funding	GREYHAWK FDG LLC DC/P 2/19/08	1	1,099,529	1	1,099,529	1,099,529	—
Greyhawk Funding	GREYHAWK FDG DC/P 2/20/08	1	1,099,884	1	1,099,884	1,099,884	—
Greyhawk Funding	GREYHAWK FDG DC/P 2/22/08	1	1,099,885	1	1,099,885	1,099,885	—
Greyhawk Funding	GREYHAWK FDG DC/P 2/25/08	1	1,099,661	1	1,099,661	1,099,661	—
Greyhawk Funding	GREYHAWK FDG LLC DC/P 2/01/08	1	1,099,878	1	1,099,878	1,099,878	—
Greyhawk Funding	GREYHAWK FDG DC/P 2/04/08	1	1,099,643	1	1,099,643	1,099,643	—
Greyhawk Funding	GREYHAWK FDG DC/P 3/11/08	1	1,099,878	1	1,099,878	1,099,878	—
Greyhawk Funding	GREYHAWK FDG DC/P 3/12/08	1	1,099,887	1	1,099,887	1,099,887	—
Greyhawk Funding	GREYHAWK FDG DC/P 3/28/08	1	1,099,895	1	1,099,895	1,099,895	—
Greyhawk Funding	GREYHAWK FDG DC/P 3/31/08	1	1,099,693	1	1,099,693	1,099,693	—
Greyhawk Funding	GREYHAWK FDG LLC DC/P 3/03/08	1	1,099,652	1	1,099,652	1,099,652	—
Greyhawk Funding	GREYHAWK FDG DC/P 4/01/08	1	1,099,893	1	1,099,893	1,099,893	—
Greyhawk Funding	GREYHAWK FDG DC/P 4/03/08	1	1,149,896	1	1,149,896	1,149,896	—
Greyhawk Funding	GREYHAWK FDG LLC DC/P 6/05/08	1	999,928	1	999,928	999,928	—
Greyhawk Funding	GREYHAWK FNDG LLC DC/P 11/16/07	1	1,099,835	1	1,099,835	1,099,835	—
Greyhawk Funding	GREYHAWK FUNDING LLC DC/P 11/29/07	1	1,149,835	1	1,149,835	1,149,835	—
HJ Heinz	HEINZ HJ DC/P 4/30/08	1	1,093,148	1	1,093,148	1,093,148	—
HJ Heinz	HEINZ H J FIN CO DC/P 6/27/08	1	1,094,861	1	1,094,861	1,094,861	—
HJ Heinz	HEINZ H J FIN CO DC/P 7/30/08	1	1,097,126	1	1,097,126	1,097,126	—
HJ Heinz	HEINZ H J FIN CO DC/P 10/04/07	1	1,095,527	1	1,095,527	1,095,527	—
ING America	ING AMERICA INS 4/08/08	1	1,093,923	1	1,093,923	1,093,923	—
ITT Corp	ITT CORP DC/P 1/24/08	1	1,092,506	1	1,092,506	1,092,506	—
ITT Corp	ITT CORP DC/P 4/22/08	1	996,242	1	996,242	996,242	—
ITT Corp	ITT CORP DC/P 6/24/08	1	1,093,936	1	1,093,936	1,093,936	—
ITT Corp	ITT CORP DC/P 7/24/08	1	1,097,962	1	1,097,962	1,097,962	—
ITT Corp	ITT CORP DC/P 11/05/07	1	995,244	1	995,244	995,244	—
Irish Life & Perm.	IRISH LIFE & PERM DC/P 5/01/08	1	988,589	1	988,589	988,589	—
Jupiter Seczn	JUBITER SECZTN DC/P 1/28/06	1	1,098,101	1	1,098,101	1,098,101	—
Kellogg Company	KELLOGG COMPANY DC/P 1/28/08	1	1,138,813	1	1,138,813	1,138,813	—
Kellogg Company	KELLOGG CO DC/P 5/05/08	1	1,093,748	1	1,093,748	1,093,748	—
Kellogg Company	KELLOGG CO DC/P 9/10/07	1	1,094,459	1	1,094,459	1,094,459	—
Kellogg Company	KELLOGG CO DC/P 11/19/07	1	1,089,220	1	1,089,220	1,089,220	—
Legacy Capital	LEGACY CPTL DC/P 4/14/08	1	1,149,727	1	1,149,727	1,149,727	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Legacy Capital	LEGACY CPTL DC/P 6/1208	1	\$ 1,099,921	1	\$ 1,099,921	1,099,921	—
Legacy Capital	LEGACY CAPITAL LLC DC/P 6/13/08	1	1,099,919	1	1,099,919	1,099,919	—
Legacy Capital	LEGACY CAP CO DC/P 6/17/08	1	1,099,913	1	1,099,913	1,099,913	—
Legacy Capital	LEGACY CAPTL CO DC/P 6/02/08	1	1,099,734	1	1,099,734	1,099,734	—
Legacy Capital	LEGACY CAP CO DC/P 6/03/08	1	1,099,918	1	1,099,918	1,099,918	—
Legacy Capital	LEGACY CAP CO DC/P 6/04/08	1	1,099,918	1	1,099,918	1,099,918	—
Legacy Capital	LEGACY CAP CO DC/P 6/06/08	1	1,099,919	1	1,099,919	1,099,919	—
Legacy Capital	LEGACY CAP CO DC/P 7/01/08	1	1,099,904	1	1,099,904	1,099,904	—
Legacy Capital	LEGACY CAPTL CO DC/P 7/03/08	1	1,099,913	1	1,099,913	1,099,913	—
Legacy Capital	LEGACY CAPTL CO DC/P 8/22/07	1	1,999,659	1	1,999,659	1,999,659	—
Legacy Capital	LEGACY CAPTL CO DC/P 8/23/07	1	1,999,661	1	1,999,661	1,999,661	—
Lexington Capital	LEXINGTON PKR DC/P 3/31/08	1	1,099,716	1	1,099,716	1,099,716	—
Lexington Capital	LEXINGTON CPTL DC/P 4/10/08	1	1,099,924	1	1,099,924	1,099,924	—
Lexington Capital	LEXINGTON PKR DC/P 4/02/08	1	1,149,888	1	1,149,888	1,149,888	—
Lexington Capital	LEXINGTON SPTL DC/P 4/09/08	1	999,917	1	999,917	999,917	—
Lincoln National Corp	LINCOLN NATL CORP DC/P 4/21/08	1	1,090,454	1	1,090,454	1,090,454	—
Lloyds Bank	LLOYDS BK DC/P 4/04/08	1	849,946	1	849,946	849,946	—
Marriott International	MARRIOTT INTL DC/P 4/07/08	1	1,194,731	1	1,194,731	1,194,731	—
Merrill Lynch & Co.	MERRILL LYNCH & CO DC/P 4/07/08	1	1,087,404	1	1,087,404	1,087,404	—
Mitsui	MITSUMI CO DC/P 4/07/08	1	1,097,430	1	1,097,430	1,097,430	—
Morgan Stanley	MORGAN STANLEY DC/P 5/20/08	1	1,949,880	1	1,949,880	1,949,880	—
Morrigan FDG	MORRIGAN TR FDG DC/P 2/04/08	1	1,099,633	1	1,099,633	1,099,633	—
Morrigan FDG	MORRIGAN FDG DC/P 4/11/08	1	1,149,907	1	1,149,907	1,149,907	—
Morrigan FDG	MORRIGAN FDG DC/P 4/03/08	1	799,929	1	799,929	799,929	—
Morrigan FDG	MORRIGAN FDG DC/P 4/04/08	1	1,099,905	1	1,099,905	1,099,905	—
Morrigan FDG	MORRIGAN TRR FDG DC/P 12/07/07	1	1,099,840	1	1,099,840	1,099,840	—
Natexis Banques	NATEXIS BANQUES US DC/P 1/18/08	1	1,140,812	1	1,140,812	1,140,812	—
National Grid	NATL GRID USA DC/P 3/31/08	1	1,095,188	1	1,095,188	1,095,188	—
Nationwide Building	NATIONWIDE BLDG DSOC DC/P 1/07/08	1	1,094,980	1	1,094,980	1,094,980	—
Nationwide Building	NATIONWIDE BLDG DSOC DC/P 4/28/08	1	1,091,956	1	1,091,956	1,091,956	—
Old Slip FDG	OLD SLIP FDG DC/P 4/09/08	1	999,919	1	999,919	999,919	—
Old Slip FDG	OLD SLIP FDG CORP DC/P 12/19/07	1	1,095,508	1	1,095,508	1,095,508	—
Pacific Gas & Electric	PACIFIC GAS & ELEC DC/P 1/17/08	1	1,094,993	1	1,094,993	1,094,993	—
Pacific Gas & Electric	PACIFIC GAS ELECTRIC DC/P 7/16/08	1	999,921	1	999,921	999,921	—
Pacific Gas & Electric	PG AND E DC/P 7/18/08	1	1,099,832	1	1,099,832	1,099,832	—
Pacific Gas & Electric	PACIFIC GAS ELECTRIC DC/P 7/31/08	1	1,098,888	1	1,098,888	1,098,888	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 6/23/08	1	1,174,721	1	1,174,721	1,174,721	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 6/25/08	1	1,099,913	1	1,099,913	1,099,913	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 6/26/08	1	1,099,913	1	1,099,913	1,099,913	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 6/27/08	1	1,099,911	1	1,099,911	1,099,911	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 6/30/08	1	1,099,707	1	1,099,707	1,099,707	—
Perry Global Fund	PERRY GLB FD DC/P 7/15/08	1	1,099,918	1	1,099,918	1,099,918	—
Perry Global Fund	PERRY GLB FD DC/P 7/17/08	1	1,099,916	1	1,099,916	1,099,916	—
Perry Global Fund	PERRY GLOBAL FD DC/P 7/18/08	1	1,099,919	1	1,099,919	1,099,919	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 7/23/08	1	1,099,921	1	1,099,921	1,099,921	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 7/02/08	1	1,099,904	1	1,099,904	1,099,904	—
Perry Global Fund	PERRY GLB FD LTD LLC DC/P 7/07/08	1	1,099,633	1	1,099,633	1,099,633	—
Prudential	PRUDENTIAL PLC DC/P 1/25/08	1	1,951,119	1	1,951,119	1,951,119	—
Prudential	PRUDENTIAL PLC DC/P 5/13/08	1	990,725	1	990,725	990,725	—
Rabobank USA	RABOBANK USA FINL CORP DC/P 10/30/07	1	999,865	1	999,865	999,865	—
Ryder Systems	RYDER SYS DC/P 5/02/08	1	997,288	1	997,288	997,288	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Ryder Systems	RYDER SYSTEM INC DC/P 7/15/08	1	\$ 1,097,562	1	\$ 1,097,562	1,097,562	—
Sabmiller PLC	SABMILLER DC/P 4/10/08	1	1,099,914	1	1,099,914	1,099,914	—
Sabmiller PLC	SABMILLER PLC DC/P 4/11/08	1	1,149,909	1	1,149,909	1,149,909	—
Sabmiller PLC	SABMILLER DC/P 7/16/08	1	1,099,916	1	1,099,916	1,099,916	—
Sabmiller PLC	SABMILLER PLC DC/P 7/25/08	1	1,099,921	1	1,099,921	1,099,921	—
Sabmiller PLC	SABMILLER PLC DC/P 7/29/08	1	1,194,910	1	1,194,910	1,194,910	—
Sabmiller PLC	SABMILLER PLC DC/P 7/01/08	1	1,099,905	1	1,099,905	1,099,905	—
Sabmiller PLC	SABMILLER PLC DC/P 7/03/08	1	999,922	1	999,922	999,922	—
Sabmiller PLC	SABMILLER PLC DC/P 7/08/08	1	999,922	1	999,922	999,922	—
Schering Plough	SCHERING PLGH DC/P 4/21/08	1	1,091,250	1	1,091,250	1,091,250	—
Sheffield Rec	SHEFFIELD REC DC/P 10/02/07	1	1,147,988	1	1,147,988	1,147,988	—
Sheffield Rec	SHEFFIELD REC VBLSDC 11/05/07	1	999,583	1	999,583	999,583	—
Sherwin Williams	SHERWIN WLMS DC/P 6/05/08	1	1,096,401	1	1,096,401	1,096,401	—
Societe General	SOC GEN NO AMER DC/P 11/26/07	1	1,090,007	1	1,090,007	1,090,007	—
Societe General	SOCIETE GEN NO AMER DC/P 11/26/07	1	1,378,210	1	1,378,210	1,378,210	—
Starbucks Corp	STARBUCKS CORP DC/P 8/14/07	1	1,099,817	1	1,099,817	1,099,817	—
Swedbank	SWEDBANK DC/P 10/24/07	1	1,980,139	1	1,980,139	1,980,139	—
Swedbank	SWEDBANK DC/P 11/30/07	1	1,095,567	1	1,095,567	1,095,567	—
Textron Financial	TEXTRON FIN DC/P 2/01/08	1	1,092,208	1	1,092,208	1,092,208	—
Textron Financial	TEXTRON FIN DC/P 3/25/08	1	1,099,905	1	1,099,905	1,099,905	—
Textron Financial	TEXTRON FIN DC/P 4/07/08	1	1,099,725	1	1,099,725	1,099,725	—
Textron Financial	TEXTRON FIN DC/P 4/08/08	1	1,049,911	1	1,049,911	1,049,911	—
Textron Financial	TEXTRON FIN DC/P 5/21/08	1	1,146,336	1	1,146,336	1,146,336	—
Textron Financial	TEXTRON FIN DC/P 7/30/08	1	1,096,966	1	1,096,966	1,096,966	—
Textron Financial	TEXTRON FIN DC/P 6/17/08	1	1,099,664	1	1,099,664	1,099,664	—
Ticonderoga	TICONDEROGA & TICONDER DC/P 11/13/07	1	997,211	1	997,211	997,211	—
UBS Financial	UBS FIN DELW DC/P 1/10/08	1	991,513	1	991,513	991,513	—
UBS Financial	UBS FIN DELW DC/P 5/23/08	1	1,088,510	1	1,088,510	1,088,510	—
United Healthcare	UNITED HEALTHCARE DC/P 3/10/08	1	1,096,168	1	1,096,168	1,096,168	—
United Healthcare	UNITED HLTH DC/P 4/08/08	1	999,915	1	999,915	999,915	—
United Healthcare	UNITED HEALTHCARE CORP DC/P 5/22/08	1	1,098,738	1	1,098,738	1,098,738	—
United Healthcare	UNITED HEALTHCARE CORP DC/P 6/27/08	1	1,096,810	1	1,096,810	1,096,810	—
Wellpoint	WELLPOINT INC DC/P 1/09/08	1	1,097,983	1	1,097,983	1,097,983	—
Wellpoint	WELLPOINT DC/P 5/30/08	1	1,094,051	1	1,094,051	1,094,051	—
Wellpoint	WELLPOINT INC DC/P 11/07/07	1	1,097,797	1	1,097,797	1,097,797	—
Wellpoint	WELLPOINT INC DC/P 12/26/07	1	1,095,371	1	1,095,371	1,095,371	—
Western Union	WESTERN UNION DC/P 1/31/08	1	1,093,840	1	1,093,840	1,093,840	—
Western Union	WESTERN UNION DC/P 5/08/08	1	997,417	1	997,417	997,417	—
Western Union	WESTERN UNION DC/P 6/20/08	1	1,097,348	1	1,097,348	1,097,348	—
Western Union	WESTERN UNION DC/P 7/02/08	1	1,099,189	1	1,099,189	1,099,189	—
Westpac Banking Corp	WESTPAC BNKG CORP DC/P 2/01/08	1	1,088,589	1	1,088,589	1,088,589	—
Westpac Banking Corp	WESTPAC BKG CORP DC/P 5/06/08	1	1,091,464	1	1,091,464	1,091,464	—
Wheels Inc.	WHEELS INC DC/P 6/02/08	1	1,099,743	1	1,099,743	1,099,743	—
Whirlpool Corp	WHIRLPOOL CORP DC/P 6/10/08	1	1,098,460	1	1,098,460	1,098,460	—
Whirlpool Corp	WHIRLPOOL CORP DC/P 11/28/07	1	1,140,350	1	1,140,350	1,140,350	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

<u>Issuer</u>	<u>Description</u>	<u>Number of purchases</u>	<u>Amount purchased</u>	<u>Number of sales</u>	<u>Proceeds</u>	<u>Cost of asset</u>	<u>Net gain</u>
Registered investment company fund: Highmark	Diversified Money Market Fund	136	\$ 9,602,869	39	\$ 8,415,256	8,415,256	—

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.



**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2006:							
Commercial papers:							
Apreco LLC	APRECO DC/P 9/17/07	1	\$ 1,080,101	—	\$ —	—	—
Athos Funding LTD	ATHOS FDG LTD DC/P 8/27/07	1	1,094,838	—	—	—	—
BASF Aktiengesellschaft	BASF AKTIENGESELL DC/P 8/17/07	1	1,084,978	—	—	—	—
BankAmerica	BANK AMERICA DC/P 7/05/07	1	1,096,437	—	—	—	—
BankAmerica	BANK AMERICA DC/P 7/05/07	—	—	1	1,096,437	1,096,437	—
Bavaria Universal Funding Corp	BAVARIA UNIVL FDG DC/P 8/10/07	1	1,086,044	—	—	—	—
Beta Financial Incorporated	BETA FIN DC/PO 8/17/07	1	1,084,993	—	—	—	—
British Telecommunication PLC	BRITISH TEL COMM DC/P 8/13/07	1	1,085,453	—	—	—	—
Chesham Finance LLC	CHESHAM FIN DC/P 9/20/07	1	1,079,584	—	—	—	—
Coca-Cola company	COCA-COLA CO DC/P 11/01/07	1	1,073,301	—	—	—	—
Curzon Funding LLC	CURZON FDG DC/P 11/15/07	1	1,070,989	—	—	—	—
DaimlerChrysler	DAIMLER CHRYSLER NO DC/P 6/25/07	1	1,182,939	—	—	—	—
DaimlerChrysler	DAIMLER CHRYSLER NO DC/P 6/25/07	—	—	1	1,182,939	1,182,939	—
Duke Energy Carolinas	DUKE ENERGY CAROL DC/P 8/10/07	1	1,085,964	—	—	—	—
Eaton LLC & Eaton LTD	EATON FIN DC/P 9/10/07	1	1,081,215	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/09/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/24/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 8/01/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/06/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/10/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/11/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.20% 7/12/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.20% 7/13/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 7/17/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/18/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/19/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/16/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/20/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/25/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/26/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/27/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/30/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/31/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/23/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/09/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/12/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/06/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/10/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/12/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.2% 7/12/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 7/17/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/18/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/19/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/16/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/20/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/25/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/26/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/27/07	—	—	1	1,300,000	1,300,000	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/30/07	—	\$ —	1	\$ 1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/31/07	—	—	1	1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/23/07	—	—	1	1,275,000	1,275,000	—
Fortune Brands	FORTUNE BRANDS DC/P 7/27/07	1	1,088,156	—	—	—	—
Fortune Brands	FORTUNE BRANDS DC/P 7/27/07	—	—	1	1,088,156	1,088,156	—
Kellogg Company	KELLOGG CO DC/P 8/10/07	1	1,085,937	—	—	—	—
Marriott International	MARRIOTT INTL DC/P 6/13/07	1	1,095,259	—	—	—	—
Marriott International	MARRIOTT INTL DC/P 6/13/07	—	—	1	1,095,259	1,095,259	—
Merrill Lynch and Company	MERRILL LYNCH DC/P 12/12/07	1	1,066,990	—	—	—	—
Mitsubishi International Co.	MITSUBUSHI INTL DC/P 11/08/07	1	1,071,985	—	—	—	—
Rhineland Funding Capital	Cc RHINELAND FDG CAP DC/P 8/15/07	1	1,091,663	—	—	—	—
Stanfield Victoria Funding	STANFLD VIC FIN DC/P 11/21/07	1	1,070,043	—	—	—	—
Starbucks Corporation	STARBUCKS CO DC/P 9/20/07	1	1,090,717	—	—	—	—
Swedish National Housing	SWEDISH NATL HOUSING DC/P 11/14/07	1	1,071,119	—	—	—	—
Time Warner Incorporated	TIME WARNER DC/P 8/09/07	1	1,085,994	—	—	—	—
UBS Finance Delaware	UBS FUN DEL DC/P 10/10/07	1	978,663	—	—	—	—
Volkswagen of America	VOLKSWAGEN OF AM DC/P 7/25/07	1	1,088,469	—	—	—	—
Volkswagen of America	VOLKSWAGEN OF AM DC/P 7/25/07	—	—	1	1,088,469	1,088,469	—
Westpac Banking Corporation	WESTPAC BK CO DC/P 11/14/07	1	1,071,147	—	—	—	—
Registered investment company funds:							
Fidelity	Diversified International Fund	—	—	1	1,322,437	1,285,981	36,456
Highmark	Bond Fund	—	—	1	1,081,487	1,060,514	20,973
Highmark	Diversified Money Market Fund	1	3,025,487	—	—	—	—
Highmark	Diversified Money Market Fund	1	12,191,430	—	—	—	—
Highmark	Diversified Money Market Fund	1	7,694,190	—	—	—	—
Highmark	Diversified Money Market Fund	—	—	1	22,586,384	22,586,384	—
Highmark	Diversified Money Market Fund	—	—	1	1,182,939	1,182,939	—
PIMCO	Total Return Fund	—	—	1	1,435,539	1,423,826	11,713
T. Rowe Price	New Horizons Fund	—	—	1	1,317,381	1,202,406	114,975
Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2006:							
Commercial papers:							
BASF Aktiengesllschaf	BASF AKTIENGESLL	1	1,084,978	1	246,586	246,586	—
BankAmerica	BANKAMERICA DC/P 7/05/07	1	1,096,437	1	1,096,437	1,096,437	—
DaimlerChrysler	DAIMLERCHRYSLER NO DC/P 6/25/07	1	1,182,939	1	1,182,939	1,182,939	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/09/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/24/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/06/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/10/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/11/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.2% 7/12/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.2% 7/13/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 7/17/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/18/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/19/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/16/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/20/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/25/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/26/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/27/07	1	1,300,000	1	1,300,000	1,300,000	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

<u>Issuer</u>	<u>Description</u>	<u>Number of purchases</u>	<u>Amount purchased</u>	<u>Number of sales</u>	<u>Proceeds</u>	<u>Cost of asset</u>	<u>Net gain</u>
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/30/07	1	\$ 1,300,000	1	\$ 1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/31/07	1	1,300,000	1	1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/23/07	1	1,275,000	1	1,275,000	1,275,000	—
Fortune Brands	FORTUNE BRANDS DC/P 7/27/07	1	1,088,156	1	1,088,156	1,088,156	—
Marriott International	MARRIOTT INTL DC/P 6/13/07	1	1,095,259	1	1,095,259	1,095,259	—
Volkswagen of America	VOLKSWAGEN OF AM DC/P 7/25/07	1	1,088,469	1	1,088,469	1,088,469	—
Registered investment company funds:							
Dodge & Cox	International Stock	1	745,870	1	805,675	745,870	59,805
Fidelity	Diversified International Fund	1	153,276	3	2,232,243	2,109,937	122,306
John Hancock	Classic Value Fund	3	41,955	2	1,083,578	966,424	117,154
Harbor Capital	Appreciation Fund	1	642,488	1	676,692	642,488	34,204
Highmark	International Opportunity Fund	1	746,903	1	822,106	746,903	75,203
Highmark	Bond Fund	9	37,033	2	1,156,487	1,135,082	21,405
Highmark	Diversified Money Market Fund	148	25,784,935	25	26,258,906	26,258,906	—
PIMCO	Total Return Fund	13	62,487	2	1,441,268	1,429,525	11,743
T. Rowe Price	New Horizons Fund	2	598,058	1	1,317,381	1,202,406	114,975

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2006

<b>Issuer</b>	<b>Description</b>	<b>Number of purchases</b>	<b>Amount purchased</b>	<b>Number of sales</b>	<b>Proceeds</b>	<b>Cost of asset</b>	<b>Net gain</b>
Category (i) – single transactions in excess of 5% of plan assets as of August 1, 2005:							
HighMark	HighMark Diversified Money Market Fund	1	\$ 893,330	—	\$ —	—	—
Union Bank of California	Stable Value Fund	—	—	1	893,330	893,330	—
Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2005:							
Union Bank of California	Stable Value Fund	12	18,731	2	1,093,330	1,093,330	—
HighMark	HighMark Diversified Money Market Fund	126	3,570,534	29	3,265,271	3,265,271	—

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2005

<b>Issuer</b>	<b>Description</b>	<b>Number of purchases</b>	<b>Amount purchased</b>	<b>Number of sales</b>	<b>Proceeds</b>	<b>Cost of asset</b>	<b>Net gain</b>
Category (i) – single transactions in excess of 5% of plan assets as of August 1, 2004:							
HighMark	HighMark Diversified Money Market Fund	1	\$ 1,863,000	—	\$ —	—	—
HighMark	HighMark Diversified Money Market Fund	—	—	1	1,562,309	1,562,309	—
Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2004:							
HighMark	HighMark Diversified Money Market Fund	133	3,745,340	29	4,170,082	4,170,082	—
Pimco	Pimco Total Return Fund	3	693,700	1	135,000	133,861	1,139
Torray	Torray Institutional Fund	6	96,672	3	608,000	581,952	26,048

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Statement of Actuarial Assumptions/Methods**

**Actuarial Basis**

**Projected Unit Credit Method**

Liabilities and contributions shown in this report are computed using the Projected Unit Credit method of funding.

The objective under this method is to fund each participant's benefits under the Plan as they would accrue, taking into consideration future salary increases. Thus, the total pension, to which each participant is expected to become entitled, is broken down into units, each associated with a year of past or future credited service. When this method is introduced, there will be an initial liability for benefits credited for service prior to that date. To the extent that the liability is not covered by assets of the Plan, there is an unfunded liability to be funded over a chosen period in accordance with an amortization schedule.

A description of the calculation follows:

An individual's **accrued benefit** for valuation purposes related to a particular separation date is the accrued benefit described under the Plan, but determined using the projected salary that would be used in the calculation of the benefit on the expected separation date.

The **benefit** deemed to accrue for an individual during a plan year is the excess of the accrued benefit for valuation purposes at the end of the plan year over the accrued benefit for valuation purposes at the beginning of the plan year. Both accrued benefits are calculated from the same projections to the various anticipated separation dates.

An individual's **accrued liability** is the present value of the accrued benefit for valuation purposes at the beginning of the plan year, and the normal cost is the present value of the benefit deemed to accrue in the plan year. If multidecrements are used, the accrued liability and the normal cost for an individual are the sum of the component accrued liabilities and normal costs associated with the various anticipated separation dates. Such accrued liabilities and normal costs reflect the accrued benefits as modified to obtain the benefits payable on those dates and the probability of the individual separating on those dates.

The Plan's **normal cost** is the sum of the individual normal costs, and the Plan's **accrued liability** is the sum of the accrued liabilities for all participants under the Plan.

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Statement of Actuarial Assumptions/Methods (Cont'd)**

**Asset Valuation Method**

The Actuarial Value of Assets is determined as the market value of assets minus aggregate deferred investment gains and losses. Investment gains and losses are recognized ratably over five years. For any year, the aggregate deferred investment gain or loss will equal a decreasing fraction (80%, 60%, 40%, and 20%) of the gains and losses that occurred in each of the past four plan years. Investment gains and losses are measured as the excess or shortfall of total actual return over expected return, where expected return is determined based on the funding interest rate.

The asset value determined under this method will be adjusted to be within 20% of the market value of assets.

**Valuation Procedures**

The limitations of Internal Revenue Code Section 415(b) have been incorporated into our calculations.

No actuarial liability is included for participants who terminated nonvested prior to the valuation date.

The Plan sponsor provides us with data on all employees as of the valuation date, but only those employees who have completed the Plan's eligibility requirements are included in the valuation of liabilities.

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Statement of Actuarial Assumptions/Methods (Cont'd)**

**Actuarial Assumptions**

The following assumptions were used in valuing the liabilities and benefits under the Plan.

**Economic**

Investment Return: 8.00% compounded annually for funding purposes.  
5.78% compounded annually for RPA '94 current liability.  
5.78% compounded annually for Gateway current liability.  
8.00% compounded annually for the present value of accumulated plan benefits.

Salary Increases: N/A. Plan benefits are frozen as of December 31, 2005.

Wage Base Increases: 3.00% compounded annually

**Other**

Mortality: For non-disabled participants, the RP2000 Mortality Table for males and females. For participants on Disability Retirement, the RP2000 Disabled Mortality Table for males and females.

RPA '94 and Gateway current liabilities assume mortality according to the 1983 Group Annuity Mortality Table for males and females.

Retirement: Participants are assumed to retire according to the following retirement rates:

<u>Employee Age</u>	<u>Retirement Rate</u>
58	15%
62	50%
65	100%

No retirements are assumed to occur before age 58.  
Participants are credited with 2 years of age and service towards early retirement eligibility. Participants over age 65 at the valuation date are assumed to retire immediately.



**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Statement of Actuarial Assumptions/Methods (Cont'd)**

Withdrawal: Sample assumed termination rates are shown below:

<u>Age</u>	<u>Male Rates</u>	<u>Female Rates</u>
25	12.32%	20.79%
30	8.12%	13.59%
35	5.06%	8.04%
40	3.26%	4.71%
45	2.49%	3.26%
50	1.00%	1.00%
55	0.00%	0.00%

Disability: None. Disability retirement benefit has been eliminated after December 31, 2005.

Expenses: No direct load for payment of expenses by the Plan.

Percentage Married: Male - 80%, Female – 50%.

Age Difference: Husbands are assumed to be three years older than their wives.

Form of Payment: Single life annuity.

Payment Commencement: Age 62 if more than 10 years of service at decrement, otherwise age 65. Age 63 for vested terminees.

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Summary of Plan Provisions**

**Effective Date**

August 1, 1989. Most recent amendment reflected in this valuation is effective December 31, 2005.

**Plan Year**

August 1 to July 31.

**Eligibility**

All employees participate in the plan, except leased employees, employees covered by a collective bargaining agreement, employees hired outside the United States for foreign employment or in a foreign subsidiary's pension plan when employed in the United States, and independent contractors. Beginning December 31, 2005 no new entrants are permitted into the Plan.

**Vesting**

5 years of service, or age 65. Service towards Vesting is frozen as of December 31, 2005. However, all active participants as of December 31, 2005 are fully vested regardless of service.

**Credited Service**

Years and months of service from date of employment. Credited Service includes service with Union Carbide. Credited Service is frozen as of December 31, 2005.

**Compensation**

Compensation shall include salary or wages and under certain circumstances, shift differential, sales commissions, lump-sum payment in lieu of salary increases, and incentive compensation awards. Compensation shall also include any deferrals elected by the employee under Sections 457, 125, 402(g)(3) or 132(f)(4). For any calendar year, Compensation is limited as required under Section 401(a)(17), as amended by EGTRRA.

**Average Final Compensation**

Average Compensation during the five highest-paid years out of the last ten. No fractional Calendar Year resulting from a Participant's date of employment or date of termination will be taken into account. Average Monthly Compensation should not be less than the total Compensation earned by the Participant over the last sixty calendar months preceding date of retirement or termination divided by the number of months in such period in which the Participant performed at least one Hour of Service. Average Final Compensation is frozen as of December 31, 2005.

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Summary of Plan Provisions (Cont'd)**

**Normal Retirement Benefit**

As of any date, the monthly benefit is the best of the following three formulas, less Pension Offset:

a) Regular Formula: 1.2% of Average Final Compensation multiplied by Years of Credited Service plus \$12 multiplied by Years of Credited Service divided by Years of Credited Service at retirement.

b) Alternate Formula (the alternate formula applies only to participants whose benefit as of December 31, 1995 under the Alternate Formula is greater than the benefit determined under either the Regular Formula or the Minimum Formula)

Prior to January 1, 1996: 1.5% of Average Final Compensation multiplied by Years of Credited Service at December 31, 1995 minus 1.5% of monthly Social Security Benefit multiplied by Years of Credited Service at December 31, 1995 (not to exceed 50% of the Social Security Benefit).

On and after January 1, 1996: 1.2% of Average Final Compensation multiplied by Years of Credited Service earned on and after January 1, 1996 plus \$12 multiplied by Years of Credited Service divided by Years of Credited Service at retirement.

c) Minimum Formula: \$6 for each of the first ten Years of Credited Service plus \$9 for each the 11<sup>th</sup> through 20<sup>th</sup> Years of Credited Service plus \$12 for Years of Credited Service in excess of 20 plus 10%\* of Average Final Compensation plus \$12 multiplied by Years of Credited Service divided by Years of Credited Service at retirement.

\* If less than 8 Years of Credited Service, percentage reduced 1% for each year less than 8 years.

The Normal Retirement Benefit is frozen as of December 31, 2005.

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Summary of Plan Provisions (Cont'd)**

**Pension Offset**

Benefit payable from Union Carbide pension plan for service credited under this plan. These amounts are frozen as of July 31, 1990, when service under the Union Carbide Plan stopped. The benefit is reduced for a qualified preretirement survivor annuity.

**Payment Form**

The Normal Form is a single life annuity. Participants may elect a single life annuity, 50% J&S annuity or level income annuity.

**Normal Retirement Date**

First of the month on or following the later of 5 years of Credited Service or the participant's 65<sup>th</sup> birthday.

**Unreduced Retirement Date**

a) Age plus Years of Credited Service at least 85 (for participants as of December 31, 1995 only).

b) On or following attainment of age 62 and completion of 10 Years of Service.

If a participant is terminated for any reason other than cause, an additional 2 years of age and/or service shall be given towards eligibility for these benefits.

**Eligibility for Benefits**

(i) Reduced Early Retirement: age 50 and 10 Years of Credited Service.

(ii) Vested Retirement: age 50 and 5 Years of Credited Service.

(iii) Disability Retirement: 10 Years of Credited Service; total and permanent disability.

Eligibility service is frozen as of December 31, 2005.

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 6 – Summary of Plan Provisions (Cont'd)**

**Benefits Payable**

(i) Reduced Early Retirement: Normal Retirement Benefit reduced by 5% for each year below the age first eligible for Normal/Unreduced Retirement Benefit.

(ii) Vested Retirement: Normal Retirement Benefit reduced by 6-2/3% for the first three years and 5% for each additional year below the age first eligible for Normal/Unreduced Retirement Benefit.

(iii) Disability Retirement Pension: unreduced Normal Retirement Benefit, payable for life.

**Death Benefit**

If a participant dies after meeting the requirements for Vested Retirement, his spouse shall receive 50% of the pension the participant would have received at earliest eligibility if terminated on the day before death.

**Attachment to 2006 Schedule B (Form 5500)**

**Plan Name:** Pension Plan for Employees of Advanced Silicon Materials LLC  
**Plan Sponsor:** REC Advanced Silicon Materials LLC  
**EIN / PN:** 20-3097239 / 002

**Schedule B, line 6b - Description of Weighted Average Retirement Age**

(A) Age	(B) Rate of Retirement	(C) Percent Remaining	(D) Weight	(E) Weighted Retirement Ages
55	0.00%	100.00%	0.00	0.00
56	0.00%	100.00%	0.00	0.00
57	0.00%	100.00%	0.00	0.00
58	15.00%	100.00%	0.15	8.70
59	0.00%	85.00%	0.00	0.00
60	0.00%	85.00%	0.00	0.00
61	0.00%	85.00%	0.00	0.00
62	50.00%	85.00%	0.43	26.35
63	0.00%	42.50%	0.00	0.00
64	0.00%	42.50%	0.00	0.00
65	100.00%	42.50%	0.43	27.63
66	100.00%	0.00%	0.00	0.00
67	100.00%	0.00%	0.00	0.00
68	100.00%	0.00%	0.00	0.00
69	100.00%	0.00%	0.00	0.00
70	100.00%	0.00%	0.00	0.00

**Weighted Average Retirement Age** 62.68  
**Nearest Whole Age** 63.00

Column (C) = (1.0 - Column (B) for prior age) x (Column (C) for prior age)  
 Column (D) = (Column (B)) x (Column (C))  
 Column (E) = (Column (A)) x (Column (D))

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 8c – Schedule of Active Participant Data**

Attained Age	Years of Credited Service <sup>1</sup>																			
	Under 1		1 to 4		5 to 9		10 to 14		15 to 19		20 to 24		25 to 29		30 to 34		35 to 39		40 & Over	
	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.
Under 25	1		5																	
25 – 29			20		4															
30 – 34			26		10															
35 – 39			25		19		1													
40 – 44	1		26		30															
45 – 49			17		35		2			5										
50 – 54			8		15					8										
55 – 59	1		2		15					4										
60 – 64					6					1								1		
65 – 69																				
70 & Over																				

<sup>1</sup> Credited service and benefit accruals under the plan were frozen as of December 31, 2005.

Pension Plan for Employees of  
Advanced Silicon Materials LLC

Altman & Cronin  
Benefit Consultants, LLC

**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

**Schedule B, line 9c and 9j - Schedule of Funding Standard Account Bases**

Charge	Date Created	Initial Years	Years Left	Initial	Outstanding	Payment
Plan amendment	08/01/90	30	14	4,254,944	3,115,961	349,959
Plan amendment	08/01/92	30	16	766,843	602,924	63,071
Plan amendment	08/01/97	30	21	198,547	176,663	16,330
Plan amendment	08/01/98	30	22	58,835	53,305	4,839
Plan amendment	08/01/99	30	23	765,128	704,858	62,930
Assumption change	08/01/99	10	3	90,332	34,692	12,465
Plan amendment	08/01/00	30	24	157,415	147,217	12,947
Plan amendment	08/01/01	30	25	22,007	20,873	1,810
Actuarial loss	08/01/02	5	1	2,417,499	560,628	560,628
Plan amendment	08/01/02	30	26	2,695,616	2,588,392	221,708
Assumption change	08/01/03	10	7	457,566	355,026	63,139
Actuarial loss	08/01/04	5	3	588,943	380,134	136,578
Actuarial loss	08/01/05	5	4	1,951,912	1,619,196	452,656
Actuarial loss	08/01/06	5	5	937,689	937,689	217,454
Assumption change	08/01/06	10	10	398,678	398,678	55,014
<b>Total</b>					<b>\$11,696,236</b>	<b>\$2,231,528</b>

Credit	Date Created	Initial Years	Years Left	Initial	Outstanding	Payment
Plan amendment	08/01/94	30	18	524,635	436,750	43,150
Plan amendment	08/01/96	30	20	186,741	162,865	15,359
Assumption change	08/01/97	10	1	143,880	19,854	19,854
Assumption change	08/01/98	10	2	118,791	31,568	16,391
Assumption change	08/01/02	10	6	319,689	220,251	44,114
Method change	08/01/03	10	7	4,158,246	3,226,394	573,798
Actuarial gain	08/01/03	5	2	2,523,015	1,126,855	585,098
Plan amendment	08/01/05	30	29	3,877,123	3,842,898	318,884
<b>Total</b>					<b>\$9,067,435</b>	<b>\$1,616,648</b>

**Equation of Balance**

1. Net Amount Outstanding on August 1, 2006	\$2,628,801
2. Credit Balance on August 1, 2006	3,210,449
3. Reconciliation Accounts on August 1, 2006	
(a) Due to Additional Funding Charges	2,532,185
(b) Due to Interest on Late Quarterly Contributions	3,723
(c) Due to Waivers	0
<b>4. Unfunded Actuarial Accrued Liability on August 1, 2006 (1-2-3)</b>	<b>(\$3,117,556)</b>

The balance equation reconciles.



**Attachment to 2006 Schedule B (Form 5500)**

Plan Name: Pension Plan for Employees of Advanced Silicon Materials LLC  
Plan Sponsor: REC Advanced Silicon Materials LLC  
EIN / PN: 20-3097239 / 002

**Schedule B, Line 11: Justification for Change In Actuarial Assumptions**

The assumed mortality tables used for valuation purposes were changed as follows:

- The mortality table for non-disabled participants has been changed from the 1983 Group Annuity Mortality Table for males and females to the RP2000 Mortality Table for males and females.
- The mortality table for disabled participants has been changed from the 1983 Group Annuity Mortality Table for males and females to the RP2000 Disabled Mortality Table for males and females.

The mortality tables were updated to reflect current trends in mortality improvement.

However, the mortality table used to calculate RPA'94 and Gateway current liabilities remained unchanged. It continued to be the 1983 Group Annuity Mortality Table for Males and Females as published in Rev. Rul. 95-28.

## Schedule I

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

EIN/ PN: 20-3097239/002

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2007

Identity of issuer, borrower, or similar party	Description of investment	Number of shares or units	Cost	Market value
HighMark Diversified Money Market Fund*	Registered investment company fund	28,335.14	\$ 28,835	28,835
PIMCO Total Return Fund	Registered investment company fund	41.52	424	425
Apreco LLC	Commercial Paper 9/17/07	1,100,000	1,080,101	1,092,476
Athos Funding LTD	Commercial Paper 8/27/07	1,100,000	1,094,838	1,095,820
BASF Aktiengesllschaf	Commercial Paper 8/17/07	850,000	838,392	848,011
Bavaria Universal Fund	Commercial Paper 8/10/07	1,100,000	1,086,044	1,098,548
Beta Financial Incorporated	Commercial Paper 8/17/07	1,100,000	1,084,993	1,097,426
British Telecommunication PLC	Commercial Paper 8/13/07	1,100,000	1,085,453	1,098,064
Chesham Finance LLC	Commercial Paper 9/20/07	1,100,000	1,079,584	1,091,992
Coca-Cola company	Commercial Paper 11/1/07	1,100,000	1,073,301	1,085,381
Curzon Funding LLC	Commercial Paper 11/15/07	1,100,000	1,070,989	1,083,159
Duke Energy Carolinas	Commercial Paper 8/10/07	1,100,000	1,085,964	1,098,548
Eaton LLC & Eaton LTD	Commercial Paper 9/10/07	1,100,000	1,081,215	1,093,587
Kellogg company	Commercial Paper 8/10/07	1,100,000	1,085,937	1,098,548
Merrill Lynch and Company	Commercial Paper 12/12/07	1,100,000	1,066,990	1,078,990
Mitsubishi International Co.	Commercial Paper 11/08/07	1,100,000	1,071,985	1,084,270
Rhineland Funding Capital	Commercial Paper 8/15/07	1,100,000	1,091,663	1,097,745
Stanfield Victoria Funding	Commercial Paper 11/21/07	1,100,000	1,070,043	1,082,202
Starbucks corporation	Commercial Paper 9/20/07	1,100,000	1,090,717	1,091,992
Swedish National Housing Finance	Commercial Paper 11/14/07	1,100,000	1,071,119	1,083,313
Time Warner Incorporated	Commercial Paper 8/9/07	1,100,000	1,085,994	1,098,713
UBS Finance Delaware	Commercial Paper 10/10/07	1,000,000	978,663	989,830
Westpac Banking Corporation	Commercial Paper 11/14/07	1,100,000	1,071,147	1,083,313
Deutsche Bank	Repurchase Agreement, 5.29%, due 8/1/07	1,300,000	1,300,000	1,300,000
			<u>\$ 23,674,391</u>	<u>23,901,188</u>

\* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

EIN/ PN: 20-3097239/002

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2006:							
Commercial papers:							
Apreco LLC	APRECO DC/P 9/17/07	1	\$ 1,080,101	—	\$ —	—	—
Athos Funding LTD	ATHOS FDG LTD DC/P 8/27/07	1	1,094,838	—	—	—	—
BASF Aktiengesellschaft	BASF AKTIENGESELL DC/P 8/17/07	1	1,084,978	—	—	—	—
BankAmerica	BANK AMERICA DC/P 7/05/07	1	1,096,437	—	—	—	—
BankAmerica	BANK AMERICA DC/P 7/05/07	—	—	1	1,096,437	1,096,437	—
Bavaria Universal Funding Corp	BAVARIA UNIVL FDG DC/P 8/10/07	1	1,086,044	—	—	—	—
Beta Financial Incorporated	BETA FIN DC/PO 8/17/07	1	1,084,993	—	—	—	—
British Telecommunication PLC	BRITISH TEL COMM DC/P 8/13/07	1	1,085,453	—	—	—	—
Chesham Finance LLC	CHESHAM FIN DC/P 9/20/07	1	1,079,584	—	—	—	—
Coca-Cola company	COCA-COLA CO DC/P 11/01/07	1	1,073,301	—	—	—	—
Curzon Funding LLC	CURZON FDG DC/P 11/15/07	1	1,070,989	—	—	—	—
DaimlerChrysler	DAIMLER CHRYSLER NO DC/P 6/25/07	1	1,182,939	—	—	—	—
DaimlerChrysler	DAIMLER CHRYSLER NO DC/P 6/25/07	—	—	1	1,182,939	1,182,939	—
Duke Energy Carolinas	DUKE ENERGY CAROL DC/P 8/10/07	1	1,085,964	—	—	—	—
Eaton LLC & Eaton LTD	EATON FIN DC/P 9/10/07	1	1,081,215	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/09/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/24/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 8/01/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/06/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/10/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/11/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.20% 7/12/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.20% 7/13/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 7/17/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/18/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/19/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/16/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/20/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/25/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/26/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/27/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/30/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/31/07	1	1,300,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/23/07	1	1,275,000	—	—	—	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/09/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/12/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/06/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/10/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/12/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.2% 7/12/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 7/17/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/18/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/19/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/16/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/20/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/25/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/26/07	—	—	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/27/07	—	—	1	1,300,000	1,300,000	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**

**Schedule II**

**EIN/ PN: 20-3097239/002**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/30/07	—	\$ —	1	\$ 1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/31/07	—	—	1	1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/23/07	—	—	1	1,275,000	1,275,000	—
Fortune Brands	FORTUNE BRANDS DC/P 7/27/07	1	1,088,156	—	—	—	—
Fortune Brands	FORTUNE BRANDS DC/P 7/27/07	—	—	1	1,088,156	1,088,156	—
Kellogg Company	KELLOGG CO DC/P 8/10/07	1	1,085,937	—	—	—	—
Marriott International	MARRIOTT INTL DC/P 6/13/07	1	1,095,259	—	—	—	—
Marriott International	MARRIOTT INTL DC/P 6/13/07	—	—	1	1,095,259	1,095,259	—
Merrill Lynch and Company	MERRILL LYNCH DC/P 12/12/07	1	1,066,990	—	—	—	—
Mitsubishi International Co.	MITSUBUSHI INTL DC/P 11/08/07	1	1,071,985	—	—	—	—
Rhineland Funding Capital	Cc RHINELAND FDG CAP DC/P 8/15/07	1	1,091,663	—	—	—	—
Stanfield Victoria Funding	STANFLD VIC FIN DC/P 11/21/07	1	1,070,043	—	—	—	—
Starbucks Corporation	STARBUCKS CO DC/P 9/20/07	1	1,090,717	—	—	—	—
Swedish National Housing	SWEDISH NATL HOUSING DC/P 11/14/07	1	1,071,119	—	—	—	—
Time Warner Incorporated	TIME WARNER DC/P 8/09/07	1	1,085,994	—	—	—	—
UBS Finance Delaware	UBS FUN DEL DC/P 10/10/07	1	978,663	—	—	—	—
Volkswagen of America	VOLKSWAGEN OF AM DC/P 7/25/07	1	1,088,469	—	—	—	—
Volkswagen of America	VOLKSWAGEN OF AM DC/P 7/25/07	—	—	1	1,088,469	1,088,469	—
Westpac Banking Corporation	WESTPAC BK CO DC/P 11/14/07	1	1,071,147	—	—	—	—
Registered investment company funds:							
Fidelity	Diversified International Fund	—	—	1	1,322,437	1,285,981	36,456
Highmark	Bond Fund	—	—	1	1,081,487	1,060,514	20,973
Highmark	Diversified Money Market Fund	1	3,025,487	—	—	—	—
Highmark	Diversified Money Market Fund	1	12,191,430	—	—	—	—
Highmark	Diversified Money Market Fund	1	7,694,190	—	—	—	—
Highmark	Diversified Money Market Fund	—	—	1	22,586,384	22,586,384	—
Highmark	Diversified Money Market Fund	—	—	1	1,182,939	1,182,939	—
PIMCO	Total Return Fund	—	—	1	1,435,539	1,423,826	11,713
T. Rowe Price	New Horizons Fund	—	—	1	1,317,381	1,202,406	114,975
Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2006:							
Commercial papers:							
BASF Aktiengesllschaf	BASF AKTIENGESLL	1	1,084,978	1	246,586	246,586	—
BankAmerica	BANKAMERICA DC/P 7/05/07	1	1,096,437	1	1,096,437	1,096,437	—
DaimlerChrysler	DAIMLERCHRYSLER NO DC/P 6/25/07	1	1,182,939	1	1,182,939	1,182,939	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/09/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/24/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/06/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/10/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.21% 7/11/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.2% 7/12/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.2% 7/13/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.29% 7/17/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/18/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/19/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.22% 7/16/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/20/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.24% 7/25/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.23% 7/26/07	1	1,275,000	1	1,275,000	1,275,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/27/07	1	1,300,000	1	1,300,000	1,300,000	—

**PENSION PLAN FOR THE EMPLOYEES OF  
ADVANCED SILICON MATERIALS LLC**  
Schedule H, Line 4j – Schedule of Reportable Transactions  
Year ended July 31, 2007

Schedule II  
EIN/ PN: 20-3097239/002

Issuer	Description	Number of purchases	Amount purchased	Number of sales	Proceeds	Cost of asset	Net gain
Deutsche Bank	DEUTSCHE BANK REPO 5.28% 7/30/07	1	\$ 1,300,000	1	\$ 1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/31/07	1	1,300,000	1	1,300,000	1,300,000	—
Deutsche Bank	DEUTSCHE BANK REPO 5.32% 7/23/07	1	1,275,000	1	1,275,000	1,275,000	—
Fortune Brands	FORTUNE BRANDS DC/P 7/27/07	1	1,088,156	1	1,088,156	1,088,156	—
Marriott International	MARRIOTT INTL DC/P 6/13/07	1	1,095,259	1	1,095,259	1,095,259	—
Volkswagen of America	VOLKSWAGEN OF AM DC/P 7/25/07	1	1,088,469	1	1,088,469	1,088,469	—
Registered investment company funds:							
Dodge & Cox	International Stock	1	745,870	1	805,675	745,870	59,805
Fidelity	Diversified International Fund	1	153,276	3	2,232,243	2,109,937	122,306
John Hancock	Classic Value Fund	3	41,955	2	1,083,578	966,424	117,154
Harbor Capital	Appreciation Fund	1	642,488	1	676,692	642,488	34,204
Highmark	International Opportunity Fund	1	746,903	1	822,106	746,903	75,203
Highmark	Bond Fund	9	37,033	2	1,156,487	1,135,082	21,405
Highmark	Diversified Money Market Fund	148	25,784,935	25	26,258,906	26,258,906	—
PIMCO	Total Return Fund	13	62,487	2	1,441,268	1,429,525	11,743
T. Rowe Price	New Horizons Fund	2	598,058	1	1,317,381	1,202,406	114,975

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**SCHEDULE B  
(Form 5500)**

Department of the Treasury  
Internal Revenue Service

Department of Labor  
Employee Benefits Security  
Administration

Pension Benefit Guaranty Corporation

**Actuarial Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974, referred to as ERISA, except when attached to Form 5500-EZ and, in all cases, under section 6059(a) of the Internal Revenue Code, referred to as the Code.

▶ Attach to Form 5500 or 5500-EZ if applicable.

▶ See separate instructions.

Official Use Only

OMB No. 1210-0110

**2006**

**This Form is Open to Public  
Inspection (except when  
attached to Form 5500-EZ).**

For calendar plan year 2006 or fiscal plan year beginning 08/01/2006 and ending 07/31/2007

▶ Round off amounts to nearest dollar.

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

<b>A</b> Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON M		<b>B</b> Three-digit plan number ... ▶ 002
<b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 or 5500-EZ REC ADVANCED SILICON MATERIALS LLC		<b>D</b> Employer Identification Number 20-3097239
<b>E</b> Type of plan: (1) <input type="checkbox"/> Multiemployer (2) <input checked="" type="checkbox"/> Single-employer (3) <input type="checkbox"/> Multiple-employer		<b>F</b> 100 or fewer participants in prior plan year <input type="checkbox"/>

**Part I Basic Information** (To be completed by all plans)

**1a** Enter the actuarial valuation date: Month 08 Day 01 Year 2006

**b** Assets:

(1) Current value of assets .....	<b>b(1)</b>	21602935
(2) Actuarial value of assets for funding standard account .....	<b>b(2)</b>	21010807
<b>c</b> (1) Accrued liability for plans using immediate gain methods .....	<b>c(1)</b>	17893251
(2) Information for plans using spread gain methods:		
(a) Unfunded liability for methods with bases .....	<b>c(2)(a)</b>	
(b) Accrued liability under entry age normal method .....	<b>c(2)(b)</b>	
(c) Normal cost under entry age normal method .....	<b>c(2)(c)</b>	

**Statement by Enrolled Actuary (see instructions before signing):**

To the best of my knowledge, the information supplied in this schedule and on the accompanying schedules, statements, and attachments, if any, is complete and accurate, and in my opinion each assumption, used in combination, represents my best estimate of anticipated experience under the plan. Furthermore, in the case of a plan other than a multiemployer plan, each assumption used (a) is reasonable (taking into account the experience of the plan and reasonable expectations) or (b) would, in the aggregate, result in a total contribution equivalent to that which would be determined if each such assumption were reasonable; in the case of a multiemployer plan, the assumptions used, in the aggregate, are reasonable (taking into account the experience of the plan and reasonable expectations).

**SIGN  
HERE**

*Jan H. Altman*

Signature of actuary

IAN H. ALTMAN, FSA

Type or print name of actuary

ALTMAN & CRONIN BENEFIT CONSULTANTS

Firm name

100 PINE STREET, SUITE 1500

SAN FRANCISCO

CA

94111-5215

Address of the firm

5/13/08

Date

**G** 08-03701

Most recent enrollment number

415-395-9300

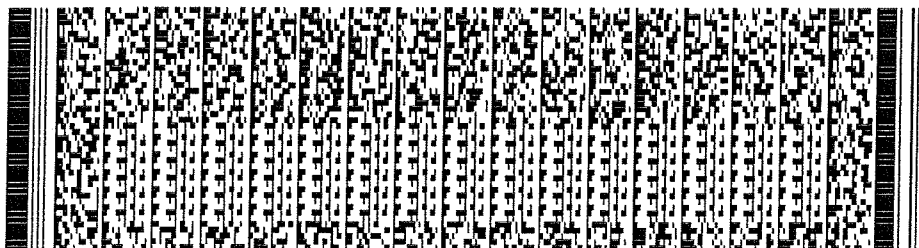
Telephone number (including area code)

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions. ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-EZ.

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Schedule B (Form 5500) 2006



**1d** Information on current liabilities of the plan:

(1) Amount excluded from current liability attributable to pre-participation service (see instructions) . .	<b>d(1)</b>	0
(2) "RPA '94" information:		
(a) Current liability . . . . .	<b>d(2)(a)</b>	23345341
(b) Expected increase in current liability due to benefits accruing during the plan year . . . . .	<b>d(2)(b)</b>	0
(c) Current liability computed at highest allowable interest rate (see instructions) . . . . .	<b>d(2)(c)</b>	23345341
(d) Expected release from "RPA '94" current liability for the plan year . . . . .	<b>d(2)(d)</b>	
(3) Expected plan disbursements for the plan year . . . . .	<b>d(3)</b>	1302881

**2** Operational information as of beginning of this plan year:

**a** Current value of the assets (see instructions) . . . . . **2a** 21572347

**b** "RPA '94" current liability:

	(1) No. of Persons	(2) Vested Benefits	(3) Total Benefits
(1) For retired participants and beneficiaries receiving payments . . . . .	117	12362467	12362467
(2) For terminated vested participants . . . . .	282	6408390	6408390
(3) For active participants . . . . .	292	4420854	4574484
(4) Total . . . . .	691	23191711	23345341

**c** If the percentage resulting from dividing line 2a by line 2b(4), column (3), is less than 70%, enter such percentage. . . . . **2c** %

**3** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Month-Day-Year	(b) Amount paid by employer	(c) Amount paid by employees	(a) Month-Day-Year	(b) Amount paid by employer	(c) Amount paid by employees
11/08/2006	460500				
04/08/2008	527496				
<b>3 Totals ▶ (b)</b>				987996	<b>(c)</b> 0

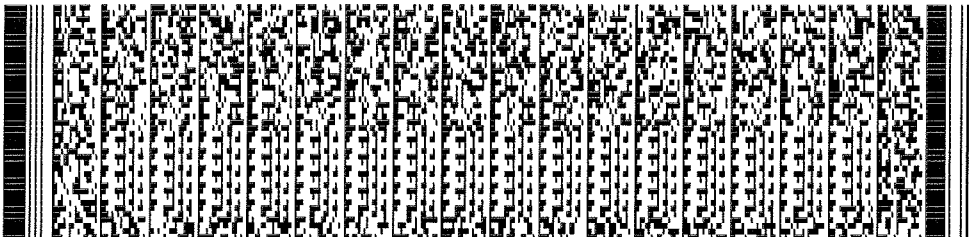
**4** Quarterly contributions and liquidity shortfall(s):

**a** Plans other than multiemployer plans, enter funded current liability percentage for preceding year (see instructions). . . . . **4a** 81.9 %

**b** If line 4a is less than 100%, see instructions, and complete the following table as applicable:

Liquidity shortfall as of end of Quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

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**5** Actuarial cost method used as the basis for this plan year's funding standard account computation:

- a** ☐ Attained age normal      **b** ☐ Entry age normal      **c** ☒ Accrued benefit (unit credit)  
**d** ☐ Aggregate      **e** ☐ Frozen initial liability      **f** ☐ Individual level premium  
**g** ☐ Individual aggregate      **h** ☐ Other (specify) ▶ \_\_\_\_\_

**i** Has a change been made in funding method for this plan year? ..... ☐ Yes ☒ No

**j** If line i is "Yes," was the change made pursuant to Revenue Procedure 2000-40? ..... ☐ Yes ☐ No

**k** If line i is "Yes," and line j is "No" enter the date of the ruling letter (individual or class) approving the change in funding method ..... Month Day Year

**6** Checklist of certain actuarial assumptions:

<b>a</b> Interest rate for "RPA '94" current liability .....	<b>6a</b>	5.78 %	<input type="checkbox"/> N/A								
<b>b</b> Weighted average retirement age .....	<b>6b</b>	63	<input type="checkbox"/> N/A								
<b>c</b> Rates specified in insurance or annuity contracts .. <input type="checkbox"/> N/A	<b>6c</b>	<table border="1"> <tr> <th colspan="2">Pre-retirement</th> <th colspan="2">Post-retirement</th> </tr> <tr> <td>Yes</td> <td><input checked="" type="checkbox"/> No</td> <td>Yes</td> <td><input checked="" type="checkbox"/> No</td> </tr> </table>	Pre-retirement		Post-retirement		Yes	<input checked="" type="checkbox"/> No	Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> N/A
Pre-retirement		Post-retirement									
Yes	<input checked="" type="checkbox"/> No	Yes	<input checked="" type="checkbox"/> No								
<b>d</b> Mortality table code for valuation purposes:											
(1) Males .....	<b>d(1)</b>	9	9								
(2) Females .....	<b>d(2)</b>	9	9								
<b>e</b> Valuation liability interest rate .....	<b>6e</b>	8.00 %	8.00 % <input type="checkbox"/> N/A								
<b>f</b> Expense loading .....	<b>6f</b>	0.0 %	0.0 % <input type="checkbox"/> N/A								
<b>g</b> Annual withdrawal rates:											
(1) Age 25 .....	<b>g(1)</b>	U 12.32 %	U 20.79 %								
(2) Age 40 .....	<b>g(2)</b>	U 3.26 %	U 4.71 %								
(3) Age 55 .....	<b>g(3)</b>	U 0.00 %	U 0.00 %								
<b>h</b> Salary scale .....	<b>6h</b>	%	% <input checked="" type="checkbox"/> N/A								
<b>i</b> Estimated investment return on actuarial value of assets for year ending on the valuation date	<b>6i</b>	4.4 %									
<b>j</b> Estimated investment return on current value of assets for year ending on the valuation date ..	<b>6j</b>	7.2 %									

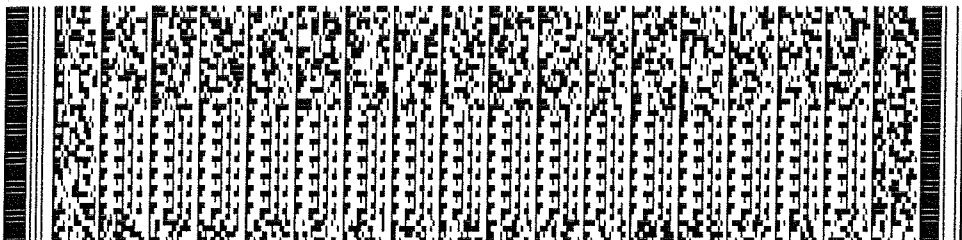
**7** New amortization bases established in the current plan year:

(1) Type of Base	(2) Initial Balance	(3) Amortization Charge/Credit
1	937689	217454
4	398678	55014

**8** Miscellaneous information:

- a** If a waiver of a funding deficiency or an extension of an amortization period has been approved for this plan year, enter the date of the ruling letter granting the approval ..... Month Day Year

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0 8 0 6 0 3 0 3 0 K



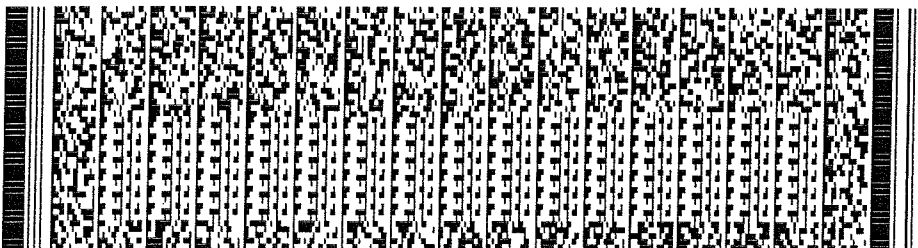


- 8b** If one or more alternative methods or rules (as listed in the instructions) were used for this plan year, enter the appropriate code in accordance with the instructions ▶ \_\_\_\_\_
- c** Is the plan required to provide a Schedule of Active Participant Data? (see instructions) If "Yes," attach schedule ..... ☒ Yes ☐ No

<b>9</b> Funding standard account statement for this plan year:		
<b>Charges to funding standard account:</b>		
<b>a</b> Prior year funding deficiency, if any .....	<b>9a</b>	0
<b>b</b> Employer's normal cost for plan year as of valuation date .....	<b>9b</b>	0
<b>c</b> Amortization charges as of valuation date:	Outstanding Balance	
(1) All bases except funding waivers .....	▶ (\$ 11696236 )	<b>c(1)</b> 2231528
(2) Funding waivers .....	▶ (\$ 0 )	<b>c(2)</b> 0
<b>d</b> Interest as applicable on lines 9a, 9b, and 9c .....	<b>9d</b>	178522
<b>e</b> Additional interest charge due to late quarterly contributions, if applicable .....	<b>9e</b>	0
<b>f</b> Adjusted additional funding charge from Part II, line 12q, if applicable ..... <input type="checkbox"/> N/A	<b>9f</b>	0
<b>g</b> Total charges. Add lines 9a through 9f .....	<b>9g</b>	2410050
<b>Credits to funding standard account:</b>		
<b>h</b> Prior year credit balance, if any .....	<b>9h</b>	3210449
<b>i</b> Employer contributions. Total from column (b) of line 3. ....	<b>9i</b>	987996
	Outstanding Balance	
<b>j</b> Amortization credits as of valuation date .....	▶ (\$ 9067435 )	<b>9j</b> 1616648
<b>k</b> Interest as applicable to end of plan year on lines 9h, 9i, and 9j .....	<b>9k</b>	413016
<b>l</b> Full funding limitation (FFL) and credits		
(1) ERISA FFL (accrued liability FFL) .....	<b>l(1)</b> 100325	
(2) "RPA '94" override (90% current liability FFL) .....	<b>l(2)</b> 0	
(3) FFL credit .....	<b>l(3)</b>	563745
<b>m</b> (1) Waived funding deficiency .....	<b>m(1)</b>	0
(2) Other credits .....	<b>m(2)</b>	0
<b>n</b> Total credits. Add lines 9h through 9k, 9l(3), 9m(1), and 9m(2) .....	<b>9n</b>	6791854
<b>o</b> Credit balance: If line 9n is greater than line 9g, enter the difference .....	<b>9o</b>	4381804
<b>p</b> Funding deficiency: If line 9g is greater than line 9n, enter the difference .....	<b>9p</b>	0
<b>Reconciliation account:</b>		
<b>q</b> Current year's accumulated reconciliation account:		
(1) Due to additional funding charges as of the beginning of the plan year	<b>q(1)</b> 2532185	
(2) Due to additional interest charges as of the beginning of the plan year	<b>q(2)</b> 3723	
(3) Due to waived funding deficiencies:		
(a) Reconciliation outstanding balance as of valuation date .....	<b>q(3)(a)</b> 0	
(b) Reconciliation amount. Line 9c(2) balance minus line 9q(3)(a) .....	<b>q(3)(b)</b> 0	
(4) Total as of valuation date .....	▶ <b>q(4)</b>	2535908
<b>10</b> Contribution necessary to avoid an accumulated funding deficiency. Enter the amount in line 9p or the amount required under the alternative funding standard account if applicable .....	<b>10</b>	0

- 11** Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions ..... ☒ Yes ☐ No

v9.0



**Part II Additional Information for Certain Plans Other Than Multiemployer Plans**Please see **Who Must File** in the Schedule B instructions to determine if you must complete Part II.**12 Additional required funding charge (see instructions):**

- a**
- Enter "Gateway %." Divide line 1b(2) by line 1d(2)(c) and multiply by 100.

If line 12a is at least 90%, go to line 12q and enter -0-.

If line 12a is less than 80%, go to line 12b.

If line 12a is at least 80% (but less than 90%), see instructions and, if applicable, go to line 12q and enter -0-. Otherwise, go to line 12b. . . . .

**12a** 90.0 %

- b**
- "RPA '94" current liability. Enter line 1d(2)(a) . . . . .

**12b**

- c**
- Adjusted value of assets (see instructions) . . . . .

**12c**

- d**
- Funded current liability percentage. Divide line 12c by 12b and multiply by 100 . . . . .

**12d** %

- e**
- Unfunded current liability. Subtract line 12c from line 12b. . . . .

**12e**

- f**
- Liability attributable to any unpredictable contingent event benefit . . . . .

**12f**

- g**
- Outstanding balance of unfunded old liability . . . . .

**12g**

- h**
- Unfunded new liability. Subtract the total of lines 12f and 12g from line 12e. Enter -0- if negative . . . . .

**12h**

- i**
- Unfunded new liability amount ( % of line 12h) . . . . .

**12i**

- j**
- Unfunded old liability amount . . . . .

**12j**

- k**
- Deficit reduction contribution. Add lines 12i, 12j, and 1d(2)(b). . . . .

**12k**

- l**
- Net charges in funding standard account used to offset the deficit reduction contribution. Enter a negative number if less than zero. . . . .

**12l****m Unpredictable contingent event amount:**

- (1)**
- Benefits paid during year attributable to unpredictable contingent event . . .

**m(1)**

- (2)**
- Unfunded current liability percentage. Subtract the percentage on line 12d from 100%. . . . .

**m(2)**

%

- (3)**
- Enter the product of lines 12m(1) and 12m(2) . . . . .

**m(3)**

- (4)**
- Amortization of all unpredictable contingent event liabilities . . . . .

**m(4)**

- (5)**
- "RPA '94" additional amount (see instructions). . . . .

**m(5)**

- (6)**
- Enter the greatest of lines 12m(3), 12m(4), or 12m(5). . . . .

**m(6)**

- n**
- Preliminary additional funding charge: Enter the excess of line 12k over line 12l (if any), plus line 12m(6), adjusted to end of year with interest . . . . .

**12n**

- o**
- Contributions needed to increase current liability percentage to 100% (see instructions) . . . . .

**12o**

- p**
- Additional funding charge prior to adjustment: Enter the lesser of line 12n or 12o . . . . .

**12p**

- q**
- Adjusted additional funding charge. ( .0 % of line 12p). . . . .

**12q** 0

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