Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

| Р | Complete all entries in | n accordance wit | h the instructions to the Form 5500 | 0-SF. | | | | |
|-----------|---|-------------------|--|---|--|----------|--|--|
| Pa | art I Annual Report Identification Informati | ion | | | | | | |
| For | calendar plan year 2010 or fiscal plan year beginning | /01/2010 | and ending 0 | 8/06/2 | 2010 | | | |
| Α | This return/report is for: X single-employer plan | multiple-e | employer plan (not multiemployer) | | one-participant plan | | | |
| В | This return/report is for: first return/report | | n/report | | _ | | | |
| | an amended return/report | short pla | n year return/report (less than 12 mor | nths) | | | | |
| С | Check box if filing under: Form 5558 | H | extension | | DFVC program | | | |
| | special extension (enter d | | | | | | | |
| Dr | | . , | | | | | | |
| | Irt II Basic Plan Information—enter all requeste | a information | | 1h | Three-digit | | | |
| | Name of plan SVILLE BONE & JOINT CENTER, PSC 401(K) PLAN | | | 10 | nlan number | | | |
| | 0.1.2.2 | | | | (PN) ▶ 001 | | | |
| | | | | 1c | Effective date of plan | | | |
| | | | | | 01/01/2005 | | | |
| | Plan sponsor's name and address (employer, if for single-e SVILLE BONE & JOINT CENTER, PSC | mployer plan) | | 2b | Employer Identification Number 10 82-0589016 | mber | | |
| LOU | SVILLE BOINE & JOINT CENTER, PSC | | | (EIN) 82-0589016 2c Plan sponsor's telephone nur | | | | |
| | AST GRAY STREET 7TH FLOOR | | | 20 | 502-562-6021 | iuiiibei | | |
| LOU | SVILLE, KY 40202-3900 | | | 2d | Business code (see instruc | ctions) | | |
| | | | | | 621111 | | | |
| 3a LOU | Plan administrator's name and address (if same as Plan sp SVILLE BONE & JOINT CENTER, PSC 210 I | EAST GRAY STRI | ET 7TH FLOOR | 3b | Administrator's EIN 82-0589016 | | | |
| | LOU | ISVILLE, KY 4020 | 2-3900 | 3c | Administrator's telephone | number | | |
| | | | | • | 502-562-6021 | 10111001 | | |
| | f the name and/or EIN of the plan sponsor has changed since | | port filed for this plan, enter the | 4b EIN | | | | |
| | name, EIN, and the plan number from the last return/report. | Sponsor's name | | 4c | DNI | | | |
| 5a | Total number of participants at the beginning of the plan ye | ar | | 5a | FIN | 4 | | |
| | | ł | | | 0 | | | |
| | Total number of participants at the end of the plan year | | ł | 5b | | 0 | | |
| С | Total number of participants with account balances as of the complete this item) | | ` . | 5с | | 0 | | |
| 6a | Were all of the plan's assets during the plan year invested | | | | X Yes | No | | |
| | Are you claiming a waiver of the annual examination and re | · · | , | | | | | |
| | under 29 CFR 2520.104-46? (See instructions on waiver e | • | • | | ^ Yes | No | | |
| D- | If you answered "No" to either 6a or 6b, the plan canno | ot use Form 5500- | SF and must instead use Form 550 | 00. | | | | |
| | rt III Financial Information | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Year | • | (b) End of Year | 0 | | |
| | Total plan assets | 7a | 207363 | , | | 0 | | |
| b | Total plan liabilities | | 267583 | • | | 0 | | |
| <u>C</u> | Net plan assets (subtract line 7b from line 7a) | 7c | | • | | 0 | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | (b) Total | | | |
| а | Contributions received or receivable from: (1) Employers | 8a(1) | | | | | | |
| | (2) Participants | | | _ | | | | |
| | (3) Others (including rollovers) | | | _ | | | | |
| b | Other income (loss) | | -15003 | 3 | | | | |
| c | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | | | | | -15003 | | |
| d | Benefits paid (including direct rollovers and insurance prem | | | | | | | |
| - | to provide benefits) | | 252530 |) | | | | |
| е | Certain deemed and/or corrective distributions (see instruc | tions) 8e | | | | | | |
| f | Administrative service providers (salaries, fees, commissio | ns) 8f | 50 |) | | | | |
| g | Other expenses | 8g | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | 252580 | | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | - | 267583 | | |
| i | Transfers to (from) the plan (see instructions) | | | | | | | |

| | Form 5 | 500-SF 2010 Page 2- | | | | | | |
|------|--------------|--|-----------------|---------|----------|----------------|--------|------|
| ar | t IV PI | an Characteristics | | | | | | |
| | | rovides pension benefits, enter the applicable pension feature codes from the List of Plan Cl $G=2J=2K=3D$ | naracteri | stic Co | des in | the instructi | ons: | |
| | | rovides welfare benefits, enter the applicable welfare feature codes from the List of Plan Ch | aracteris | tic Cod | des in t | he instruction | ons: | |
| | | | | | | | | |
| art | V Com | pliance Questions | | | | | | |
| 0 | During the | plan year: | | Yes | No | A | Amount | |
| | 29 CFR 2 | a failure to transmit to the plan any participant contributions within the time period described 510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | 10a | | X | | | |
| b | | any nonexempt transactions with any party-in-interest? (Do not include transactions reporte | d 10b | | X | | | |
| С | Was the p | an covered by a fidelity bond? | 10c | | X | | | |
| d | | n have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by frau | d 10d | | X | | | |
| е | insurance | ees or commissions paid to any brokers, agents, or other persons by an insurance carrier, service or other organization that provides some or all of the benefits under the plan? (See | 10e | | X | | | |
| f | Has the pla | in failed to provide any benefit when due under the plan? | 10f | | X | | | |
| g | Did the pla | n have any participant loans? (If "Yes," enter amount as of year end.) | 10g | X | | | | 0 |
| h | | individual account plan, was there a blackout period? (See instructions and 29 CFR | 10h | | X | | | |
| i | If 10h was | answered "Yes," check the box if you either provided the required notice or one of the to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | | |
| art | VI Pens | ion Funding Compliance | | | | | | |
| 1 | | ined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c | | | | | Yes | X No |
| 2 | | fined contribution plan subject to the minimum funding requirements of section 412 of the Co | | | | | Yes | X No |
| | | implete 12a or 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | |
| а | | of the minimum funding standard for a prior year is being amortized in this plan year, see ins waiver | | , | | | | 0 |
| lf y | | ted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line | | | | | | |
| b | Enter the m | inimum required contribution for this plan year | | L | 12b | | | |
| С | Enter the a | mount contributed by the employer to the plan for this plan year | | | 12c | | | |
| d | | e amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l nount) | | L | 12d | _ | | |
| е | Will the mir | imum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | No | N/A |
| art | VII Pla | n Terminations and Transfers of Assets | | | | | | |
| 3a | Has a reso | ution to terminate the plan been adopted during the plan year or any prior year? | | <u></u> | | | X Yes | No |

If "Yes," enter the amount of any plan assets that reverted to the employer this year.....

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

X Yes No

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| SIGN | Filed with authorized/valid electronic signature. | 11/09/2010 | CYNA KHALILY, M.D. |
|------|---|------------|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |