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| <div>Form 5500</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div> | <div>Annual Return/Report of Employee Benefit Plan</div> <div>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ Complete all entries in accordance with the instructions to the Form 5500.</div> | <div>OMB Nos. 1210-0110 1210-0089</div> <div>2009</div> <div>This Form is Open to Public Inspection</div> |
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| | |
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| Part I | Annual Report Identification Information |
| For calendar plan year 2009 or fiscal plan year beginning 08/01/2007 and ending 07/31/2008 | |
| A | This return/report is for: <div><div><input type="checkbox"/> a multiemployer plan;</div><div><input checked="" type="checkbox"/> a single-employer plan;</div><div><input type="checkbox"/> a multiple-employer plan; or</div><div><input type="checkbox"/> a DFE (specify) ____</div></div> |
| B | This return/report is: <div><div><input type="checkbox"/> the first return/report;</div><div><input checked="" type="checkbox"/> an amended return/report;</div><div><input type="checkbox"/> the final return/report;</div><div><input type="checkbox"/> a short plan year return/report (less than 12 months).</div></div> |
| C | If the plan is a collectively-bargained plan, check here. ▶ <input type="checkbox"/> |
| D | Check box if filing under: <div><div><input type="checkbox"/> Form 5558;</div><div><input type="checkbox"/> automatic extension;</div><div><input checked="" type="checkbox"/> the DFVC program;</div><div><input type="checkbox"/> special extension (enter description)</div></div> |

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| Part II | Basic Plan Information—enter all requested information | |
| 1a | Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC | 1b Three-digit plan number (PN) ▶ 002 |
| | | 1c Effective date of plan 08/01/1990 |
| 2a | Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.) REC ADVANCED SILICON MATERIALS LLC 1616 S. PIONEER WAY MOSES LAKE, WA 98837 | 2b Employer Identification Number (EIN) 20-3097239 2c Sponsor's telephone number 509-750-8590 2d Business code (see instructions) 331400 |

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|-----------|---|------------|--|
| SIGN HERE | Filed with authorized/valid electronic signature. | 10/20/2010 | ELSE THORESEN |
| | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN HERE | | | |
| | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |
| SIGN HERE | | | |
| | Signature of DFE | Date | Enter name of individual signing as DFE |

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| 3a Plan administrator's name and address (if same as plan sponsor, enter "Same") REC ADVANCED SILICON MATERIALS LLC 1616 S. PIONEER WAY MOSES LAKE, WA 98837 | 3b Administrator's EIN 20-3097239 3c Administrator's telephone number 509-750-8590 |
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| | |
|---|-----------------------------------|
| 4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name | 4b EIN 4c PN |
|---|-----------------------------------|

| | | |
|---|----------|-----|
| 5 Total number of participants at the beginning of the plan year | 5 | 691 |
|---|----------|-----|

| | | |
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| 6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d). | | |
| a Active participants..... | 6a | 250 |
| b Retired or separated participants receiving benefits..... | 6b | 119 |
| c Other retired or separated participants entitled to future benefits..... | 6c | 310 |
| d Subtotal. Add lines 6a , 6b , and 6c | 6d | 679 |
| e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits..... | 6e | 9 |
| f Total. Add lines 6d and 6e | 6f | 688 |
| g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)..... | 6g | |
| h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested..... | 6h | 0 |

| | | |
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| 7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | 7 | |
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8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
 1A 1G 1I

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| | |
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| 9a Plan funding arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor | 9b Plan benefit arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor |
|---|---|

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

| | |
|--|---|
| a Pension Schedules (1) <input checked="" type="checkbox"/> R (Retirement Plan Information) (2) <input type="checkbox"/> MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) <input type="checkbox"/> SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary | b General Schedules (1) <input checked="" type="checkbox"/> H (Financial Information) (2) <input type="checkbox"/> I (Financial Information – Small Plan) (3) <input type="checkbox"/> A (Insurance Information) (4) <input checked="" type="checkbox"/> C (Service Provider Information) (5) <input type="checkbox"/> D (DFE/Participating Plan Information) (6) <input type="checkbox"/> G (Financial Transaction Schedules) |
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| SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation | Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. | OMB No. 1210-0110 |
| | | 2009 |
| | | This Form is Open to Public Inspection. |
| For calendar plan year 2009 or fiscal plan year beginning 08/01/2007 and ending 07/31/2008 | | |
| A Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC | B Three-digit plan number (PN) ▶ | 002 |
| | | |
| C Plan sponsor's name as shown on line 2a of Form 5500 REC ADVANCED SILICON MATERIALS LLC | D Employer Identification Number (EIN) 20-3097239 | |

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| Part I | Service Provider Information (see instructions) |
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

| |
|---|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

UNION BANK OF CALIFORNIA, N.A.

33-6032427

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|--|---|---|---|--|---|
| 18 | NONE | 39280 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

(a) Enter name and EIN or address (see instructions)

PRUDENTIAL FINANCIAL

22-1211670

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|--|---|---|---|--|---|
| 26 | NONE | 25481 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

(a) Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|--|---|---|---|--|---|
| | | | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

(a) Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|----------------------------------|--|--|---|---|--|---|
| | | | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

(a) Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|----------------------------------|--|--|---|---|--|---|
| | | | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

(a) Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|----------------------------------|--|--|---|---|--|---|
| | | | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
|---|--|---|
| | | |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |
| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
| | | |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |
| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
| | | |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|--|-------------------------------|---|
| | | |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| | | |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| | | |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| | | |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| | | |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| | | |

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |
| | |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |
| | |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |
| | |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |
| | |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |
| | |

Explanation:

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|--|--|---|
| SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small> | Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500. | OMB No. 1210-0110 2009 This Form is Open to Public Inspection |
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| | | |
|--|--|------------|
| For calendar plan year 2009 or fiscal plan year beginning <u>08/01/2007</u> and ending <u>07/31/2008</u> | | |
| A Name of plan <u>PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC</u> | B Three-digit plan number (PN) ► | <u>002</u> |
| | | |
| C Plan sponsor's name as shown on line 2a of Form 5500 <u>REC ADVANCED SILICON MATERIALS LLC</u> | D Employer Identification Number (EIN) <u>20-3097239</u> | |

| | |
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| Part I | Asset and Liability Statement |
|---------------|--------------------------------------|

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets | | (a) Beginning of Year | (b) End of Year |
|---|-----------------|-----------------------|-----------------|
| a Total noninterest-bearing cash | 1a | 0 | 0 |
| b Receivables (less allowance for doubtful accounts): | | | |
| (1) Employer contributions | 1b(1) | 527496 | 0 |
| (2) Participant contributions | 1b(2) | | |
| (3) Other..... | 1b(3) | | |
| c General investments: | | | |
| (1) Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | 28835 | 1216447 |
| (2) U.S. Government securities..... | 1c(2) | | |
| (3) Corporate debt instruments (other than employer securities): | | | |
| (A) Preferred | 1c(3)(A) | 22571928 | 22753600 |
| (B) All other..... | 1c(3)(B) | | |
| (4) Corporate stocks (other than employer securities): | | | |
| (A) Preferred | 1c(4)(A) | | |
| (B) Common | 1c(4)(B) | 0 | 0 |
| (5) Partnership/joint venture interests | 1c(5) | | |
| (6) Real estate (other than employer real property) | 1c(6) | | |
| (7) Loans (other than to participants) | 1c(7) | | |
| (8) Participant loans | 1c(8) | | |
| (9) Value of interest in common/collective trusts..... | 1c(9) | 0 | 0 |
| (10) Value of interest in pooled separate accounts..... | 1c(10) | | |
| (11) Value of interest in master trust investment accounts | 1c(11) | | |
| (12) Value of interest in 103-12 investment entities | 1c(12) | | |
| (13) Value of interest in registered investment companies (e.g., mutual funds)..... | 1c(13) | 425 | 0 |
| (14) Value of funds held in insurance company general account (unallocated contracts)..... | 1c(14) | | |
| (15) Other | 1c(15) | 1300000 | 0 |

1d Employer-related investments:

| | | (a) Beginning of Year | (b) End of Year |
|--|--------------|-----------------------|-----------------|
| (1) Employer securities | 1d(1) | | |
| (2) Employer real property | 1d(2) | | |
| e Buildings and other property used in plan operation | 1e | | |
| f Total assets (add all amounts in lines 1a through 1e) | 1f | 24428684 | 23970047 |

Liabilities

| | | | |
|---|-----------|-------|-------|
| g Benefit claims payable | 1g | | |
| h Operating payables | 1h | 21561 | 20821 |
| i Acquisition indebtedness | 1i | | |
| j Other liabilities | 1j | | |
| k Total liabilities (add all amounts in lines 1g through 1j) | 1k | 21561 | 20821 |

Net Assets

| | | | |
|---|-----------|----------|----------|
| l Net assets (subtract line 1k from line 1f) | 1l | 24407123 | 23949226 |
|---|-----------|----------|----------|

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

| | | (a) Amount | (b) Total |
|--|-----------------|------------|-----------|
| (1) Received or receivable in cash from: (A) Employers | 2a(1)(A) | 0 | |
| (B) Participants | 2a(1)(B) | | |
| (C) Others (including rollovers) | 2a(1)(C) | | |
| (2) Noncash contributions | 2a(2) | | |
| (3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2) | 2a(3) | | 0 |

b Earnings on investments:**(1) Interest:**

| | | | |
|--|-----------------|-----------|---------|
| (A) Interest-bearing cash (including money market accounts and certificates of deposit) | 2b(1)(A) | 49270 | |
| (B) U.S. Government securities | 2b(1)(B) | 0 | |
| (C) Corporate debt instruments | 2b(1)(C) | 1142754 | |
| (D) Loans (other than to participants) | 2b(1)(D) | | |
| (E) Participant loans | 2b(1)(E) | | |
| (F) Other | 2b(1)(F) | 3052 | |
| (G) Total interest. Add lines 2b(1)(A) through (F) | 2b(1)(G) | | 1195076 |
| (2) Dividends: (A) Preferred stock | 2b(2)(A) | 0 | |
| (B) Common stock | 2b(2)(B) | 0 | |
| (C) Registered investment company shares (e.g. mutual funds) | 2b(2)(C) | | |
| (D) Total dividends. Add lines 2b(2)(A) , (B) , and (C) | 2b(2)(D) | | 0 |
| (3) Rents | 2b(3) | | |
| (4) Net gain (loss) on sale of assets: (A) Aggregate proceeds | 2b(4)(A) | 283541134 | |
| (B) Aggregate carrying amount (see instructions) | 2b(4)(B) | 283768312 | |
| (C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result | 2b(4)(C) | | -227178 |

| | | (a) Amount | (b) Total |
|---|-----------------|------------|-----------|
| 2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate..... | 2b(5)(A) | 0 | |
| (B) Other | 2b(5)(B) | 41456 | |
| (C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B) | 2b(5)(C) | | 41456 |
| (6) Net investment gain (loss) from common/collective trusts | 2b(6) | | |
| (7) Net investment gain (loss) from pooled separate accounts | 2b(7) | | |
| (8) Net investment gain (loss) from master trust investment accounts | 2b(8) | | |
| (9) Net investment gain (loss) from 103-12 investment entities | 2b(9) | | |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)..... | 2b(10) | | 27 |
| c Other income..... | 2c | | 10664 |
| d Total income. Add all income amounts in column (b) and enter total..... | 2d | | 1020045 |

Expenses

| | | | |
|--|--------------|---------|---------|
| e Benefit payment and payments to provide benefits: | | | |
| (1) Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 1393692 | |
| (2) To insurance carriers for the provision of benefits | 2e(2) | | |
| (3) Other | 2e(3) | 0 | |
| (4) Total benefit payments. Add lines 2e(1) through (3) | 2e(4) | | 1393692 |
| f Corrective distributions (see instructions) | 2f | | |
| g Certain deemed distributions of participant loans (see instructions)..... | 2g | | |
| h Interest expense..... | 2h | | |
| i Administrative expenses: (1) Professional fees | 2i(1) | | |
| (2) Contract administrator fees | 2i(2) | | |
| (3) Investment advisory and management fees | 2i(3) | | |
| (4) Other | 2i(4) | 84250 | |
| (5) Total administrative expenses. Add lines 2i(1) through (4) | 2i(5) | | 84250 |
| j Total expenses. Add all expense amounts in column (b) and enter total..... | 2j | | 1477942 |

Net Income and Reconciliation

| | | | |
|---|--------------|--|---------|
| k Net income (loss). Subtract line 2j from line 2d | 2k | | -457897 |
| l Transfers of assets: | | | |
| (1) To this plan..... | 2l(1) | | 0 |
| (2) From this plan | 2l(2) | | 0 |

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: KPMG LLP

(2) EIN: 13-5565207

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

- 4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

| | Yes | No | Amount |
|---|-----|----|---------|
| a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)..... | | X | |
| b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)..... | | X | |
| c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | | X | |
| d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)..... | | X | |
| e Was this plan covered by a fidelity bond?..... | X | | 5000000 |
| f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | X | |
| g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | | X | |
| h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | | X | |
| i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)..... | X | | |
| j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)..... | X | | |
| k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?..... | | X | |
| l Has the plan failed to provide any benefit when due under the plan? | | X | |
| m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)..... | | | |
| n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. | | | |

- 5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If yes, enter the amount of any plan assets that reverted to the employer this year ☐ Yes ☒ No Amount:

- 5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)

| 5b(2) EIN(s) | 5b(3) PN(s) |
|--------------|-------------|
| | |
| | |
| | |
| | |

| | | |
|--|---|---|
| <div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div> | <div>Retirement Plan Information</div> <div>This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div> | <div>OMB No. 1210-0110</div> <div>2009</div> <div>This Form is Open to Public Inspection.</div> |
| For calendar plan year 2009 or fiscal plan year beginning 08/01/2007 and ending 07/31/2008 | | |
| A Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON MATERIALS LLC | | B Three-digit plan number (PN) ► 002 |
| C Plan sponsor's name as shown on line 2a of Form 5500 REC ADVANCED SILICON MATERIALS LLC | | D Employer Identification Number (EIN) 20-3097239 |
| Part I | Distributions | |
| All references to distributions relate only to payments of benefits during the plan year. | | |
| 1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions..... | | 1 0 |
| 2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 33-6032427 22-1211670 | | |
| Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3. | | |
| 3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year..... | | 3 0 |
| Part II | Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part) | |
| 4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A | | |
| If the plan is a defined benefit plan, go to line 8. | | |
| 5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ | | |
| If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule. | | |
| 6 a Enter the minimum required contribution for this plan year | | 6a |
| b Enter the amount contributed by the employer to the plan for this plan year | | 6b |
| c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)..... | | 6c |
| If you completed line 6c, skip lines 8 and 9. | | |
| 7 Will the minimum funding amount reported on line 6c be met by the funding deadline? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A | | |
| 8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A | | |
| Part III | Amendments | |
| 9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box. <input type="checkbox"/> Increase <input type="checkbox"/> Decrease <input type="checkbox"/> Both <input checked="" type="checkbox"/> No | | |
| Part IV | ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. | |
| 10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 11 a Does the ESOP hold any preferred stock? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 12 Does the ESOP hold any stock that is not readily tradable on an established securities market? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500. | | |
| Schedule R (Form 5500) 2009 v.092308.1 | | |

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

| | | |
|--|------------|--|
| a The current year | 14a | |
| b The plan year immediately preceding the current plan year | 14b | |
| c The second preceding plan year | 14c | |

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|------------|--|
| a The corresponding number for the plan year immediately preceding the current plan year | 15a | |
| b The corresponding number for the second preceding plan year | 15b | |

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|--|------------|--|
| a Enter the number of employers who withdrew during the preceding plan year | 16a | |
| b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers | 16b | |

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete items (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____



**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Financial Statements and Supplemental Schedules

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

(With Independent Auditors' Report Thereon)

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

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KPMG LLP
Suite 900
801 Second Avenue
Seattle, WA 98104

Independent Auditors' Report

The Administrative Committee
Pension Plan for the Employees of
Advanced Silicon Materials LLC:

We were engaged to audit the financial statements and supplemental schedules of the Pension Plan for the Employees of Advanced Silicon Materials LLC as of July 31, 2009, 2008, 2007, 2006, 2005, and 2004, and for the years then ended, as listed in the accompanying table of contents. These financial statements and supplemental schedules are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in note 6, which was certified by Union Bank of California, the trustee of the Plan, except for comparing such information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee as of July 31, 2009, 2008, 2007, 2006, 2005, and 2004 and for the years then ended, that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedules taken as a whole. The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

As further discussed in note 1(g), the Plan was not timely amended to bring it into compliance with certain regulatory requirements. The plan sponsor has also identified certain other errors and operational issues. The plan sponsor intends to file an application under the Voluntary Compliance Program to correct these defects.

KPMG LLP

Seattle, Washington
September 6, 2010

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Statements of Net Assets Available for Benefits

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

| | <u>2009</u> | <u>2008</u> | <u>2007</u> | <u>2006</u> | <u>2005</u> | <u>2004</u> |
|-------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Assets: | | | | | | |
| Investments at fair value: | | | | | | |
| Registered investment company funds | \$ 9,304,952 | 1,216,447 | 29,260 | 10,985,678 | 9,078,563 | 6,811,337 |
| Common commingled trust fund | — | — | — | 1,321 | 1,075,919 | 1,040,856 |
| Commercial paper | 13,748,241 | 21,659,463 | 22,571,928 | — | — | — |
| Repurchase agreement | — | — | 1,300,000 | — | — | — |
| Bankers acceptance | — | 1,094,137 | — | — | — | — |
| Common stocks | — | — | — | 7,234,449 | 7,445,055 | 6,202,269 |
| Total investments | <u>23,053,193</u> | <u>23,970,047</u> | <u>23,901,188</u> | <u>18,221,448</u> | <u>17,599,537</u> | <u>14,054,462</u> |
| Employer contributions receivable | <u>—</u> | <u>—</u> | <u>527,496</u> | <u>3,381,487</u> | <u>562,300</u> | <u>2,691,710</u> |
| Total assets | <u>23,053,193</u> | <u>23,970,047</u> | <u>24,428,684</u> | <u>21,602,935</u> | <u>18,161,837</u> | <u>16,746,172</u> |
| Liabilities: | | | | | | |
| Administrative expenses payable | <u>10,910</u> | <u>20,821</u> | <u>21,561</u> | <u>30,588</u> | <u>29,073</u> | <u>23,693</u> |
| Net assets available for benefits | <u>\$ 23,042,283</u> | <u>23,949,226</u> | <u>24,407,123</u> | <u>21,572,347</u> | <u>18,132,764</u> | <u>16,722,479</u> |

See accompanying notes to financial statements.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Statements of Changes in Net Assets Available for Benefits
Years ended July 31, 2009, 2008, 2007, 2006, 2005, and 2004

| | <u>2009</u> | <u>2008</u> | <u>2007</u> | <u>2006</u> | <u>2005</u> | <u>2004</u> |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Investment earnings: | | | | | | |
| Interest income | \$ 574,323 | 1,195,076 | 125,936 | 41,276 | 43,168 | 25,801 |
| Dividend income | — | 9 | 308,634 | 310,620 | 234,365 | 156,710 |
| Net appreciation (depreciation) in fair value of investment: | | | | | | |
| Common stocks | 4,096 | 10,282 | 1,129,653 | 593,329 | 951,932 | 1,072,077 |
| Registered investment company funds | — | 18 | 1,533,572 | 562,158 | 1,070,210 | 227,162 |
| Commercial paper | (27,406) | (185,340) | 226,797 | — | — | — |
| Net investment earnings | 551,013 | 1,020,045 | 3,324,592 | 1,507,383 | 2,299,675 | 1,481,750 |
| Contributions | — | — | 987,996 | 3,381,487 | 562,300 | 3,391,710 |
| Benefit payments | (1,386,527) | (1,393,692) | (1,358,413) | (1,329,005) | (1,344,649) | (1,364,309) |
| Administrative expenses | (71,429) | (84,250) | (119,399) | (120,282) | (107,041) | (124,792) |
| Net (decrease) increase | (906,943) | (457,897) | 2,834,776 | 3,439,583 | 1,410,285 | 3,384,359 |
| Net assets available for benefits at beginning of year | 23,949,226 | 24,407,123 | 21,572,347 | 18,132,764 | 16,722,479 | 13,338,120 |
| Net assets available for benefits at end of year | <u>\$ 23,042,283</u> | <u>23,949,226</u> | <u>24,407,123</u> | <u>21,572,347</u> | <u>18,132,764</u> | <u>16,722,479</u> |

See accompanying notes to financial statements.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

(1) Description of Plan

(a) General

The following description of the Pension Plan for the Employees of Advanced Silicon Materials LLC (the Plan) provides only general information. Participants should refer to the plan document for a more complete description of the Plan's provisions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended. The Plan is a defined benefit plan covering all nonunion employees of Advanced Silicon Materials LLC (the Company). All nonunion employees become eligible for Plan participation upon employment.

Effective September 20, 2002, the Company entered into a joint venture with Renewable Energy Corporation, forming a new company called Solar Grade Silicon. Certain employees of the Company became employees of Solar Grade Silicon. These participants ceased accruing benefits in the Plan and became fully vested.

In July 2005, Komatsu Ltd. (Komatsu) the former parent company of the Company sold 75% of the ownership interest of the Company to Renewable Energy Corporation establishing REC – Advanced Silicon Materials, LLC (REC Silicon). On December 31, 2005 REC Silicon amended to freeze the Plan by closing entry and freezing accrued benefits. All active participants as of December 31, 2005 were vested, regardless of company service time.

(b) Contributions

Contributions to the Plan are made by the Company in amounts determined by management with the assistance of its actuary. Contributions met the minimum funding requirements of ERISA in the July 31, 2009, 2008, 2007, 2006, 2005, and 2004 plan years.

(c) Vesting

Prior to July 31, 2005, participants in the Plan were fully vested after five years of service. All active participants as of December 31, 2005 were vested, regardless of company service time.

(d) Administrator of the Plan

An employee of the Company is designated as the administrator of the Plan, with actuarial services provided by Altman & Cronin.

(e) Plan Benefits

The Plan provides that eligible employees who had any service prior to 1996 receive a monthly pension benefit calculated using the greater of the regular, alternate, or minimum formulas. Eligible employees who were hired after 1995 and prior to December 31, 2005 receive a monthly pension benefit using the greater of the regular or minimum formulas.

- The regular formula is based on 1.2% of the average monthly compensation and years of credited service plus \$12.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

- The alternative formula is based on 1.5% of the average monthly compensation, and years of credited service through December 31, 1995 reduced by 1.5% of monthly 1995 Primary Social Security Benefit.
- The minimum formula is based on 2% – 10% (depending on years of credited service) of the average monthly compensation, years of credited service and \$12.

Payments to plan participants or beneficiaries are payable, at the participants' or beneficiaries' option, in one of the following ways:

- Single life annuity
- Joint and survivor annuity
- Level Income (Social Security Adjustment Option)
- Lump-sum payment (only applicable if the total value of the benefit is less than \$5,000)

(f) Termination of the Plan

In the event of termination of the Plan, the rights of each participant to benefits accrued to the date the Plan was frozen (December 31, 2005) shall continue to be vested and nonforfeitable, and the right of each participant to any other benefits accrued to the date the Plan was frozen (December 31, 2005) shall be fully vested and nonforfeitable to the extent then funded under the priority rules set forth in Section 4044 of ERISA.

Should the Plan terminate at some future time, its net assets generally will not be available on a pro rata basis to provide participants' benefits. Whether a particular participant's accumulated plan benefits will be paid depends on both the priority of those benefits and the level of benefits guaranteed by the Pension Benefit Guaranty Corporation (PBGC) at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty, while other benefits may not be provided for at all.

For added security, the Company pays premiums to PBGC to insure certain benefits under the Plan. If the Plan terminates, PBGC generally guarantees most vested normal and early retirement benefits.

(g) Tax Status

The Internal Revenue Service has determined and informed the Company in a letter dated May 17, 2005 that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). The Plan has subsequently been amended. Management believes the Plan and trust continue to be designed in accordance with the IRC. The Plan was not timely amended to bring it into compliance with the requirements of the Pension Funding Equity Act, the Pension Protection Act and certain other requirements. The Company has also identified certain other errors and operational issues. The Company intends to file an application under the Voluntary Compliance Program (VCP) to correct these defects. The Plan's administrator expects that the final outcome of the VCP will not have a material effect on the Plan's financial statements or any impact to the Plan's

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

qualified tax status. Therefore, no provision for income taxes has been included in the Plan's financial statements.

(h) Expenses

Trustee and investment management expenses are paid by the Plan. All other expenses including actuarial expenses are paid by the Company.

(2) Summary of Significant Accounting Policies

(a) Basis of Accounting

The accompanying financial statements are prepared on the accrual basis of accounting.

(b) Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan's management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of additions to, and deductions from, net assets during the reporting period. Actual results could differ from those estimates.

(c) Investments

Investments in common stock and registered investment company funds are stated at fair value, based on quoted closing market prices. Commercial paper is stated at fair value based on yields currently available on comparable securities of issuers with similar credit ratings. Repurchase agreement is stated at fair value based on the carrying value due to the short period of time between issuance and maturity. Bankers acceptance is stated at fair value based on the discounted value of the face value. The Stable Value Fund, a common commingled trust fund, invests primarily in guaranteed investment contracts and is valued at fair value based on the fair value of the underlying assets using discounted cash flows. The average yield and crediting rate for 2006, 2005 and 2004 was 3.83%, 3.49%, and 3.32% respectively.

(d) Risks and Uncertainties

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee demographic, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

The plan assets are invested in a variety of investments, Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility risks. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

(e) *Income Recognition*

Net appreciation (depreciation) in fair value of investments represents realized gains and losses and the change in unrealized gains and losses from one period to the next. Interest is recorded when earned. Dividends are recorded on the ex-dividend date. Purchases and sales of securities are recorded on a trade-date basis.

(f) *Payment of Benefits*

Benefit payments to participants are recorded upon distribution.

(g) *Fair Value of Financial Instruments*

The Plan adopted Financial Accounting Standards Board (FASB) Statement of Financial Standards No. 157, *Fair Value Measurements* (SFAS 157), effective August 1, 2008. In accordance with SFAS 157, fair value is defined as the price that the Plan would receive upon selling an investment in an orderly transaction to an independent buyer in the principal or most advantageous market of the investment. SFAS 157 established a three-tier hierarchy to maximize the use of observable market data and minimize the use of unobservable inputs, and to establish classification of fair value measurements for disclosure purposes. Inputs refer broadly to the assumptions that market participants would use in pricing the asset or liability, including assumptions about risk. Inputs may be observable or unobservable. Observable inputs are inputs that reflect the assumptions market participants would use in pricing the asset or liability developed based on market data obtained from sources independent of the reporting entity. Unobservable inputs are inputs that reflect the reporting entity's own assumptions about the assumptions market participants would use in pricing the asset or liability developed based on the best information available. The three-tier hierarchy of inputs is summarized in the three broad levels listed below.

- Level 1 – quoted prices in active markets for identical investments
- Level 2 – other significant observable inputs (including quoted prices for similar investments, interest rates, prepayment speeds, credit risk, etc.)
- Level 3 – significant unobservable inputs (including the Plan's own assumptions in determining the fair value of investments)

Following is a description of the valuation methodologies used for assets measured at fair value as of July 31, 2009.

Registered investment company funds: Valued at the net asset value (NAV) of shares held by the Plan at the year-end based on quoted market prices.

Commercial paper: Valued based on yields currently available on comparable securities of issuers with similar credit ratings.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different

**PENSION PLAN FOR THE EMPLOYEES OF
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Notes to Financial Statements

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methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The table below summarizes the fair values of the Plan's investments as of July 31, 2009. No Level 3 investments were held by the Plan as of July 31, 2009.

| | <u>Fair value</u> | <u>Level 1</u> | <u>Level 2</u> |
|-------------------------------------|----------------------|------------------|-------------------|
| Registered investment company funds | \$ 9,304,952 | 9,304,952 | — |
| Commercial paper | 13,748,241 | — | 13,748,241 |
| Total | <u>\$ 23,053,193</u> | <u>9,304,952</u> | <u>13,748,241</u> |

(h) Reclassifications

Certain 2004 amounts have been reclassified to conform to the present year presentation.

(3) Investments in Excess of 5% of Net Assets

The following table presents the fair value of individual investments that represent 5% or more of the Plan's net assets at July 31:

| | <u>2009</u> | <u>2008</u> | <u>2007</u> | <u>2006</u> | <u>2005</u> |
|--|--------------|-------------|-------------|-------------|-------------|
| HighMark Diversified Money Market Fund | \$ 9,304,952 | 1,216,447 | * | * | * |
| Deutsche Bank Repurchase Agreement | — | — | 1,300,000 | — | — |
| Fidelity Advisor Series VIII Fund | — | — | — | 1,956,661 | 1,457,360 |
| HighMark Bond Fund | — | — | — | 1,098,048 | 1,086,355 |
| PIMCO Total Return Fund | — | — | — | 1,367,462 | * |
| John Hancock Cap Series Fund | — | — | — | * | 908,250 |
| Union Bank of California Stable Value Fund | — | — | — | * | 1,075,919 |

* Investment holding does not meet the 5% threshold

(4) Actuarial Assumptions and Procedures

The projected unit credit cost method is being used for funding of the Plan.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

Accumulated benefits are those future benefit payments that individual participants have accumulated under the Plan's provisions based on the employee's compensation and service rendered to date. The actuarial present value of accumulated benefits is the sum of accumulated benefits adjusted to reflect the probability of payment (by means of death, disability, termination, or retirement) and the time value of money (through discounts for interest). The actuarial valuations for each year were prepared as of August 1st. Had the valuations been prepared as of July 31st, there would be no material difference. The significant actuarial assumptions used in the valuations are as follows:

| | | |
|---------------------------------|--|--|
| Discount rate/investment return | 2005 and 2006: | 8% per year |
| | 2007, 2008 and 2009: | 5% per year |
| Mortality | 2005: | Based on the 1983 Group Annuity Mortality Table with separate rates for males and females. |
| | 2006 and 2007: | For nondisabled participants, the RP2000 Mortality Table for males and females. For participants on Disability Retirement, the RP2000 Disabled Mortality Table for males and females. |
| | 2008 and 2009: | Based on the IRS 2008 Static Mortality Table stipulated under IRS proposed regulations 1.430(h)(3)-1(b). The IRS 2008 Static Mortality Table is the RP-2000 Mortality Table for annuitant and nonannuitants projected for mortality improvement by Scale AA. |
| Normal retirement age | Expected retirement beginning at age 58 with 100% assumed to retire at age 65. | |

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, then different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated benefits.

(5) Accumulated Plan Benefit Obligation

A summary of the actuarial present value of accumulated plan benefit obligation at July 31, 2009, 2008, 2007, 2006, 2005, and 2004 is as follows:

| | <u>2009</u> | <u>2008</u> | <u>2007</u> | <u>2006</u> | <u>2005</u> | <u>2004</u> |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Vested benefits – participants currently receiving payments | \$ 13,238,382 | 13,816,124 | 14,139,605 | 7,252,566 | 10,446,722 | 10,723,109 |
| Other vested benefits | 14,457,690 | 13,686,866 | 13,379,254 | 10,580,905 | 6,296,226 | 5,237,843 |
| Nonvested benefits | <u>53,226</u> | <u>124,760</u> | <u>129,610</u> | <u>64,401</u> | <u>128,829</u> | <u>665,804</u> |
| Accumulated plan benefit obligation | <u>\$ 27,749,298</u> | <u>27,627,750</u> | <u>27,648,469</u> | <u>17,897,872</u> | <u>16,871,777</u> | <u>16,626,756</u> |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

Changes to the actuarial present value of accumulated plan benefit obligation for the years ended July 31, 2009, 2008, 2007, 2006, 2005 and 2004 were a result of the following:

| | <u>2009</u> | <u>2008</u> | <u>2007</u> | <u>2006</u> | <u>2005</u> | <u>2004</u> |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Accumulated plan benefit obligation, beginning of year | \$ 27,627,750 | 27,648,469 | 17,897,872 | 16,871,777 | 16,626,756 | 16,142,002 |
| Benefits paid | (1,386,527) | (1,393,692) | (1,358,413) | (1,329,005) | (1,344,649) | (1,364,309) |
| Actuarial variance | — | — | — | — | — | 35,991 |
| Change in actuarial assumptions | — | — | 9,067,489 | 397,047 | — | — |
| Increase for interest due to decrease in the discount period | 1,346,724 | 1,347,581 | 1,377,493 | 1,296,582 | 1,276,355 | 1,237,735 |
| Benefits accumulated | 161,351 | 25,392 | 664,028 | 661,471 | 957,628 | 575,337 |
| Change in plan provisions | — | — | — | — | (644,313) | — |
| | <u>—</u> | <u>—</u> | <u>—</u> | <u>—</u> | <u>(644,313)</u> | <u>—</u> |
| Actuarial plan benefit obligation, end of year | \$ <u>27,749,298</u> | <u>27,627,750</u> | <u>27,648,469</u> | <u>17,897,872</u> | <u>16,871,777</u> | <u>16,626,756</u> |

The change in plan provisions for 2005 represents the freeze of the Plan as of December 31, 2005. Beginning December 31, 2005, no new entrants are permitted into the Plan and the average monthly compensation is frozen as of that date.

The change in actuarial assumptions for 2006 represents a change in the mortality table as described above.

The change in actuarial assumptions for 2007 represents a change in the investment return from 8% to 5% based on the change in investment allocation.

(6) Information Certified by the Trustee

The following information was certified by Union Bank of California (UBOC), the trustee, as being complete and accurate:

- Investments at fair value
- Interest income
- Dividend income
- Net appreciation (depreciation) in fair value of investments
- Investment information included in notes 2 and 3
- Schedule of assets (held at end of year)
- Schedule of reportable transactions

(7) Party-in-Interest Transactions

Certain plan investments are shares managed by UBOC. UBOC is the trustee as defined by the Plan, and therefore, these transactions qualify as party-in-interest transactions.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Notes to Financial Statements

July 31, 2009, 2008, 2007, 2006, 2005, and 2004

(8) Subsequent Events

Subsequent events have been evaluated through September 6, 2010, the date of independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2009

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|------------------------------------|--------------------------------------|----------------------|---------------------|
| HighMark Diversified Money Market Fund* | Registered investment company fund | 9,304,952 | \$ 9,304,952 | 9,304,952 |
| Aegon NV | Commercial paper 9/1/09 | 1,150,000 | 1,148,270 | 1,149,758 |
| BMW US Capital LLC | Commercial paper 8/3/09 | 1,150,000 | 1,148,788 | 1,150,000 |
| Eaton Corporation | Commercial paper 8/6/09 | 1,150,000 | 1,149,185 | 1,149,966 |
| FCAR Owner Trust | Commercial paper 8/3/09 | 1,150,000 | 1,149,636 | 1,150,000 |
| Giro Balanced Funding Corporation | Commercial paper 8/6/09 | 1,150,000 | 1,149,810 | 1,149,966 |
| Governor & Co Bank of Ireland | Commercial paper 8/5/09 | 1,150,000 | 1,146,406 | 1,149,977 |
| ING America Insurance Holding | Commercial paper 8/27/09 | 1,150,000 | 1,149,208 | 1,149,804 |
| Prudential PLC | Commercial paper 8/5/09 | 1,100,000 | 1,096,700 | 1,099,978 |
| Silver Tower US Fund | Commercial paper 8/3/09 | 1,150,000 | 1,149,758 | 1,150,000 |
| Three Pillars Funding Co | Commercial paper 8/3/09 | 1,150,000 | 1,149,765 | 1,150,000 |
| TSL USA Inc | Commercial paper 8/10/09 | 1,150,000 | 1,149,016 | 1,149,931 |
| Wellpoint Inc. | Commercial paper 10/8/09 | 1,150,000 | 1,147,649 | 1,148,861 |
| | | | <u>\$ 23,039,143</u> | <u>23,053,193</u> |

* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2008

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|------------------------------------|--------------------------------------|----------------------|---------------------|
| HighMark Diversified Money Market Fund* | Registered investment company fund | 1,216,446 | \$ 1,216,447 | 1,216,447 |
| Aetna Inc | Commercial Paper 8/1/08 | 1,100,000 | 1,097,983 | 1,100,000 |
| Bank of America | Bankers Acceptances 10/08/08 | 1,100,000 | 1,093,846 | 1,094,137 |
| Barclays US Funding LLC | Commercial Paper 8/18/08 | 1,000,000 | 997,851 | 998,900 |
| Chevron Phillips chemical | Commercial Paper 8/7/08 | 1,100,000 | 1,097,300 | 1,099,593 |
| Diageo capital PLC | Commercial Paper 8/6/08 | 1,100,000 | 1,094,368 | 1,099,659 |
| Dominion Resources Inc | Commercial Paper 8/28/08 | 1,100,000 | 1,096,255 | 1,097,976 |
| Dow Chemical | Commercial Paper 10/6/08 | 1,100,000 | 1,091,475 | 1,094,192 |
| Fortune Brands | Commercial Paper 9/3/08 | 1,100,000 | 1,096,987 | 1,097,404 |
| General Electric Capital Services | Commercial Paper 10/22/08 | 1,100,000 | 1,093,125 | 1,092,784 |
| Giro Balanced Funding Corporation | Commercial Paper 8/1/08 | 1,100,000 | 1,099,919 | 1,100,000 |
| JP Morgan Chase and Company | Commercial Paper 10/16/08 | 1,100,000 | 1,092,850 | 1,093,312 |
| Kellogg Company | Commercial Paper 8/14/08 | 1,100,000 | 1,094,538 | 1,099,054 |
| Mitsui & Co. | Commercial Paper 8/4/08 | 1,000,000 | 997,644 | 999,810 |
| Natexis Banques Poplr | Commercial Paper 8/4/08 | 1,000,000 | 995,692 | 999,810 |
| Prudential PLC | Commercial Paper 8/8/08 | 1,000,000 | 993,717 | 999,570 |
| Ryder Systems Inc. | Commercial Paper 8/7/08 | 1,100,000 | 1,099,291 | 1,099,593 |
| Staples Inc | Commercial Paper 8/5/08 | 1,100,000 | 1,097,484 | 1,099,725 |
| Tesco PLC | Commercial Paper 8/1/08 | 1,195,000 | 1,194,900 | 1,195,000 |
| UBS Finance Delaware | Commercial Paper 8/5/08 | 1,100,000 | 1,095,883 | 1,099,725 |
| Virginia Electric Power | Commercial Paper 9/4/08 | 1,100,000 | 1,096,755 | 1,097,316 |
| Westpac Banking Corporation | Commercial Paper 9/18/08 | 1,100,000 | 1,094,280 | 1,096,040 |
| | | | <u>\$ 23,928,590</u> | <u>23,970,047</u> |

* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2007

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|---|------------------------------|---------------|--------------|
| HighMark Diversified Money Market Fund* | Registered investment company fund | 28,335.14 | \$ 28,835 | 28,835 |
| PIMCO Total Return Fund | Registered investment company fund | 41.52 | 424 | 425 |
| Apreco LLC | Commercial Paper 9/17/07 | 1,100,000 | 1,080,101 | 1,092,476 |
| Athos Funding LTD | Commercial Paper 8/27/07 | 1,100,000 | 1,094,838 | 1,095,820 |
| BASF Aktiengesllschaf | Commercial Paper 8/17/07 | 850,000 | 838,392 | 848,011 |
| Bavaria Universal Fund | Commercial Paper 8/10/07 | 1,100,000 | 1,086,044 | 1,098,548 |
| Beta Financial Incorporated | Commercial Paper 8/17/07 | 1,100,000 | 1,084,993 | 1,097,426 |
| British Telecommunication PLC | Commercial Paper 8/13/07 | 1,100,000 | 1,085,453 | 1,098,064 |
| Chesham Finance LLC | Commercial Paper 9/20/07 | 1,100,000 | 1,079,584 | 1,091,992 |
| Coca-Cola company | Commercial Paper 11/1/07 | 1,100,000 | 1,073,301 | 1,085,381 |
| Curzon Funding LLC | Commercial Paper 11/15/07 | 1,100,000 | 1,070,989 | 1,083,159 |
| Duke Energy Carolinas | Commercial Paper 8/10/07 | 1,100,000 | 1,085,964 | 1,098,548 |
| Eaton LLC & Eaton LTD | Commercial Paper 9/10/07 | 1,100,000 | 1,081,215 | 1,093,587 |
| Kellogg company | Commercial Paper 8/10/07 | 1,100,000 | 1,085,937 | 1,098,548 |
| Merrill Lynch and Company | Commercial Paper 12/12/07 | 1,100,000 | 1,066,990 | 1,078,990 |
| Mitsubishi International Co. | Commercial Paper 11/08/07 | 1,100,000 | 1,071,985 | 1,084,270 |
| Rhineland Funding Capital | Commercial Paper 8/15/07 | 1,100,000 | 1,091,663 | 1,097,745 |
| Stanfield Victoria Funding | Commercial Paper 11/21/07 | 1,100,000 | 1,070,043 | 1,082,202 |
| Starbucks corporation | Commercial Paper 9/20/07 | 1,100,000 | 1,090,717 | 1,091,992 |
| Swedish National Housing Finance | Commercial Paper 11/14/07 | 1,100,000 | 1,071,119 | 1,083,313 |
| Time Warner Incorporated | Commercial Paper 8/9/07 | 1,100,000 | 1,085,994 | 1,098,713 |
| UBS Finance Delaware | Commercial Paper 10/10/07 | 1,000,000 | 978,663 | 989,830 |
| Westpac Banking Corporation | Commercial Paper 11/14/07 | 1,100,000 | 1,071,147 | 1,083,313 |
| Deutsche Bank | Repurchase Agreement, 5.29%, due 8/1/07 | 1,300,000 | 1,300,000 | 1,300,000 |
| | | | \$ 23,674,391 | 23,901,188 |

* Represents a party-in-interest.

See accompanying independent auditors' report.

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ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2006

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|------------------------------------|--------------------------------------|-------------|---------------------|
| HighMark Diversified Money Market Fund* | Registered investment company fund | 502,822.63 | \$ 502,823 | 502,823 |
| Dodge & Cox Income Fund | Registered investment company fund | 22,749.288 | 286,702 | 281,636 |
| Fidelity Diversified International Fund | Registered investment company fund | 86,692.983 | 1,454,281 | 1,956,661 |
| John Hancock Classic Value Fund | Registered investment company fund | 36,182.754 | 775,577 | 924,469 |
| Harbor Small Cap Growth Fund | Registered investment company fund | 42,489.166 | 545,076 | 538,763 |
| HighMark Bond Fund* | Registered investment company fund | 106,091.629 | 1,129,765 | 1,098,048 |
| HighMark Large Cap Value Fund* | Registered investment company fund | 16,835.114 | 221,583 | 230,136 |
| HighMark Small Cap Value Fund* | Registered investment company fund | 28,563.306 | 404,909 | 517,567 |
| Hotchkis & Wiley Large Cap Value Fund | Registered investment company fund | 22,463.321 | 505,171 | 521,149 |
| iShares MSCI EAFE Value Index Fund | Registered investment company fund | 8,300 | 502,893 | 526,552 |
| iShares Russell Midcap Growth Fund | Registered investment company fund | 1,000 | 97,710 | 92,530 |
| MFS International Growth Fund | Registered investment company fund | 23,996.956 | 550,842 | 620,321 |
| Pacific Capital Small Cap Fund | Registered investment company fund | 22,913.676 | 379,916 | 410,613 |
| PIMCO Total Return Fund | Registered investment company fund | 132,763.344 | 1,395,179 | 1,367,462 |
| T. Rowe Price Growth Stock Fund | Registered investment company fund | 18,967.64 | 475,379 | 538,871 |
| T. Rowe Price New Horizons Fund | Registered investment company fund | 19,551.855 | 561,966 | 604,348 |
| Torrey Institutional Fund | Registered investment company fund | 1.155 | 130 | 128 |
| Vanguard Fixed Income Securities Fund | Registered investment company fund | 24,221.657 | 253,832 | 253,601 |
| Union Bank of California stable value fund* | Common commingled trust fund | 1,320.51 | 1,321 | 1,321 |
| Abbot Labs | Common stock | 3,350 | 145,230 | 160,030 |
| Alcoa Inc | Common stock | 1,300 | 37,920 | 38,935 |
| Allstate Corp | Common stock | 2,550 | 98,649 | 144,891 |
| American International Group Inc | Common stock | 1,400 | 91,396 | 84,938 |
| Anheuser Bush Companies Inc | Common stock | 2,950 | 141,658 | 142,043 |
| Applera Corp-Applied Biosys | Common stock | 5,900 | 121,944 | 189,685 |
| Ashland Inc | Common stock | 1,100 | 72,229 | 73,161 |
| AT&T Inc | Common stock | 4,950 | 130,496 | 148,451 |
| Automatic Data Processing Inc | Common stock | 2,150 | 95,305 | 94,084 |
| Avon Products Inc | Common stock | 700 | 28,693 | 20,293 |
| Bank America Corp | Common stock | 3,100 | 112,974 | 159,743 |
| Baxter International Inc | Common stock | 1,650 | 55,853 | 69,300 |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2006

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|---------------------------|------------------------------|-----------|--------------|
| BellSouth Corp | Common stock | 2,750 | \$ 66,832 | 107,718 |
| Burlington Northern Santa Fe Corp | Common stock | 700 | 23,310 | 48,237 |
| Caterpillar Inc | Common stock | 150 | 7,266 | 10,631 |
| Chevron Inc | Common stock | 1,600 | 93,088 | 105,248 |
| Citigroup Inc | Common stock | 2,900 | 146,262 | 140,099 |
| Clear Channel Communications Inc | Common stock | 1,150 | 39,982 | 33,293 |
| ConocoPhillips | Common stock | 3,150 | 95,861 | 216,216 |
| Constellation Energy Group | Common stock | 300 | 12,086 | 17,373 |
| Costco Wholesale Corp | Common stock | 1,100 | 42,353 | 58,036 |
| Cummins Inc | Common stock | 300 | 25,644 | 35,100 |
| Deere & Co | Common stock | 600 | 42,342 | 43,542 |
| Disney Walt Co | Common stock | 3,650 | 67,649 | 108,369 |
| Emerson Electric Co | Common stock | 450 | 27,162 | 35,514 |
| Energy East Corp | Common stock | 2,850 | 76,009 | 69,341 |
| Enterprise Products Partners LP | Common stock | 1,550 | 37,311 | 41,339 |
| Exxon Mobil Corp | Common stock | 2,500 | 106,414 | 169,350 |
| Fiserv Inc | Common stock | 2,900 | 127,496 | 126,614 |
| FPL Group Inc | Common stock | 2,500 | 99,271 | 107,850 |
| Gannett Inc | Common stock | 1,500 | 106,614 | 78,180 |
| General Electric Co | Common stock | 3,850 | 158,092 | 125,857 |
| General Mills Inc | Common stock | 2,450 | 124,176 | 127,155 |
| Goldman Sachs Group Inc | Common stock | 1,100 | 108,641 | 168,025 |
| Hartford Financial Services Group Inc | Common stock | 1,900 | 122,263 | 161,196 |
| HCA Inc | Common stock | 1,200 | 57,104 | 58,992 |
| Hewlett Packard Co | Common stock | 6,000 | 177,038 | 191,460 |
| Home Depot Inc | Common stock | 3,200 | 97,565 | 111,072 |
| Intel Corp | Common stock | 5,400 | 142,576 | 97,200 |
| Intl Business Machines Corp | Common stock | 1,700 | 154,034 | 131,597 |
| Johnson & Johnson | Common stock | 1,900 | 105,041 | 118,845 |
| Johnson Controls Inc | Common stock | 900 | 49,284 | 69,084 |
| JP Morgan Chase & Co | Common stock | 3,400 | 129,040 | 155,108 |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2006

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|---------------------------|------------------------------|---------------|--------------|
| Kimberly Clark Corp | Common stock | 2,200 | \$ 122,343 | 134,310 |
| Kraft Foods Inc | Common stock | 1,400 | 49,064 | 45,360 |
| Leggett & Platt Inc | Common stock | 2,150 | 59,736 | 49,063 |
| Lehman Bros Holdings Inc | Common stock | 1,700 | 81,499 | 110,415 |
| Lexmark International Inc | Common stock | 3,000 | 163,156 | 162,150 |
| Lockheed Martin Corp | Common stock | 1,400 | 87,765 | 111,552 |
| Manpower Inc | Common stock | 1,200 | 54,402 | 71,376 |
| Marathon Oil Corp | Common stock | 2,350 | 68,712 | 213,004 |
| Mattell Inc | Common stock | 2,700 | 45,250 | 48,708 |
| McDonalds Corp | Common stock | 3,400 | 108,245 | 120,326 |
| Microsoft Corp | Common stock | 5,150 | 139,158 | 123,909 |
| Morgan Stanley | Common stock | 800 | 36,920 | 53,200 |
| National City Corp | Common stock | 4,050 | 136,182 | 145,800 |
| Nike Inc | Common stock | 1,150 | 95,956 | 90,850 |
| Nokia Corp | Common stock | 8,350 | 125,639 | 165,748 |
| Northrop Grumman Corp | Common stock | 2,350 | 117,847 | 155,547 |
| Pfizer Inc | Common stock | 5,950 | 143,854 | 154,641 |
| PG&E Corp | Common stock | 1,650 | 60,257 | 68,772 |
| Principal Financial Group Inc | Common stock | 850 | 36,268 | 45,900 |
| Quest Diagnostics Inc | Common stock | 2,550 | 95,038 | 153,306 |
| Tyco International | Common stock | 2,350 | 72,042 | 61,312 |
| Viacom Inc | Common stock | 400 | 18,605 | 13,940 |
| Wal Mart Stores Inc | Common stock | 2,650 | 135,671 | 117,925 |
| Waste Management Inc | Common stock | 4,250 | 128,140 | 146,115 |
| Wells Fargo & Co | Common stock | 2,100 | 106,562 | 151,914 |
| Weyerhaeuser Co | Common stock | 2,150 | 141,198 | 126,111 |
| | | | \$ 16,274,717 | 18,221,448 |

* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2005

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|------------------------------------|------------------------------|------------|--------------|
| HighMark Diversified Money Market Fund* | Registered investment company fund | 197,574.2 | \$ 197,574 | 197,574 |
| Fidelity Diversified International Fund | Registered investment company fund | 74,279 | 1,187,307 | 1,457,360 |
| John Hancock Classic Value Fund | Registered investment company fund | 37,749 | 806,721 | 908,250 |
| Harbor Small Cap Growth Fund | Registered investment company fund | 39,192 | 503,791 | 532,615 |
| HighMark Bond Fund* | Registered investment company fund | 101,056 | 1,076,878 | 1,086,355 |
| HighMark Small Cap Value Fund* | Registered investment company fund | 28,995 | 402,997 | 567,151 |
| Hotchkis & Wiley Large Cap Value Fund | Registered investment company fund | 23,698 | 532,157 | 571,362 |
| Marshall International Stock Fund | Registered investment company fund | 43,410 | 533,814 | 562,156 |
| Pacific Capital Small Cap Fund | Registered investment company fund | 26,770 | 442,400 | 489,619 |
| PIMCO Total Return Fund | Registered investment company fund | 52,910 | 559,839 | 565,603 |
| Preferred International Value Fund | Registered investment company fund | 42,408 | 629,211 | 699,301 |
| T.Rowe Price Growth Stock Fund | Registered investment company fund | 18,955 | 475,000 | 521,249 |
| T.Rowe Price New Horizons Fund | Registered investment company fund | 18,966 | 543,000 | 607,674 |
| Torrey Institutional Fund | Registered investment company fund | 2,683 | 301,381 | 312,294 |
| Union Bank of California stable value fund* | Common commingled trust fund | 1,075,919.21 | 1,075,919 | 1,075,919 |
| Abbot Labs | Common stock | 2,700 | 119,453 | 125,901 |
| Activision Inc New | Common stock | 4,233 | 55,219 | 86,142 |
| Alcoa Inc | Common stock | 1,450 | 42,295 | 40,673 |
| Allstate Corp | Common stock | 2,900 | 112,189 | 177,654 |
| American Intl Group Inc | Common stock | 550 | 39,679 | 33,110 |
| Anheuser Bush Cos Inc | Common stock | 3,050 | 147,300 | 135,268 |
| Applera Corp-Applied Biosys | Common stock | 3,700 | 75,740 | 77,034 |
| Automatic Data Processing Inc | Common stock | 2,400 | 106,387 | 106,584 |
| Avon Products Inc. | Common stock | 800 | 32,792 | 26,168 |
| Baker Hughes Inc. | Common stock | 900 | 38,877 | 50,886 |
| Bank America Corp | Common stock | 3,150 | 111,799 | 137,340 |
| Baxter Intl Inc | Common stock | 4,450 | 150,634 | 174,752 |
| BellSouth Corp | Common stock | 3,550 | 86,274 | 97,980 |
| Bristol Myers Squibb Co | Common stock | 5,950 | 169,855 | 148,631 |
| Burlington Northern Santa Fe Corp | Common stock | 800 | 24,228 | 43,400 |
| Caterpillar Inc | Common stock | 2,100 | 101,727 | 113,211 |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2005

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|---------------------------|------------------------------|------------|--------------|
| Citigroup Inc | Common stock | 2,750 | \$ 139,445 | 119,625 |
| Clear Channel Communications Inc | Common stock | 1,250 | 45,343 | 40,800 |
| ConocoPhillips | Common stock | 3,500 | 106,512 | 219,065 |
| Constellation Energy Group | Common stock | 800 | 32,230 | 48,168 |
| Costco Wholesale Corp | Common stock | 1,300 | 50,053 | 59,761 |
| Disney Walt Co (Holding Company) | Common stock | 4,300 | 79,696 | 110,252 |
| Emerson Electric Co | Common stock | 500 | 30,180 | 32,900 |
| Engelhard Corp. | Common stock | 3,600 | 106,859 | 103,284 |
| Exxon Mobil Corp | Common stock | 2,800 | 119,183 | 164,500 |
| Federated Dept Stores Inc | Common stock | 2,650 | 87,412 | 201,056 |
| Fiserv Inc. | Common stock | 1,300 | 55,562 | 57,681 |
| FPL Group Inc. | Common stock | 2,250 | 86,041 | 97,020 |
| General Electric Co | Common stock | 3,850 | 159,725 | 132,825 |
| General Mills Inc | Common stock | 2,800 | 141,915 | 132,720 |
| Goldman Sachs Group Inc. | Common stock | 1,250 | 123,456 | 134,350 |
| Hartford Finl Svcs Group Inc | Common stock | 2,150 | 138,350 | 173,226 |
| HCA Inc. | Common stock | 1,200 | 56,662 | 59,100 |
| Hewlett Packard Co | Common stock | 6,950 | 205,069 | 171,109 |
| Home Depot Inc | Common stock | 3,750 | 114,334 | 163,163 |
| Intel Corp | Common stock | 5,750 | 151,817 | 156,055 |
| Intl Business Machines Corp | Common stock | 650 | 67,939 | 54,249 |
| Invitrogen Corp. | Common stock | 150 | 9,119 | 12,866 |
| Johnson & Johnson | Common stock | 1,750 | 94,926 | 111,930 |
| Johnson CTLS Inc. | Common stock | 1,050 | 57,498 | 60,312 |
| JP Morgan Chase & Co | Common stock | 3,600 | 136,541 | 126,504 |
| Kimberly Clark Corp | Common stock | 2,250 | 123,713 | 143,460 |
| Kraft Foods Inc. | Common stock | 1,600 | 56,073 | 48,880 |
| Leggett & Platt Inc. | Common stock | 2,350 | 65,293 | 59,432 |
| Lehman Bros Holdings Inc. | Common stock | 1,000 | 95,881 | 105,130 |
| Lexmark International Inc. | Common stock | 1,100 | 73,753 | 68,970 |
| Lockheed Martin Corp. | Common stock | 1,550 | 97,169 | 96,720 |

Schedule I

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2005

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|----------------------------------|--------------------------------------|----------------------|---------------------|
| Marathon Oil Corp | Common stock | 4,700 | \$ 137,423 | 274,285 |
| McDonalds Corp. | Common stock | 3,600 | 114,612 | 112,212 |
| Morgan Stanley | Common stock | 1,900 | 87,686 | 100,795 |
| National City Corp. | Common stock | 4,300 | 144,573 | 158,713 |
| Nokia Corp | Common stock | 9,300 | 139,452 | 148,335 |
| Northrop Grumman Corp | Common stock | 2,650 | 132,892 | 146,943 |
| PepsiCo Inc | Common stock | 850 | 46,782 | 46,351 |
| Pfizer Inc. | Common stock | 4,100 | 106,219 | 108,650 |
| PG&E Corp. | Common stock | 1,900 | 69,387 | 71,497 |
| Principal Financial Group Inc. | Common stock | 700 | 28,735 | 30,765 |
| Quest Diagnostics Inc | Common stock | 2,900 | 108,082 | 148,886 |
| SBC Communications Inc | Common stock | 5,450 | 143,678 | 133,253 |
| SLM Corp. | Common stock | 750 | 36,073 | 38,618 |
| Symantec Corp. | Common stock | 7,364 | 167,635 | 161,629 |
| Texas Instruments | Common stock | 4,800 | 82,463 | 152,448 |
| Time Warner Inc | Common stock | 6,000 | 87,317 | 102,120 |
| Tyco Intl Ltd New | Common stock | 4,750 | 145,617 | 144,733 |
| Viacom Inc | Common stock | 800 | 30,816 | 26,792 |
| Wachovia Corp | Common stock | 600 | 27,467 | 30,228 |
| Wal Mart Stores Inc | Common stock | 2,900 | 148,470 | 143,115 |
| Waste Management Inc | Common stock | 3,850 | 115,550 | 108,262 |
| Wells Fargo & Co | Common stock | 2,350 | 119,248 | 144,149 |
| Weyerhaeuser Co | Common stock | 1,050 | 66,816 | 72,429 |
| | | | <u>\$ 15,877,179</u> | <u>17,599,537</u> |

* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--|--------------------------------------|---------------------|------------------|-----------------|-----------|---------------|----------|
| Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2008: | | | | | | | |
| HighMark | Diversified Money Market Fund | 1 | \$ 1,202,285 | — | \$ — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 2,280,825 | — | — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 4,400,000 | — | — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 2,250,054 | — | — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 3,401,476 | — | — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 2,300,000 | — | — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 2,300,000 | — | — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 2,300,064 | — | — | — | — |
| HighMark | Diversified Money Market Fund | 1 | 2,300,000 | — | — | — | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 2,277,176 | 2,277,176 | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 1,199,559 | 1,199,559 | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 2,294,727 | 2,294,727 | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 2,299,010 | 2,299,010 | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 2,298,756 | 2,298,756 | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 2,298,852 | 2,298,852 | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 3,447,277 | 3,447,277 | — |
| HighMark | Diversified Money Market Fund | — | — | 1 | 2,297,497 | 2,297,497 | — |
| Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2008: | | | | | | | |
| Commercial papers: | | | | | | | |
| Abbey National LLC | ABBEY NATIONAL LLC 11/04/08 | 1 | 1,092,520 | 1 | 1,092,520 | 1,092,520 | — |
| Aetna Inc | AETNA INC DC/P 9/17/08 | 1 | 1,096,168 | 1 | 1,096,168 | 1,096,168 | — |
| Aetna Inc | AETNA INC DC/P 11/21/08 | 1 | 1,096,975 | 1 | 1,096,975 | 1,096,975 | — |
| Aetna Inc | AETNA INC DC/P 11/03/08 | 1 | 1,094,280 | 1 | 1,094,280 | 1,094,280 | — |
| Alcoa Inc. | Alcoa DC/P 10/30/08 | 1 | 1,093,736 | 1 | 1,093,736 | 1,093,736 | — |
| Alcoa Inc. | Alcoa INC DC/P 11/17/08 | 1 | 1,098,258 | 1 | 1,098,258 | 1,098,258 | — |
| Aluminum Co | Aluminum Co of America DC/P 11/05/08 | 1 | 1,098,900 | 1 | 1,098,900 | 1,098,900 | — |
| Aluminum Co | Aluminum Co of America DC/P 12/09/08 | 1 | 1,099,137 | 1 | 1,099,137 | 1,099,137 | — |
| Amstel Funding Corp. | Amstel FDG CORP DC/P 5/11/09 | 1 | 1,149,866 | 1 | 1,149,866 | 1,149,866 | — |
| Amstel Funding Corp. | Amstel FDG CORP DC/P 5/14/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Amstel Funding Corp. | Amstel FDG CORP DC/P 5/18/09 | 1 | 1,149,859 | 1 | 1,149,859 | 1,149,859 | — |
| Autobahn Funding Corporation | Autobahn FDG COMP DC/P 6/15/09 | 1 | 1,149,800 | 1 | 1,149,800 | 1,149,800 | — |
| Autobahn Funding Corporation | Autobahn FDG CO DC/P 6/23/09 | 1 | 1,149,668 | 1 | 1,149,668 | 1,149,668 | — |
| Autobahn Funding Corporation | Autobahn FDG COMP DC/P 6/04/09 | 1 | 1,149,965 | 1 | 1,149,965 | 1,149,965 | — |
| Autobahn Funding Corporation | Autobahn FDG CO DC/P 7/20/09 | 1 | 1,149,120 | 1 | 1,149,120 | 1,149,120 | — |
| Autobahn Funding Corporation | Autobahn FDG CP DC/P 7/01/09 | 1 | 1,149,706 | 1 | 1,149,706 | 1,149,706 | — |
| Avery Dennison | Avery Dennison DC/P 8/11/08 | 1 | 1,099,757 | 1 | 1,099,757 | 1,099,757 | — |
| Avery Dennison | Avery Dennison DC/P 8/13/08 | 1 | 999,926 | 1 | 999,926 | 999,926 | — |
| Avery Dennison | Avery Dennison DC/P 8/26/08 | 1 | 1,049,921 | 1 | 1,049,921 | 1,049,921 | — |
| Avery Dennison | Avery Dennison DC/P 8/28/08 | 1 | 1,124,917 | 1 | 1,124,917 | 1,124,917 | — |
| Avery Dennison | Avery Dennison Corp DC/P 8/04/08 | 1 | 1,099,743 | 1 | 1,099,743 | 1,099,743 | — |
| Avery Dennison | Avery Dennison Corp DC/P 8/05/08 | 1 | 999,924 | 1 | 999,924 | 999,924 | — |
| Avery Dennison | Avery Dennison Corp DC/P 8/08/08 | 1 | 999,926 | 1 | 999,926 | 999,926 | — |
| Avery Dennison | Avery Dennison Corp DC/P 10/23/08 | 1 | 1,099,870 | 1 | 1,099,870 | 1,099,870 | — |
| Avery Dennison | Avery Dennison Corp DC/P 10/24/08 | 1 | 999,882 | 1 | 999,882 | 999,882 | — |
| BMW US Capital LLC | BMW US CAP DC/P 5/28/09 | 1 | 1,148,960 | 1 | 1,148,960 | 1,148,960 | — |
| BMW US Capital LLC | BMW US CAPTL LLC DC/P 6/05/09 | 1 | 1,149,693 | 1 | 1,149,693 | 1,149,693 | — |
| BMW US Capital LLC | BMW US CAPTL LLC DC/P 7/01/09 | 1 | 1,148,962 | 1 | 1,148,962 | 1,148,962 | — |
| BNP Paribas Finance | BNP PARIBAS FINANCE DC/P 11/06/08 | 1 | 1,145,331 | 1 | 1,145,331 | 1,145,331 | — |
| CBA De Finance Inc. | CBA DE FINANCE INC DC/P 10/06/08 | 1 | 1,095,178 | 1 | 1,095,178 | 1,095,178 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|----------------------------|--------------------------------------|---------------------|------------------|-----------------|------------|---------------|----------|
| CVS Corp. | CVS CORPORATION INC DC/P 10/20/08 | 1 | \$ 999,583 | 1 | \$ 999,583 | 999,583 | — |
| CVS Corp. | CVS CORPORATION INC DC/P 10/22/08 | 1 | 999,876 | 1 | 999,876 | 999,876 | — |
| CVS Corp. | CVS CORPORATION INC DC/P 10/27/08 | 1 | 999,629 | 1 | 999,629 | 999,629 | — |
| CVS Corp. | CVS CORPORATION INC DC/P 10/28/08 | 1 | 1,099,855 | 1 | 1,099,855 | 1,099,855 | — |
| CVS Corp. | CVS CORPORATION INC DC/P 10/29/08 | 1 | 999,868 | 1 | 999,868 | 999,868 | — |
| CVS Corp. | CVS CORPORATION INC DC/P 11/19/08 | 1 | 1,097,861 | 1 | 1,097,861 | 1,097,861 | — |
| CVS Corp. | CVS CORPORATION INC DC/P 12/08/08 | 1 | 1,097,263 | 1 | 1,097,263 | 1,097,263 | — |
| CVS Corp. | CVS CORPORATION INC DC/P 1/06/09 | 1 | 1,094,506 | 1 | 1,094,506 | 1,094,506 | — |
| CVS Corp. | CVS CAREMARK CORP DC/P 2/03/09 | 1 | 1,147,714 | 1 | 1,147,714 | 1,147,714 | — |
| CVS Corp. | CVS CAREMARK CORP DC/P 3/02/09 | 1 | 1,148,588 | 1 | 1,148,588 | 1,148,588 | — |
| Cadbury Schweppes | CADBURY SCHWEPES DISC/P 11/20/08 | 1 | 1,098,096 | 1 | 1,098,096 | 1,098,096 | — |
| Cadbury Schweppes | CADBURY SCHWEPES DC/P 12/04/08 | 1 | 1,098,182 | 1 | 1,098,182 | 1,098,182 | — |
| Cadbury Schweppes | CADBURY SCHWEPES FINCL DC/P 41/27/09 | 1 | 1,148,297 | 1 | 1,148,297 | 1,148,297 | — |
| Centrica PLC | CENTRICA PLC DC/P 1/26/09 | 1 | 1,094,425 | 1 | 1,094,425 | 1,094,425 | — |
| Centrica PLC | CENTRICA PLC DC/P 3/25/09 | 1 | 1,147,596 | 1 | 1,147,596 | 1,147,596 | — |
| Chevron Phillips | CHEVRON PHILLIPS DC/P 9/10/08 | 1 | 997,725 | 1 | 997,725 | 997,725 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHML DC/P 9/17/08 | 1 | 999,806 | 1 | 999,806 | 999,806 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHML DC/P 9/18/08 | 1 | 999,826 | 1 | 999,826 | 999,826 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHML DC/P 9/19/08 | 1 | 999,813 | 1 | 999,813 | 999,813 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHML DC/P 10/03/08 | 1 | 899,900 | 1 | 899,900 | 899,900 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHML DC/P 11/13/08 | 1 | 993,833 | 1 | 993,833 | 993,833 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHML DC/P 12/01/08 | 1 | 1,099,458 | 1 | 1,099,458 | 1,099,458 | — |
| Clorox Company | CLOROX CO DC/P 9/16/08 | 1 | 997,356 | 1 | 997,356 | 997,356 | — |
| Clorox Company | CLOROX COMPANY DC/P 9/19/08 | 1 | 1,099,809 | 1 | 1,099,809 | 1,099,809 | — |
| Clorox Company | CLOROX COMPANY (THE) DC/P 12/12/08 | 1 | 1,097,433 | 1 | 1,097,433 | 1,097,433 | — |
| Clorox Company | CLOROX COMPANY DC/P 2/04/09 | 1 | 1,148,339 | 1 | 1,148,339 | 1,148,339 | — |
| Danske Corp. | DANSKE CORP DC/P 9/11/08 | 1 | 1,097,353 | 1 | 1,097,353 | 1,097,353 | — |
| Detroit Edison | DETROIT EDISON CO DC/P 2/04/09 | 1 | 1,099,358 | 1 | 1,099,358 | 1,099,358 | — |
| Devon Energy | DEVON ENERGY CORP DC/P 1/05/09 | 1 | 1,098,075 | 1 | 1,098,075 | 1,098,075 | — |
| Diageo Capital PLC | DIAGEO CAPITAL PLC DC/P 10/27/08 | 1 | 1,094,555 | 1 | 1,094,555 | 1,094,555 | — |
| Diageo Capital PLC | DIAGEO CAPITAL PLC DC/P 12/10/08 | 1 | 1,092,774 | 1 | 1,092,774 | 1,092,774 | — |
| Dominion Resources | DOMINION RESOURCES DC/P 9/08/08 | 1 | 999,123 | 1 | 999,123 | 999,123 | — |
| Dominion Resources | DOMINION RESOURCES DC/P 10/09/08 | 1 | 997,460 | 1 | 997,460 | 997,460 | — |
| Dominion Resources | DOMINION RESOURCES DC/P 11/10/08 | 1 | 1,096,214 | 1 | 1,096,214 | 1,096,214 | — |
| Dominion Resources | DOMINION RESOURCES DC/P 12/02/08 | 1 | 1,096,700 | 1 | 1,096,700 | 1,096,700 | — |
| Eaton Corp. | EATON CORP DC/P 6/01/09 | 1 | 1,148,882 | 1 | 1,148,882 | 1,148,882 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN DC/P 5/12/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN INC DC/P 5/13/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN INC DC/P 5/18/09 | 1 | 1,149,899 | 1 | 1,149,899 | 1,149,899 | — |
| Eureka Securitization Inc. | EUREKA SEC INC DC/P 5/20/09 | 1 | 1,149,920 | 1 | 1,149,920 | 1,149,920 | — |
| Eureka Securitization Inc. | EUREKA SECUR INC DC/P 5/27/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Eureka Securitization Inc. | EUREKA SEC INC DC/P 5/28/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN INC DC/P 5/29/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN INC DC/P 5/07/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN DC/P 6/10/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Eureka Securitization Inc. | EUREKA SEC INC DC/P 6/11/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN INC DC/P 6/12/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN DC/P 6/15/09 | 1 | 1,149,895 | 1 | 1,149,895 | 1,149,895 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN INC DC/P 6/17/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN DC/P 6/19/09 | 1 | 1,149,966 | 1 | 1,149,966 | 1,149,966 | — |
| Eureka Securitization Inc. | EUREKA SECURITZN INC DC/P 6/01/09 | 1 | 1,149,885 | 1 | 1,149,885 | 1,149,885 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|----------------------------|------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Eureka Securitization Inc. | EUREKA SECUR INCDC/P 6/02/09 | 1 | \$ 1,149,960 | 1 | \$ 1,149,960 | 1,149,960 | — |
| Eureka Securitization Inc. | EUREKA SECURTZN DC/P 6/03/09 | 1 | 1,149,965 | 1 | 1,149,965 | 1,149,965 | — |
| Eureka Securitization Inc. | EUREKA SECURTZN INC DC/P 6/04/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Eureka Securitization Inc. | EUREKA SECURTZN INC DC/P 6/08/09 | 1 | 1,149,880 | 1 | 1,149,880 | 1,149,880 | — |
| Eureka Securitization Inc. | EUREKA SECURTZN DC/P 6/09/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| FCAR Owner Trust | FCAR OWNER TRUST DC/P 60/9/09 | 1 | 1,149,620 | 1 | 1,149,620 | 1,149,620 | — |
| Fortune Brands | FORTUNE BRANDS DC/P 10/20/08 | 1 | 996,667 | 1 | 996,667 | 996,667 | — |
| Fortune Brands | FORTUNE BRANDS INC DC/P 10/21/08 | 1 | 999,889 | 1 | 999,889 | 999,889 | — |
| Fortune Brands | FORTUNE BRANDS INC DC/P 10/22/08 | 1 | 999,889 | 1 | 999,889 | 999,889 | — |
| Fortune Brands | FORTUNE BRANDS DC/P 10/23/08 | 1 | 899,900 | 1 | 899,900 | 899,900 | — |
| Fortune Brands | FORTUNE BRANDS INC DC/P 10/31/08 | 1 | 1,049,898 | 1 | 1,049,898 | 1,049,898 | — |
| Gannett Co. | GANNETT CO DC/P 8/11/08 | 1 | 999,758 | 1 | 999,758 | 999,758 | — |
| General Electric | GENERAL ELECTRIC CO DC/P 12/29/08 | 1 | 1,096,303 | 1 | 1,096,303 | 1,096,303 | — |
| General Mills Inc. | GENERAL MILLS DC/P 10/28/08 | 1 | 994,313 | 1 | 994,313 | 994,313 | — |
| General Mills Inc. | GENERAL MILLS INC DC/P 11/04/08 | 1 | 1,098,770 | 1 | 1,098,770 | 1,098,770 | — |
| General Mills Inc. | GENERAL MILLS INC DC/P 11/07/08 | 1 | 1,099,908 | 1 | 1,099,908 | 1,099,908 | — |
| General Mills Inc. | GENERAL MILLS DC/P 12/08/08 | 1 | 1,096,601 | 1 | 1,096,601 | 1,096,601 | — |
| Giro Balanced Funding | GIRO BALANCED DC/P 8/11/08 | 1 | 999,792 | 1 | 999,792 | 999,792 | — |
| Giro Balanced Funding | GIRO BALANCED FDG DC/P 8/06/08 | 1 | 1,099,922 | 1 | 1,099,922 | 1,099,922 | — |
| Giro Balanced Funding | GIRO BALANCED DC/P 5/14/09 | 1 | 1,149,361 | 1 | 1,149,361 | 1,149,361 | — |
| Giro Balanced Funding | GIRO BALANCED FDG DC/P 5/21/09 | 1 | 1,149,968 | 1 | 1,149,968 | 1,149,968 | — |
| Giro Balanced Funding | GIRO BALANCED FDG DC/P 5/26/09 | 1 | 1,149,840 | 1 | 1,149,840 | 1,149,840 | — |
| Giro Balanced Funding | GIRO BAL FDG DC/P 6/18/09 | 1 | 1,149,968 | 1 | 1,149,968 | 1,149,968 | — |
| Giro Balanced Funding | GIRO BALANCED FDG DC/P 7/15/09 | 1 | 1,149,828 | 1 | 1,149,828 | 1,149,828 | — |
| Giro Balanced Funding | GIRO BALANCED FDG DC/P 7/27/09 | 1 | 1,149,799 | 1 | 1,149,799 | 1,149,799 | — |
| Giro Balanced Funding | GIRO BALANCED DC/P 7/08/09 | 1 | 1,149,971 | 1 | 1,149,971 | 1,149,971 | — |
| Greyhawk Funding LLC | GREYHAWK FUNDING LLC DC/O 8/06/08 | 1 | 999,931 | 1 | 999,931 | 999,931 | — |
| Harley Davidson | HARLEY DAVIDSON DC/P 10/10/08 | 1 | 1,097,708 | 1 | 1,097,708 | 1,097,708 | — |
| Harley Davidson | HARLEY DAVIDSON FND DC/P 10/29/08 | 1 | 1,049,752 | 1 | 1,049,752 | 1,049,752 | — |
| HJ Heinz Finance | HEINZ HJ FIN DC/P 10/02/08 | 1 | 995,811 | 1 | 995,811 | 995,811 | — |
| HJ Heinz Finance | HEINZ HJ FIN DC/P 11/06/08 | 1 | 1,094,653 | 1 | 1,094,653 | 1,094,653 | — |
| HJ Heinz Finance | HEINZ HJ FIN DC/P 1/08/09 | 1 | 1,095,738 | 1 | 1,095,738 | 1,095,738 | — |
| HJ Heinz Finance | HEINZ HJ FIN DC/P 2/23/09 | 1 | 1,147,904 | 1 | 1,147,904 | 1,147,904 | — |
| HJ Heinz Finance | HEINZ HJ FIN DC/P 4/21/09 | 1 | 1,147,444 | 1 | 1,147,444 | 1,147,444 | — |
| Hewlett Packard Co. | HEWLETT PACKARD CO DC/P 11/13/08 | 1 | 997,414 | 1 | 997,414 | 997,414 | — |
| ITT Corp | ITT CORP DC/P 8/27/08 | 1 | 1,097,736 | 1 | 1,097,736 | 1,097,736 | — |
| ITT Corp | ITT CORP DC/P 10/31/08 | 1 | 1,094,867 | 1 | 1,094,867 | 1,094,867 | — |
| ITT Corp | ITT CORP DC/P 11/12/08 | 1 | 1,098,931 | 1 | 1,098,931 | 1,098,931 | — |
| ITT Corp | ITT CORP DC/P 12/12/08 | 1 | 1,098,778 | 1 | 1,098,778 | 1,098,778 | — |
| ITT Corp | ITT CORP DC/P 12/22/08 | 1 | 1,098,778 | 1 | 1,098,778 | 1,098,778 | — |
| ITT Corp | ITT CORP DC/P 12/04/08 | 1 | 1,095,933 | 1 | 1,095,933 | 1,095,933 | — |
| ITT Corp | ITT CORP DC/P 1/06/09 | 1 | 1,097,250 | 1 | 1,097,250 | 1,097,250 | — |
| ITT Corp | ITT CORP DC/P 2/03/09 | 1 | 1,097,433 | 1 | 1,097,433 | 1,097,433 | — |
| ITT Corp | ITT CORP DC/P 3/02/09 | 1 | 1,148,275 | 1 | 1,148,275 | 1,148,275 | — |
| ITT Corp | ITT CORP DC/P 4/01/09 | 1 | 1,148,131 | 1 | 1,148,131 | 1,148,131 | — |
| Ingersol Rand | INGERSOLL RAND DC/P 11/20/08 | 1 | 1,097,594 | 1 | 1,097,594 | 1,097,594 | — |
| Ingersol Rand | INGERSOLL RAND GBL CO DC/P 1/20/09 | 1 | 1,149,760 | 1 | 1,149,760 | 1,149,760 | — |
| Ingersol Rand | INGERSOLL RAND GBL CO DC/P 1/05/09 | 1 | 1,093,644 | 1 | 1,093,644 | 1,093,644 | — |
| Ingersol Rand | INGERSOLL RAND GBL CO DC/P 2/20/09 | 1 | 1,147,980 | 1 | 1,147,980 | 1,147,980 | — |
| Ingersol Rand | INGERSOLL RAND GBL CO DC/P 3/24/09 | 1 | 1,147,212 | 1 | 1,147,212 | 1,147,212 | — |
| Ingersol Rand | INGERSOLL RAND GBL CO DC/P 4/16/09 | 1 | 1,147,833 | 1 | 1,147,833 | 1,147,833 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|-------------------------------|--------------------------------------|---------------------|------------------|-----------------|------------|---------------|----------|
| KBC Financial Products | KBC FIN PRODS DC/P 6/26/09 | 1 | \$ 997,951 | 1 | \$ 997,951 | 997,951 | — |
| Kansas City Power and Light | KANSAS CITY PWR & LGHT DC/P 12/23/08 | 1 | 1,179,926 | 1 | 1,179,926 | 1,179,926 | — |
| Kellogg company | KELLOGG COMPANY DC/P 12/03/08 | 1 | 1,098,258 | 1 | 1,098,258 | 1,098,258 | — |
| Kellogg company | KELLOGG COMPANY DC/P 1/06/09 | 1 | 1,095,637 | 1 | 1,095,637 | 1,095,637 | — |
| Kinder Morgan Energy | KINDER MORGAN ENERGY DC/P 10/07/08 | 1 | 999,847 | 1 | 999,847 | 999,847 | — |
| Kraft Foods | KRAFT FOODS DC/P 10/06/08 | 1 | 899,625 | 1 | 899,625 | 899,625 | — |
| Kraft Foods | KRAFT FOODS INC DC/P 11/26/08 | 1 | 1,097,540 | 1 | 1,097,540 | 1,097,540 | — |
| Lexington Parker Capital | LEXINGTON PKR CPTL DC/P 9/18/08 | 1 | 999,819 | 1 | 999,819 | 999,819 | — |
| Mitsubishi International Corp | MITSUBISHI INTL CORP DC/P 10/17/08 | 1 | 996,375 | 1 | 996,375 | 996,375 | — |
| Mitsui & Co. USA | MITSUMI & CO USA INC DC/P 11/05/08 | 1 | 1,097,433 | 1 | 1,097,433 | 1,097,433 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC D/P 2/13/09 | 1 | 1,149,776 | 1 | 1,149,776 | 1,149,776 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 2/17/09 | 1 | 1,149,776 | 1 | 1,149,776 | 1,149,776 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 2/26/09 | 1 | 1,149,353 | 1 | 1,149,353 | 1,149,353 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 2/04/09 | 1 | 1,149,952 | 1 | 1,149,952 | 1,149,952 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 3/17/09 | 1 | 1,148,837 | 1 | 1,148,837 | 1,148,837 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 3/23/09 | 1 | 1,149,880 | 1 | 1,149,880 | 1,149,880 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 3/25/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 3/26/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 3/27/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 3/30/09 | 1 | 1,149,885 | 1 | 1,149,885 | 1,149,885 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 3/02/09 | 1 | 1,149,776 | 1 | 1,149,776 | 1,149,776 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 4/13/09 | 1 | 1,149,840 | 1 | 1,149,840 | 1,149,840 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/15/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/17/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/20/09 | 1 | 1,149,885 | 1 | 1,149,885 | 1,149,885 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/21/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/22/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/23/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 4/24/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 4/27/09 | 1 | 1,149,885 | 1 | 1,149,885 | 1,149,885 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/28/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 4/30/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 4/02/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 4/03/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Morrigan FDG | MORRIGAN TRR FDG LLC DC/P 5/01/09 | 1 | 1,149,960 | 1 | 1,149,960 | 1,149,960 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/16/08 | 1 | 998,775 | 1 | 998,775 | 998,775 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/23/08 | 1 | 1,098,653 | 1 | 1,098,653 | 1,098,653 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/24/08 | 1 | 1,099,847 | 1 | 1,099,847 | 1,099,847 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/28/08 | 1 | 1,099,297 | 1 | 1,099,297 | 1,099,297 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/29/08 | 1 | 1,099,847 | 1 | 1,099,847 | 1,099,847 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/30/08 | 1 | 1,049,854 | 1 | 1,049,854 | 1,049,854 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/31/08 | 1 | 1,099,855 | 1 | 1,099,855 | 1,099,855 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 10/09/08 | 1 | 1,097,311 | 1 | 1,097,311 | 1,097,311 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/12/08 | 1 | 1,099,019 | 1 | 1,099,019 | 1,099,019 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/13/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/14/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/18/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/19/08 | 1 | 1,099,893 | 1 | 1,099,893 | 1,099,893 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/20/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/24/08 | 1 | 1,099,620 | 1 | 1,099,620 | 1,099,620 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 11/05/08 | 2 | 2,198,992 | 2 | 2,198,992 | 2,198,992 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|------------------------|--------------------------------------|------------------------|---------------------|--------------------|--------------|------------------|----------|
| Nissan Motors | NISSAN MTR ACCP DC/P 12/12/08 | 1 | \$ 1,099,419 | 1 | \$ 1,099,419 | 1,099,419 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 12/17/08 | 1 | 1,099,274 | 1 | 1,099,274 | 1,099,274 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 12/22/08 | 1 | 1,099,328 | 1 | 1,099,328 | 1,099,328 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 12/29/08 | 1 | 1,099,175 | 1 | 1,099,175 | 1,099,175 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 12/01/08 | 1 | 1,098,824 | 1 | 1,098,824 | 1,098,824 | — |
| Nissan Motors | NISSAN MTR ACCP DC/P 12/08/08 | 1 | 1,098,877 | 1 | 1,098,877 | 1,098,877 | — |
| Nissan Motors | NISSAN MTR ACCEPTANCE DC/P 1/13/09 | 1 | 1,149,385 | 1 | 1,149,385 | 1,149,385 | — |
| Nissan Motors | NISSAN MTR ACCEPTANCE DC/P 1/20/09 | 1 | 1,149,617 | 1 | 1,149,617 | 1,149,617 | — |
| Nissan Motors | NISSAN MTR ACCEPTANCE DC/P 1/28/09 | 1 | 1,149,904 | 1 | 1,149,904 | 1,149,904 | — |
| Nissan Motors | NISSAN MTR ACCEPTANCE DC/P 2/25/09 | 1 | 1,148,671 | 1 | 1,148,671 | 1,148,671 | — |
| Nissan Motors | NISSAN MTR ACCEPTANCE DC/P 2/09/09 | 1 | 1,149,201 | 1 | 1,149,201 | 1,149,201 | — |
| Nissan Motors | NISSAN MTR ACCEPTANCE DC/P 3/03/09 | 1 | 1,149,657 | 1 | 1,149,657 | 1,149,657 | — |
| OGE Energy corp | OGE ENERGY CORP DC/P 8/11/08 | 1 | 999,475 | 1 | 999,475 | 999,475 | — |
| Old Slip Funding | OLD SLIP FDG DC/P 8/07/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Pacific Gas & Electric | PG&E DC/P 8/26/08 | 1 | 1,098,708 | 1 | 1,098,708 | 1,098,708 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELECTRIC DC/P 8/04/08 | 1 | 1,194,711 | 1 | 1,194,711 | 1,194,711 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELECTRIC DC/P 9/23/08 | 1 | 1,097,433 | 1 | 1,097,433 | 1,097,433 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELECTRIC DC/P 10/14/08 | 1 | 1,098,656 | 1 | 1,098,656 | 1,098,656 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELECTRIC DC/P 10/21/08 | 1 | 1,098,900 | 1 | 1,098,900 | 1,098,900 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELECTRIC DC/P 2/04/09 | 1 | 1,149,655 | 1 | 1,149,655 | 1,149,655 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELECTRIC DC/P 3/30/09 | 1 | 1,148,366 | 1 | 1,148,366 | 1,148,366 | — |
| Pacificorp | PACIFICORP DC/P 11/04/08 | 1 | 1,099,901 | 1 | 1,099,901 | 1,099,901 | — |
| Pacificorp | PACIFICORP DC/P 11/05/08 | 1 | 1,099,902 | 1 | 1,099,902 | 1,099,902 | — |
| Pepsi Bottling Group | PEPSI BOTTLING GROUP DC/P 10/15/08 | 1 | 1,099,465 | 1 | 1,099,465 | 1,099,465 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/12/09 | 1 | 1,149,928 | 1 | 1,149,928 | 1,149,928 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/13/09 | 1 | 1,149,928 | 1 | 1,149,928 | 1,149,928 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/16/09 | 1 | 1,149,784 | 1 | 1,149,784 | 1,149,784 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/17/09 | 1 | 1,149,931 | 1 | 1,149,931 | 1,149,931 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/19/09 | 1 | 1,149,931 | 1 | 1,149,931 | 1,149,931 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/20/09 | 1 | 1,149,931 | 1 | 1,149,931 | 1,149,931 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/24/09 | 1 | 1,149,725 | 1 | 1,149,725 | 1,149,725 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 4/13/09 | 1 | 1,149,530 | 1 | 1,149,530 | 1,149,530 | — |
| Perry Global Fund | PERRY GLB FD DC/P 4/14/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 4/21/09 | 1 | 1,149,553 | 1 | 1,149,553 | 1,149,553 | — |
| Perry Global Fund | PERRY GLB FD DC/P 4/22/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD DC/P 4/23/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD DC/P 4/27/09 | 1 | 1,149,808 | 1 | 1,149,808 | 1,149,808 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 4/28/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD DC/P 4/02/09 | 1 | 1,149,361 | 1 | 1,149,361 | 1,149,361 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 4/06/09 | 1 | 1,149,719 | 1 | 1,149,719 | 1,149,719 | — |
| Perry Global Fund | PERRY GLB FD DC/P 5/04/09 | 1 | 1,149,617 | 1 | 1,149,617 | 1,149,617 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 5/08/09 | 1 | 1,149,744 | 1 | 1,149,744 | 1,149,744 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 8/07/08 | 1 | 999,928 | 1 | 999,928 | 999,928 | — |
| Perry Global Fund | PERRY GLB FD DC/P 8/08/08 | 1 | 1,099,920 | 1 | 1,099,920 | 1,099,920 | — |
| Perry Global Fund | PERRY GLOBAL FDG DC/P 9/17/08 | 1 | 999,806 | 1 | 999,806 | 999,806 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/10/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/11/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/17/09 | 1 | 1,149,917 | 1 | 1,149,917 | 1,149,917 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/18/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/23/09 | 1 | 1,149,681 | 1 | 1,149,681 | 1,149,681 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/24/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|------------------------------|--------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/25/09 | 1 | \$ 1,149,936 | 1 | \$ 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/27/09 | 1 | 1,149,872 | 1 | 1,149,872 | 1,149,872 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/02/09 | 1 | 1,149,808 | 1 | 1,149,808 | 1,149,808 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/03/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/04/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/05/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/06/09 | 1 | 1,149,936 | 1 | 1,149,936 | 1,149,936 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 2/09/09 | 1 | 1,149,808 | 1 | 1,149,808 | 1,149,808 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/11/09 | 1 | 1,149,497 | 1 | 1,149,497 | 1,149,497 | — |
| Perry Global Fund | PERRY GLB FD LTD/LLC DC/P 3/04/09 | 1 | 1,149,681 | 1 | 1,149,681 | 1,149,681 | — |
| Principal Financial Services | PRINCIPAL FIN SER DC/P 6/10/09 | 1 | 1,149,401 | 1 | 1,149,401 | 1,149,401 | — |
| Principal Financial Services | PRINCIPAL FIN SER DC/P 7/07/09 | 1 | 1,149,508 | 1 | 1,149,508 | 1,149,508 | — |
| Prudential PLC | PRUDENTIAL PLC DC/P 11/10/08 | 1 | 1,092,788 | 1 | 1,092,788 | 1,092,788 | — |
| SabMiller PLC | SABMILLER PLC CD/P 8/28/08 | 1 | 1,003,927 | 1 | 1,003,927 | 1,003,927 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDS DC/P 10/15/08 | 1 | 1,094,317 | 1 | 1,094,317 | 1,094,317 | — |
| Sempra Energy Holdings | SEMPRA ENGY HLDGS DC/P 10/20/08 | 1 | 1,099,045 | 1 | 1,099,045 | 1,099,045 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HOLDINGS DC/P 10/21/08 | 1 | 999,861 | 1 | 999,861 | 999,861 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 10/28/08 | 1 | 1,098,663 | 1 | 1,098,663 | 1,098,663 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HOLDINGS DC/P 10/30/08 | 1 | 1,099,840 | 1 | 1,099,840 | 1,099,840 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDS DC/P 10/31/08 | 1 | 1,099,855 | 1 | 1,099,855 | 1,099,855 | — |
| Sempra Energy Holdings | SEMPRA ENGY HLD DC/P 11/10/08 | 1 | 1,098,946 | 1 | 1,098,946 | 1,098,946 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 11/13/08 | 1 | 1,099,583 | 1 | 1,099,583 | 1,099,583 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 11/17/08 | 1 | 1,099,565 | 1 | 1,099,565 | 1,099,565 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDS DC/P 11/03/08 | 1 | 1,099,542 | 1 | 1,099,542 | 1,099,542 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 12/01/08 | 1 | 1,098,075 | 1 | 1,098,075 | 1,098,075 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 12/08/08 | 1 | 1,098,931 | 1 | 1,098,931 | 1,098,931 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 1/07/09 | 1 | 1,095,463 | 1 | 1,095,463 | 1,095,463 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HOLDINGS DC/P 2/11/09 | 1 | 1,147,764 | 1 | 1,147,764 | 1,147,764 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 2/26/09 | 1 | 1,149,425 | 1 | 1,149,425 | 1,149,425 | — |
| Sempra Energy Holdings | SEMPRA ENERGY HLDGS DC/P 4/03/09 | 1 | 1,148,515 | 1 | 1,148,515 | 1,148,515 | — |
| Silver Tower US | SILVER TOWER US DC/P 6/10/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Silver Tower US | SILVER TOWER US DC/P 6/03/09 | 1 | 1,149,965 | 1 | 1,149,965 | 1,149,965 | — |
| Silver Tower US | SILVER TOWER US FD DC/P 6/05/09 | 1 | 1,149,923 | 1 | 1,149,923 | 1,149,923 | — |
| Silver Tower US | SILVER TOWER US FD DC/P 6/08/09 | 1 | 1,149,885 | 1 | 1,149,885 | 1,149,885 | — |
| Silver Tower US | SILVER TOWER US DC/P 6/09/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Silver Tower US | SILVER TOWER US DC/P 7/13/09 | 1 | 1,149,743 | 1 | 1,149,743 | 1,149,743 | — |
| Silver Tower US | SILVER TOWER US DC/P 7/20/09 | 1 | 1,149,750 | 1 | 1,149,750 | 1,149,750 | — |
| Silver Tower US | SILVER TOWER US DC/P 7/27/09 | 1 | 1,149,750 | 1 | 1,149,750 | 1,149,750 | — |
| Silver Tower US | SILVER TOWER US FDG DC/P 7/01/09 | 1 | 1,149,094 | 1 | 1,149,094 | 1,149,094 | — |
| Silver Tower US | SILVER TOWER US DC/P 7/02/09 | 1 | 1,149,965 | 1 | 1,149,965 | 1,149,965 | — |
| Silver Tower US | SILVER TOWER US DC/P 7/06/09 | 1 | 1,149,861 | 1 | 1,149,861 | 1,149,861 | — |
| Staples Inc | STAPLES INC DC/P 8/11/08 | 1 | 1,099,734 | 1 | 1,099,734 | 1,099,734 | — |
| Staples Inc | STAPLES INC DC/P 8/12/08 | 1 | 1,099,911 | 1 | 1,099,911 | 1,099,911 | — |
| Staples Inc | STAPLES INC DC/P 8/13/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Staples Inc | STAPLES INC DC/P 8/15/08 | 1 | 1,099,823 | 1 | 1,099,823 | 1,099,823 | — |
| Staples Inc | STAPLES INC DC/P 8/18/08 | 1 | 1,099,725 | 1 | 1,099,725 | 1,099,725 | — |
| Staples Inc | STAPLES INC DC/P 8/19/08 | 1 | 1,044,914 | 1 | 1,044,914 | 1,044,914 | — |
| Staples Inc | STAPLES INC DC/P 8/20/08 | 1 | 1,049,914 | 1 | 1,049,914 | 1,049,914 | — |
| Staples Inc | STAPLES INC DC/P 8/21/08 | 1 | 1,049,915 | 1 | 1,049,915 | 1,049,915 | — |
| Staples Inc | STAPLES INC DC/P 8/22/08 | 1 | 1,049,915 | 1 | 1,049,915 | 1,049,915 | — |
| Staples Inc | STAPLES INC DC/P 8/25/08 | 1 | 1,049,742 | 1 | 1,049,742 | 1,049,742 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|-----------------------|---------------------------------|------------------------|---------------------|--------------------|--------------|------------------|----------|
| Staples Inc | STAPLES INC DC/P 8/27/08 | 1 | \$ 1,049,914 | 1 | \$ 1,049,914 | 1,049,914 | — |
| Staples Inc | STAPLES INC DC/P 8/06/08 | 1 | 1,099,908 | 1 | 1,099,908 | 1,099,908 | — |
| Staples Inc | STAPLES INC DC/P 8/08/08 | 1 | 1,099,820 | 1 | 1,099,820 | 1,099,820 | — |
| Staples Inc | STAPLES INC DC/P 9/10/08 | 1 | 1,034,918 | 1 | 1,034,918 | 1,034,918 | — |
| Staples Inc | STAPLES INC DC/P 9/11/08 | 1 | 1,039,915 | 1 | 1,039,915 | 1,039,915 | — |
| Staples Inc | STAPLES INC DC/P 9/12/08 | 1 | 999,918 | 1 | 999,918 | 999,918 | — |
| Staples Inc | STAPLES INC DC/P 9/16/08 | 1 | 999,650 | 1 | 999,650 | 999,650 | — |
| Staples Inc | STAPLES INC DC/P 9/02/08 | 1 | 1,139,517 | 1 | 1,139,517 | 1,139,517 | — |
| Staples Inc | STAPLES INC DC/P 9/03/08 | 1 | 1,139,907 | 1 | 1,139,907 | 1,139,907 | — |
| Staples Inc | STAPLES INC DC/P 9/04/08 | 1 | 1,024,916 | 1 | 1,024,916 | 1,024,916 | — |
| Staples Inc | STAPLES INC DC/P 9/05/08 | 1 | 1,024,917 | 1 | 1,024,917 | 1,024,917 | — |
| Staples Inc | STAPLES INC DC/P 9/08/08 | 1 | 1,024,748 | 1 | 1,024,748 | 1,024,748 | — |
| Staples Inc | STAPLES INC DC/P 9/09/08 | 1 | 1,034,915 | 1 | 1,034,915 | 1,034,915 | — |
| Staples Inc | STAPLES INC DC/P 10/29/08 | 1 | 999,100 | 1 | 999,100 | 999,100 | — |
| Staples Inc | STAPLES INC DC/P 11/12/08 | 1 | 1,099,093 | 1 | 1,099,093 | 1,099,093 | — |
| Staples Inc | STAPLES INC DC/P 11/17/08 | 1 | 1,099,305 | 1 | 1,099,305 | 1,099,305 | — |
| Staples Inc | STAPLES INC DC/P 11/03/08 | 1 | 899,300 | 1 | 899,300 | 899,300 | — |
| Staples Inc | STAPLES INC DC/P 11/06/08 | 1 | 1,099,890 | 1 | 1,099,890 | 1,099,890 | — |
| Staples Inc | STAPLES INC DC/P 12/11/08 | 1 | 1,099,038 | 1 | 1,099,038 | 1,099,038 | — |
| Staples Inc | STAPLES INC DC/P 12/03/08 | 1 | 1,097,580 | 1 | 1,097,580 | 1,097,580 | — |
| Staples Inc | STAPLES INC DC/P 1/07/09 | 1 | 1,095,240 | 1 | 1,095,240 | 1,095,240 | — |
| Staples Inc | STAPLES INC DC/P 2/23/09 | 1 | 1,148,725 | 1 | 1,148,725 | 1,148,725 | — |
| Staples Inc | STAPLES INC DC/P 2/02/09 | 1 | 1,148,222 | 1 | 1,148,222 | 1,148,222 | — |
| Staples Inc | STAPLES INC DC/P 3/24/09 | 1 | 1,148,471 | 1 | 1,148,471 | 1,148,471 | — |
| Starbucks Corp | STARBUCKS CORP DC/P 12/04/08 | 1 | 1,099,905 | 1 | 1,099,905 | 1,099,905 | — |
| Sumitomo Corp | SUNITOMO CORP DC/P 6/16/09 | 1 | 1,148,222 | 1 | 1,148,222 | 1,148,222 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 2/10/09 | 1 | 1,149,949 | 1 | 1,149,949 | 1,149,949 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 2/11/09 | 1 | 1,149,949 | 1 | 1,149,949 | 1,149,949 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 2/17/09 | 1 | 1,149,681 | 1 | 1,149,681 | 1,149,681 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 2/26/09 | 1 | 1,149,882 | 1 | 1,149,882 | 1,149,882 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 2/02/09 | 1 | 1,149,609 | 1 | 1,149,609 | 1,149,609 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 3/06/09 | 1 | 1,149,374 | 1 | 1,149,374 | 1,149,374 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 4/20/09 | 1 | 1,149,438 | 1 | 1,149,438 | 1,149,438 | — |
| TSL (USA) Inc | TSL (USA) INC DC/P 6/02/09 | 1 | 1,148,700 | 1 | 1,148,700 | 1,148,700 | — |
| Tesco PLC | TESCO PLC DC/P 8/12/08 | 1 | 999,924 | 1 | 999,924 | 999,924 | — |
| Textron Financial | TEXTRON FINANCIAL DC/P 10/30/08 | 1 | 1,098,717 | 1 | 1,098,717 | 1,098,717 | — |
| Textron Financial | TEXTRON FINCL DC/P 11/12/08 | 1 | 1,098,625 | 1 | 1,098,625 | 1,098,625 | — |
| Textron Financial | TEXTRON FINCL DC/P 11/21/08 | 1 | 1,099,875 | 1 | 1,099,875 | 1,099,875 | — |
| Textron Financial | TEXTRON FINANCIAL DC/P 12/18/08 | 1 | 1,099,908 | 1 | 1,099,908 | 1,099,908 | — |
| Textron Financial | TEXTRON FINANCIAL DC/P 1/20/09 | 1 | 1,098,610 | 1 | 1,098,610 | 1,098,610 | — |
| Textron Financial | TEXTRON INC DC/P 10/17/08 | 1 | 1,098,663 | 1 | 1,098,663 | 1,098,663 | — |
| Textron Financial | TEXTRON INC DC/P 11/19/08 | 1 | 1,099,198 | 1 | 1,099,198 | 1,099,198 | — |
| Textron Financial | TEXTRON INC DC/P 12/11/08 | 1 | 1,099,817 | 1 | 1,099,817 | 1,099,817 | — |
| Textron Financial | TEXTRON INC DC/P 12/12/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Textron Financial | TEXTRON INC DC/P 12/01/08 | 1 | 1,098,319 | 1 | 1,098,319 | 1,098,319 | — |
| Textron Financial | TEXTRON INC DC/P 12/03/08 | 1 | 1,099,633 | 1 | 1,099,633 | 1,099,633 | — |
| Textron Financial | TEXTRON INC DC/P 12/04/08 | 1 | 1,099,884 | 1 | 1,099,884 | 1,099,884 | — |
| Textron Financial | TEXTRON INC DC/P 12/09/08 | 1 | 1,099,236 | 1 | 1,099,236 | 1,099,236 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/15/09 | 1 | 1,149,754 | 1 | 1,149,754 | 1,149,754 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/16/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/17/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|---------------------------|--------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/20/09 | 1 | \$ 1,149,890 | 1 | \$ 1,149,890 | 1,149,890 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/21/09 | 1 | 1,149,963 | 1 | 1,149,963 | 1,149,963 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/22/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/23/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/24/09 | 1 | 1,149,962 | 1 | 1,149,962 | 1,149,962 | — |
| Three Pillars Funding | THREE PILLARS FDG DC/P 7/27/09 | 1 | 1,149,885 | 1 | 1,149,885 | 1,149,885 | — |
| Torchmark Corp | TORCHMARK CORP DC/P 6/08/09 | 1 | 1,148,837 | 1 | 1,148,837 | 1,148,837 | — |
| Toyota Motor Credit | TOYOTA MOTOR CREDIT DC/P 12/11/08 | 1 | 994,944 | 1 | 994,944 | 994,944 | — |
| Transocean Inc | TRANSOCEAN INC DC/P 11/12/08 | 1 | 1,099,795 | 1 | 1,099,795 | 1,099,795 | — |
| Transocean Inc | TRANSOCEAN INC DC/P 11/14/08 | 1 | 1,099,908 | 1 | 1,099,908 | 1,099,908 | — |
| Transocean Inc | TRANSOCEAN INC DC/P 11/20/08 | 1 | 1,099,911 | 1 | 1,099,911 | 1,099,911 | — |
| Transocean Inc | TRANSOCEAN INC DC/P 11/21/08 | 1 | 1,099,907 | 1 | 1,099,907 | 1,099,907 | — |
| UBS Financial | UBS FIN DEL 7/27/09 | 1 | 1,146,751 | 1 | 1,146,751 | 1,146,751 | — |
| United Healthcare | UNITED HEALTHCARE DC/P 9/05/08 | 1 | 1,097,213 | 1 | 1,097,213 | 1,097,213 | — |
| Virginia Electric & Power | VIRGINIA ELECT & PWR DC/P 10/01/08 | 1 | 1,097,608 | 1 | 1,097,608 | 1,097,608 | — |
| Virginia Electric & Power | VIRGINIA ELECT & PWR DC/P 11/03/08 | 1 | 1,096,272 | 1 | 1,096,272 | 1,096,272 | — |
| Virginia Electric & Power | VIRGINIA ELECT & PWR DC/P 1/29/09 | 1 | 1,089,508 | 1 | 1,089,508 | 1,089,508 | — |
| Virginia Electric & Power | VIRGINIA ELECT & PWR DC/P 4/13/09 | 1 | 1,149,310 | 1 | 1,149,310 | 1,149,310 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 11/21/08 | 1 | 1,099,910 | 1 | 1,099,910 | 1,099,910 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/11/08 | 1 | 1,099,936 | 1 | 1,099,936 | 1,099,936 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/15/08 | 1 | 1,099,780 | 1 | 1,099,780 | 1,099,780 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/16/08 | 1 | 1,099,928 | 1 | 1,099,928 | 1,099,928 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/17/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/30/08 | 1 | 1,074,934 | 1 | 1,074,934 | 1,074,934 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/30/08 | 1 | 1,099,908 | 1 | 1,099,908 | 1,099,908 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/03/08 | 1 | 1,099,910 | 1 | 1,099,910 | 1,099,910 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 12/04/08 | 1 | 1,099,910 | 1 | 1,099,910 | 1,099,910 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 1/21/09 | 1 | 1,149,946 | 1 | 1,149,946 | 1,149,946 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 2/02/09 | 1 | 1,149,649 | 1 | 1,149,649 | 1,149,649 | — |
| Volkswagen of America | VOLKSWAGEN OF AMERICA DC/P 2/09/09 | 1 | 1,149,553 | 1 | 1,149,553 | 1,149,553 | — |
| Volvo Group | VOLVO GROUP TRSY NA INC DC/P 6/10/09 | 1 | 1,149,253 | 1 | 1,149,253 | 1,149,253 | — |
| Volvo Group | VOLVO GROUP TRSYDC/P 6/22/09 | 1 | 1,149,253 | 1 | 1,149,253 | 1,149,253 | — |
| Volvo Group | VOLVO GROUP TRSY DC/P 7/08/09 | 1 | 1,149,128 | 1 | 1,149,128 | 1,149,128 | — |
| Wellpoint | WELLPOINT DC/P 10/02/08 | 1 | 1,097,386 | 1 | 1,097,386 | 1,097,386 | — |
| Wellpoint | WELLPOINT INC DC/P 1/05/09 | 1 | 1,095,463 | 1 | 1,095,463 | 1,095,463 | — |
| Wellpoint | WELLPOINT INC DC/P 4/02/09 | 1 | 1,145,528 | 1 | 1,145,528 | 1,145,528 | — |
| Western Union Co | WESTER UNION DC/P 10/02/08 | 1 | 1,099,856 | 1 | 1,099,856 | 1,099,856 | — |
| Western Union Co | WESTER UNION CO DC/P 12/15/08 | 1 | 1,091,096 | 1 | 1,091,096 | 1,091,096 | — |
| Whirlpool Corp | WHIRLPOOL CORP DC/P 9/25/08 | 1 | 1,098,533 | 1 | 1,098,533 | 1,098,533 | — |
| Whirlpool Corp | WHIRLPOOL CORP DC/P 10/15/08 | 1 | 1,097,815 | 1 | 1,097,815 | 1,097,815 | — |
| Whirlpool Corp | WHIRLPOOL CORP DC/P 11/03/08 | 1 | 1,096,753 | 1 | 1,096,753 | 1,096,753 | — |
| Wisconsin Energy Corp | WISCONSIN ENERGY CORP DC/P 11/06/08 | 1 | 1,099,313 | 1 | 1,099,313 | 1,099,313 | — |
| Wisconsin Energy Corp | WISCONSIN ENERGY CORP DC/P 12/03/08 | 1 | 1,098,289 | 1 | 1,098,289 | 1,098,289 | — |
| Wisconsin Energy Corp | WISCONSIN ENERGY CORP DC/P 1/05/09 | 1 | 1,094,202 | 1 | 1,094,202 | 1,094,202 | — |
| Wisconsin Energy Corp | WISCONSIN ENERGY CORP DC/P 4/17/09 | 1 | 1,149,150 | 1 | 1,149,150 | 1,149,150 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2009

| <u>Issuer</u> | <u>Description</u> | <u>Number of purchases</u> | <u>Amount purchased</u> | <u>Number of sales</u> | <u>Proceeds</u> | <u>Cost of asset</u> | <u>Net gain</u> |
|--|-----------------------------------|--------------------------------|-----------------------------|----------------------------|-----------------|--------------------------|-----------------|
| Registered investment company fund: Highmark Diversified | HIGHMARK DIVERSIFIED MMKT FUND FD | 150 | \$ 69,666,938 | 70 | \$ 61,578,430 | 61,578,430 | — |

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--|--|---------------------|------------------|-----------------|-----------|---------------|----------|
| Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2007: | | | | | | | |
| Commercial papers: | | | | | | | |
| Daimlerchrysler | DAIMLERCHRYSLER REV AUTO DC 8/21/07 | 1 | \$ 1,998,611 | — | \$ — | — | — |
| Daimlerchrysler | DAIMLERCHRYSLER REV AUTO DC 8/21/07 | — | — | 1 | 1,998,611 | 1,998,611 | — |
| Deutsche Bank | DEUTSCHE BK REPO 5.26% 8/02/07 | 1 | 1,300,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BK REPO 5.26% 8/02/07 | — | — | 1 | 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BK REPO 5.29% 8/01/07 | — | — | 1 | 1,300,000 | 1,300,000 | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/22/07 | 1 | 1,999,659 | — | — | — | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/23/07 | 1 | 1,999,661 | — | — | — | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/22/07 | — | — | 1 | 1,999,659 | 1,999,659 | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/23/07 | — | — | 1 | 1,999,661 | 1,999,661 | — |
| Morgan Stanley | MORGAN STANLEY DC/P 2/07/08 | 1 | 1,949,880 | — | — | — | — |
| Morgan Stanley | MORGAN STANLEY DC/P 2/07/08 | — | — | 1 | 1,949,880 | 1,949,880 | — |
| Prudential | PRUDENTIAL PLC DC/P 1/25/08 | 1 | 1,951,119 | — | — | — | — |
| Prudential | PRUDENTIAL PLC DC/P 1/25/08 | — | — | 1 | 1,951,119 | 1,951,119 | — |
| Societe Gen | SOCIETE GEN NO AMERICA DC/P 10/24/07 | 1 | 1,378,210 | — | — | — | — |
| Societe Gen | SOCIETE GEN NO AMERICA DC/P 10/24/07 | — | — | 1 | 1,378,210 | 1,378,210 | — |
| Swedbank | SWEDBANK DC/P 10/24/07 | 1 | 1,980,139 | — | — | — | — |
| Swedbank | SWEDBANK DC/P 10/24/07 | — | — | 1 | 1,980,139 | 1,980,139 | — |
| Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2007: | | | | | | | |
| Commercial papers: | | | | | | | |
| Aetna Inc | AETNA INC DC/P 6/25/08 | 1 | 995,821 | 1 | 995,821 | 995,821 | — |
| Aetna Inc | AETNA INC DC/P 10/30/07 | 1 | 1,090,833 | 1 | 1,090,833 | 1,090,833 | — |
| Aetna Inc | AETNA INC DC/P 12/10/07 | 1 | 1,095,140 | 1 | 1,095,140 | 1,095,140 | — |
| Alcoa Inc | ALCOA INC DC/P 3/20/08 | 1 | 1,091,396 | 1 | 1,091,396 | 1,091,396 | — |
| Aluminum Co | ALUMINUM CO DC/P 5/30/08 | 1 | 1,094,408 | 1 | 1,094,408 | 1,094,408 | — |
| Alcoa Inc | ALCOA DC/P 7/21/08 | 1 | 1,096,355 | 1 | 1,096,355 | 1,096,355 | — |
| Alcoa Inc | ALCOA DC/P 12/28/07 | 1 | 1,095,169 | 1 | 1,095,169 | 1,095,169 | — |
| Archer Daniels Midland | ARCHER DANIELS MIDLAND DC/P 4/08/08 | 1 | 989,790 | 1 | 989,790 | 989,790 | — |
| Astrazeneca | ASTRAZENECA PLC DC/P 4/28/08 | 1 | 1,114,694 | 1 | 1,114,694 | 1,114,694 | — |
| Avery Dennison | AVERY DENNISON CORP DC/P 7/31/08 | 1 | 1,194,907 | 1 | 1,194,907 | 1,194,907 | — |
| Bank of Ireland | GOVERNOR & CO OF BANK OF IRELAND 7/01/08 | 1 | 995,340 | 1 | 995,340 | 995,340 | — |
| Bank of Scotland | BANK OF STLD TRSY DC/P 7/01/08 | 1 | 1,085,838 | 1 | 1,085,838 | 1,085,838 | — |
| Bank of Scotland | BANK OF STLD DC/P 6/10/08 | 1 | 1,092,073 | 1 | 1,092,073 | 1,092,073 | — |
| Bank of Scotland | BANK OF SCOTLAND DC/P 12/05/07 | 1 | 996,125 | 1 | 996,125 | 996,125 | — |
| Bank America | BK AMERICA DC/P 7/24/08 | 1 | 1,141,329 | 1 | 1,141,329 | 1,141,329 | — |
| Barclays | BARCLAYS US FDG DC/P 2/07/08 | 1 | 1,090,196 | 1 | 1,090,196 | 1,090,196 | — |
| Barclays | BARCLAYS US FDG DC/P 7/16/08 | 1 | 994,458 | 1 | 994,458 | 994,458 | — |
| Barclays | BARCLAYS US FDG DC/P 10/29/07 | 1 | 990,445 | 1 | 990,445 | 990,445 | — |
| Britannia Building Society | BRITANNIA BLDNG SOC DC/P 10/24/07 | 1 | 1,086,823 | 1 | 1,086,823 | 1,086,823 | — |
| BNSF | BURLINGTON NRTHN SANTA FE 7/02/08 | 1 | 1,099,914 | 1 | 1,099,914 | 1,099,914 | — |
| BNSF | BURL NRTHN SNTA FE DC/P 7/03/08 | 1 | 999,922 | 1 | 999,922 | 999,922 | — |
| CVS Corporation | CVS CORP DC/P 12/06/07 | 1 | 1,095,658 | 1 | 1,095,658 | 1,095,658 | — |
| Cardinal Health Inc | CARDINAL HEALTH INC DC/P 2/15/08 | 1 | 1,096,101 | 1 | 1,096,101 | 1,096,101 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/11/08 | 1 | 1,099,629 | 1 | 1,099,629 | 1,099,629 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/13/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/14/08 | 1 | 1,099,875 | 1 | 1,099,875 | 1,099,875 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/15/08 | 1 | 1,099,875 | 1 | 1,099,875 | 1,099,875 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/21/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/22/08 | 1 | 1,099,875 | 1 | 1,099,875 | 1,099,875 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|-----------------------|--------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/25/08 | 1 | \$ 1,099,624 | 1 | \$ 1,099,624 | 1,099,624 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/26/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/27/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/05/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/06/08 | 1 | 1,099,870 | 1 | 1,099,870 | 1,099,870 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/07/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/08/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/14/07 | 1 | 1,099,841 | 1 | 1,099,841 | 1,099,841 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/15/07 | 1 | 1,099,840 | 1 | 1,099,840 | 1,099,840 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/16/07 | 1 | 1,099,837 | 1 | 1,099,837 | 1,099,837 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/19/07 | 1 | 1,149,492 | 1 | 1,149,492 | 1,149,492 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/20/07 | 1 | 1,149,834 | 1 | 1,149,834 | 1,149,834 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/06/07 | 1 | 1,099,844 | 1 | 1,099,844 | 1,099,844 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/07/07 | 1 | 1,099,844 | 1 | 1,099,844 | 1,099,844 | — |
| Chesham Financial | CHESHAM FIN DC/P 3/24/08 | 1 | 1,099,591 | 1 | 1,099,591 | 1,099,591 | — |
| Chesham Financial | CHESHAM FIN LLC DC/P 6/03/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Chesham Financial | CHESHAM FIN LLC DC/P 6/09/08 | 1 | 1,099,748 | 1 | 1,099,748 | 1,099,748 | — |
| Chevron Phillips | CHEVRON PHIL CHML DC/P 1/16/08 | 1 | 993,793 | 1 | 993,793 | 993,793 | — |
| Chevron Phillips | CHVRN PHILPS DC/P 4/01/08 | 1 | 1,099,896 | 1 | 1,099,896 | 1,099,896 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHEML DC/P 6/30/08 | 1 | 1,099,743 | 1 | 1,099,743 | 1,099,743 | — |
| Citibank | CITIBANK NA C/D 5.15% 2/29/08 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Clorox Company | CLOROX CO DC/P 6/27/08 | 1 | 1,096,891 | 1 | 1,096,891 | 1,096,891 | — |
| Clorox Company | CLOROX COMPANY DC/P 7/31/08 | 1 | 1,096,956 | 1 | 1,096,956 | 1,096,956 | — |
| Computer Sciences | COMPUTER SCIENCES DC/P 2/21/08 | 1 | 1,093,956 | 1 | 1,093,956 | 1,093,956 | — |
| Computer Sciences | COMPUTER SCIENCES CORP DC/P 3/28/08 | 1 | 1,096,654 | 1 | 1,096,654 | 1,096,654 | — |
| Computer Sciences | COMPUTER SCIENCES DC/P 10/09/07 | 1 | 997,124 | 1 | 997,124 | 997,124 | — |
| Computer Sciences | COMPUTER SCIENCES CORP DC/P 11/27/07 | 1 | 995,089 | 1 | 995,089 | 995,089 | — |
| Concord Capital | CONCORD CPTL DC/P 4/10/08 | 1 | 1,008,926 | 1 | 1,008,926 | 1,008,926 | — |
| Concord Capital | CONCORD CPTL DC/P 4/09/08 | 1 | 1,049,920 | 1 | 1,049,920 | 1,049,920 | — |
| Daimler Chrysler | DAIMLERCHRYSLER REV AUTO DC 8/21/07 | 1 | 1,998,611 | 1 | 1,998,611 | 1,998,611 | — |
| Depfa Bank | DEPFA BANK DC/O 1/22/08 | 1 | 1,089,775 | 1 | 1,089,775 | 1,089,775 | — |
| Depfa Bank | DEPFA BANK PLC DC/P 3/10/08 | 1 | 1,096,988 | 1 | 1,096,988 | 1,096,988 | — |
| Devon Energy | DEVON ENERGY DC/P 2/05/08 | 1 | 1,099,885 | 1 | 1,099,885 | 1,099,885 | — |
| Devon Energy | DEVON ENER DC/P 4/07/08 | 1 | 849,788 | 1 | 849,788 | 849,788 | — |
| Devon Energy | DEVON ENERGY CORP DC/P 6/16/08 | 1 | 1,096,456 | 1 | 1,096,456 | 1,096,456 | — |
| Daigeo Capital | DAIGEO CPTL DC/P 5/08/08 | 1 | 1,093,184 | 1 | 1,093,184 | 1,093,184 | — |
| Daigeo Capital | DIAGED CAPITAL PLC DC/P 12/07/08 | 1 | 1,090,463 | 1 | 1,090,463 | 1,090,463 | — |
| Dominion Resources | DOMINION RESOURCES DC/P 7/16/08 | 1 | 1,096,407 | 1 | 1,096,407 | 1,096,407 | — |
| Dow Chemical | DOW CHEM CO DC/P 4/10/08 | 1 | 1,091,872 | 1 | 1,091,872 | 1,091,872 | — |
| Dow Chemical | DOW CHEMICAL CO DC/P 7/08/08 | 1 | 1,141,471 | 1 | 1,141,471 | 1,141,471 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.25% 8/07/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.89% 10/31/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.62% 11/02/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.26% 8/02/07 | 1 | 1,300,000 | 1 | 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.26% 8/03/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.82% 11/01/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.25% 8/06/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.27% 8/08/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.45% 8/10/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.27% 8/09/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.5% 8/13/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--------------------|------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 8/14/07 | 1 | \$ 1,000,000 | 1 | \$ 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.6% 11/05/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Fortis Banque | FORTIS BANQ DC/P 7/14/08 | 1 | 1,093,177 | 1 | 1,093,177 | 1,093,177 | — |
| Fortune Brands | FORTUNE BRAND INC DC/P 6/04/08 | 1 | 1,072,826 | 1 | 1,072,826 | 1,072,826 | — |
| Fortune Brands | FORTUNE BRANDS INC DC/P 12/06/07 | 1 | 991,608 | 1 | 991,608 | 991,608 | — |
| GE Capital | GE CPTL DC/P 7/09/08 | 1 | 1,142,870 | 1 | 1,142,870 | 1,142,870 | — |
| GE Corporation | GE CO DC/P 3/27/08 | 1 | 1,095,059 | 1 | 1,095,059 | 1,095,059 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 5/07/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 5/09/08 | 1 | 999,925 | 1 | 999,925 | 999,925 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 6/11/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 6/26/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/22/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/24/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/07/08 | 1 | 999,700 | 1 | 999,700 | 999,700 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/09/08 | 1 | 1,049,921 | 1 | 1,049,921 | 1,049,921 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 8/20/07 | 1 | 1,096,109 | 1 | 1,096,109 | 1,096,109 | — |
| Goldman Sachs | GOLDMAN SACHS GRP INC DC/P 1/29/08 | 1 | 1,088,900 | 1 | 1,088,900 | 1,088,900 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 2/12/08 | 1 | 1,099,884 | 1 | 1,099,884 | 1,099,884 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 2/19/08 | 1 | 1,099,529 | 1 | 1,099,529 | 1,099,529 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/20/08 | 1 | 1,099,884 | 1 | 1,099,884 | 1,099,884 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/22/08 | 1 | 1,099,885 | 1 | 1,099,885 | 1,099,885 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/25/08 | 1 | 1,099,661 | 1 | 1,099,661 | 1,099,661 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 2/01/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/04/08 | 1 | 1,099,643 | 1 | 1,099,643 | 1,099,643 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/11/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/12/08 | 1 | 1,099,887 | 1 | 1,099,887 | 1,099,887 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/28/08 | 1 | 1,099,895 | 1 | 1,099,895 | 1,099,895 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/31/08 | 1 | 1,099,693 | 1 | 1,099,693 | 1,099,693 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 3/03/08 | 1 | 1,099,652 | 1 | 1,099,652 | 1,099,652 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 4/01/08 | 1 | 1,099,893 | 1 | 1,099,893 | 1,099,893 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 4/03/08 | 1 | 1,149,896 | 1 | 1,149,896 | 1,149,896 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 6/05/08 | 1 | 999,928 | 1 | 999,928 | 999,928 | — |
| Greyhawk Funding | GREYHAWK FNDG LLC DC/P 11/16/07 | 1 | 1,099,835 | 1 | 1,099,835 | 1,099,835 | — |
| Greyhawk Funding | GREYHAWK FUNDING LLC DC/P 11/29/07 | 1 | 1,149,835 | 1 | 1,149,835 | 1,149,835 | — |
| HJ Heinz | HEINZ HJ DC/P 4/30/08 | 1 | 1,093,148 | 1 | 1,093,148 | 1,093,148 | — |
| HJ Heinz | HEINZ H J FIN CO DC/P 6/27/08 | 1 | 1,094,861 | 1 | 1,094,861 | 1,094,861 | — |
| HJ Heinz | HEINZ H J FIN CO DC/P 7/30/08 | 1 | 1,097,126 | 1 | 1,097,126 | 1,097,126 | — |
| HJ Heinz | HEINZ H J FIN CO DC/P 10/04/07 | 1 | 1,095,527 | 1 | 1,095,527 | 1,095,527 | — |
| ING America | ING AMERICA INS 4/08/08 | 1 | 1,093,923 | 1 | 1,093,923 | 1,093,923 | — |
| ITT Corp | ITT CORP DC/P 1/24/08 | 1 | 1,092,506 | 1 | 1,092,506 | 1,092,506 | — |
| ITT Corp | ITT CORP DC/P 4/22/08 | 1 | 996,242 | 1 | 996,242 | 996,242 | — |
| ITT Corp | ITT CORP DC/P 6/24/08 | 1 | 1,093,936 | 1 | 1,093,936 | 1,093,936 | — |
| ITT Corp | ITT CORP DC/P 7/24/08 | 1 | 1,097,962 | 1 | 1,097,962 | 1,097,962 | — |
| ITT Corp | ITT CORP DC/P 11/05/07 | 1 | 995,244 | 1 | 995,244 | 995,244 | — |
| Irish Life & Perm. | IRISH LIFE & PERM DC/P 5/01/08 | 1 | 988,589 | 1 | 988,589 | 988,589 | — |
| Jupiter Seczn | JUBITER SECZTN DC/P 1/28/06 | 1 | 1,098,101 | 1 | 1,098,101 | 1,098,101 | — |
| Kellogg Company | KELLOGG COMPANY DC/P 1/28/08 | 1 | 1,138,813 | 1 | 1,138,813 | 1,138,813 | — |
| Kellogg Company | KELLOGG CO DC/P 5/05/08 | 1 | 1,093,748 | 1 | 1,093,748 | 1,093,748 | — |
| Kellogg Company | KELLOGG CO DC/P 9/10/07 | 1 | 1,094,459 | 1 | 1,094,459 | 1,094,459 | — |
| Kellogg Company | KELLOGG CO DC/P 11/19/07 | 1 | 1,089,220 | 1 | 1,089,220 | 1,089,220 | — |
| Legacy Capital | LEGACY CPTL DC/P 4/14/08 | 1 | 1,149,727 | 1 | 1,149,727 | 1,149,727 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|------------------------|--------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Legacy Capital | LEGACY CPTL DC/P 6/1208 | 1 | \$ 1,099,921 | 1 | \$ 1,099,921 | 1,099,921 | — |
| Legacy Capital | LEGACY CAPITAL LLC DC/P 6/13/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/17/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 6/02/08 | 1 | 1,099,734 | 1 | 1,099,734 | 1,099,734 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/03/08 | 1 | 1,099,918 | 1 | 1,099,918 | 1,099,918 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/04/08 | 1 | 1,099,918 | 1 | 1,099,918 | 1,099,918 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/06/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Legacy Capital | LEGACY CAP CO DC/P 7/01/08 | 1 | 1,099,904 | 1 | 1,099,904 | 1,099,904 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 7/03/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 8/22/07 | 1 | 1,999,659 | 1 | 1,999,659 | 1,999,659 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 8/23/07 | 1 | 1,999,661 | 1 | 1,999,661 | 1,999,661 | — |
| Lexington Capital | LEXINGTON PKR DC/P 3/31/08 | 1 | 1,099,716 | 1 | 1,099,716 | 1,099,716 | — |
| Lexington Capital | LEXINGTON CPTL DC/P 4/10/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Lexington Capital | LEXINGTON PKR DC/P 4/02/08 | 1 | 1,149,888 | 1 | 1,149,888 | 1,149,888 | — |
| Lexington Capital | LEXINGTON SPTL DC/P 4/09/08 | 1 | 999,917 | 1 | 999,917 | 999,917 | — |
| Lincoln National Corp | LINCOLN NATL CORP DC/P 4/21/08 | 1 | 1,090,454 | 1 | 1,090,454 | 1,090,454 | — |
| Lloyds Bank | LLOYDS BK DC/P 4/04/08 | 1 | 849,946 | 1 | 849,946 | 849,946 | — |
| Marriott International | MARRIOTT INTL DC/P 4/07/08 | 1 | 1,194,731 | 1 | 1,194,731 | 1,194,731 | — |
| Merrill Lynch & Co. | MERRILL LYNCH & CO DC/P 4/07/08 | 1 | 1,087,404 | 1 | 1,087,404 | 1,087,404 | — |
| Mitsui | MITSUMI CO DC/P 4/07/08 | 1 | 1,097,430 | 1 | 1,097,430 | 1,097,430 | — |
| Morgan Stanley | MORGAN STANLEY DC/P 5/20/08 | 1 | 1,949,880 | 1 | 1,949,880 | 1,949,880 | — |
| Morrigan FDG | MORRIGAN TR FDG DC/P 2/04/08 | 1 | 1,099,633 | 1 | 1,099,633 | 1,099,633 | — |
| Morrigan FDG | MORRIGAN FDG DC/P 4/11/08 | 1 | 1,149,907 | 1 | 1,149,907 | 1,149,907 | — |
| Morrigan FDG | MORRIGAN FDG DC/P 4/03/08 | 1 | 799,929 | 1 | 799,929 | 799,929 | — |
| Morrigan FDG | MORRIGAN FDG DC/P 4/04/08 | 1 | 1,099,905 | 1 | 1,099,905 | 1,099,905 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 12/07/07 | 1 | 1,099,840 | 1 | 1,099,840 | 1,099,840 | — |
| Natexis Banques | NATEXIS BANQUES US DC/P 1/18/08 | 1 | 1,140,812 | 1 | 1,140,812 | 1,140,812 | — |
| National Grid | NATL GRID USA DC/P 3/31/08 | 1 | 1,095,188 | 1 | 1,095,188 | 1,095,188 | — |
| Nationwide Building | NATIONWIDE BLDG DSOC DC/P 1/07/08 | 1 | 1,094,980 | 1 | 1,094,980 | 1,094,980 | — |
| Nationwide Building | NATIONWIDE BLDG DSOC DC/P 4/28/08 | 1 | 1,091,956 | 1 | 1,091,956 | 1,091,956 | — |
| Old Slip FDG | OLD SLIP FDG DC/P 4/09/08 | 1 | 999,919 | 1 | 999,919 | 999,919 | — |
| Old Slip FDG | OLD SLIP FDG CORP DC/P 12/19/07 | 1 | 1,095,508 | 1 | 1,095,508 | 1,095,508 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELEC DC/P 1/17/08 | 1 | 1,094,993 | 1 | 1,094,993 | 1,094,993 | — |
| Pacific Gas & Electric | PACIFIC GAS ELECTRIC DC/P 7/16/08 | 1 | 999,921 | 1 | 999,921 | 999,921 | — |
| Pacific Gas & Electric | PG AND E DC/P 7/18/08 | 1 | 1,099,832 | 1 | 1,099,832 | 1,099,832 | — |
| Pacific Gas & Electric | PACIFIC GAS ELECTRIC DC/P 7/31/08 | 1 | 1,098,888 | 1 | 1,098,888 | 1,098,888 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/23/08 | 1 | 1,174,721 | 1 | 1,174,721 | 1,174,721 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/25/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/26/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/27/08 | 1 | 1,099,911 | 1 | 1,099,911 | 1,099,911 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/30/08 | 1 | 1,099,707 | 1 | 1,099,707 | 1,099,707 | — |
| Perry Global Fund | PERRY GLB FD DC/P 7/15/08 | 1 | 1,099,918 | 1 | 1,099,918 | 1,099,918 | — |
| Perry Global Fund | PERRY GLB FD DC/P 7/17/08 | 1 | 1,099,916 | 1 | 1,099,916 | 1,099,916 | — |
| Perry Global Fund | PERRY GLOBAL FD DC/P 7/18/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 7/23/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 7/02/08 | 1 | 1,099,904 | 1 | 1,099,904 | 1,099,904 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 7/07/08 | 1 | 1,099,633 | 1 | 1,099,633 | 1,099,633 | — |
| Prudential | PRUDENTIAL PLC DC/P 1/25/08 | 1 | 1,951,119 | 1 | 1,951,119 | 1,951,119 | — |
| Prudential | PRUDENTIAL PLC DC/P 5/13/08 | 1 | 990,725 | 1 | 990,725 | 990,725 | — |
| Rabobank USA | RABOBANK USA FINL CORP DC/P 10/30/07 | 1 | 999,865 | 1 | 999,865 | 999,865 | — |
| Ryder Systems | RYDER SYS DC/P 5/02/08 | 1 | 997,288 | 1 | 997,288 | 997,288 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|----------------------|--------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Ryder Systems | RYDER SYSTEM INC DC/P 7/15/08 | 1 | \$ 1,097,562 | 1 | \$ 1,097,562 | 1,097,562 | — |
| Sabmiller PLC | SABMILLER DC/P 4/10/08 | 1 | 1,099,914 | 1 | 1,099,914 | 1,099,914 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 4/11/08 | 1 | 1,149,909 | 1 | 1,149,909 | 1,149,909 | — |
| Sabmiller PLC | SABMILLER DC/P 7/16/08 | 1 | 1,099,916 | 1 | 1,099,916 | 1,099,916 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/25/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/29/08 | 1 | 1,194,910 | 1 | 1,194,910 | 1,194,910 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/01/08 | 1 | 1,099,905 | 1 | 1,099,905 | 1,099,905 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/03/08 | 1 | 999,922 | 1 | 999,922 | 999,922 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/08/08 | 1 | 999,922 | 1 | 999,922 | 999,922 | — |
| Schering Plough | SCHERING PLGH DC/P 4/21/08 | 1 | 1,091,250 | 1 | 1,091,250 | 1,091,250 | — |
| Sheffield Rec | SHEFFIELD REC DC/P 10/02/07 | 1 | 1,147,988 | 1 | 1,147,988 | 1,147,988 | — |
| Sheffield Rec | SHEFFIELD REC VBLSDC 11/05/07 | 1 | 999,583 | 1 | 999,583 | 999,583 | — |
| Sherwin Williams | SHERWIN WLMS DC/P 6/05/08 | 1 | 1,096,401 | 1 | 1,096,401 | 1,096,401 | — |
| Societe General | SOC GEN NO AMER DC/P 11/26/07 | 1 | 1,090,007 | 1 | 1,090,007 | 1,090,007 | — |
| Societe General | SOCIETE GEN NO AMER DC/P 11/26/07 | 1 | 1,378,210 | 1 | 1,378,210 | 1,378,210 | — |
| Starbucks Corp | STARBUCKS CORP DC/P 8/14/07 | 1 | 1,099,817 | 1 | 1,099,817 | 1,099,817 | — |
| Swedbank | SWEDBANK DC/P 10/24/07 | 1 | 1,980,139 | 1 | 1,980,139 | 1,980,139 | — |
| Swedbank | SWEDBANK DC/P 11/30/07 | 1 | 1,095,567 | 1 | 1,095,567 | 1,095,567 | — |
| Textron Financial | TEXTRON FIN DC/P 2/01/08 | 1 | 1,092,208 | 1 | 1,092,208 | 1,092,208 | — |
| Textron Financial | TEXTRON FIN DC/P 3/25/08 | 1 | 1,099,905 | 1 | 1,099,905 | 1,099,905 | — |
| Textron Financial | TEXTRON FIN DC/P 4/07/08 | 1 | 1,099,725 | 1 | 1,099,725 | 1,099,725 | — |
| Textron Financial | TEXTRON FIN DC/P 4/08/08 | 1 | 1,049,911 | 1 | 1,049,911 | 1,049,911 | — |
| Textron Financial | TEXTRON FIN DC/P 5/21/08 | 1 | 1,146,336 | 1 | 1,146,336 | 1,146,336 | — |
| Textron Financial | TEXTRON FIN DC/P 7/30/08 | 1 | 1,096,966 | 1 | 1,096,966 | 1,096,966 | — |
| Textron Financial | TEXTRON FIN DC/P 6/17/08 | 1 | 1,099,664 | 1 | 1,099,664 | 1,099,664 | — |
| Ticonderoga | TICONDEROGA & TICONDER DC/P 11/13/07 | 1 | 997,211 | 1 | 997,211 | 997,211 | — |
| UBS Financial | UBS FIN DELW DC/P 1/10/08 | 1 | 991,513 | 1 | 991,513 | 991,513 | — |
| UBS Financial | UBS FIN DELW DC/P 5/23/08 | 1 | 1,088,510 | 1 | 1,088,510 | 1,088,510 | — |
| United Healthcare | UNITED HEALTHCARE DC/P 3/10/08 | 1 | 1,096,168 | 1 | 1,096,168 | 1,096,168 | — |
| United Healthcare | UNITED HLTH DC/P 4/08/08 | 1 | 999,915 | 1 | 999,915 | 999,915 | — |
| United Healthcare | UNITED HEALTHCARE CORP DC/P 5/22/08 | 1 | 1,098,738 | 1 | 1,098,738 | 1,098,738 | — |
| United Healthcare | UNITED HEALTHCARE CORP DC/P 6/27/08 | 1 | 1,096,810 | 1 | 1,096,810 | 1,096,810 | — |
| Wellpoint | WELLPOINT INC DC/P 1/09/08 | 1 | 1,097,983 | 1 | 1,097,983 | 1,097,983 | — |
| Wellpoint | WELLPOINT DC/P 5/30/08 | 1 | 1,094,051 | 1 | 1,094,051 | 1,094,051 | — |
| Wellpoint | WELLPOINT INC DC/P 11/07/07 | 1 | 1,097,797 | 1 | 1,097,797 | 1,097,797 | — |
| Wellpoint | WELLPOINT INC DC/P 12/26/07 | 1 | 1,095,371 | 1 | 1,095,371 | 1,095,371 | — |
| Western Union | WESTERN UNION DC/P 1/31/08 | 1 | 1,093,840 | 1 | 1,093,840 | 1,093,840 | — |
| Western Union | WESTERN UNION DC/P 5/08/08 | 1 | 997,417 | 1 | 997,417 | 997,417 | — |
| Western Union | WESTERN UNION DC/P 6/20/08 | 1 | 1,097,348 | 1 | 1,097,348 | 1,097,348 | — |
| Western Union | WESTERN UNION DC/P 7/02/08 | 1 | 1,099,189 | 1 | 1,099,189 | 1,099,189 | — |
| Westpac Banking Corp | WESTPAC BNKG CORP DC/P 2/01/08 | 1 | 1,088,589 | 1 | 1,088,589 | 1,088,589 | — |
| Westpac Banking Corp | WESTPAC BKG CORP DC/P 5/06/08 | 1 | 1,091,464 | 1 | 1,091,464 | 1,091,464 | — |
| Wheels Inc. | WHEELS INC DC/P 6/02/08 | 1 | 1,099,743 | 1 | 1,099,743 | 1,099,743 | — |
| Whirlpool Corp | WHIRLPOOL CORP DC/P 6/10/08 | 1 | 1,098,460 | 1 | 1,098,460 | 1,098,460 | — |
| Whirlpool Corp | WHIRLPOOL CORP DC/P 11/28/07 | 1 | 1,140,350 | 1 | 1,140,350 | 1,140,350 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| <u>Issuer</u> | <u>Description</u> | <u>Number of purchases</u> | <u>Amount purchased</u> | <u>Number of sales</u> | <u>Proceeds</u> | <u>Cost of asset</u> | <u>Net gain</u> |
|---|-------------------------------|--------------------------------|-----------------------------|----------------------------|-----------------|--------------------------|-----------------|
| Registered investment company fund: Highmark | Diversified Money Market Fund | 136 | \$ 9,602,869 | 39 | \$ 8,415,256 | 8,415,256 | — |

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|---|----------------------------------|---------------------|------------------|-----------------|-----------|---------------|----------|
| Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2006: | | | | | | | |
| Commercial papers: | | | | | | | |
| Apreco LLC | APRECO DC/P 9/17/07 | 1 | \$ 1,080,101 | — | \$ — | — | — |
| Athos Funding LTD | ATHOS FDG LTD DC/P 8/27/07 | 1 | 1,094,838 | — | — | — | — |
| BASF Aktiengesellschaft | BASF AKTIENGESELL DC/P 8/17/07 | 1 | 1,084,978 | — | — | — | — |
| BankAmerica | BANK AMERICA DC/P 7/05/07 | 1 | 1,096,437 | — | — | — | — |
| BankAmerica | BANK AMERICA DC/P 7/05/07 | — | — | 1 | 1,096,437 | 1,096,437 | — |
| Bavaria Universal Funding Corp | BAVARIA UNIVL FDG DC/P 8/10/07 | 1 | 1,086,044 | — | — | — | — |
| Beta Financial Incorporated | BETA FIN DC/PO 8/17/07 | 1 | 1,084,993 | — | — | — | — |
| British Telecommunication PLC | BRITISH TEL COMM DC/P 8/13/07 | 1 | 1,085,453 | — | — | — | — |
| Chesham Finance LLC | CHESHAM FIN DC/P 9/20/07 | 1 | 1,079,584 | — | — | — | — |
| Coca-Cola company | COCA-COLA CO DC/P 11/01/07 | 1 | 1,073,301 | — | — | — | — |
| Curzon Funding LLC | CURZON FDG DC/P 11/15/07 | 1 | 1,070,989 | — | — | — | — |
| DaimlerChrysler | DAIMLER CHRYSLER NO DC/P 6/25/07 | 1 | 1,182,939 | — | — | — | — |
| DaimlerChrysler | DAIMLER CHRYSLER NO DC/P 6/25/07 | — | — | 1 | 1,182,939 | 1,182,939 | — |
| Duke Energy Carolinas | DUKE ENERGY CAROL DC/P 8/10/07 | 1 | 1,085,964 | — | — | — | — |
| Eaton LLC & Eaton LTD | EATON FIN DC/P 9/10/07 | 1 | 1,081,215 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.21% 7/09/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/24/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.29% 8/01/07 | 1 | 1,300,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/06/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.22% 7/10/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.21% 7/11/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.20% 7/12/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.20% 7/13/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.29% 7/17/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/18/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/19/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.22% 7/16/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/20/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/25/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/26/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.28% 7/27/07 | 1 | 1,300,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.28% 7/30/07 | 1 | 1,300,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.32% 7/31/07 | 1 | 1,300,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/23/07 | 1 | 1,275,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.21% 7/09/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/12/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/06/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.22% 7/10/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.21% 7/12/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.2% 7/12/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.29% 7/17/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/18/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/19/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.22% 7/16/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/20/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/25/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/26/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.28% 7/27/07 | — | — | 1 | 1,300,000 | 1,300,000 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--|------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Deutsche Bank | DEUTSCHE BANK REPO 5.28% 7/30/07 | — | \$ — | 1 | \$ 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.32% 7/31/07 | — | — | 1 | 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/23/07 | — | — | 1 | 1,275,000 | 1,275,000 | — |
| Fortune Brands | FORTUNE BRANDS DC/P 7/27/07 | 1 | 1,088,156 | — | — | — | — |
| Fortune Brands | FORTUNE BRANDS DC/P 7/27/07 | — | — | 1 | 1,088,156 | 1,088,156 | — |
| Kellogg Company | KELLOGG CO DC/P 8/10/07 | 1 | 1,085,937 | — | — | — | — |
| Marriott International | MARRIOTT INTL DC/P 6/13/07 | 1 | 1,095,259 | — | — | — | — |
| Marriott International | MARRIOTT INTL DC/P 6/13/07 | — | — | 1 | 1,095,259 | 1,095,259 | — |
| Merrill Lynch and Company | MERRILL LYNCH DC/P 12/12/07 | 1 | 1,066,990 | — | — | — | — |
| Mitsubishi International Co. | MITSUBUSHI INTL DC/P 11/08/07 | 1 | 1,071,985 | — | — | — | — |
| Rhineland Funding Capital | Cc RHINELAND FDG CAP DC/P 8/15/07 | 1 | 1,091,663 | — | — | — | — |
| Stanfield Victoria Funding | STANFLD VIC FIN DC/P 11/21/07 | 1 | 1,070,043 | — | — | — | — |
| Starbucks Corporation | STARBUCKS CO DC/P 9/20/07 | 1 | 1,090,717 | — | — | — | — |
| Swedish National Housing | SWEDISH NATL HOUSING DC/P 11/14/07 | 1 | 1,071,119 | — | — | — | — |
| Time Warner Incorporated | TIME WARNER DC/P 8/09/07 | 1 | 1,085,994 | — | — | — | — |
| UBS Finance Delaware | UBS FUN DEL DC/P 10/10/07 | 1 | 978,663 | — | — | — | — |
| Volkswagen of America | VOLKSWAGEN OF AM DC/P 7/25/07 | 1 | 1,088,469 | — | — | — | — |
| Volkswagen of America | VOLKSWAGEN OF AM DC/P 7/25/07 | — | — | 1 | 1,088,469 | 1,088,469 | — |
| Westpac Banking Corporation | WESTPAC BK CO DC/P 11/14/07 | 1 | 1,071,147 | — | — | — | — |
| Registered investment company funds: | | | | | | | |
| Fidelity | Diversified International Fund | — | — | 1 | 1,322,437 | 1,285,981 | 36,456 |
| Highmark | Bond Fund | — | — | 1 | 1,081,487 | 1,060,514 | 20,973 |
| Highmark | Diversified Money Market Fund | 1 | 3,025,487 | — | — | — | — |
| Highmark | Diversified Money Market Fund | 1 | 12,191,430 | — | — | — | — |
| Highmark | Diversified Money Market Fund | 1 | 7,694,190 | — | — | — | — |
| Highmark | Diversified Money Market Fund | — | — | 1 | 22,586,384 | 22,586,384 | — |
| Highmark | Diversified Money Market Fund | — | — | 1 | 1,182,939 | 1,182,939 | — |
| PIMCO | Total Return Fund | — | — | 1 | 1,435,539 | 1,423,826 | 11,713 |
| T. Rowe Price | New Horizons Fund | — | — | 1 | 1,317,381 | 1,202,406 | 114,975 |
| Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2006: | | | | | | | |
| Commercial papers: | | | | | | | |
| BASF Aktiengesllschaf | BASF AKTIENGESLL | 1 | 1,084,978 | 1 | 246,586 | 246,586 | — |
| BankAmerica | BANKAMERICA DC/P 7/05/07 | 1 | 1,096,437 | 1 | 1,096,437 | 1,096,437 | — |
| DaimlerChrysler | DAIMLERCHRYSLER NO DC/P 6/25/07 | 1 | 1,182,939 | 1 | 1,182,939 | 1,182,939 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.21% 7/09/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/24/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/06/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.22% 7/10/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.21% 7/11/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.2% 7/12/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.2% 7/13/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.29% 7/17/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/18/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/19/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.22% 7/16/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/20/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 7/25/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.23% 7/26/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.28% 7/27/07 | 1 | 1,300,000 | 1 | 1,300,000 | 1,300,000 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2007

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--------------------------------------|----------------------------------|------------------------|---------------------|--------------------|--------------|------------------|----------|
| Deutsche Bank | DEUTSCHE BANK REPO 5.28% 7/30/07 | 1 | \$ 1,300,000 | 1 | \$ 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.32% 7/31/07 | 1 | 1,300,000 | 1 | 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.32% 7/23/07 | 1 | 1,275,000 | 1 | 1,275,000 | 1,275,000 | — |
| Fortune Brands | FORTUNE BRANDS DC/P 7/27/07 | 1 | 1,088,156 | 1 | 1,088,156 | 1,088,156 | — |
| Marriott International | MARRIOTT INTL DC/P 6/13/07 | 1 | 1,095,259 | 1 | 1,095,259 | 1,095,259 | — |
| Volkswagen of America | VOLKSWAGEN OF AM DC/P 7/25/07 | 1 | 1,088,469 | 1 | 1,088,469 | 1,088,469 | — |
| Registered investment company funds: | | | | | | | |
| Dodge & Cox | International Stock | 1 | 745,870 | 1 | 805,675 | 745,870 | 59,805 |
| Fidelity | Diversified International Fund | 1 | 153,276 | 3 | 2,232,243 | 2,109,937 | 122,306 |
| John Hancock | Classic Value Fund | 3 | 41,955 | 2 | 1,083,578 | 966,424 | 117,154 |
| Harbor Capital | Appreciation Fund | 1 | 642,488 | 1 | 676,692 | 642,488 | 34,204 |
| Highmark | International Opportunity Fund | 1 | 746,903 | 1 | 822,106 | 746,903 | 75,203 |
| Highmark | Bond Fund | 9 | 37,033 | 2 | 1,156,487 | 1,135,082 | 21,405 |
| Highmark | Diversified Money Market Fund | 148 | 25,784,935 | 25 | 26,258,906 | 26,258,906 | — |
| PIMCO | Total Return Fund | 13 | 62,487 | 2 | 1,441,268 | 1,429,525 | 11,743 |
| T. Rowe Price | New Horizons Fund | 2 | 598,058 | 1 | 1,317,381 | 1,202,406 | 114,975 |

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2006

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--|--|--------------------------------|-----------------------------|----------------------------|-----------------|--------------------------|-----------------|
| Category (i) – single transactions in excess of 5% of plan assets as of August 1, 2005: | | | | | | | |
| HighMark | HighMark Diversified Money Market Fund | 1 | \$ 893,330 | — | \$ — | — | — |
| Union Bank of California | Stable Value Fund | — | — | 1 | 893,330 | 893,330 | — |
| Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2005: | | | | | | | |
| Union Bank of California | Stable Value Fund | 12 | 18,731 | 2 | 1,093,330 | 1,093,330 | — |
| HighMark | HighMark Diversified Money Market Fund | 126 | 3,570,534 | 29 | 3,265,271 | 3,265,271 | — |

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2005

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--|--|--------------------------------|-----------------------------|----------------------------|-----------------|--------------------------|-----------------|
| Category (i) – single transactions in excess of 5% of plan assets as of August 1, 2004: | | | | | | | |
| HighMark | HighMark Diversified Money Market Fund | 1 | \$ 1,863,000 | — | \$ — | — | — |
| HighMark | HighMark Diversified Money Market Fund | — | — | 1 | 1,562,309 | 1,562,309 | — |
| Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2004: | | | | | | | |
| HighMark | HighMark Diversified Money Market Fund | 133 | 3,745,340 | 29 | 4,170,082 | 4,170,082 | — |
| Pimco | Pimco Total Return Fund | 3 | 693,700 | 1 | 135,000 | 133,861 | 1,139 |
| Torray | Torray Institutional Fund | 6 | 96,672 | 3 | 608,000 | 581,952 | 26,048 |

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

Schedule I

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

EIN / PN: 20-3097239 / 002

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

July 31, 2008

| Identity of issuer, borrower, or similar party | Description of investment | Number of shares or units | Cost | Market value |
|---|------------------------------------|--------------------------------------|----------------------|---------------------|
| HighMark Diversified Money Market Fund* | Registered investment company fund | 1,216,446 | \$ 1,216,447 | 1,216,447 |
| Aetna Inc | Commercial Paper 8/1/08 | 1,100,000 | 1,097,983 | 1,100,000 |
| Bank of America | Bankers Acceptances 10/08/08 | 1,100,000 | 1,093,846 | 1,094,137 |
| Barclays US Funding LLC | Commercial Paper 8/18/08 | 1,000,000 | 997,851 | 998,900 |
| Chevron Phillips chemical | Commercial Paper 8/7/08 | 1,100,000 | 1,097,300 | 1,099,593 |
| Diageo capital PLC | Commercial Paper 8/6/08 | 1,100,000 | 1,094,368 | 1,099,659 |
| Dominion Resources Inc | Commercial Paper 8/28/08 | 1,100,000 | 1,096,255 | 1,097,976 |
| Dow Chemical | Commercial Paper 10/6/08 | 1,100,000 | 1,091,475 | 1,094,192 |
| Fortune Brands | Commercial Paper 9/3/08 | 1,100,000 | 1,096,987 | 1,097,404 |
| General Electric Capital Services | Commercial Paper 10/22/08 | 1,100,000 | 1,093,125 | 1,092,784 |
| Giro Balanced Funding Corporation | Commercial Paper 8/1/08 | 1,100,000 | 1,099,919 | 1,100,000 |
| JP Morgan Chase and Company | Commercial Paper 10/16/08 | 1,100,000 | 1,092,850 | 1,093,312 |
| Kellogg Company | Commercial Paper 8/14/08 | 1,100,000 | 1,094,538 | 1,099,054 |
| Mitsui & Co. | Commercial Paper 8/4/08 | 1,000,000 | 997,644 | 999,810 |
| Natexis Banques Poplr | Commercial Paper 8/4/08 | 1,000,000 | 995,692 | 999,810 |
| Prudential PLC | Commercial Paper 8/8/08 | 1,000,000 | 993,717 | 999,570 |
| Ryder Systems Inc. | Commercial Paper 8/7/08 | 1,100,000 | 1,099,291 | 1,099,593 |
| Staples Inc | Commercial Paper 8/5/08 | 1,100,000 | 1,097,484 | 1,099,725 |
| Tesco PLC | Commercial Paper 8/1/08 | 1,195,000 | 1,194,900 | 1,195,000 |
| UBS Finance Delaware | Commercial Paper 8/5/08 | 1,100,000 | 1,095,883 | 1,099,725 |
| Virginia Electric Power | Commercial Paper 9/4/08 | 1,100,000 | 1,096,755 | 1,097,316 |
| Westpac Banking Corporation | Commercial Paper 9/18/08 | 1,100,000 | 1,094,280 | 1,096,040 |
| | | | <u>\$ 23,928,590</u> | <u>23,970,047</u> |

* Represents a party-in-interest.

See accompanying independent auditors' report.

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

EIN / PN: 20-3097239 / 002

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--|--|---------------------|------------------|-----------------|-----------|---------------|----------|
| Category (i) – individual transactions in excess of 5% of plan assets as of August 1, 2007: | | | | | | | |
| Commercial papers: | | | | | | | |
| Daimlerchrysler | DAIMLERCHRYSLER REV AUTO DC 8/21/07 | 1 | \$ 1,998,611 | — | \$ — | — | — |
| Daimlerchrysler | DAIMLERCHRYSLER REV AUTO DC 8/21/07 | — | — | 1 | 1,998,611 | 1,998,611 | — |
| Deutsche Bank | DEUTSCHE BK REPO 5.26% 8/02/07 | 1 | 1,300,000 | — | — | — | — |
| Deutsche Bank | DEUTSCHE BK REPO 5.26% 8/02/07 | — | — | 1 | 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BK REPO 5.29% 8/01/07 | — | — | 1 | 1,300,000 | 1,300,000 | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/22/07 | 1 | 1,999,659 | — | — | — | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/23/07 | 1 | 1,999,661 | — | — | — | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/22/07 | — | — | 1 | 1,999,659 | 1,999,659 | — |
| Legacy Capital | LEGACY CPTL CO DC/P 8/23/07 | — | — | 1 | 1,999,661 | 1,999,661 | — |
| Morgan Stanley | MORGAN STANLEY DC/P 2/07/08 | 1 | 1,949,880 | — | — | — | — |
| Morgan Stanley | MORGAN STANLEY DC/P 2/07/08 | — | — | 1 | 1,949,880 | 1,949,880 | — |
| Prudential | PRUDENTIAL PLC DC/P 1/25/08 | 1 | 1,951,119 | — | — | — | — |
| Prudential | PRUDENTIAL PLC DC/P 1/25/08 | — | — | 1 | 1,951,119 | 1,951,119 | — |
| Societe Gen | SOCIETE GEN NO AMERICA DC/P 10/24/07 | 1 | 1,378,210 | — | — | — | — |
| Societe Gen | SOCIETE GEN NO AMERICA DC/P 10/24/07 | — | — | 1 | 1,378,210 | 1,378,210 | — |
| Swedbank | SWEDBANK DC/P 10/24/07 | 1 | 1,980,139 | — | — | — | — |
| Swedbank | SWEDBANK DC/P 10/24/07 | — | — | 1 | 1,980,139 | 1,980,139 | — |
| Category (iii) – series of transactions in excess of 5% of plan assets as of August 1, 2007: | | | | | | | |
| Commercial papers: | | | | | | | |
| Aetna Inc | AETNA INC DC/P 6/25/08 | 1 | 995,821 | 1 | 995,821 | 995,821 | — |
| Aetna Inc | AETNA INC DC/P 10/30/07 | 1 | 1,090,833 | 1 | 1,090,833 | 1,090,833 | — |
| Aetna Inc | AETNA INC DC/P 12/10/07 | 1 | 1,095,140 | 1 | 1,095,140 | 1,095,140 | — |
| Alcoa Inc | ALCOA INC DC/P 3/20/08 | 1 | 1,091,396 | 1 | 1,091,396 | 1,091,396 | — |
| Aluminum Co | ALUMINUM CO DC/P 5/30/08 | 1 | 1,094,408 | 1 | 1,094,408 | 1,094,408 | — |
| Alcoa Inc | ALCOA DC/P 7/21/08 | 1 | 1,096,355 | 1 | 1,096,355 | 1,096,355 | — |
| Alcoa Inc | ALCOA DC/P 12/28/07 | 1 | 1,095,169 | 1 | 1,095,169 | 1,095,169 | — |
| Archer Daniels Midland | ARCHER DANIELS MIDLAND DC/P 4/08/08 | 1 | 989,790 | 1 | 989,790 | 989,790 | — |
| Astrazeneca | ASTRAZENECA PLC DC/P 4/28/08 | 1 | 1,114,694 | 1 | 1,114,694 | 1,114,694 | — |
| Avery Dennison | AVERY DENNISON CORP DC/P 7/31/08 | 1 | 1,194,907 | 1 | 1,194,907 | 1,194,907 | — |
| Bank of Ireland | GOVERNOR & CO OF BANK OF IRELAND 7/01/08 | 1 | 995,340 | 1 | 995,340 | 995,340 | — |
| Bank of Scotland | BANK OF STLD TRSY DC/P 7/01/08 | 1 | 1,085,838 | 1 | 1,085,838 | 1,085,838 | — |
| Bank of Scotland | BANK OF STLD DC/P 6/10/08 | 1 | 1,092,073 | 1 | 1,092,073 | 1,092,073 | — |
| Bank of Scotland | BANK OF SCOTLAND DC/P 12/05/07 | 1 | 996,125 | 1 | 996,125 | 996,125 | — |
| Bank America | BK AMERICA DC/P 7/24/08 | 1 | 1,141,329 | 1 | 1,141,329 | 1,141,329 | — |
| Barclays | BARCLAYS US FDG DC/P 2/07/08 | 1 | 1,090,196 | 1 | 1,090,196 | 1,090,196 | — |
| Barclays | BARCLAYS US FDG DC/P 7/16/08 | 1 | 994,458 | 1 | 994,458 | 994,458 | — |
| Barclays | BARCLAYS US FDG DC/P 10/29/07 | 1 | 990,445 | 1 | 990,445 | 990,445 | — |
| Britannia Building Society | BRITANNIA BLDNG SOC DC/P 10/24/07 | 1 | 1,086,823 | 1 | 1,086,823 | 1,086,823 | — |
| BNSF | BURLINGTON NRTHN SANTA FE 7/02/08 | 1 | 1,099,914 | 1 | 1,099,914 | 1,099,914 | — |
| BNSF | BURL NRTHN SNTA FE DC/P 7/03/08 | 1 | 999,922 | 1 | 999,922 | 999,922 | — |
| CVS Corporation | CVS CORP DC/P 12/06/07 | 1 | 1,095,658 | 1 | 1,095,658 | 1,095,658 | — |
| Cardinal Health Inc | CARDINAL HEALTH INC DC/P 2/15/08 | 1 | 1,096,101 | 1 | 1,096,101 | 1,096,101 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/11/08 | 1 | 1,099,629 | 1 | 1,099,629 | 1,099,629 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/13/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/14/08 | 1 | 1,099,875 | 1 | 1,099,875 | 1,099,875 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/15/08 | 1 | 1,099,875 | 1 | 1,099,875 | 1,099,875 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/21/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/22/08 | 1 | 1,099,875 | 1 | 1,099,875 | 1,099,875 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

Schedule II

EIN / PN: 20-3097239 / 002

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|-----------------------|--------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/25/08 | 1 | \$ 1,099,624 | 1 | \$ 1,099,624 | 1,099,624 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/26/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/27/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/05/08 | 1 | 1,099,876 | 1 | 1,099,876 | 1,099,876 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/06/08 | 1 | 1,099,870 | 1 | 1,099,870 | 1,099,870 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/07/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL DC/P 2/08/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/14/07 | 1 | 1,099,841 | 1 | 1,099,841 | 1,099,841 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/15/07 | 1 | 1,099,840 | 1 | 1,099,840 | 1,099,840 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/16/07 | 1 | 1,099,837 | 1 | 1,099,837 | 1,099,837 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/19/07 | 1 | 1,149,492 | 1 | 1,149,492 | 1,149,492 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/20/07 | 1 | 1,149,834 | 1 | 1,149,834 | 1,149,834 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/06/07 | 1 | 1,099,844 | 1 | 1,099,844 | 1,099,844 | — |
| Cedar Springs Capital | CEDAR SPRG CPTL CO DC/P 11/07/07 | 1 | 1,099,844 | 1 | 1,099,844 | 1,099,844 | — |
| Chesham Financial | CHESHAM FIN DC/P 3/24/08 | 1 | 1,099,591 | 1 | 1,099,591 | 1,099,591 | — |
| Chesham Financial | CHESHAM FIN LLC DC/P 6/03/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Chesham Financial | CHESHAM FIN LLC DC/P 6/09/08 | 1 | 1,099,748 | 1 | 1,099,748 | 1,099,748 | — |
| Chevron Phillips | CHEVRON PHIL CHML DC/P 1/16/08 | 1 | 993,793 | 1 | 993,793 | 993,793 | — |
| Chevron Phillips | CHVRN PHILPS DC/P 4/01/08 | 1 | 1,099,896 | 1 | 1,099,896 | 1,099,896 | — |
| Chevron Phillips | CHEVRON PHILLIPS CHEML DC/P 6/30/08 | 1 | 1,099,743 | 1 | 1,099,743 | 1,099,743 | — |
| Citibank | CITIBANK NA C/D 5.15% 2/29/08 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Clorox Company | CLOROX CO DC/P 6/27/08 | 1 | 1,096,891 | 1 | 1,096,891 | 1,096,891 | — |
| Clorox Company | CLOROX COMPANY DC/P 7/31/08 | 1 | 1,096,956 | 1 | 1,096,956 | 1,096,956 | — |
| Computer Sciences | COMPUTER SCIENCES DC/P 2/21/08 | 1 | 1,093,956 | 1 | 1,093,956 | 1,093,956 | — |
| Computer Sciences | COMPUTER SCIENCES CORP DC/P 3/28/08 | 1 | 1,096,654 | 1 | 1,096,654 | 1,096,654 | — |
| Computer Sciences | COMPUTER SCIENCES DC/P 10/09/07 | 1 | 997,124 | 1 | 997,124 | 997,124 | — |
| Computer Sciences | COMPUTER SCIENCES CORP DC/P 11/27/07 | 1 | 995,089 | 1 | 995,089 | 995,089 | — |
| Concord Capital | CONCORD CPTL DC/P 4/10/08 | 1 | 1,008,926 | 1 | 1,008,926 | 1,008,926 | — |
| Concord Capital | CONCORD CPTL DC/P 4/09/08 | 1 | 1,049,920 | 1 | 1,049,920 | 1,049,920 | — |
| Daimler Chrysler | DAIMLERCHRYSLER REV AUTO DC 8/21/07 | 1 | 1,998,611 | 1 | 1,998,611 | 1,998,611 | — |
| Depfa Bank | DEPFA BANK DC/O 1/22/08 | 1 | 1,089,775 | 1 | 1,089,775 | 1,089,775 | — |
| Depfa Bank | DEPFA BANK PLC DC/P 3/10/08 | 1 | 1,096,988 | 1 | 1,096,988 | 1,096,988 | — |
| Devon Energy | DEVON ENERGY DC/P 2/05/08 | 1 | 1,099,885 | 1 | 1,099,885 | 1,099,885 | — |
| Devon Energy | DEVON ENER DC/P 4/07/08 | 1 | 849,788 | 1 | 849,788 | 849,788 | — |
| Devon Energy | DEVON ENERGY CORP DC/P 6/16/08 | 1 | 1,096,456 | 1 | 1,096,456 | 1,096,456 | — |
| Daigeo Capital | DAIGEO CPTL DC/P 5/08/08 | 1 | 1,093,184 | 1 | 1,093,184 | 1,093,184 | — |
| Daigeo Capital | DIAGED CAPITAL PLC DC/P 12/07/08 | 1 | 1,090,463 | 1 | 1,090,463 | 1,090,463 | — |
| Dominion Resources | DOMINION RESOURCES DC/P 7/16/08 | 1 | 1,096,407 | 1 | 1,096,407 | 1,096,407 | — |
| Dow Chemical | DOW CHEM CO DC/P 4/10/08 | 1 | 1,091,872 | 1 | 1,091,872 | 1,091,872 | — |
| Dow Chemical | DOW CHEMICAL CO DC/P 7/08/08 | 1 | 1,141,471 | 1 | 1,141,471 | 1,141,471 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.25% 8/07/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.89% 10/31/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.62% 11/02/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.26% 8/02/07 | 1 | 1,300,000 | 1 | 1,300,000 | 1,300,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.26% 8/03/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.82% 11/01/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.25% 8/06/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.27% 8/08/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.45% 8/10/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.27% 8/09/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 5.5% 8/13/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

EIN / PN: 20-3097239 / 002

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|--------------------|------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Deutsche Bank | DEUTSCHE BANK REPO 5.24% 8/14/07 | 1 | \$ 1,000,000 | 1 | \$ 1,000,000 | 1,000,000 | — |
| Deutsche Bank | DEUTSCHE BANK REPO 4.6% 11/05/07 | 1 | 1,000,000 | 1 | 1,000,000 | 1,000,000 | — |
| Fortis Banque | FORTIS BANQ DC/P 7/14/08 | 1 | 1,093,177 | 1 | 1,093,177 | 1,093,177 | — |
| Fortune Brands | FORTUNE BRAND INC DC/P 6/04/08 | 1 | 1,072,826 | 1 | 1,072,826 | 1,072,826 | — |
| Fortune Brands | FORTUNE BRANDS INC DC/P 12/06/07 | 1 | 991,608 | 1 | 991,608 | 991,608 | — |
| GE Capital | GE CPTL DC/P 7/09/08 | 1 | 1,142,870 | 1 | 1,142,870 | 1,142,870 | — |
| GE Corporation | GE CO DC/P 3/27/08 | 1 | 1,095,059 | 1 | 1,095,059 | 1,095,059 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 5/07/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 5/09/08 | 1 | 999,925 | 1 | 999,925 | 999,925 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 6/11/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 6/26/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/22/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/24/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/07/08 | 1 | 999,700 | 1 | 999,700 | 999,700 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 7/09/08 | 1 | 1,049,921 | 1 | 1,049,921 | 1,049,921 | — |
| Giro Balanced Fund | GIRO BALANCED FDG DC/PO 8/20/07 | 1 | 1,096,109 | 1 | 1,096,109 | 1,096,109 | — |
| Goldman Sachs | GOLDMAN SACHS GRP INC DC/P 1/29/08 | 1 | 1,088,900 | 1 | 1,088,900 | 1,088,900 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 2/12/08 | 1 | 1,099,884 | 1 | 1,099,884 | 1,099,884 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 2/19/08 | 1 | 1,099,529 | 1 | 1,099,529 | 1,099,529 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/20/08 | 1 | 1,099,884 | 1 | 1,099,884 | 1,099,884 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/22/08 | 1 | 1,099,885 | 1 | 1,099,885 | 1,099,885 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/25/08 | 1 | 1,099,661 | 1 | 1,099,661 | 1,099,661 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 2/01/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 2/04/08 | 1 | 1,099,643 | 1 | 1,099,643 | 1,099,643 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/11/08 | 1 | 1,099,878 | 1 | 1,099,878 | 1,099,878 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/12/08 | 1 | 1,099,887 | 1 | 1,099,887 | 1,099,887 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/28/08 | 1 | 1,099,895 | 1 | 1,099,895 | 1,099,895 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 3/31/08 | 1 | 1,099,693 | 1 | 1,099,693 | 1,099,693 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 3/03/08 | 1 | 1,099,652 | 1 | 1,099,652 | 1,099,652 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 4/01/08 | 1 | 1,099,893 | 1 | 1,099,893 | 1,099,893 | — |
| Greyhawk Funding | GREYHAWK FDG DC/P 4/03/08 | 1 | 1,149,896 | 1 | 1,149,896 | 1,149,896 | — |
| Greyhawk Funding | GREYHAWK FDG LLC DC/P 6/05/08 | 1 | 999,928 | 1 | 999,928 | 999,928 | — |
| Greyhawk Funding | GREYHAWK FNDG LLC DC/P 11/16/07 | 1 | 1,099,835 | 1 | 1,099,835 | 1,099,835 | — |
| Greyhawk Funding | GREYHAWK FUNDING LLC DC/P 11/29/07 | 1 | 1,149,835 | 1 | 1,149,835 | 1,149,835 | — |
| HJ Heinz | HEINZ HJ DC/P 4/30/08 | 1 | 1,093,148 | 1 | 1,093,148 | 1,093,148 | — |
| HJ Heinz | HEINZ H J FIN CO DC/P 6/27/08 | 1 | 1,094,861 | 1 | 1,094,861 | 1,094,861 | — |
| HJ Heinz | HEINZ H J FIN CO DC/P 7/30/08 | 1 | 1,097,126 | 1 | 1,097,126 | 1,097,126 | — |
| HJ Heinz | HEINZ H J FIN CO DC/P 10/04/07 | 1 | 1,095,527 | 1 | 1,095,527 | 1,095,527 | — |
| ING America | ING AMERICA INS 4/08/08 | 1 | 1,093,923 | 1 | 1,093,923 | 1,093,923 | — |
| ITT Corp | ITT CORP DC/P 1/24/08 | 1 | 1,092,506 | 1 | 1,092,506 | 1,092,506 | — |
| ITT Corp | ITT CORP DC/P 4/22/08 | 1 | 996,242 | 1 | 996,242 | 996,242 | — |
| ITT Corp | ITT CORP DC/P 6/24/08 | 1 | 1,093,936 | 1 | 1,093,936 | 1,093,936 | — |
| ITT Corp | ITT CORP DC/P 7/24/08 | 1 | 1,097,962 | 1 | 1,097,962 | 1,097,962 | — |
| ITT Corp | ITT CORP DC/P 11/05/07 | 1 | 995,244 | 1 | 995,244 | 995,244 | — |
| Irish Life & Perm. | IRISH LIFE & PERM DC/P 5/01/08 | 1 | 988,589 | 1 | 988,589 | 988,589 | — |
| Jupiter Seczn | JUBITER SECZTN DC/P 1/28/06 | 1 | 1,098,101 | 1 | 1,098,101 | 1,098,101 | — |
| Kellogg Company | KELLOGG COMPANY DC/P 1/28/08 | 1 | 1,138,813 | 1 | 1,138,813 | 1,138,813 | — |
| Kellogg Company | KELLOGG CO DC/P 5/05/08 | 1 | 1,093,748 | 1 | 1,093,748 | 1,093,748 | — |
| Kellogg Company | KELLOGG CO DC/P 9/10/07 | 1 | 1,094,459 | 1 | 1,094,459 | 1,094,459 | — |
| Kellogg Company | KELLOGG CO DC/P 11/19/07 | 1 | 1,089,220 | 1 | 1,089,220 | 1,089,220 | — |
| Legacy Capital | LEGACY CPTL DC/P 4/14/08 | 1 | 1,149,727 | 1 | 1,149,727 | 1,149,727 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

EIN / PN: 20-3097239 / 002

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|------------------------|--------------------------------------|---------------------|------------------|-----------------|--------------|---------------|----------|
| Legacy Capital | LEGACY CPTL DC/P 6/12/08 | 1 | \$ 1,099,921 | 1 | \$ 1,099,921 | 1,099,921 | — |
| Legacy Capital | LEGACY CAPITAL LLC DC/P 6/13/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/17/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 6/02/08 | 1 | 1,099,734 | 1 | 1,099,734 | 1,099,734 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/03/08 | 1 | 1,099,918 | 1 | 1,099,918 | 1,099,918 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/04/08 | 1 | 1,099,918 | 1 | 1,099,918 | 1,099,918 | — |
| Legacy Capital | LEGACY CAP CO DC/P 6/06/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Legacy Capital | LEGACY CAP CO DC/P 7/01/08 | 1 | 1,099,904 | 1 | 1,099,904 | 1,099,904 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 7/03/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 8/22/07 | 1 | 1,999,659 | 1 | 1,999,659 | 1,999,659 | — |
| Legacy Capital | LEGACY CAPTL CO DC/P 8/23/07 | 1 | 1,999,661 | 1 | 1,999,661 | 1,999,661 | — |
| Lexington Capital | LEXINGTON PKR DC/P 3/31/08 | 1 | 1,099,716 | 1 | 1,099,716 | 1,099,716 | — |
| Lexington Capital | LEXINGTON CPTL DC/P 4/10/08 | 1 | 1,099,924 | 1 | 1,099,924 | 1,099,924 | — |
| Lexington Capital | LEXINGTON PKR DC/P 4/02/08 | 1 | 1,149,888 | 1 | 1,149,888 | 1,149,888 | — |
| Lexington Capital | LEXINGTON SPTL DC/P 4/09/08 | 1 | 999,917 | 1 | 999,917 | 999,917 | — |
| Lincoln National Corp | LINCOLN NATL CORP DC/P 4/21/08 | 1 | 1,090,454 | 1 | 1,090,454 | 1,090,454 | — |
| Lloyds Bank | LLOYDS BK DC/P 4/04/08 | 1 | 849,946 | 1 | 849,946 | 849,946 | — |
| Marriott International | MARRIOTT INTL DC/P 4/07/08 | 1 | 1,194,731 | 1 | 1,194,731 | 1,194,731 | — |
| Merrill Lynch & Co. | MERRILL LYNCH & CO DC/P 4/07/08 | 1 | 1,087,404 | 1 | 1,087,404 | 1,087,404 | — |
| Mitsui | MITSUMI CO DC/P 4/07/08 | 1 | 1,097,430 | 1 | 1,097,430 | 1,097,430 | — |
| Morgan Stanley | MORGAN STANLEY DC/P 5/20/08 | 1 | 1,949,880 | 1 | 1,949,880 | 1,949,880 | — |
| Morrigan FDG | MORRIGAN TR FDG DC/P 2/04/08 | 1 | 1,099,633 | 1 | 1,099,633 | 1,099,633 | — |
| Morrigan FDG | MORRIGAN FDG DC/P 4/11/08 | 1 | 1,149,907 | 1 | 1,149,907 | 1,149,907 | — |
| Morrigan FDG | MORRIGAN FDG DC/P 4/03/08 | 1 | 799,929 | 1 | 799,929 | 799,929 | — |
| Morrigan FDG | MORRIGAN FDG DC/P 4/04/08 | 1 | 1,099,905 | 1 | 1,099,905 | 1,099,905 | — |
| Morrigan FDG | MORRIGAN TRR FDG DC/P 12/07/07 | 1 | 1,099,840 | 1 | 1,099,840 | 1,099,840 | — |
| Natexis Banques | NATEXIS BANQUES US DC/P 1/18/08 | 1 | 1,140,812 | 1 | 1,140,812 | 1,140,812 | — |
| National Grid | NATL GRID USA DC/P 3/31/08 | 1 | 1,095,188 | 1 | 1,095,188 | 1,095,188 | — |
| Nationwide Building | NATIONWIDE BLDG DSOC DC/P 1/07/08 | 1 | 1,094,980 | 1 | 1,094,980 | 1,094,980 | — |
| Nationwide Building | NATIONWIDE BLDG DSOC DC/P 4/28/08 | 1 | 1,091,956 | 1 | 1,091,956 | 1,091,956 | — |
| Old Slip FDG | OLD SLIP FDG DC/P 4/09/08 | 1 | 999,919 | 1 | 999,919 | 999,919 | — |
| Old Slip FDG | OLD SLIP FDG CORP DC/P 12/19/07 | 1 | 1,095,508 | 1 | 1,095,508 | 1,095,508 | — |
| Pacific Gas & Electric | PACIFIC GAS & ELEC DC/P 1/17/08 | 1 | 1,094,993 | 1 | 1,094,993 | 1,094,993 | — |
| Pacific Gas & Electric | PACIFIC GAS ELECTRIC DC/P 7/16/08 | 1 | 999,921 | 1 | 999,921 | 999,921 | — |
| Pacific Gas & Electric | PG AND E DC/P 7/18/08 | 1 | 1,099,832 | 1 | 1,099,832 | 1,099,832 | — |
| Pacific Gas & Electric | PACIFIC GAS ELECTRIC DC/P 7/31/08 | 1 | 1,098,888 | 1 | 1,098,888 | 1,098,888 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/23/08 | 1 | 1,174,721 | 1 | 1,174,721 | 1,174,721 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/25/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/26/08 | 1 | 1,099,913 | 1 | 1,099,913 | 1,099,913 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/27/08 | 1 | 1,099,911 | 1 | 1,099,911 | 1,099,911 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 6/30/08 | 1 | 1,099,707 | 1 | 1,099,707 | 1,099,707 | — |
| Perry Global Fund | PERRY GLB FD DC/P 7/15/08 | 1 | 1,099,918 | 1 | 1,099,918 | 1,099,918 | — |
| Perry Global Fund | PERRY GLB FD DC/P 7/17/08 | 1 | 1,099,916 | 1 | 1,099,916 | 1,099,916 | — |
| Perry Global Fund | PERRY GLOBAL FD DC/P 7/18/08 | 1 | 1,099,919 | 1 | 1,099,919 | 1,099,919 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 7/23/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 7/02/08 | 1 | 1,099,904 | 1 | 1,099,904 | 1,099,904 | — |
| Perry Global Fund | PERRY GLB FD LTD LLC DC/P 7/07/08 | 1 | 1,099,633 | 1 | 1,099,633 | 1,099,633 | — |
| Prudential | PRUDENTIAL PLC DC/P 1/25/08 | 1 | 1,951,119 | 1 | 1,951,119 | 1,951,119 | — |
| Prudential | PRUDENTIAL PLC DC/P 5/13/08 | 1 | 990,725 | 1 | 990,725 | 990,725 | — |
| Rabobank USA | RABOBANK USA FINL CORP DC/P 10/30/07 | 1 | 999,865 | 1 | 999,865 | 999,865 | — |
| Ryder Systems | RYDER SYS DC/P 5/02/08 | 1 | 997,288 | 1 | 997,288 | 997,288 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**

EIN / PN: 20-3097239 / 002

Schedule H, Line 4j – Schedule of Reportable Transactions

Year ended July 31, 2008

| Issuer | Description | Number of purchases | Amount purchased | Number of sales | Proceeds | Cost of asset | Net gain |
|----------------------|--------------------------------------|------------------------|---------------------|--------------------|--------------|------------------|----------|
| Ryder Systems | RYDER SYSTEM INC DC/P 7/15/08 | 1 | \$ 1,097,562 | 1 | \$ 1,097,562 | 1,097,562 | — |
| Sabmiller PLC | SABMILLER DC/P 4/10/08 | 1 | 1,099,914 | 1 | 1,099,914 | 1,099,914 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 4/11/08 | 1 | 1,149,909 | 1 | 1,149,909 | 1,149,909 | — |
| Sabmiller PLC | SABMILLER DC/P 7/16/08 | 1 | 1,099,916 | 1 | 1,099,916 | 1,099,916 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/25/08 | 1 | 1,099,921 | 1 | 1,099,921 | 1,099,921 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/29/08 | 1 | 1,194,910 | 1 | 1,194,910 | 1,194,910 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/01/08 | 1 | 1,099,905 | 1 | 1,099,905 | 1,099,905 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/03/08 | 1 | 999,922 | 1 | 999,922 | 999,922 | — |
| Sabmiller PLC | SABMILLER PLC DC/P 7/08/08 | 1 | 999,922 | 1 | 999,922 | 999,922 | — |
| Schering Plough | SCHERING PLGH DC/P 4/21/08 | 1 | 1,091,250 | 1 | 1,091,250 | 1,091,250 | — |
| Sheffield Rec | SHEFFIELD REC DC/P 10/02/07 | 1 | 1,147,988 | 1 | 1,147,988 | 1,147,988 | — |
| Sheffield Rec | SHEFFIELD RECVBLSDC 11/05/07 | 1 | 999,583 | 1 | 999,583 | 999,583 | — |
| Sherwin Williams | SHERWIN WLMS DC/P 6/05/08 | 1 | 1,096,401 | 1 | 1,096,401 | 1,096,401 | — |
| Societe General | SOC GEN NO AMER DC/P 11/26/07 | 1 | 1,090,007 | 1 | 1,090,007 | 1,090,007 | — |
| Societe General | SOCIETE GEN NO AMER DC/P 11/26/07 | 1 | 1,378,210 | 1 | 1,378,210 | 1,378,210 | — |
| Starbucks Corp | STARBUCKS CORP DC/P 8/14/07 | 1 | 1,099,817 | 1 | 1,099,817 | 1,099,817 | — |
| Swedbank | SWEDBANK DC/P 10/24/07 | 1 | 1,980,139 | 1 | 1,980,139 | 1,980,139 | — |
| Swedbank | SWEDBANK DC/P 11/30/07 | 1 | 1,095,567 | 1 | 1,095,567 | 1,095,567 | — |
| Textron Financial | TEXTRON FIN DC/P 2/01/08 | 1 | 1,092,208 | 1 | 1,092,208 | 1,092,208 | — |
| Textron Financial | TEXTRON FIN DC/P 3/25/08 | 1 | 1,099,905 | 1 | 1,099,905 | 1,099,905 | — |
| Textron Financial | TEXTRON FIN DC/P 4/07/08 | 1 | 1,099,725 | 1 | 1,099,725 | 1,099,725 | — |
| Textron Financial | TEXTRON FIN DC/P 4/08/08 | 1 | 1,049,911 | 1 | 1,049,911 | 1,049,911 | — |
| Textron Financial | TEXTRON FIN DC/P 5/21/08 | 1 | 1,146,336 | 1 | 1,146,336 | 1,146,336 | — |
| Textron Financial | TEXTRON FIN DC/P 7/30/08 | 1 | 1,096,966 | 1 | 1,096,966 | 1,096,966 | — |
| Textron Financial | TEXTRON FIN DC/P 6/17/08 | 1 | 1,099,664 | 1 | 1,099,664 | 1,099,664 | — |
| Ticonderoga | TICONDEROGA & TICONDER DC/P 11/13/07 | 1 | 997,211 | 1 | 997,211 | 997,211 | — |
| UBS Financial | UBS FIN DELW DC/P 1/10/08 | 1 | 991,513 | 1 | 991,513 | 991,513 | — |
| UBS Financial | UBS FIN DELW DC/P 5/23/08 | 1 | 1,088,510 | 1 | 1,088,510 | 1,088,510 | — |
| United Healthcare | UNITED HEALTHCARE DC/P 3/10/08 | 1 | 1,096,168 | 1 | 1,096,168 | 1,096,168 | — |
| United Healthcare | UNITED HLTH DC/P 4/08/08 | 1 | 999,915 | 1 | 999,915 | 999,915 | — |
| United Healthcare | UNITED HEALTHCARECORP DC/P 5/22/08 | 1 | 1,098,738 | 1 | 1,098,738 | 1,098,738 | — |
| United Healthcare | UNITED HEALTHCARE CORP DC/P 6/27/08 | 1 | 1,096,810 | 1 | 1,096,810 | 1,096,810 | — |
| Wellpoint | WELLPOINT INC DC/P 1/09/08 | 1 | 1,097,983 | 1 | 1,097,983 | 1,097,983 | — |
| Wellpoint | WELLPOINT DC/P 5/30/08 | 1 | 1,094,051 | 1 | 1,094,051 | 1,094,051 | — |
| Wellpoint | WELLPOINT INC DC/P 11/07/07 | 1 | 1,097,797 | 1 | 1,097,797 | 1,097,797 | — |
| Wellpoint | WELLPOINT INC DC/P 12/26/07 | 1 | 1,095,371 | 1 | 1,095,371 | 1,095,371 | — |
| Western Union | WESTERN UNION DC/P 1/31/08 | 1 | 1,093,840 | 1 | 1,093,840 | 1,093,840 | — |
| Western Union | WESTERN UNION DC/P 5/08/08 | 1 | 997,417 | 1 | 997,417 | 997,417 | — |
| Western Union | WESTERN UNION DC/P 6/20/08 | 1 | 1,097,348 | 1 | 1,097,348 | 1,097,348 | — |
| Western Union | WESTERN UNION DC/P 7/02/08 | 1 | 1,099,189 | 1 | 1,099,189 | 1,099,189 | — |
| Westpac Banking Corp | WESTPAC BNKG CORP DC/P 2/01/08 | 1 | 1,088,589 | 1 | 1,088,589 | 1,088,589 | — |
| Westpac Banking Corp | WESTPAC BKG CORP DC/P 5/06/08 | 1 | 1,091,464 | 1 | 1,091,464 | 1,091,464 | — |
| Wheels Inc. | WHEELS INC DC/P 6/02/08 | 1 | 1,099,743 | 1 | 1,099,743 | 1,099,743 | — |
| Whirlpool Corp | WHIRLPOOL CORP DC/P 6/10/08 | 1 | 1,098,460 | 1 | 1,098,460 | 1,098,460 | — |
| Whirlpool Corp | WHIRLPOOL CORP DC/P 11/28/07 | 1 | 1,140,350 | 1 | 1,140,350 | 1,140,350 | — |

**PENSION PLAN FOR THE EMPLOYEES OF
ADVANCED SILICON MATERIALS LLC**
Schedule H, Line 4j – Schedule of Reportable Transactions
Year ended July 31, 2008

Schedule II
EIN / PN: 20-3097239 / 002

| <u>Issuer</u> | <u>Description</u> | <u>Number of purchases</u> | <u>Amount purchased</u> | <u>Number of sales</u> | <u>Proceeds</u> | <u>Cost of asset</u> | <u>Net gain</u> |
|---|-------------------------------|--------------------------------|-----------------------------|----------------------------|-----------------|--------------------------|-----------------|
| Registered investment company fund: Highmark | Diversified Money Market Fund | 136 | \$ 9,602,869 | 39 | \$ 8,415,256 | 8,415,256 | — |

There were no category (ii) or (iv) transactions.

See accompanying independent auditors' report.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC
Plan Sponsor: REC Advanced Silicon Materials, LLC
EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Statement of Actuarial Assumptions/Methods

Actuarial Basis

Unit Credit Method

Liabilities and contributions shown in this report are computed using the Unit Credit method of funding.

The objective under this method is to fund each participant's benefits under the Plan as they would accrue. Thus, the total pension, to which each participant is expected to become entitled, is broken down into units, each associated with a year of past or future credited service. When this method is introduced, there will be an initial liability for benefits credited for service prior to that date. To the extent that the liability is not covered by assets of the Plan, there is an unfunded liability to be funded over a chosen period in accordance with an amortization schedule.

A description of the calculation follows:

An individual's **accrued benefit** for valuation purposes related to a particular separation date is the accrued benefit described under the Plan.

The **benefit** deemed to accrue for an individual during a plan year is the excess of the accrued benefit for valuation purposes at the end of the plan year over the accrued benefit for valuation purposes at the beginning of the plan year.

An individual's **accrued liability** is the present value of the accrued benefit for valuation purposes at the beginning of the plan year, and the normal cost is the present value of the benefit deemed to accrue in the plan year. If multidecrements are used, the accrued liability and the normal cost for an individual are the sum of the component accrued liabilities and normal costs associated with the various anticipated separation dates. Such accrued liabilities and normal costs reflect the accrued benefits as modified to obtain the probability of the individual separating on those dates.

The Plan's **normal cost** is the sum of the individual normal costs, and the Plan's **accrued liability** is the sum of the accrued liabilities for all participants under the Plan.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Statement of Actuarial Assumptions/Methods (Cont'd)

Asset Valuation Method

The Actuarial Value of Assets is determined as the market value of assets minus aggregate deferred investment gains and losses. Investment gains and losses are recognized ratably over five years. For any year, the aggregate deferred investment gain or loss will equal a decreasing fraction (80%, 60%, 40%, and 20%) of the gains and losses that occurred in each of the past four plan years. Investment gains and losses are measured as the excess or shortfall of total actual return over expected return, where expected return is determined based on the funding interest rate.

The asset value determined under this method will be adjusted to be within 20% of the market value of assets.

Valuation Procedures

The limitations of Internal Revenue Code Section 415(b) have been incorporated into our calculations.

No actuarial liability is included for participants who terminated nonvested prior to the valuation date.

The Plan sponsor provides us with data on all employees as of the valuation date, but only those employees who have completed the Plan's eligibility requirements are included in the valuation of liabilities.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Statement of Actuarial Assumptions/Methods (Cont'd)

Actuarial Assumptions

The following assumptions were used in valuing the liabilities and benefits under the Plan.

Economic

Investment Return: 5.00% compounded annually for funding purposes.
5.84% compounded annually for RPA '94 current liability.
5.84% compounded annually for Gateway current liability.
5.00% compounded annually for the present value of accumulated plan benefits.

Salary Increases: N/A. Plan benefits are frozen as of December 31, 2005.

Wage Base Increases: 3.00% compounded annually

Other

Mortality: For non-disabled participants, the RP2000 Mortality Table for males and females. For participants on Disability Retirement, the RP2000 Disabled Mortality Table for males and females.

RPA '94 and Gateway current liabilities assume mortality according to the 2007 Combined Current Liability Mortality Table for Males and Females.

Retirement: Participants are assumed to retire according to the following retirement rates:

| <u>Employee Age</u> | <u>Retirement Rate</u> |
|---------------------|------------------------|
| 58 | 15% |
| 62 | 50% |
| 65 | 100% |

No retirements are assumed to occur before age 58.
Participants are credited with 2 years of age and service towards early retirement eligibility. Participants over age 65 at the valuation date are assumed to retire immediately.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Statement of Actuarial Assumptions/Methods (Cont'd)

Withdrawal:

Sample assumed termination rates are shown below:

| <u>Age</u> | <u>Male Rates</u> | <u>Female Rates</u> |
|------------|-------------------|---------------------|
| 25 | 12.32% | 20.79% |
| 30 | 8.12% | 13.59% |
| 35 | 5.06% | 8.04% |
| 40 | 3.26% | 4.71% |
| 45 | 2.49% | 3.26% |
| 50 | 1.00% | 1.00% |
| 55 | 0.00% | 0.00% |

Disability:

None. Disability retirement benefit has been eliminated after December 31, 2005.

Expenses:

No direct load for payment of expenses by the Plan.

Percentage Married:

Male - 80%, Female – 50%.

Age Difference:

Husbands are assumed to be three years older than their wives.

Form of Payment:

Single life annuity.

Payment Commencement:

Age 62 if more than 10 years of service at decrement, otherwise age 65. Age 63 for vested terminees.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Summary of Plan Provisions

Effective Date

August 1, 1989. Most recent amendment reflected in this valuation is effective December 31, 2005.

Plan Year

August 1 to July 31.

Eligibility

All employees participate in the plan, except leased employees, employees covered by a collective bargaining agreement, employees hired outside the United States for foreign employment or in a foreign subsidiary's pension plan when employed in the United States, and independent contractors. Beginning December 31, 2005 no new entrants are permitted into the Plan.

Vesting

5 years of service, or age 65. Service towards Vesting is frozen as of December 31, 2005. However, all active participants as of December 31, 2005 are fully vested regardless of service.

Credited Service

Years and months of service from date of employment. Credited Service includes service with Union Carbide. Credited Service is frozen as of December 31, 2005.

Compensation

Compensation shall include salary or wages and under certain circumstances, shift differential, sales commissions, lump-sum payment in lieu of salary increases, and incentive compensation awards. Compensation shall also include any deferrals elected by the employee under Sections 457, 125, 402(g)(3) or 132(f)(4). For any calendar year, Compensation is limited as required under Section 401(a)(17), as amended by EGTRRA.

Average Final Compensation

Average Compensation during the five highest-paid years out of the last ten. No fractional Calendar Year resulting from a Participant's date of employment or date of termination will be taken into account. Average Monthly Compensation should not be less than the total Compensation earned by the Participant over the last sixty calendar months preceding date of retirement or termination divided by the number of months in such period in which the Participant performed at least one Hour of Service. Average Final Compensation is frozen as of December 31, 2005.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Summary of Plan Provisions (Cont'd)

Normal Retirement Benefit

As of any date, the monthly benefit is the best of the following three formulas, less Pension Offset:

a) Regular Formula: 1.2% of Average Final Compensation multiplied by Years of Credited Service plus \$12 multiplied by Years of Credited Service divided by Years of Credited Service at retirement.

b) Alternate Formula (the alternate formula applies only to participants whose benefit as of December 31, 1995 under the Alternate Formula is greater than the benefit determined under either the Regular Formula or the Minimum Formula).

Prior to January 1, 1996: 1.5% of Average Final Compensation multiplied by Years of Credited Service at December 31, 1995 minus 1.5% of monthly Social Security Benefit multiplied by Years of Credited Service at December 31, 1995 (not to exceed 50% of the Social Security Benefit).

On and after January 1, 1996: 1.2% of Average Final Compensation multiplied by Years of Credited Service earned on and after January 1, 1996 plus \$12 multiplied by Years of Credited Service divided by Years of Credited Service at retirement.

c) Minimum Formula: \$6 for each of the first ten Years of Credited Service plus \$9 for each the 11th through 20th Years of Credited Service plus \$12 for Years of Credited Service in excess of 20 plus 10%* of Average Final Compensation plus \$12 multiplied by Years of Credited Service divided by Years of Credited Service at retirement.

* If less than 8 Years of Credited Service, percentage reduced 1% for each year less than 8 years.

The Normal Retirement Benefit is frozen as of December 31, 2005.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Summary of Plan Provisions (Cont'd)

Pension Offset

Benefit payable from Union Carbide pension plan for service credited under this plan. These amounts are frozen as of July 31, 1990, when service under the Union Carbide Plan stopped. The benefit is reduced for a qualified preretirement survivor annuity.

Payment Form

The Normal Form is a single life annuity. Participants may elect a single life annuity, 50% J&S annuity or level income annuity.

Normal Retirement Date

First of the month on or following the later of 5 years of Credited Service or the participant's 65th birthday.

Unreduced Retirement Date

a) Age plus Years of Credited Service at least 85 (for participants as of December 31, 1995 only).

b) On or following attainment of age 62 and completion of 10 Years of Service.

If a participant is terminated for any reason other than cause, an additional 2 years of age and/or service shall be given towards eligibility for these benefits.

Eligibility for Benefits

(i) Reduced Early Retirement: age 50 and 10 Years of Credited Service.

(ii) Vested Retirement: age 50 and 5 Years of Credited Service.

(iii) Disability Retirement: 10 Years of Credited Service; total and permanent disability.

Eligibility service is frozen as of December 31, 2005.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 6 – Summary of Plan Provisions (Cont'd)

Benefits Payable

(i) Reduced Early Retirement: Normal Retirement Benefit reduced by 5% for each year below the age first eligible for Normal/Unreduced Retirement Benefit.

(ii) Vested Retirement: Normal Retirement Benefit reduced by 6-2/3% for the first three years and 5% for each additional year below the age first eligible for Normal/Unreduced Retirement Benefit.

(iii) Disability Retirement Pension: unreduced Normal Retirement Benefit, payable for life.

Death Benefit

If a participant dies after meeting the requirements for Vested Retirement, his spouse shall receive 50% of the pension the participant would have received at earliest eligibility if terminated on the day before death.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials LLC
Plan Sponsor: REC Advanced Silicon Materials LLC
EIN / PN: 20-3097239 / 002

Schedule B, line 6b - Description of Weighted Average Retirement Age

| (A) Age | (B) Rate of Retirement | (C) Percent Remaining | (D) Weight | (E) Weighted Retirement Ages |
|------------|------------------------------|-----------------------------|---------------|---------------------------------------|
| 55 | 0.00% | 100.00% | 0.00 | 0.00 |
| 56 | 0.00% | 100.00% | 0.00 | 0.00 |
| 57 | 0.00% | 100.00% | 0.00 | 0.00 |
| 58 | 15.00% | 100.00% | 0.15 | 8.70 |
| 59 | 0.00% | 85.00% | 0.00 | 0.00 |
| 60 | 0.00% | 85.00% | 0.00 | 0.00 |
| 61 | 0.00% | 85.00% | 0.00 | 0.00 |
| 62 | 50.00% | 85.00% | 0.43 | 26.35 |
| 63 | 0.00% | 42.50% | 0.00 | 0.00 |
| 64 | 0.00% | 42.50% | 0.00 | 0.00 |
| 65 | 100.00% | 42.50% | 0.43 | 27.63 |
| 66 | 100.00% | 0.00% | 0.00 | 0.00 |
| 67 | 100.00% | 0.00% | 0.00 | 0.00 |
| 68 | 100.00% | 0.00% | 0.00 | 0.00 |
| 69 | 100.00% | 0.00% | 0.00 | 0.00 |
| 70 | 100.00% | 0.00% | 0.00 | 0.00 |

Weighted Average Retirement Age **62.68**
Nearest Whole Age **63.00**

Column (C) = (1.0 - Column (B) for prior age) x (Column (C) for prior age)

Column (D) = (Column (B)) x (Column (C))

Column (E) = (Column (A)) x (Column (D))

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 8c – Schedule of Active Participant Data

| Attained Age | Years of Credited Service ¹ | | | | | | | | | | | | | |
|--------------|--|------------|--------|------------|--------|------------|----------|------------|----------|------------|----------|------------|----------|------------|
| | Under 1 | | 1 to 4 | | 5 to 9 | | 10 to 14 | | 15 to 19 | | 20 to 24 | | 25 to 29 | |
| | No. | Avg. Comp. | No. | Avg. Comp. | No. | Avg. Comp. | No. | Avg. Comp. | No. | Avg. Comp. | No. | Avg. Comp. | No. | Avg. Comp. |
| Under 25 | 1 | | 3 | | | | | | | | | | | |
| 25 – 29 | | | 14 | | 2 | | | | | | | | | |
| 30 – 34 | | | 19 | | 10 | | | | | | | | | |
| 35 – 39 | | | 20 | | 22 | | 2 | | | | | | | |
| 40 – 44 | | | 18 | | 34 | | 2 | | | | | | | |
| 45 – 49 | 1 | | 17 | | 36 | | 3 | | 2 | | | | | |
| 50 – 54 | | | 6 | | 17 | | 2 | | 9 | | | | | |
| 55 – 59 | | | 2 | | 17 | | 2 | | 5 | | 2 | | | |
| 60 – 64 | 1 | | | | 4 | | | | 1 | | | | 1 | |
| 65 – 69 | | | | | | | | | | | | | | |
| 70 & Over | | | | | | | | | | | | | | |

¹ Credited service and benefit accruals under the plan were frozen as of December 31, 2005.

Pension Plan for Employees of
Advanced Silicon Materials LLC

Altman & Cronin
Benefit Consultants, LLC

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials, LLC

Plan Sponsor: REC Advanced Silicon Materials, LLC

EIN / PN: 20-3097239 / 002

Schedule B, line 9c and 9j - Schedule of Funding Standard Account Bases

| Charge | Date Created | Initial Years | Years Left | Initial | Outstanding | Payment |
|-------------------|-----------------|------------------|---------------|-----------|--------------------|--------------------|
| Actuarial loss | 08/01/07 | 5 | 5 | \$146,121 | \$146,121 | \$32,143 |
| Assumption change | 08/01/07 | 10 | 10 | 9,050,889 | 9,050,889 | 1,116,316 |
| Total | | | | | \$9,197,010 | \$1,148,459 |

| Credit | Date Created | Initial Years | Years Left | Initial | Outstanding | Payment |
|--------------|-----------------|------------------|---------------|---------|-------------|------------|
| None | | | | | | |
| Total | | | | | \$0 | \$0 |

Equation of Balance

| | |
|--|--------------------|
| 1. Net Amount Outstanding on August 1, 2007 | \$9,197,010 |
| 2. Credit Balance on August 1, 2007 | 4,381,804 |
| 3. Reconciliation Accounts on August 1, 2007 | |
| (a) Due to Additional Funding Charges | 0 |
| (b) Due to Interest on Late Quarterly Contributions | 0 |
| (c) Due to Waivers | 0 |
| 4. Unfunded Actuarial Accrued Liability on August 1, 2007 (1-2-3) | \$4,815,206 |

The balance equation reconciles.

Attachment to 2007 Schedule B (Form 5500)

Plan Name: Pension Plan for Employees of Advanced Silicon Materials LLC
Plan Sponsor: REC Advanced Silicon Materials LLC
EIN / PN: 20-3097239 / 002

Schedule B, Line 11: Justification for Change In Actuarial Assumptions

The following changes were made to the actuarial assumptions as of August 1, 2007:

- The funding interest rate was adjusted downward from 8% to 5% to reflect the anticipated lower rate of return on plan assets due to a change in the investment strategy. Starting from May 2007, the primary objective of the investment strategy is to minimize the volatility in assets in anticipation of a plan termination in the near future.
- The mortality table used to calculate RPA'94 and Gateway current liabilities was changed from the 1983 Group Annuity Mortality Table for Males and Females to the 2007 Combined Current Liability Mortality Table for Males and Females as mandated by law.

**SCHEDULE B
(Form 5500)**

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security
Administration

Pension Benefit Guaranty Corporation

Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974, referred to as ERISA, except when attached to Form 5500-EZ and, in all cases, under section 6059(a) of the Internal Revenue Code, referred to as the Code.

▶ Attach to Form 5500 or 5500-EZ if applicable.

▶ See separate instructions.

Official Use Only

OMB No. 1210-0110

2007

**This Form is Open to Public
Inspection (except when
attached to Form 5500-EZ).**

For calendar plan year 2007 or fiscal plan year beginning 08/01/2007 and ending 07/31/2008

▶ Round off amounts to nearest dollar.

▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

| | | |
|--|--|--|
| A Name of plan PENSION PLAN FOR EMPLOYEES OF ADVANCED SILICON M | | B Three-digit plan number ... ▶ 002 |
| C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-EZ REC ADVANCED SILICON MATERIALS LLC | | D Employer Identification Number 20-3097239 |
| E Type of plan: (1) <input type="checkbox"/> Multiemployer (2) <input checked="" type="checkbox"/> Single-employer (3) <input type="checkbox"/> Multiple-employer | | F <input type="checkbox"/> 100 or fewer participants in prior plan year |

Part I Basic Information (To be completed by all plans)

1a Enter the actuarial valuation date: Month 08 Day 01 Year 2007

b Assets:

| | | |
|---|----------------|----------|
| (1) Current value of assets | b(1) | 24428684 |
| (2) Actuarial value of assets for funding standard account | b(2) | 22810600 |
| c (1) Accrued liability for plans using immediate gain methods | c(1) | 27625806 |
| (2) Information for plans using spread gain methods: | | |
| (a) Unfunded liability for methods with bases | c(2)(a) | |
| (b) Accrued liability under entry age normal method | c(2)(b) | |
| (c) Normal cost under entry age normal method | c(2)(c) | |

Statement by Enrolled Actuary (see instructions before signing):

To the best of my knowledge, the information supplied in this schedule and on the accompanying schedules, statements, and attachments, if any, is complete and accurate, and in my opinion each assumption, used in combination, represents my best estimate of anticipated experience under the plan. Furthermore, in the case of a plan other than a multiemployer plan, each assumption used (a) is reasonable (taking into account the experience of the plan and reasonable expectations) or (b) would, in the aggregate, result in a total contribution equivalent to that which would be determined if each such assumption were reasonable; in the case of a multiemployer plan, the assumptions used, in the aggregate, are reasonable (taking into account the experience of the plan and reasonable expectations).



Jan H. Altman

Signature of actuary

IAN H. ALTMAN, FSA

Type or print name of actuary

ALTMAN & CRONIN BENEFIT CONSULTANTS

Firm name

100 PINE STREET, SUITE 1500

SAN FRANCISCO

CA

94111-5215

Address of the firm

5/5/09

Date

G 08-03701

Most recent enrollment number

415-395-9300

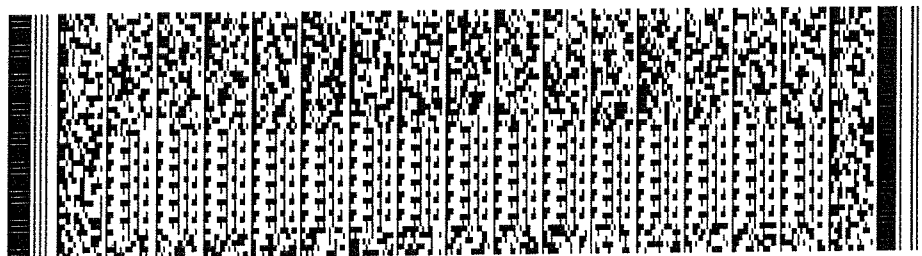
Telephone number (including area code)

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions. ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-EZ.

v10.1

Schedule B (Form 5500) 2007



1d Information on current liabilities of the plan:

| | | |
|---|----------------|----------|
| (1) Amount excluded from current liability attributable to pre-participation service (see instructions) . . | d(1) | 0 |
| (2) "RPA '94" information: | | |
| (a) Current liability | d(2)(a) | 25345111 |
| (b) Expected increase in current liability due to benefits accruing during the plan year | d(2)(b) | 0 |
| (c) Current liability computed at highest allowable interest rate (see instructions) | d(2)(c) | 25345111 |
| (d) Expected release from "RPA '94" current liability for the plan year | d(2)(d) | |
| (3) Expected plan disbursements for the plan year | d(3) | 1395777 |

2 Operational information as of beginning of this plan year:

| | | | |
|----------|--|-----------|----------|
| a | Current value of the assets (see instructions) | 2a | 24407123 |
|----------|--|-----------|----------|

b "RPA '94" current liability:

| | | | |
|---|-----|----------|----------|
| (1) For retired participants and beneficiaries receiving payments | 127 | 13576800 | 13576800 |
| (2) For terminated vested participants | 289 | 6806063 | 6806063 |
| (3) For active participants | 275 | 4879407 | 4962248 |
| (4) Total | 691 | 25262270 | 25345111 |

C If the percentage resulting from dividing line 2a by line 2b(4), column (3), is less than 70%, enter such percentage.

| 2c | % |
|----|---|
|----|---|

3 Contributions made to the plan for the plan year by employer(s) and employees:

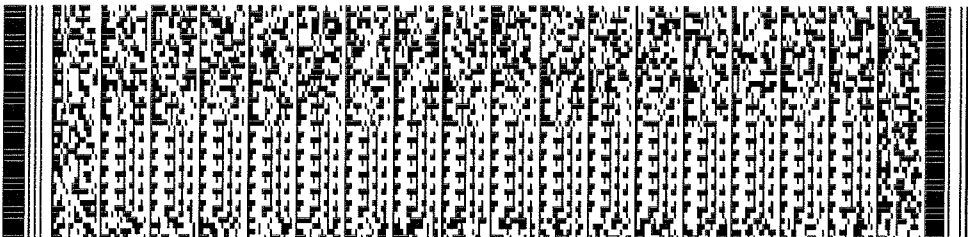
| (a) Month-Day-Year | (b) Amount paid by employer | (c) Amount paid by employees | (a) Month-Day-Year | (b) Amount paid by employer | (c) Amount paid by employees |
|-----------------------|-----------------------------------|------------------------------------|-----------------------|-----------------------------------|------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
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| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| 3 Totals ▶ | | | (b) | 0 | (c) |
| | | | | | 0 |

4 Quarterly contributions and liquidity shortfall(s):

| | | | |
|-----------|--|------|---|
| a | Plans other than multiemployer plans, enter funded current liability percentage for preceding year (see instructions). | | |
| 4a | | 90.0 | % |

b If line 4a is less than 100%, see instructions, and complete the following table as applicable:

| Liquidity shortfall as of end of Quarter of this plan year | | | | | | | |
|--|-----|-----|-----|-----|-----|-----|-----|
| (1) | 1st | (2) | 2nd | (3) | 3rd | (4) | 4th |
| | 0 | | 0 | | 0 | | 0 |



5 Actuarial cost method used as the basis for this plan year's funding standard account computation:

- a** ☐ Attained age normal **b** ☐ Entry age normal **c** ☒ Accrued benefit (unit credit)
d ☐ Aggregate **e** ☐ Frozen initial liability **f** ☐ Individual level premium
g ☐ Individual aggregate **h** ☐ Other (specify) ▶ _____

i Has a change been made in funding method for this plan year? ☐ Yes ☒ No

j If line i is "Yes," was the change made pursuant to Revenue Procedure 2000-40? ☐ Yes ☐ No

k If line i is "Yes," and line j is "No" enter the date of the ruling letter (individual or class) approving the change in funding method Month Day Year

6 Checklist of certain actuarial assumptions:

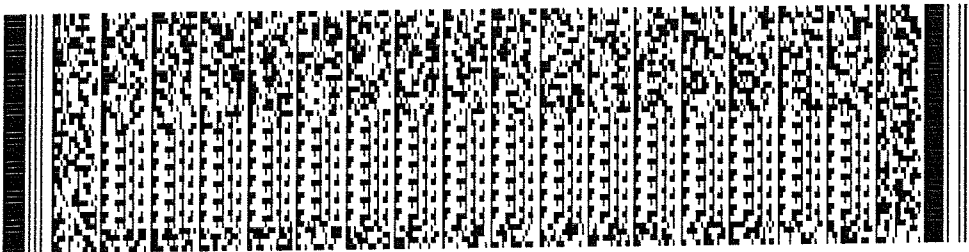
- a** Interest rate for "RPA '94" current liability **6a** 5.84 % ☐ N/A
- b** Weighted average retirement age **6b** 63 ☐ N/A
- c** Rates specified in insurance or annuity contracts .. ☐ N/A **6c** Pre-retirement Post-retirement ☐ N/A
- d** Mortality table code for valuation purposes:
- | | Pre-retirement | | Post-retirement | |
|-------------|----------------|----|-----------------|----|
| | Yes | No | Yes | No |
| (1) Males | d(1) A | | A | |
| (2) Females | d(2) A | | A | |
- e** Valuation liability interest rate ☐ N/A **6e** 5.00 % 5.00 % ☐ N/A
- f** Expense loading ☐ N/A **6f** 0.0 % 0.0 % ☐ N/A
- g** Annual withdrawal rates:
- | | Male | | Female | |
|------------|---------------|---------|-----------|---------|
| | Rate Code | | Rate Code | |
| (1) Age 25 | g(1) U | 12.32 % | U | 20.79 % |
| (2) Age 40 | g(2) U | 3.26 % | U | 4.71 % |
| (3) Age 55 | g(3) U | 0.00 % | U | 0.00 % |
- h** Salary scale ☒ N/A **6h** % ☒ N/A
- i** Estimated investment return on actuarial value of assets for year ending on the valuation date **6i** 10.4 %
- j** Estimated investment return on current value of assets for year ending on the valuation date .. **6j** 14.9 %

7 New amortization bases established in the current plan year:

| (1) Type of Base | (2) Initial Balance | (3) Amortization Charge/Credit |
|------------------|---------------------|--------------------------------|
| 1 | 146121 | 32143 |
| 4 | 9050889 | 1116316 |
| | | |
| | | |
| | | |
| | | |

8 Miscellaneous information:

- a** If a waiver of a funding deficiency or an extension of an amortization period has been approved for this plan year, enter the date of the ruling letter granting the approval Month Day Year



8b If one or more alternative methods or rules (as listed in the instructions) were used for this plan year, enter the appropriate code in accordance with the instructions ▶

c Is the plan required to provide a Schedule of Active Participant Data? (see instructions) If "Yes," attach schedule ☒ Yes ☐ No

9 Funding standard account statement for this plan year:

Charges to funding standard account:

| | | | |
|----------|---|-----------|---------|
| a | Prior year funding deficiency, if any | 9a | 0 |
| b | Employer's normal cost for plan year as of valuation date | 9b | 0 |
| c | Amortization charges as of valuation date: | | |
| | (1) All bases except funding waivers | | |
| | (2) Funding waivers | | |
| d | Interest as applicable on lines 9a, 9b, and 9c | 9d | 57423 |
| e | Additional interest charge due to late quarterly contributions, if applicable | 9e | 0 |
| f | Adjusted additional funding charge from Part II, line 12q, if applicable <input type="checkbox"/> N/A | 9f | 0 |
| g | Total charges. Add lines 9a through 9f | 9g | 1205882 |

Credits to funding standard account:

| | | | |
|----------|--|-------------|---------|
| h | Prior year credit balance, if any | 9h | 4381804 |
| i | Employer contributions. Total from column (b) of line 3. | 9i | 0 |
| j | Amortization credits as of valuation date | 9j | 0 |
| k | Interest as applicable to end of plan year on lines 9h, 9i, and 9j | 9k | 219090 |
| l | Full funding limitation (FFL) and credits | | |
| | (1) ERISA FFL (accrued liability FFL) | l(1) | 9656961 |
| | (2) "RPA '94" override (90% current liability FFL) | l(2) | 328306 |
| | (3) FFL credit | l(3) | 0 |
| m | (1) Waived funding deficiency | m(1) | 0 |
| | (2) Other credits | m(2) | 0 |
| n | Total credits. Add lines 9h through 9k, 9l(3), 9m(1), and 9m(2) | 9n | 4600894 |
| o | Credit balance: If line 9n is greater than line 9g, enter the difference | 9o | 3395012 |
| p | Funding deficiency: If line 9g is greater than line 9n, enter the difference | 9p | 0 |

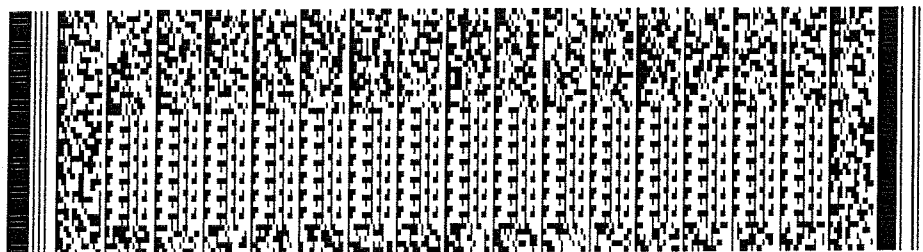
Reconciliation account:

| | | | |
|----------|---|----------------|---|
| q | Current year's accumulated reconciliation account: | | |
| | (1) Due to additional funding charges as of the beginning of the plan year | q(1) | 0 |
| | (2) Due to additional interest charges as of the beginning of the plan year | q(2) | 0 |
| | (3) Due to waived funding deficiencies: | | |
| | (a) Reconciliation outstanding balance as of valuation date | q(3)(a) | 0 |
| | (b) Reconciliation amount. Line 9c(2) balance minus line 9q(3)(a) | q(3)(b) | 0 |
| | (4) Total as of valuation date | q(4) | 0 |

10 Contribution necessary to avoid an accumulated funding deficiency. Enter the amount in line 9p or the amount required under the alternative funding standard account if applicable

10 0

11 Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions ☒ Yes ☐ No



Part II Additional Information for Certain Plans Other Than Multiemployer PlansPlease see **Who Must File** in the Schedule B instructions to determine if you must complete Part II.**12 Additional required funding charge (see instructions):****a** Enter "Gateway %." Divide line 1b(2) by line 1d(2)(c) and multiply by 100.

If line 12a is at least 90%, go to line 12q and enter -0-.

If line 12a is less than 80%, go to line 12b.

If line 12a is at least 80% (but less than 90%), see instructions and, if applicable, go to line 12q

and enter -0-. Otherwise, go to line 12b.

12a 90.0 %

b "RPA '94" current liability. Enter line 1d(2)(a).

12b

c Adjusted value of assets (see instructions)

12c

d Funded current liability percentage. Divide line 12c by 12b and multiply by 100.

12d %

e Unfunded current liability. Subtract line 12c from line 12b.

12e

f Liability attributable to any unpredictable contingent event benefit

12f

g Outstanding balance of unfunded old liability

12g

h Unfunded new liability. Subtract the total of lines 12f and 12g from line 12e. Enter -0- if negative

12h

i Unfunded new liability amount (% of line 12h)

12i

j Unfunded old liability amount

12j

k Deficit reduction contribution. Add lines 12i, 12j, and 1d(2)(b).

12k

l Net charges in funding standard account used to offset the deficit reduction contribution. Enter a negative number if less than zero.

12l

m Unpredictable contingent event amount:**(1)** Benefits paid during year attributable to unpredictable contingent event

m(1)

(2) Unfunded current liability percentage. Subtract the percentage on line 12d from 100%.

m(2)

%

(3) Enter the product of lines 12m(1) and 12m(2)

m(3)

(4) Amortization of all unpredictable contingent event liabilities

m(4)

(5) "RPA '94" additional amount (see instructions).

m(5)

(6) Enter the greatest of lines 12m(3), 12m(4), or 12m(5).

m(6)

n Preliminary additional funding charge: Enter the excess of line 12k over line 12l (if any), plus line 12m(6), adjusted to end of year with interest

12n

o Contributions needed to increase current liability percentage to 100% (see instructions)

12o

p Additional funding charge prior to adjustment: Enter the lesser of line 12n or 12o

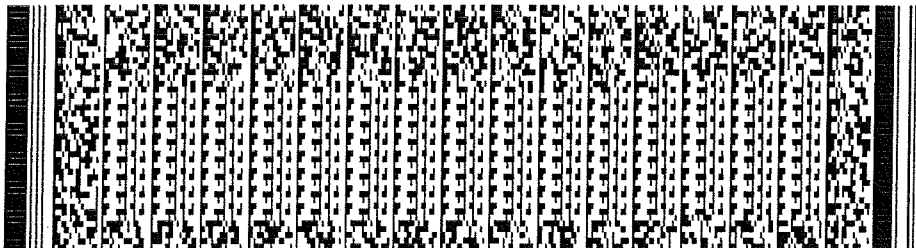
12p

q Adjusted additional funding charge. (

.0 % of line 12p).

12q

0



0 8 0 7 3 2 0 5 0 P

