

<div>Form 5500</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Annual Return/Report of Employee Benefit Plan</div> <div>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ Complete all entries in accordance with the instructions to the Form 5500.</div>	<div>OMB Nos. 1210-0110 1210-0089</div> <div>2009</div> <div>This Form is Open to Public Inspection</div>
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Part I	Annual Report Identification Information
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009	
A	This return/report is for: <div><div><input type="checkbox"/> a multiemployer plan;</div><div><input checked="" type="checkbox"/> a single-employer plan;</div><div><input type="checkbox"/> a multiple-employer plan; or</div><div><input type="checkbox"/> a DFE (specify) ____</div></div>
B	This return/report is: <div><div><input type="checkbox"/> the first return/report;</div><div><input checked="" type="checkbox"/> an amended return/report;</div><div><input type="checkbox"/> the final return/report;</div><div><input type="checkbox"/> a short plan year return/report (less than 12 months).</div></div>
C	If the plan is a collectively-bargained plan, check here. ▶ <input type="checkbox"/>
D	Check box if filing under: <div><div><input checked="" type="checkbox"/> Form 5558;</div><div><input type="checkbox"/> automatic extension;</div><div><input type="checkbox"/> the DFVC program;</div><div><input type="checkbox"/> special extension (enter description)</div></div>

Part II	Basic Plan Information—enter all requested information
1a	Name of plan SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN
1b	Three-digit plan number (PN) ▶ 001
1c	Effective date of plan 10/01/1988
2a	Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.) SEATTLE UNIVERSITY PO BOX 222000 SEATTLE, WA 98122 901 12TH AVENUE SEATTLE, WA 98122-4411
2b	Employer Identification Number (EIN) 91-0565006
2c	Sponsor's telephone number 206-296-5870
2d	Business code (see instructions) 611000

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	12/16/2010	RUTH SANOY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address (if same as plan sponsor, enter "Same") SEATTLE UNIVERSITY PO BOX 222000 SEATTLE, WA 98122	3b Administrator's EIN 91-0565006 3c Administrator's telephone number 206-296-5870
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4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
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5 Total number of participants at the beginning of the plan year	5	2424
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6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants.....	6a	1803
b Retired or separated participants receiving benefits.....	6b	2
c Other retired or separated participants entitled to future benefits.....	6c	611
d Subtotal. Add lines 6a , 6b , and 6c	6d	2416
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	6e	1
f Total. Add lines 6d and 6e	6f	2417
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....	6g	2305
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6h	0

7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	
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8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
 2F 2G 2L 2M 2T

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) <input checked="" type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) <input checked="" type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) ☒ **R** (Retirement Plan Information)
 (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
 (3) ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1) ☒ **H** (Financial Information)
 (2) ☐ **I** (Financial Information – Small Plan)
 (3) ☒ 3 **A** (Insurance Information)
 (4) ☒ **C** (Service Provider Information)
 (5) ☒ **D** (DFE/Participating Plan Information)
 (6) ☐ **G** (Financial Transaction Schedules)

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2009 This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500. SEATTLE UNIVERSITY		
D Employer Identification Number (EIN) 91-0565006		

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier METLIFE

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-5581829	65978	25951-071		01/01/2009	12/31/2009

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	26

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid FIDELITY INSURANCE AGENCY 82 DEVONSHIRE BOSTON, MA 02109

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
	26		3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end	5	
6	Contracts With Allocated Funds:		
a	State the basis of premium rates ▶		
b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	
e	Type of contract: (1) <input type="checkbox"/> individual policies (2) <input type="checkbox"/> group deferred annuity (3) <input type="checkbox"/> other (specify) ▶		
f	If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ <input type="checkbox"/>		
7	Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)		
a	Type of contract: (1) <input type="checkbox"/> deposit administration (2) <input type="checkbox"/> immediate participation guarantee (3) <input type="checkbox"/> guaranteed investment (4) <input checked="" type="checkbox"/> other ▶ MGA		
b	Balance at the end of the previous year	7b	15589
c	Additions: (1) Contributions deposited during the year	7c(1)	26267
	(2) Dividends and credits	7c(2)	
	(3) Interest credited during the year	7c(3)	386
	(4) Transferred from separate account	7c(4)	
	(5) Other (specify below)	7c(5)	
	(6) Total additions	7c(6)	26653
d	Total of balance and additions (add b and c(6))	7d	42242
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	23131
	(2) Administration charge made by carrier	7e(2)	
	(3) Transferred to separate account	7e(3)	
	(4) Other (specify below)	7e(4)	
	(5) Total deductions	7e(5)	23131
f	Balance at the end of the current year (subtract e(5) from d)	7f	19111

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were <input type="checkbox"/> paid in cash, or <input type="checkbox"/> credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div><div>SCHEDULE A</div><div>(Form 5500)</div><div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2009</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500. SEATTLE UNIVERSITY		
D Employer Identification Number (EIN) 91-0565006		

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
METLIFE

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-5581829	65978	25951-072		01/01/2009	12/31/2009

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	809

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
FIDELITY INSURANCE AGENCY 82 DEVONSHIRE BOSTON, MA 02109

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
	809		3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end	5	
6	Contracts With Allocated Funds:		
a	State the basis of premium rates ▶		
b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	
e	Type of contract: (1) <input type="checkbox"/> individual policies (2) <input type="checkbox"/> group deferred annuity (3) <input type="checkbox"/> other (specify) ▶		
f	If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ <input type="checkbox"/>		
7	Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)		
a	Type of contract: (1) <input type="checkbox"/> deposit administration (2) <input type="checkbox"/> immediate participation guarantee (3) <input type="checkbox"/> guaranteed investment (4) <input checked="" type="checkbox"/> other ▶ MGA		
b	Balance at the end of the previous year	7b	268878
c	Additions: (1) Contributions deposited during the year	7c(1)	122541
	(2) Dividends and credits	7c(2)	
	(3) Interest credited during the year	7c(3)	11676
	(4) Transferred from separate account	7c(4)	
	(5) Other (specify below)	7c(5)	
	(6) Total additions	7c(6)	134217
d	Total of balance and additions (add b and c(6))	7d	403095
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	82621
	(2) Administration charge made by carrier	7e(2)	
	(3) Transferred to separate account	7e(3)	
	(4) Other (specify below)	7e(4)	191
	▶ TRANSFERS		
	(5) Total deductions	7e(5)	82812
f	Balance at the end of the current year (subtract e(5) from d)	7f	320283

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were <input type="checkbox"/> paid in cash, or <input type="checkbox"/> credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2009 This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500. SEATTLE UNIVERSITY		
D Employer Identification Number (EIN) 91-0565006		

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier METLIFE

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-5581829	65978	25951-073		01/01/2009	12/31/2009

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	3

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid FIDELITY INSURANCE AGENCY 82 DEVONSHIRE BOSTON, MA 02109

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
	3		3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end	5	
6	Contracts With Allocated Funds:		
a	State the basis of premium rates ▶		
b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	
e	Type of contract: (1) <input type="checkbox"/> individual policies (2) <input type="checkbox"/> group deferred annuity (3) <input type="checkbox"/> other (specify) ▶		
f	If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ <input type="checkbox"/>		
7	Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)		
a	Type of contract: (1) <input type="checkbox"/> deposit administration (2) <input type="checkbox"/> immediate participation guarantee (3) <input type="checkbox"/> guaranteed investment (4) <input checked="" type="checkbox"/> other ▶ MGA		
b	Balance at the end of the previous year	7b	1116
c	Additions: (1) Contributions deposited during the year	7c(1)	
	(2) Dividends and credits	7c(2)	
	(3) Interest credited during the year	7c(3)	40
	(4) Transferred from separate account	7c(4)	
	(5) Other (specify below)	7c(5)	
	(6) Total additions	7c(6)	40
d	Total of balance and additions (add b and c(6))	7d	1156
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	114
	(2) Administration charge made by carrier	7e(2)	
	(3) Transferred to separate account	7e(3)	
	(4) Other (specify below)	7e(4)	
	(5) Total deductions	7e(5)	114
f	Balance at the end of the current year (subtract e(5) from d)	7f	1042

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were <input type="checkbox"/> paid in cash, or <input type="checkbox"/> credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection.
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN		B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 SEATTLE UNIVERSITY		D Employer Identification Number (EIN) 91-0565006

Part I	Service Provider Information (see instructions)
---------------	--

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
SEATTLE UNIVERSITY
91-0565006

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIIOC

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64	RECORDKEEPER	4979	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	0	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIIOC	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MET POLICY CREDIT	200 PARK AVENUE NEW YORK, NY 10166	.25%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIIOC	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
METLIFE FIXED NEW	200 PARK AVENUE NEW YORK, NY 10166	.25%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIIOC	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
METLIFE FIXED OLD	200 PARK AVENUE NEW YORK, NY 10166	.25%

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 <div style="border: 1px solid black; padding: 5px; font-size: 1.2em; font-weight: bold;">2009</div> This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 SEATTLE UNIVERSITY	D Employer Identification Number (EIN) 91-0565006	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	402602	478941
(2) U.S. Government securities.....	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	50190	57554
(9) Value of interest in common/collective trusts.....	1c(9)		
(10) Value of interest in pooled separate accounts.....	1c(10)	2815839	1808804
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	58079530	75470061
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	225095	340436
(15) Other	1c(15)	34169227	36763852

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	95742483	114919648

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	95742483	114919648
---	-----------	----------	-----------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	6960237	
(B) Participants	2a(1)(B)	1611312	
(C) Others (including rollovers)	2a(1)(C)	39699	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		8611248

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	1896	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	1756	
(F) Other	2b(1)(F)	10848	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		14500

(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	260917	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		260917

(3) Rents	2b(3)		
-----------------	--------------	--	--

(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0

		(a) Amount	(b) Total
2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate.....	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		15977170
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		24863835

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	5321540	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		5321540
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	4979	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		4979
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		5326519

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		19537316
l Transfers of assets:			
(1) To this plan.....	2l(1)		107347
(2) From this plan	2l(2)		467498

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: PETERSON SULLIVAN LLP

(2) EIN: 91-0605785

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

- 4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
e Was this plan covered by a fidelity bond?.....		X	
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.).....	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.).....		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.).....		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

- 5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If yes, enter the amount of any plan assets that reverted to the employer this year ☐ Yes ☒ No Amount:

- 5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
SEATTLE UNIVERSITY TAX DEFERRED ANNUITY PLAN	91-0565006	002

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection.

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan <u>SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>SEATTLE UNIVERSITY</u>	D Employer Identification Number (EIN) <u>91-0565006</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>51-6559589</u> <u>13-1624203</u> Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box.....	<input type="checkbox"/> Increase	<input type="checkbox"/> Decrease	<input type="checkbox"/> Both	<input type="checkbox"/> No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
11 a Does the ESOP hold any preferred stock?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete items (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate item 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

**SEATTLE UNIVERSITY DEFINED
CONTRIBUTION RETIREMENT PLAN**

FINANCIAL REPORT

DECEMBER 31, 2009

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INDEPENDENT AUDITORS' REPORT

To the Plan Trustees
Seattle University Defined Contribution Retirement Plan
Seattle, Washington

We were engaged to audit the financial statements and supplementary schedule of the Seattle University Defined Contribution Retirement Plan ("the Plan") as of and for the year ended December 31, 2009, as listed in the table of contents. These financial statements are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by TIAA-CREF and Fidelity Investments, the custodians of the Plan, except for comparing the information with the related information included in the 2009 financial statements and supplementary schedule. We have been informed by the Plan administrator that the custodians hold the Plan's investment assets and execute investment transactions. The Plan administrator has obtained a certification from the custodians as of and for the year ended December 31, 2009, that the information provided to the Plan administrator by the custodians is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying 2009 financial statements and supplementary schedule taken as a whole. The form and content of the information included in the 2009 financial statements and schedule, other than that derived from the information certified by the custodians, have been audited by us in accordance with auditing standards generally accepted in the United States and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

We have compiled the accompanying statement of net assets available for benefits of the Seattle University Defined Contribution Retirement Plan as of December 31, 2008, in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

A compilation is limited to presenting in the form of financial statement information that is the representation of management. We have not audited or reviewed the Plan's statement of net assets available for benefits as of December 31, 2008, and, accordingly, do not express an opinion or any other form of assurance on it.

Peterson Sullivan LLP

October 13, 2010

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31, 2009 and 2008

ASSETS	2009 (Audited)	2008 (Compiled)
Investments, at fair value		
Mutual funds	\$ 76,289,438	\$ 58,707,227
Investment contracts	36,763,852	34,169,227
Pooled separate accounts	1,808,804	2,815,839
Total investments	114,862,094	95,692,293
Participant loans receivable	57,554	50,190
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 114,919,648</u>	<u>\$ 95,742,483</u>

See Notes to Financial Statements

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

For the Year Ended December 31, 2009

Additions to Net Assets	
Investment income	
Net appreciation in fair value of investments	\$ 15,512,123
Dividends and interest	740,464
Contributions	
Employer's	6,960,237
Participants'	1,611,312
Rollovers from other qualified plans	39,699
Total additions	<u>24,863,835</u>
Deductions from net assets	
Benefits paid to participants	5,321,540
Administrative expenses	4,979
Total deductions	<u>5,326,519</u>
Transfers, net	<u>(360,151)</u>
Net increase	19,177,165
Net assets available for benefits	
Beginning of year	<u>95,742,483</u>
End of year	<u><u>\$ 114,919,648</u></u>

See Notes to Financial Statements

NOTES TO FINANCIAL STATEMENTS

Note 1. Description of Plan

The following brief description of the Seattle University Defined Contribution Retirement Plan ("the Plan") is provided for general information purposes only. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan created for the benefit of eligible employees of Seattle University ("the University"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Eligibility and Vesting

All regular employees of the University are eligible to participate in the Plan after one year of service. A regular employee is defined as an employee who is not (1) an employee who participates in the retirement plan of his or her religious order; (2) a faculty member with an annual contract for less than 17.5 work units; (3) a student employee; and (4) any employee who is customarily employed on a part-time, temporary or irregular basis for less than 1,000 hours of service per year. Also, regular full-time employees that have completed two continuous years of full-time employment at accredited institutions of higher education prior to beginning employment at the University, and are at least 21 years of age, are eligible to participate in the plan on the first day of the first full month following employment with the University. Employer contributions are immediately 100% vested when they are made.

Contributions

The University makes a contribution of 10% of a participant's compensation up to the annual limits set by the Internal Revenue Service. Compensation is defined for faculty as the salary stated in the academic year contract or appointment letter. For all other employees, compensation is defined as basic annual earnings, excluding overtime pay, bonuses, and any other forms of supplemental remuneration.

The Plan was established to receive contributions from the University. It also allows the participants to contribute their pre-tax contributions up to the annual limits set by the Internal Revenue Service. Furthermore, the participants may also contribute amounts representing distributions from other qualified plans ("rollovers from other qualified plans").

Participant Accounts

Each participant's account is credited with the participant's contribution, allocations of the University's contributions, and the Plan earnings and losses, and charged with an allocation of Plan expenses. Allocations of earnings and losses are based on a participant's account balance. A participant is entitled to the benefit that can be provided from the participant's vested account.

The Plan has two custodians, TIAA-CREF and Fidelity Investments ("Fidelity"). Participants can direct their contributions to any of the investments sponsored by the custodians for the Plan.

Participant Loans

Participants with investments managed by Fidelity may borrow from their account balances for the purchase of a primary residence or to pay for post-secondary education costs for the employee spouse or dependents. Loans are made from a minimum of \$1,000 and up to a maximum of 40% of the total vested account balance, or \$50,000, whichever is less. Interest is charged at a rate equal to the quarterly Moody's Corporate Bond Index at the time of loan issuance. Loan terms range from 1 to 5 years, or up to 15 years for the purchase of a primary residence. The loans are secured by allocating 125% of the outstanding loan balance to a separate account within the Plan as collateral. Only one loan may be outstanding at any given time. Principal and interest payments on the outstanding balance are made by payroll deductions.

Two participant loans represent approximately 78% of the participant loans receivable balance at December 31, 2009. One participant loan represents approximately 75% of the participant loans receivable balance at December 31, 2008.

Loans to Participants

Participants with investments sponsored by TIAA-CREF may borrow from funds owned by TIAA-CREF (not directly from a participant's account). Therefore, the loans are not included in the Plan's assets.

Payment of Benefits

Upon termination of service, a participant or the participant's beneficiary is entitled to receive a lump-sum amount equal to the value of the participant's vested interest in his or her account, or at the participant's election, in annual installments, except that if the participant has a balance in a Retirement Annuity contract with TIAA-CREF, the distribution can be only made in ten annual installments (a lump-sum withdrawal is not allowed). Distributions can also be made to participants during their employment with the University if they meet certain requirements as defined within the Plan document.

Forfeitures

There is no terminated participant's account that is not vested ("forfeitures") as all contributions are fully vested when a participant is eligible to participate in the Plan.

Plan Changes

The University reserves the right to amend or terminate the Plan at any time. However, no such amendment or termination will deprive any participant of any vested interest in the Plan as all contributions and related earnings are 100% vested at all times.

Administrative Expenses

Administrative expenses relate to Plan administration and custodianship of investments. The majority of these expenses are paid by the University.

Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Note 2. Summary of Significant Accounting Policies

Basis of Accounting

The financial statements of the Plan are prepared using the accrual method of accounting.

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States requires the Plan's management to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

Contributions

Contributions from the University are recorded in the period in which the related employee compensation is paid. Contributions from participants are recorded in the period in which they are withheld from the participant's compensation.

Payment of Benefits

Benefits paid to participants are recorded when paid.

Transfers

These represent net transfers from and to another qualified employee benefit plan (Seattle University Tax Deferred Annuity 403(b) Plan) sponsored by the Plan Sponsor. The participants in both plans can transfer their balances between the plans anytime.

Investment and Investment Income Recognition

The Plan's investments consist of mutual funds, investment contracts, and pooled separate accounts. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

Fair Value Measurements

Fair value is defined as an exit price, representing the amount that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants. As such, fair value is a market-based measurement determined based on assumptions that market participants would use in pricing an asset or liability. There are three levels which prioritize the inputs used in measuring fair value as follows:

- Level 1: Observable market inputs such as quoted prices (unadjusted) in active markets for identical assets or liabilities;
- Level 2: Observable market inputs, other than the quoted prices in active markets, that are observable either directly or indirectly; and
- Level 3: Unobservable inputs where there is little or no market data, which require the reporting entity to develop its own assumptions.

An asset's or liability's level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. The following is a description of the valuation methodologies used for investments measured at fair value, including a general description of the Plan's investments.

Mutual Funds:

The fair value of mutual funds sponsored by Fidelity is based on quoted prices in active markets and is classified within Level 1 of the fair value hierarchy. The fair value of mutual funds sponsored by TIAA-CREF is determined using the Net Asset Value ("NAV") provided by the administrators of the funds. The NAV is based on the value of the underlying assets, less any liabilities, and then divided by the number of shares outstanding. The NAV is classified as Level 2 of the fair value hierarchy because the NAV's unit price is quoted in a market that is not active; however, the unit price is based on underlying investments which are traded on an active market.

Investment Contracts:

These represent investments in guaranteed investment contracts with insurance companies. The fair value equals its contract value, which represents contributions made under the contract plus earnings, less participant withdrawals and administrative expenses. The inputs used to determine the fair value measurement of this investment are classified as Level 3 of the fair value hierarchy described above.

Pooled Separate Accounts:

The pooled separate accounts consist of a wide variety of underlying investments that are primarily commercial real estate. All investments are classified as Level 3 of the fair value hierarchy because it mainly invests in commercial real estate. The fair value of the underlying real estate is estimated using discounted cash flow valuation models that utilize public real estate market data inputs such as transaction prices, market rents, vacancy levels, leasing absorption, market cap rates, and discount rates. Each property is appraised annually by an independent appraiser.

Participant Loans:

Participant loans are valued at cost plus accrued interest, which approximates fair value and are classified within Level 3 of the fair value hierarchy.

The following table represents information about the Plan's assets that have been measured at fair value on a recurring basis as of December 31, 2009 and 2008, and indicated classification by level of inputs within the fair value hierarchy described above.

Fair Value Measurements at December 31, 2009:				Total Fair Value
	<u>Level 1 Inputs</u>	<u>Level 2 Inputs</u>	<u>Level 3 Inputs</u>	
Mutual Funds				
Blended funds	\$ 6,007,902	\$ -	\$ -	\$ 6,007,902
Balanced funds	680,828	5,439,218		6,120,046
Fixed income funds	1,383,340	5,717,730		7,101,070
Equity funds	9,624,841	33,816,724		43,441,565
International/global funds	2,891,199	2,726,805		5,618,004
Growth funds	930,303			930,303
Money market/ short-term funds	6,760,020			6,760,020
Real estate funds	245,548			245,548
Value funds	63,507			63,507
Other	1,473			1,473
Total mutual funds	28,588,961	47,700,477		76,289,438
Investment Contracts				
Traditional annuity			36,763,852	36,763,852
Pooled Separate Accounts				
Real estate funds			1,808,804	1,808,804
Participant Loan Receivable			57,554	57,554
	<u>\$ 28,588,961</u>	<u>\$ 47,700,477</u>	<u>\$ 38,630,210</u>	<u>\$ 114,919,648</u>

**Fair Value Measurements at
December 31, 2008:**

	<u>Level 1 Inputs</u>	<u>Level 2 Inputs</u>	<u>Level 3 Inputs</u>	<u>Total Fair Value</u>
Mutual Funds				
Blended funds	\$ 2,957,155	\$ -	\$ -	\$ 2,957,155
Balanced funds	560,963	4,193,519		4,754,482
Fixed income funds	1,205,393	5,231,257		6,436,650
Equity funds	6,745,504	25,664,989		32,410,493
International/global funds	2,002,870	2,105,397		4,108,267
Growth funds	634,856			634,856
Money market/ short-term funds	7,210,062			7,210,062
Real estate funds	129,657			129,657
Value funds	54,668			54,668
Other	10,937			10,937
Total mutual funds	21,512,065	37,195,162		58,707,227
Investment Contracts				
Traditional annuity			34,169,227	34,169,227
Pooled Separate Accounts				
Real estate funds			2,815,839	2,815,839
Participant Loan Receivable			50,190	50,190
	<u>\$ 21,512,065</u>	<u>\$ 37,195,162</u>	<u>\$ 37,035,256</u>	<u>\$ 95,742,483</u>

The table below is a summary of changes in the fair value of the Plan's Level 3 assets for the year ended December 31, 2009 and 2008:

	Investment Contracts	Pooled Separate Accounts	Participant Loans
Balance, December 31, 2007	\$ 31,910,156	\$ 4,196,569	\$ 72,017
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	1,742,136	(467,466)	
Purchases, sales, issuances, and settlements, net	516,935	(913,264)	
Loan issuances			21,598
Loan repayments			(43,425)
Balance, December 31, 2008	34,169,227	2,815,839	50,190
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	1,456,511	(743,197)	
Purchases, sales, issuances, and settlements, net	1,138,114	(263,838)	
Loan issuances			19,450
Loan repayments			(12,086)
Balance, December 31, 2009	<u>\$ 36,763,852</u>	<u>\$ 1,808,804</u>	<u>\$ 57,554</u>

Subsequent Events

The Plan has evaluated subsequent events through the date these financial statements were available to be issued, which was the date of the independent auditors' report.

Note 3. Tax Status

The Plan terms have been drafted in reliance on the sample language provided by the Internal Revenue Service in Revenue Procedures 2007-71. The Plan Administrator intends to apply for a determination letter on the Plan when the government opens such a program. The Plan is required to operate in conformity with the Internal Revenue Code ("the Code") to maintain its tax exemption. Plan management believes the Plan is currently designed and operated in compliance with the applicable requirements of the Code. Therefore, no provision for income tax has been included in the Plan's financial statements.

The Plan's Form 5500 (Annual Return/Report of Employee Benefit Plan) is open to examination for the year ended December 31, 2009.

Note 4. Investments

The following investments at December 31, 2009 and 2008, represent 5% or more of the Plan's net assets available for benefits at that date:

	<u>2009</u>	<u>2008</u>
Investments in Investment Contracts		
TIAA Traditional Annuity Contracts	\$ 36,423,465	\$ 31,718,912
Investments in Mutual Funds		
CREF Stock	27,821,045	21,114,070
CREF Money Market	6,281,079	6,807,460
Other investments less than 5%	44,336,505	36,051,851
	<u>\$ 114,862,094</u>	<u>\$ 95,692,293</u>

Note 5. Information Prepared and Certified by Custodian

The following information included in the financial statements and supplemental schedule for the year ended December 31, 2009, was obtained from data that has been prepared and certified as complete and accurate by the custodians, TIAA-CREF and Fidelity:

Investments, at fair value	
TIAA-CREF	\$ 98,904,093
Fidelity	<u>15,958,001</u>
Total	<u>\$ 114,862,094</u>
Investment income	
TIAA-CREF	\$ 12,532,541
Fidelity	<u>3,718,147</u>
Total	<u>\$ 16,250,688</u>

Note 7. Party-in-Interest Transactions

The Plan's investments at December 31, 2009 and 2008, were managed or sponsored by the Plan's custodians, as defined by the Plan, and therefore, these transactions qualify as party-in-interest transactions.

S U P P L E M E N T A R Y S C H E D U L E

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	TIAA Traditional Annuity Contracts	Investment Contracts	**	\$ 36,423,465
*	CREF Stock	Mutual Funds	**	27,821,045
*	CREF Money Market	Mutual Funds	**	6,281,079
*	CREF Social Choice	Mutual Funds	**	5,439,218
*	CREF Growth	Mutual Funds	**	3,439,014
*	CREF Bond Market	Mutual Funds	**	2,941,974
*	CREF Inflation-Linked Bond	Mutual Funds	**	2,775,756
*	CREF Global Equities	Mutual Funds	**	2,726,806
*	CREF Equity Index	Mutual Funds	**	2,556,665
*	TIAA Real Estate	Pooled Separate Account	**	1,808,804
*	Fidelity Magellen	Mutual Funds	**	1,079,834
*	Fidelity Contra Fund	Mutual Funds	**	931,733
*	TIAA-CREF International Equity-Rtmt	Mutual Funds	**	737,306
*	Fidelity Blue Chip Growth	Mutual Funds	**	667,668
*	Fidelity Growth Company	Mutual Funds	**	552,471
*	TIAA-CREF Lifecycle 2040-Rtmt	Mutual Funds	**	550,319
*	Fidelity Freedom 2020	Mutual Funds	**	522,506
*	Fidelity Freedom 2030	Mutual Funds	**	521,161
*	TIAA-CREF Lifecycle 2035-Rtmt	Mutual Funds	**	517,089
*	TIAA-CREF International Equity Index-Rtmt	Mutual Funds	**	481,879
*	TIAA-CREF Social Change Equity-Rtmt	Mutual Funds	**	470,522
*	Fidelity Equity Income	Mutual Funds	**	450,039
*	Fidelity Spartan 500 Index	Mutual Funds	**	438,765
*	Fidelity Overseas	Mutual Funds	**	437,028
*	TIAA-CREF Lifecycle 2030-Rtmt	Mutual Funds	**	394,047
*	TIAA-CREF Mid-Cap Val-Rtmt	Mutual Funds	**	388,429
*	Fidelity Freedom 2010	Mutual Funds	**	382,292
*	Fidelity Freedom 2015	Mutual Funds	**	379,550
*	Fidelity Balanced	Mutual Funds	**	374,999
*	TIAA-CREF Growth & Income-Rtmt	Mutual Funds	**	372,574
*	Fidelity ASSET MGR 50%	Mutual Funds	**	365,605
*	Fidelity Low Risk Stock	Mutual Funds	**	337,066
*	Fidelity Diversified International	Mutual Funds	**	334,857
*	TIAA-CREF Lifecycle 2020-Rtmt	Mutual Funds	**	332,027
	Metlife Fixed - old	Investment Contracts	**	323,923
*	Fidelity Freedom 2040	Mutual Funds	**	311,754
*	Fidelity Freedom 2035	Mutual Funds	**	308,795
*	TIAA-CREF Mid-Cap Growth-Rtmt	Mutual Funds	**	301,624
*	TIAA-CREF S&P 500 Idx-Rtmt	Mutual Funds	**	281,915
*	Fidelity Growth & Income	Mutual Funds	**	277,271
*	Fidelity Puritan	Mutual Funds	**	262,349
*	TIAA-CREF Large-Cap Value-Rtmt	Mutual Funds	**	260,614
*	Fidelity Spartan Total Market Index	Mutual Funds	**	259,315

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Capital & Income	Mutual Funds	**	\$ 253,911
*	Fidelity Asset Manager 70%	Mutual Funds	**	253,821
*	Fidelity OTC Portfolio	Mutual Funds	**	251,503
*	TIAA-CREF Real Estate Secs-Rtmt	Mutual Funds	**	245,548
*	Fidelity Capital Appreciation	Mutual Funds	**	223,348
*	TIAA-CREF Lifecycle 2025-Rtmt	Mutual Funds	**	212,910
*	TIAA-CREF Small Cap Blend Index-Retirement	Mutual Funds	**	210,989
*	Fidelity US Bond Index	Mutual Funds	**	208,588
*	Fidelity Intermediate Bond	Mutual Funds	**	196,984
*	TIAA-CREF Lifecycle 2015-Rtmt	Mutual Funds	**	188,669
*	TIAA-CREF Equity Index-Rtmt	Mutual Funds	**	184,583
*	TIAA-CREF Small-Cap Equity-Rtmt	Mutual Funds	**	178,863
*	Fidelity Freedom 2025	Mutual Funds	**	178,823
*	Fidelity Cash Reserve	Mutual Funds	**	175,966
*	Fidelity Spartan International Index	Mutual Funds	**	174,168
*	Fidelity Dividend Growth	Mutual Funds	**	156,395
*	Fidelity Value	Mutual Funds	**	148,372
*	TIAA-CREF Lifecycle 2010-Rtmt	Mutual Funds	**	137,288
*	Fidelity Leveraged Company Stock	Mutual Funds	**	136,638
*	Fidelity Canada	Mutual Funds	**	128,982
*	Fidelity Select Gold	Mutual Funds	**	127,015
*	Fidelity Select Energy	Mutual Funds	**	125,433
*	Fidelity Disciplined Equity	Mutual Funds	**	118,326
*	Fidelity US Treasury Money Market	Mutual Funds	**	117,852
*	Fidelity Inflation-Protected Bond	Mutual Funds	**	114,945
*	Fidelity Investment Growth Bond	Mutual Funds	**	113,356
*	Fidelity GNMA	Mutual Funds	**	112,079
*	Fidelity Strategic Income	Mutual Funds	**	111,670
*	Fidelity Mid CAP Stock	Mutual Funds	**	111,242
*	TIAA-CREF Lifecycle 2045-Rtmt	Mutual Funds	**	106,610
*	Fidelity Select Natural Gas	Mutual Funds	**	101,384
*	Fidelity China Region	Mutual Funds	**	100,752
*	Fidelity Independence	Mutual Funds	**	100,152
*	Fidelity Growth Discovery	Mutual Funds	**	87,283
*	Fidelity Pacific Basin	Mutual Funds	**	84,743
*	Fidelity Latin America	Mutual Funds	**	79,027
*	Fidelity Select Energy Services	Mutual Funds	**	74,185
*	Fidelity Government Income	Mutual Funds	**	71,932
*	Fidelity Growth Strategies	Mutual Funds	**	71,835
*	Fidelity Asset Manager 20%	Mutual Funds	**	69,156
*	Fidelity Emerging Markets	Mutual Funds	**	66,481
*	TIAA-CREF Large-Cap Value Index-Rtmt	Mutual Funds	**	65,259

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Small Cap Stock	Mutual Funds	**	\$ 62,874
*	Fidelity Short-Term Bond	Mutual Funds	**	60,932
*	Fidelity Export & Multinational	Mutual Funds	**	60,453
*	Fidelity Select Software	Mutual Funds	**	58,731
*	Fidelity Freedom 2000	Mutual Funds	**	56,489
*	Fidelity Total Bond	Mutual Funds	**	52,833
*	Fidelity New Millennium	Mutual Funds	**	51,424
*	Fidelity Small CAP Independent	Mutual Funds	**	50,634
*	Fidelity Select Wireless	Mutual Funds	**	50,021
*	Fidelity Small Cap Growth	Mutual Funds	**	48,743
*	Fidelity Select Medical Equipment and Systems	Mutual Funds	**	48,417
*	Fidelity Select Computers	Mutual Funds	**	48,301
*	Fidelity Select Technology	Mutual Funds	**	47,380
*	Fidelity Select Natural Resources	Mutual Funds	**	46,671
*	Fidelity FREEDOM 2045	Mutual Funds	**	46,051
*	Fidelity Europe	Mutual Funds	**	44,447
*	Fidelity Asset Manager 85%	Mutual Funds	**	43,365
*	Fidelity Money Market	Mutual Funds	**	42,991
*	Fidelity Large Cap Value	Mutual Funds	**	42,844
*	Fidelity US Government Resources	Mutual Funds	**	42,694
*	Fidelity International Discovery	Mutual Funds	**	41,340
*	Fidelity Europe Capital Appreciation	Mutual Funds	**	40,583
*	Fidelity Mega CAP Stock	Mutual Funds	**	38,679
*	TIAA-CREF Large-Cap Growth Idx-Rtmt	Mutual Funds	**	38,592
*	Fidelity RET Government Money Market	Mutual Funds	**	37,520
*	Fidelity Retirement Money Market	Mutual Funds	**	36,408
*	Fidelity Telecom & Utilities	Mutual Funds	**	36,239
*	Fidelity Fifty	Mutual Funds	**	36,199
*	Fidelity Convertible Securities	Mutual Funds	**	34,729
*	Fidelity Worldwide	Mutual Funds	**	32,727
*	TIAA-CREF Lifecycle 2050-Rtmt	Mutual Funds	**	32,612
*	Fidelity FREEDOM 2050	Mutual Funds	**	32,304
*	Fidelity International Growth	Mutual Funds	**	29,945
*	Fidelity Equity Income II	Mutual Funds	**	26,820
*	Fidelity Intermediate Government Income	Mutual Funds	**	26,520
*	Fidelity Spartan Extended Market Index	Mutual Funds	**	25,428
*	Fidelity Mid CAP Growth	Mutual Funds	**	24,484
*	Fidelity International Capital Appreciation	Mutual Funds	**	23,886
*	Fidelity Mid CAP Value	Mutual Funds	**	23,496
*	Fidelity Select Money Market	Mutual Funds	**	22,744
*	Fidelity Institutional Short-Term Government	Mutual Funds	**	19,358

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Blue Chip Value	Mutual Funds	**	\$ 18,077
*	Fidelity Small Cap Discovery	Mutual Funds	**	16,631
	Metlife Fixed - new	Investment Contracts	**	16,464
*	Fidelity International Small CAP	Mutual Funds	**	16,313
*	Fidelity Select Healthcare	Mutual Funds	**	16,233
*	Fidelity Nordic	Mutual Funds	**	15,298
*	Fidelity Freedom Income	Mutual Funds	**	14,333
*	Fidelity Select Chemicals	Mutual Funds	**	14,009
*	Fidelity Spartan International Treasury Index Investment	Mutual Funds	**	13,561
*	Fidelity Southeast Asia	Mutual Funds	**	13,552
*	Fidelity Select Defense	Mutual Funds	**	12,664
*	Fidelity Focused Stock	Mutual Funds	**	12,415
*	Fidelity Select Brokerage	Mutual Funds	**	11,994
*	Fidelity High Income	Mutual Funds	**	11,932
*	Fidelity Stock Selector	Mutual Funds	**	11,619
*	Fidelity Select Insurance	Mutual Funds	**	11,367
*	Fidelity Select Biotech	Mutual Funds	**	11,109
*	Fidelity Select Retailing	Mutual Funds	**	9,493
*	Fidelity NASDAQ Composite Index	Mutual Funds	**	9,425
*	Fidelity New Market Income	Mutual Funds	**	9,390
*	Fidelity Select Financial	Mutual Funds	**	9,274
*	Fidelity Select Medical Delivery	Mutual Funds	**	9,239
*	Fidelity Small Cap Value	Mutual Funds	**	8,499
*	Fidelity Select Leisure	Mutual Funds	**	7,704
*	Fidelity Asset Mgr 60%	Mutual Funds	**	7,507
*	Fidelity Global Balanced	Mutual Funds	**	6,452
*	Fidelity Select Transportation	Mutual Funds	**	6,436
*	Fidelity Real Estate Investment	Mutual Funds	**	6,395
*	Fidelity Large Cap Growth	Mutual Funds	**	5,961
*	Fidelity Strategy Dividend & Income	Mutual Funds	**	5,902
*	Fidelity Real Estate Income	Mutual Funds	**	5,693
*	Fidelity Select Electronics	Mutual Funds	**	5,187
*	Fidelity Spartan Treasury Index Investment	Mutual Funds	**	5,128
*	Fidelity Select Materials	Mutual Funds	**	4,902
*	Fidelity Japan Smaller Companies	Mutual Funds	**	3,887
*	Fidelity Select Multimedia	Mutual Funds	**	3,512
*	Fidelity Select Industrials	Mutual Funds	**	3,143
*	Fidelity Value Discovery	Mutual Funds	**	3,028
*	Fidelity Government Money Market	Mutual Funds	**	2,765
*	Fidelity Select Utilities	Mutual Funds	**	2,677
*	Fidelity Select Environment and Alternative Energy	Mutual Funds	**	2,632

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR) (Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Japan	Mutual Funds	**	\$ 2,567
*	Fidelity International Real Estate	Mutual Funds	**	2,359
*	Fidelity Strategy Real Return	Mutual Funds	**	2,299
*	Fidelity Select Air Transport	Mutual Funds	**	2,122
*	Fidelity Select Communication Equipment	Mutual Funds	**	1,686
*	Fidelity Fidelity	Mutual Funds	**	1,472
*	Fidelity International Small CAP Opp	Mutual Funds	**	1,430
*	Fidelity Select Telecom	Mutual Funds	**	717
*	Fidelity Select Banking	Mutual Funds	**	292
*	Fidelity Spartan Long-Term Treasury Index Investment	Mutual Funds	**	222
*	Participant Loans	Interest rates of 6.00% - 6.75%, maturing from September 2010 through September 2020	**	57,554

* Denote party-in-interest

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SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
*	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost	Current Value
*	TIAA Traditional Annuity Contracts	Investment Contracts	**	\$ 36,423,465
*	CREF Stock	Mutual Funds	**	27,821,045
*	CREF Money Market	Mutual Funds	**	6,281,079
*	CREF Social Choice	Mutual Funds	**	5,439,218
*	CREF Growth	Mutual Funds	**	3,439,014
*	CREF Bond Market	Mutual Funds	**	2,941,974
*	CREF Inflation-Linked Bond	Mutual Funds	**	2,775,756
*	CREF Global Equities	Mutual Funds	**	2,726,806
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*	Fidelity Magellen	Mutual Funds	**	1,079,834
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*	TIAA-CREF International Equity-Rtmt	Mutual Funds	**	737,306
*	Fidelity Blue Chip Growth	Mutual Funds	**	667,668
*	Fidelity Growth Company	Mutual Funds	**	552,471
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*	TIAA-CREF Lifecycle 2020-Rtmt	Mutual Funds	**	332,027
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*	Fidelity Freedom 2040	Mutual Funds	**	311,754
*	Fidelity Freedom 2035	Mutual Funds	**	308,795
*	TIAA-CREF Mid-Cap Growth-Rtmt	Mutual Funds	**	301,624
*	TIAA-CREF S&P 500 Idx-Rtmt	Mutual Funds	**	281,915
*	Fidelity Growth & Income	Mutual Funds	**	277,271
*	Fidelity Puritan	Mutual Funds	**	262,349
*	TIAA-CREF Large-Cap Value-Rtmt	Mutual Funds	**	260,614
*	Fidelity Spartan Total Market Index	Mutual Funds	**	259,315

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SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
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*	Fidelity OTC Portfolio	Mutual Funds	**	251,503
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*	Fidelity US Bond Index	Mutual Funds	**	208,588
*	Fidelity Intermediate Bond	Mutual Funds	**	196,984
*	TIAA-CREF Lifecycle 2015-Rtmt	Mutual Funds	**	188,669
*	TIAA-CREF Equity Index-Rtmt	Mutual Funds	**	184,583
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*	Fidelity Freedom 2025	Mutual Funds	**	178,823
*	Fidelity Cash Reserve	Mutual Funds	**	175,966
*	Fidelity Spartan International Index	Mutual Funds	**	174,168
*	Fidelity Dividend Growth	Mutual Funds	**	156,395
*	Fidelity Value	Mutual Funds	**	148,372
*	TIAA-CREF Lifecycle 2010-Rtmt	Mutual Funds	**	137,288
*	Fidelity Leveraged Company Stock	Mutual Funds	**	136,638
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*	Fidelity Select Energy	Mutual Funds	**	125,433
*	Fidelity Disciplined Equity	Mutual Funds	**	118,326
*	Fidelity US Treasury Money Market	Mutual Funds	**	117,852
*	Fidelity Inflation-Protected Bond	Mutual Funds	**	114,945
*	Fidelity Investment Growth Bond	Mutual Funds	**	113,356
*	Fidelity GNMA	Mutual Funds	**	112,079
*	Fidelity Strategic Income	Mutual Funds	**	111,670
*	Fidelity Mid CAP Stock	Mutual Funds	**	111,242
*	TIAA-CREF Lifecycle 2045-Rtmt	Mutual Funds	**	106,610
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*	Fidelity Growth Discovery	Mutual Funds	**	87,283
*	Fidelity Pacific Basin	Mutual Funds	**	84,743
*	Fidelity Latin America	Mutual Funds	**	79,027
*	Fidelity Select Energy Services	Mutual Funds	**	74,185
*	Fidelity Government Income	Mutual Funds	**	71,932
*	Fidelity Growth Strategies	Mutual Funds	**	71,835
*	Fidelity Asset Manager 20%	Mutual Funds	**	69,156
*	Fidelity Emerging Markets	Mutual Funds	**	66,481
*	TIAA-CREF Large-Cap Value Index-Rtmt	Mutual Funds	**	65,259

* Denote party-in-interest

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SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

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(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Small Cap Stock	Mutual Funds	**	\$ 62,874
*	Fidelity Short-Term Bond	Mutual Funds	**	60,932
*	Fidelity Export & Multinational	Mutual Funds	**	60,453
*	Fidelity Select Software	Mutual Funds	**	58,731
*	Fidelity Freedom 2000	Mutual Funds	**	56,489
*	Fidelity Total Bond	Mutual Funds	**	52,833
*	Fidelity New Millennium	Mutual Funds	**	51,424
*	Fidelity Small CAP Independent	Mutual Funds	**	50,634
*	Fidelity Select Wireless	Mutual Funds	**	50,021
*	Fidelity Small Cap Growth	Mutual Funds	**	48,743
*	Fidelity Select Medical Equipment and Systems	Mutual Funds	**	48,417
*	Fidelity Select Computers	Mutual Funds	**	48,301
*	Fidelity Select Technology	Mutual Funds	**	47,380
*	Fidelity Select Natural Resources	Mutual Funds	**	46,671
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*	Fidelity Europe	Mutual Funds	**	44,447
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*	Fidelity Money Market	Mutual Funds	**	42,991
*	Fidelity Large Cap Value	Mutual Funds	**	42,844
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*	Fidelity Europe Capital Appreciation	Mutual Funds	**	40,583
*	Fidelity Mega CAP Stock	Mutual Funds	**	38,679
*	TIAA-CREF Large-Cap Growth Idx-Rtmt	Mutual Funds	**	38,592
*	Fidelity RET Government Money Market	Mutual Funds	**	37,520
*	Fidelity Retirement Money Market	Mutual Funds	**	36,408
*	Fidelity Telecom & Utilities	Mutual Funds	**	36,239
*	Fidelity Fifty	Mutual Funds	**	36,199
*	Fidelity Convertible Securities	Mutual Funds	**	34,729
*	Fidelity Worldwide	Mutual Funds	**	32,727
*	TIAA-CREF Lifecycle 2050-Rtmt	Mutual Funds	**	32,612
*	Fidelity FREEDOM 2050	Mutual Funds	**	32,304
*	Fidelity International Growth	Mutual Funds	**	29,945
*	Fidelity Equity Income II	Mutual Funds	**	26,820
*	Fidelity Intermediate Government Income	Mutual Funds	**	26,520
*	Fidelity Spartan Extended Market Index	Mutual Funds	**	25,428
*	Fidelity Mid CAP Growth	Mutual Funds	**	24,484
*	Fidelity International Capital Appreciation	Mutual Funds	**	23,886
*	Fidelity Mid CAP Value	Mutual Funds	**	23,496
*	Fidelity Select Money Market	Mutual Funds	**	22,744
*	Fidelity Institutional Short-Interm Government	Mutual Funds	**	19,358

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Blue Chip Value	Mutual Funds	**	\$ 18,077
*	Fidelity Small Cap Discovery	Mutual Funds	**	16,631
	Metlife Fixed - new	Investment Contracts	**	16,464
*	Fidelity International Small CAP	Mutual Funds	**	16,313
*	Fidelity Select Healthcare	Mutual Funds	**	16,233
*	Fidelity Nordic	Mutual Funds	**	15,298
*	Fidelity Freedom Income	Mutual Funds	**	14,333
*	Fidelity Select Chemicals	Mutual Funds	**	14,009
*	Fidelity Spartan International Treasury Index Investment	Mutual Funds	**	13,561
*	Fidelity Southeast Asia	Mutual Funds	**	13,552
*	Fidelity Select Defense	Mutual Funds	**	12,664
*	Fidelity Focused Stock	Mutual Funds	**	12,415
*	Fidelity Select Brokerage	Mutual Funds	**	11,994
*	Fidelity High Income	Mutual Funds	**	11,932
*	Fidelity Stock Selector	Mutual Funds	**	11,619
*	Fidelity Select Insurance	Mutual Funds	**	11,367
*	Fidelity Select Biotech	Mutual Funds	**	11,109
*	Fidelity Select Retailing	Mutual Funds	**	9,493
*	Fidelity NASDAQ Composite Index	Mutual Funds	**	9,425
*	Fidelity New Market Income	Mutual Funds	**	9,390
*	Fidelity Select Financial	Mutual Funds	**	9,274
*	Fidelity Select Medical Delivery	Mutual Funds	**	9,239
*	Fidelity Small Cap Value	Mutual Funds	**	8,499
*	Fidelity Select Leisure	Mutual Funds	**	7,704
*	Fidelity Asset Mgr 60%	Mutual Funds	**	7,507
*	Fidelity Global Balanced	Mutual Funds	**	6,452
*	Fidelity Select Transportation	Mutual Funds	**	6,436
*	Fidelity Real Estate Investment	Mutual Funds	**	6,395
*	Fidelity Large Cap Growth	Mutual Funds	**	5,961
*	Fidelity Strategy Dividend & Income	Mutual Funds	**	5,902
*	Fidelity Real Estate Income	Mutual Funds	**	5,693
*	Fidelity Select Electronics	Mutual Funds	**	5,187
*	Fidelity Spartan Treasury Index Investment	Mutual Funds	**	5,128
*	Fidelity Select Materials	Mutual Funds	**	4,902
*	Fidelity Japan Smaller Companies	Mutual Funds	**	3,887
*	Fidelity Select Multimedia	Mutual Funds	**	3,512
*	Fidelity Select Industrials	Mutual Funds	**	3,143
*	Fidelity Value Discovery	Mutual Funds	**	3,028
*	Fidelity Government Money Market	Mutual Funds	**	2,765
*	Fidelity Select Utilities	Mutual Funds	**	2,677
*	Fidelity Select Environment and Alternative Energy	Mutual Funds	**	2,632

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR) (Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Japan	Mutual Funds	**	\$ 2,567
*	Fidelity International Real Estate	Mutual Funds	**	2,359
*	Fidelity Strategy Real Return	Mutual Funds	**	2,299
*	Fidelity Select Air Transport	Mutual Funds	**	2,122
*	Fidelity Select Communication Equipment	Mutual Funds	**	1,686
*	Fidelity Fidelity	Mutual Funds	**	1,472
*	Fidelity International Small CAP Opp	Mutual Funds	**	1,430
*	Fidelity Select Telecom	Mutual Funds	**	717
*	Fidelity Select Banking	Mutual Funds	**	292
*	Fidelity Spartan Long-Term Treasury Index Investment	Mutual Funds	**	222
*	Participant Loans	Interest rates of 6.00% - 6.75%, maturing from September 2010 through September 2020	**	57,554

* Denote party-in-interest

** Information is not required as investments are participant-directed.

**SEATTLE UNIVERSITY DEFINED
CONTRIBUTION RETIREMENT PLAN**

FINANCIAL REPORT

DECEMBER 31, 2009

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INDEPENDENT AUDITORS' REPORT

To the Plan Trustees
Seattle University Defined Contribution Retirement Plan
Seattle, Washington

We were engaged to audit the financial statements and supplementary schedule of the Seattle University Defined Contribution Retirement Plan ("the Plan") as of and for the year ended December 31, 2009, as listed in the table of contents. These financial statements are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by TIAA-CREF and Fidelity Investments, the custodians of the Plan, except for comparing the information with the related information included in the 2009 financial statements and supplementary schedule. We have been informed by the Plan administrator that the custodians hold the Plan's investment assets and execute investment transactions. The Plan administrator has obtained a certification from the custodians as of and for the year ended December 31, 2009, that the information provided to the Plan administrator by the custodians is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying 2009 financial statements and supplementary schedule taken as a whole. The form and content of the information included in the 2009 financial statements and schedule, other than that derived from the information certified by the custodians, have been audited by us in accordance with auditing standards generally accepted in the United States and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

We have compiled the accompanying statement of net assets available for benefits of the Seattle University Defined Contribution Retirement Plan as of December 31, 2008, in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

A compilation is limited to presenting in the form of financial statement information that is the representation of management. We have not audited or reviewed the Plan's statement of net assets available for benefits as of December 31, 2008, and, accordingly, do not express an opinion or any other form of assurance on it.

Peterson Sullivan LLP

October 13, 2010

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31, 2009 and 2008

ASSETS	2009 (Audited)	2008 (Compiled)
Investments, at fair value		
Mutual funds	\$ 76,289,438	\$ 58,707,227
Investment contracts	36,763,852	34,169,227
Pooled separate accounts	1,808,804	2,815,839
Total investments	114,862,094	95,692,293
Participant loans receivable	57,554	50,190
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 114,919,648</u>	<u>\$ 95,742,483</u>

See Notes to Financial Statements

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

For the Year Ended December 31, 2009

Additions to Net Assets	
Investment income	
Net appreciation in fair value of investments	\$ 15,512,123
Dividends and interest	740,464
Contributions	
Employer's	6,960,237
Participants'	1,611,312
Rollovers from other qualified plans	39,699
Total additions	<u>24,863,835</u>
Deductions from net assets	
Benefits paid to participants	5,321,540
Administrative expenses	4,979
Total deductions	<u>5,326,519</u>
Transfers, net	<u>(360,151)</u>
Net increase	19,177,165
Net assets available for benefits	
Beginning of year	<u>95,742,483</u>
End of year	<u><u>\$ 114,919,648</u></u>

See Notes to Financial Statements

NOTES TO FINANCIAL STATEMENTS

Note 1. Description of Plan

The following brief description of the Seattle University Defined Contribution Retirement Plan ("the Plan") is provided for general information purposes only. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan created for the benefit of eligible employees of Seattle University ("the University"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Eligibility and Vesting

All regular employees of the University are eligible to participate in the Plan after one year of service. A regular employee is defined as an employee who is not (1) an employee who participates in the retirement plan of his or her religious order; (2) a faculty member with an annual contract for less than 17.5 work units; (3) a student employee; and (4) any employee who is customarily employed on a part-time, temporary or irregular basis for less than 1,000 hours of service per year. Also, regular full-time employees that have completed two continuous years of full-time employment at accredited institutions of higher education prior to beginning employment at the University, and are at least 21 years of age, are eligible to participate in the plan on the first day of the first full month following employment with the University. Employer contributions are immediately 100% vested when they are made.

Contributions

The University makes a contribution of 10% of a participant's compensation up to the annual limits set by the Internal Revenue Service. Compensation is defined for faculty as the salary stated in the academic year contract or appointment letter. For all other employees, compensation is defined as basic annual earnings, excluding overtime pay, bonuses, and any other forms of supplemental remuneration.

The Plan was established to receive contributions from the University. It also allows the participants to contribute their pre-tax contributions up to the annual limits set by the Internal Revenue Service. Furthermore, the participants may also contribute amounts representing distributions from other qualified plans ("rollovers from other qualified plans").

Participant Accounts

Each participant's account is credited with the participant's contribution, allocations of the University's contributions, and the Plan earnings and losses, and charged with an allocation of Plan expenses. Allocations of earnings and losses are based on a participant's account balance. A participant is entitled to the benefit that can be provided from the participant's vested account.

The Plan has two custodians, TIAA-CREF and Fidelity Investments ("Fidelity"). Participants can direct their contributions to any of the investments sponsored by the custodians for the Plan.

Participant Loans

Participants with investments managed by Fidelity may borrow from their account balances for the purchase of a primary residence or to pay for post-secondary education costs for the employee spouse or dependents. Loans are made from a minimum of \$1,000 and up to a maximum of 40% of the total vested account balance, or \$50,000, whichever is less. Interest is charged at a rate equal to the quarterly Moody's Corporate Bond Index at the time of loan issuance. Loan terms range from 1 to 5 years, or up to 15 years for the purchase of a primary residence. The loans are secured by allocating 125% of the outstanding loan balance to a separate account within the Plan as collateral. Only one loan may be outstanding at any given time. Principal and interest payments on the outstanding balance are made by payroll deductions.

Two participant loans represent approximately 78% of the participant loans receivable balance at December 31, 2009. One participant loan represents approximately 75% of the participant loans receivable balance at December 31, 2008.

Loans to Participants

Participants with investments sponsored by TIAA-CREF may borrow from funds owned by TIAA-CREF (not directly from a participant's account). Therefore, the loans are not included in the Plan's assets.

Payment of Benefits

Upon termination of service, a participant or the participant's beneficiary is entitled to receive a lump-sum amount equal to the value of the participant's vested interest in his or her account, or at the participant's election, in annual installments, except that if the participant has a balance in a Retirement Annuity contract with TIAA-CREF, the distribution can be only made in ten annual installments (a lump-sum withdrawal is not allowed). Distributions can also be made to participants during their employment with the University if they meet certain requirements as defined within the Plan document.

Forfeitures

There is no terminated participant's account that is not vested ("forfeitures") as all contributions are fully vested when a participant is eligible to participate in the Plan.

Plan Changes

The University reserves the right to amend or terminate the Plan at any time. However, no such amendment or termination will deprive any participant of any vested interest in the Plan as all contributions and related earnings are 100% vested at all times.

Administrative Expenses

Administrative expenses relate to Plan administration and custodianship of investments. The majority of these expenses are paid by the University.

Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Note 2. Summary of Significant Accounting Policies

Basis of Accounting

The financial statements of the Plan are prepared using the accrual method of accounting.

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States requires the Plan's management to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

Contributions

Contributions from the University are recorded in the period in which the related employee compensation is paid. Contributions from participants are recorded in the period in which they are withheld from the participant's compensation.

Payment of Benefits

Benefits paid to participants are recorded when paid.

Transfers

These represent net transfers from and to another qualified employee benefit plan (Seattle University Tax Deferred Annuity 403(b) Plan) sponsored by the Plan Sponsor. The participants in both plans can transfer their balances between the plans anytime.

Investment and Investment Income Recognition

The Plan's investments consist of mutual funds, investment contracts, and pooled separate accounts. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

Fair Value Measurements

Fair value is defined as an exit price, representing the amount that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants. As such, fair value is a market-based measurement determined based on assumptions that market participants would use in pricing an asset or liability. There are three levels which prioritize the inputs used in measuring fair value as follows:

- Level 1: Observable market inputs such as quoted prices (unadjusted) in active markets for identical assets or liabilities;
- Level 2: Observable market inputs, other than the quoted prices in active markets, that are observable either directly or indirectly; and
- Level 3: Unobservable inputs where there is little or no market data, which require the reporting entity to develop its own assumptions.

An asset's or liability's level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. The following is a description of the valuation methodologies used for investments measured at fair value, including a general description of the Plan's investments.

Mutual Funds:

The fair value of mutual funds sponsored by Fidelity is based on quoted prices in active markets and is classified within Level 1 of the fair value hierarchy. The fair value of mutual funds sponsored by TIAA-CREF is determined using the Net Asset Value ("NAV") provided by the administrators of the funds. The NAV is based on the value of the underlying assets, less any liabilities, and then divided by the number of shares outstanding. The NAV is classified as Level 2 of the fair value hierarchy because the NAV's unit price is quoted in a market that is not active; however, the unit price is based on underlying investments which are traded on an active market.

Investment Contracts:

These represent investments in guaranteed investment contracts with insurance companies. The fair value equals its contract value, which represents contributions made under the contract plus earnings, less participant withdrawals and administrative expenses. The inputs used to determine the fair value measurement of this investment are classified as Level 3 of the fair value hierarchy described above.

Pooled Separate Accounts:

The pooled separate accounts consist of a wide variety of underlying investments that are primarily commercial real estate. All investments are classified as Level 3 of the fair value hierarchy because it mainly invests in commercial real estate. The fair value of the underlying real estate is estimated using discounted cash flow valuation models that utilize public real estate market data inputs such as transaction prices, market rents, vacancy levels, leasing absorption, market cap rates, and discount rates. Each property is appraised annually by an independent appraiser.

Participant Loans:

Participant loans are valued at cost plus accrued interest, which approximates fair value and are classified within Level 3 of the fair value hierarchy.

The following table represents information about the Plan's assets that have been measured at fair value on a recurring basis as of December 31, 2009 and 2008, and indicated classification by level of inputs within the fair value hierarchy described above.

Fair Value Measurements at December 31, 2009:				Total Fair Value
	<u>Level 1 Inputs</u>	<u>Level 2 Inputs</u>	<u>Level 3 Inputs</u>	
Mutual Funds				
Blended funds	\$ 6,007,902	\$ -	\$ -	\$ 6,007,902
Balanced funds	680,828	5,439,218		6,120,046
Fixed income funds	1,383,340	5,717,730		7,101,070
Equity funds	9,624,841	33,816,724		43,441,565
International/global funds	2,891,199	2,726,805		5,618,004
Growth funds	930,303			930,303
Money market/ short-term funds	6,760,020			6,760,020
Real estate funds	245,548			245,548
Value funds	63,507			63,507
Other	1,473			1,473
Total mutual funds	28,588,961	47,700,477		76,289,438
Investment Contracts				
Traditional annuity			36,763,852	36,763,852
Pooled Separate Accounts				
Real estate funds			1,808,804	1,808,804
Participant Loan Receivable			57,554	57,554
	<u>\$ 28,588,961</u>	<u>\$ 47,700,477</u>	<u>\$ 38,630,210</u>	<u>\$ 114,919,648</u>

**Fair Value Measurements at
December 31, 2008:**

	<u>Level 1 Inputs</u>	<u>Level 2 Inputs</u>	<u>Level 3 Inputs</u>	<u>Total Fair Value</u>
Mutual Funds				
Blended funds	\$ 2,957,155	\$ -	\$ -	\$ 2,957,155
Balanced funds	560,963	4,193,519		4,754,482
Fixed income funds	1,205,393	5,231,257		6,436,650
Equity funds	6,745,504	25,664,989		32,410,493
International/global funds	2,002,870	2,105,397		4,108,267
Growth funds	634,856			634,856
Money market/ short-term funds	7,210,062			7,210,062
Real estate funds	129,657			129,657
Value funds	54,668			54,668
Other	10,937			10,937
Total mutual funds	21,512,065	37,195,162		58,707,227
Investment Contracts				
Traditional annuity			34,169,227	34,169,227
Pooled Separate Accounts				
Real estate funds			2,815,839	2,815,839
Participant Loan Receivable			50,190	50,190
	<u>\$ 21,512,065</u>	<u>\$ 37,195,162</u>	<u>\$ 37,035,256</u>	<u>\$ 95,742,483</u>

The table below is a summary of changes in the fair value of the Plan's Level 3 assets for the year ended December 31, 2009 and 2008:

	Investment Contracts	Pooled Separate Accounts	Participant Loans
Balance, December 31, 2007	\$ 31,910,156	\$ 4,196,569	\$ 72,017
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	1,742,136	(467,466)	
Purchases, sales, issuances, and settlements, net	516,935	(913,264)	
Loan issuances			21,598
Loan repayments			(43,425)
Balance, December 31, 2008	34,169,227	2,815,839	50,190
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	1,456,511	(743,197)	
Purchases, sales, issuances, and settlements, net	1,138,114	(263,838)	
Loan issuances			19,450
Loan repayments			(12,086)
Balance, December 31, 2009	<u>\$ 36,763,852</u>	<u>\$ 1,808,804</u>	<u>\$ 57,554</u>

Subsequent Events

The Plan has evaluated subsequent events through the date these financial statements were available to be issued, which was the date of the independent auditors' report.

Note 3. Tax Status

The Plan terms have been drafted in reliance on the sample language provided by the Internal Revenue Service in Revenue Procedures 2007-71. The Plan Administrator intends to apply for a determination letter on the Plan when the government opens such a program. The Plan is required to operate in conformity with the Internal Revenue Code ("the Code") to maintain its tax exemption. Plan management believes the Plan is currently designed and operated in compliance with the applicable requirements of the Code. Therefore, no provision for income tax has been included in the Plan's financial statements.

The Plan's Form 5500 (Annual Return/Report of Employee Benefit Plan) is open to examination for the year ended December 31, 2009.

Note 4. Investments

The following investments at December 31, 2009 and 2008, represent 5% or more of the Plan's net assets available for benefits at that date:

	<u>2009</u>	<u>2008</u>
Investments in Investment Contracts		
TIAA Traditional Annuity Contracts	\$ 36,423,465	\$ 31,718,912
Investments in Mutual Funds		
CREF Stock	27,821,045	21,114,070
CREF Money Market	6,281,079	6,807,460
Other investments less than 5%	44,336,505	36,051,851
	<u>\$ 114,862,094</u>	<u>\$ 95,692,293</u>

Note 5. Information Prepared and Certified by Custodian

The following information included in the financial statements and supplemental schedule for the year ended December 31, 2009, was obtained from data that has been prepared and certified as complete and accurate by the custodians, TIAA-CREF and Fidelity:

Investments, at fair value	
TIAA-CREF	\$ 98,904,093
Fidelity	<u>15,958,001</u>
Total	<u>\$ 114,862,094</u>
Investment income	
TIAA-CREF	\$ 12,532,541
Fidelity	<u>3,718,147</u>
Total	<u>\$ 16,250,688</u>

Note 7. Party-in-Interest Transactions

The Plan's investments at December 31, 2009 and 2008, were managed or sponsored by the Plan's custodians, as defined by the Plan, and therefore, these transactions qualify as party-in-interest transactions.

S U P P L E M E N T A R Y S C H E D U L E

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
*	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost	Current Value
*	TIAA Traditional Annuity Contracts	Investment Contracts	**	\$ 36,423,465
*	CREF Stock	Mutual Funds	**	27,821,045
*	CREF Money Market	Mutual Funds	**	6,281,079
*	CREF Social Choice	Mutual Funds	**	5,439,218
*	CREF Growth	Mutual Funds	**	3,439,014
*	CREF Bond Market	Mutual Funds	**	2,941,974
*	CREF Inflation-Linked Bond	Mutual Funds	**	2,775,756
*	CREF Global Equities	Mutual Funds	**	2,726,806
*	CREF Equity Index	Mutual Funds	**	2,556,665
*	TIAA Real Estate	Pooled Separate Account	**	1,808,804
*	Fidelity Magellen	Mutual Funds	**	1,079,834
*	Fidelity Contra Fund	Mutual Funds	**	931,733
*	TIAA-CREF International Equity-Rtmt	Mutual Funds	**	737,306
*	Fidelity Blue Chip Growth	Mutual Funds	**	667,668
*	Fidelity Growth Company	Mutual Funds	**	552,471
*	TIAA-CREF Lifecycle 2040-Rtmt	Mutual Funds	**	550,319
*	Fidelity Freedom 2020	Mutual Funds	**	522,506
*	Fidelity Freedom 2030	Mutual Funds	**	521,161
*	TIAA-CREF Lifecycle 2035-Rtmt	Mutual Funds	**	517,089
*	TIAA-CREF International Equity Index-Rtmt	Mutual Funds	**	481,879
*	TIAA-CREF Social Change Equity-Rtmt	Mutual Funds	**	470,522
*	Fidelity Equity Income	Mutual Funds	**	450,039
*	Fidelity Spartan 500 Index	Mutual Funds	**	438,765
*	Fidelity Overseas	Mutual Funds	**	437,028
*	TIAA-CREF Lifecycle 2030-Rtmt	Mutual Funds	**	394,047
*	TIAA-CREF Mid-Cap Val-Rtmt	Mutual Funds	**	388,429
*	Fidelity Freedom 2010	Mutual Funds	**	382,292
*	Fidelity Freedom 2015	Mutual Funds	**	379,550
*	Fidelity Balanced	Mutual Funds	**	374,999
*	TIAA-CREF Growth & Income-Rtmt	Mutual Funds	**	372,574
*	Fidelity ASSET MGR 50%	Mutual Funds	**	365,605
*	Fidelity Low Risk Stock	Mutual Funds	**	337,066
*	Fidelity Diversified International	Mutual Funds	**	334,857
*	TIAA-CREF Lifecycle 2020-Rtmt	Mutual Funds	**	332,027
	Metlife Fixed - old	Investment Contracts	**	323,923
*	Fidelity Freedom 2040	Mutual Funds	**	311,754
*	Fidelity Freedom 2035	Mutual Funds	**	308,795
*	TIAA-CREF Mid-Cap Growth-Rtmt	Mutual Funds	**	301,624
*	TIAA-CREF S&P 500 Idx-Rtmt	Mutual Funds	**	281,915
*	Fidelity Growth & Income	Mutual Funds	**	277,271
*	Fidelity Puritan	Mutual Funds	**	262,349
*	TIAA-CREF Large-Cap Value-Rtmt	Mutual Funds	**	260,614
*	Fidelity Spartan Total Market Index	Mutual Funds	**	259,315

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Capital & Income	Mutual Funds	**	\$ 253,911
*	Fidelity Asset Manager 70%	Mutual Funds	**	253,821
*	Fidelity OTC Portfolio	Mutual Funds	**	251,503
*	TIAA-CREF Real Estate Secs-Rtmt	Mutual Funds	**	245,548
*	Fidelity Capital Appreciation	Mutual Funds	**	223,348
*	TIAA-CREF Lifecycle 2025-Rtmt	Mutual Funds	**	212,910
*	TIAA-CREF Small Cap Blend Index-Retirement	Mutual Funds	**	210,989
*	Fidelity US Bond Index	Mutual Funds	**	208,588
*	Fidelity Intermediate Bond	Mutual Funds	**	196,984
*	TIAA-CREF Lifecycle 2015-Rtmt	Mutual Funds	**	188,669
*	TIAA-CREF Equity Index-Rtmt	Mutual Funds	**	184,583
*	TIAA-CREF Small-Cap Equity-Rtmt	Mutual Funds	**	178,863
*	Fidelity Freedom 2025	Mutual Funds	**	178,823
*	Fidelity Cash Reserve	Mutual Funds	**	175,966
*	Fidelity Spartan International Index	Mutual Funds	**	174,168
*	Fidelity Dividend Growth	Mutual Funds	**	156,395
*	Fidelity Value	Mutual Funds	**	148,372
*	TIAA-CREF Lifecycle 2010-Rtmt	Mutual Funds	**	137,288
*	Fidelity Leveraged Company Stock	Mutual Funds	**	136,638
*	Fidelity Canada	Mutual Funds	**	128,982
*	Fidelity Select Gold	Mutual Funds	**	127,015
*	Fidelity Select Energy	Mutual Funds	**	125,433
*	Fidelity Disciplined Equity	Mutual Funds	**	118,326
*	Fidelity US Treasury Money Market	Mutual Funds	**	117,852
*	Fidelity Inflation-Protected Bond	Mutual Funds	**	114,945
*	Fidelity Investment Growth Bond	Mutual Funds	**	113,356
*	Fidelity GNMA	Mutual Funds	**	112,079
*	Fidelity Strategic Income	Mutual Funds	**	111,670
*	Fidelity Mid CAP Stock	Mutual Funds	**	111,242
*	TIAA-CREF Lifecycle 2045-Rtmt	Mutual Funds	**	106,610
*	Fidelity Select Natural Gas	Mutual Funds	**	101,384
*	Fidelity China Region	Mutual Funds	**	100,752
*	Fidelity Independence	Mutual Funds	**	100,152
*	Fidelity Growth Discovery	Mutual Funds	**	87,283
*	Fidelity Pacific Basin	Mutual Funds	**	84,743
*	Fidelity Latin America	Mutual Funds	**	79,027
*	Fidelity Select Energy Services	Mutual Funds	**	74,185
*	Fidelity Government Income	Mutual Funds	**	71,932
*	Fidelity Growth Strategies	Mutual Funds	**	71,835
*	Fidelity Asset Manager 20%	Mutual Funds	**	69,156
*	Fidelity Emerging Markets	Mutual Funds	**	66,481
*	TIAA-CREF Large-Cap Value Index-Rtmt	Mutual Funds	**	65,259

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Small Cap Stock	Mutual Funds	**	\$ 62,874
*	Fidelity Short-Term Bond	Mutual Funds	**	60,932
*	Fidelity Export & Multinational	Mutual Funds	**	60,453
*	Fidelity Select Software	Mutual Funds	**	58,731
*	Fidelity Freedom 2000	Mutual Funds	**	56,489
*	Fidelity Total Bond	Mutual Funds	**	52,833
*	Fidelity New Millennium	Mutual Funds	**	51,424
*	Fidelity Small CAP Independent	Mutual Funds	**	50,634
*	Fidelity Select Wireless	Mutual Funds	**	50,021
*	Fidelity Small Cap Growth	Mutual Funds	**	48,743
*	Fidelity Select Medical Equipment and Systems	Mutual Funds	**	48,417
*	Fidelity Select Computers	Mutual Funds	**	48,301
*	Fidelity Select Technology	Mutual Funds	**	47,380
*	Fidelity Select Natural Resources	Mutual Funds	**	46,671
*	Fidelity FREEDOM 2045	Mutual Funds	**	46,051
*	Fidelity Europe	Mutual Funds	**	44,447
*	Fidelity Asset Manager 85%	Mutual Funds	**	43,365
*	Fidelity Money Market	Mutual Funds	**	42,991
*	Fidelity Large Cap Value	Mutual Funds	**	42,844
*	Fidelity US Government Resources	Mutual Funds	**	42,694
*	Fidelity International Discovery	Mutual Funds	**	41,340
*	Fidelity Europe Capital Appreciation	Mutual Funds	**	40,583
*	Fidelity Mega CAP Stock	Mutual Funds	**	38,679
*	TIAA-CREF Large-Cap Growth Idx-Rtmt	Mutual Funds	**	38,592
*	Fidelity RET Government Money Market	Mutual Funds	**	37,520
*	Fidelity Retirement Money Market	Mutual Funds	**	36,408
*	Fidelity Telecom & Utilities	Mutual Funds	**	36,239
*	Fidelity Fifty	Mutual Funds	**	36,199
*	Fidelity Convertible Securities	Mutual Funds	**	34,729
*	Fidelity Worldwide	Mutual Funds	**	32,727
*	TIAA-CREF Lifecycle 2050-Rtmt	Mutual Funds	**	32,612
*	Fidelity FREEDOM 2050	Mutual Funds	**	32,304
*	Fidelity International Growth	Mutual Funds	**	29,945
*	Fidelity Equity Income II	Mutual Funds	**	26,820
*	Fidelity Intermediate Government Income	Mutual Funds	**	26,520
*	Fidelity Spartan Extended Market Index	Mutual Funds	**	25,428
*	Fidelity Mid CAP Growth	Mutual Funds	**	24,484
*	Fidelity International Capital Appreciation	Mutual Funds	**	23,886
*	Fidelity Mid CAP Value	Mutual Funds	**	23,496
*	Fidelity Select Money Market	Mutual Funds	**	22,744
*	Fidelity Institutional Short-Term Government	Mutual Funds	**	19,358

* Denote party-in-interest

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SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

(Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Blue Chip Value	Mutual Funds	**	\$ 18,077
*	Fidelity Small Cap Discovery	Mutual Funds	**	16,631
	Metlife Fixed - new	Investment Contracts	**	16,464
*	Fidelity International Small CAP	Mutual Funds	**	16,313
*	Fidelity Select Healthcare	Mutual Funds	**	16,233
*	Fidelity Nordic	Mutual Funds	**	15,298
*	Fidelity Freedom Income	Mutual Funds	**	14,333
*	Fidelity Select Chemicals	Mutual Funds	**	14,009
*	Fidelity Spartan International Treasury Index Investment	Mutual Funds	**	13,561
*	Fidelity Southeast Asia	Mutual Funds	**	13,552
*	Fidelity Select Defense	Mutual Funds	**	12,664
*	Fidelity Focused Stock	Mutual Funds	**	12,415
*	Fidelity Select Brokerage	Mutual Funds	**	11,994
*	Fidelity High Income	Mutual Funds	**	11,932
*	Fidelity Stock Selector	Mutual Funds	**	11,619
*	Fidelity Select Insurance	Mutual Funds	**	11,367
*	Fidelity Select Biotech	Mutual Funds	**	11,109
*	Fidelity Select Retailing	Mutual Funds	**	9,493
*	Fidelity NASDAQ Composite Index	Mutual Funds	**	9,425
*	Fidelity New Market Income	Mutual Funds	**	9,390
*	Fidelity Select Financial	Mutual Funds	**	9,274
*	Fidelity Select Medical Delivery	Mutual Funds	**	9,239
*	Fidelity Small Cap Value	Mutual Funds	**	8,499
*	Fidelity Select Leisure	Mutual Funds	**	7,704
*	Fidelity Asset Mgr 60%	Mutual Funds	**	7,507
*	Fidelity Global Balanced	Mutual Funds	**	6,452
*	Fidelity Select Transportation	Mutual Funds	**	6,436
*	Fidelity Real Estate Investment	Mutual Funds	**	6,395
*	Fidelity Large Cap Growth	Mutual Funds	**	5,961
*	Fidelity Strategy Dividend & Income	Mutual Funds	**	5,902
*	Fidelity Real Estate Income	Mutual Funds	**	5,693
*	Fidelity Select Electronics	Mutual Funds	**	5,187
*	Fidelity Spartan Treasury Index Investment	Mutual Funds	**	5,128
*	Fidelity Select Materials	Mutual Funds	**	4,902
*	Fidelity Japan Smaller Companies	Mutual Funds	**	3,887
*	Fidelity Select Multimedia	Mutual Funds	**	3,512
*	Fidelity Select Industrials	Mutual Funds	**	3,143
*	Fidelity Value Discovery	Mutual Funds	**	3,028
*	Fidelity Government Money Market	Mutual Funds	**	2,765
*	Fidelity Select Utilities	Mutual Funds	**	2,677
*	Fidelity Select Environment and Alternative Energy	Mutual Funds	**	2,632

* Denote party-in-interest

** Information is not required as investments are participant-directed.

SEATTLE UNIVERSITY DEFINED CONTRIBUTION RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR) (Continued)

December 31, 2009

EIN: 91-0565006

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
		Description of investment including maturity date, rate of interest, collateral, par, or maturity value		
*	Identity of issue, borrower, lessor, or similar party		Cost	Current Value
*	Fidelity Japan	Mutual Funds	**	\$ 2,567
*	Fidelity International Real Estate	Mutual Funds	**	2,359
*	Fidelity Strategy Real Return	Mutual Funds	**	2,299
*	Fidelity Select Air Transport	Mutual Funds	**	2,122
*	Fidelity Select Communication Equipment	Mutual Funds	**	1,686
*	Fidelity Fidelity	Mutual Funds	**	1,472
*	Fidelity International Small CAP Opp	Mutual Funds	**	1,430
*	Fidelity Select Telecom	Mutual Funds	**	717
*	Fidelity Select Banking	Mutual Funds	**	292
*	Fidelity Spartan Long-Term Treasury Index Investment	Mutual Funds	**	222
*	Participant Loans	Interest rates of 6.00% - 6.75%, maturing from September 2010 through September 2020	**	57,554

* Denote party-in-interest

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