Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

0000

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

P	Part I Annual Report Iden	itification Informati	ion						
For	r calendar plan year 2009 or fiscal pl		/01/2009		and ending 1	0/31/2	2010		
Α	This return/report is for:	single-employer plan	∏ r	multiple-e	mployer plan (not multiemployer)		one-participant plan		
В	This return/report is for:	irst return/report	f	final return/report					
		an amended return/report	: Īs	short plan	year return/report (less than 12 mo	nths)			
C	Check box if filing under:	Form 5558	Π̈́	automatic	extension	,	DFVC program		
	ř	special extension (enter d	ш						
D	art II Basic Plan Informat	• •	•	,					
	Name of plan	tion—enter all requested	<u>a miormat</u>	uon		1h	Three-digit		
	BERT R. UHLMANSIEK, DDS, PS P	ROFIT SHARING PLAN					plan number		
	- , -, -						(PN) • 001		
						1c	Effective date of plan		
20	. 5.					26	11/01/1991		
	Plan sponsor's name and address BERT R. UHLMANSIEK, DDS, PS	(employer, if for single-ei	mployer p	olan)		Z D	Employer Identification Number (EIN) 91-1085186		
						2c	Plan sponsor's telephone number		
	. BOX C-96012						206-365-5454		
BELI	LEVUE, WA 98009-9612					2d	Business code (see instructions)		
3a	Plan administrator's name and add	dress (if same as Plan sn	onsor ent	ter "Same	,")	3h	621210 Administrator's EIN		
	BERT R. UHLMANSIEK, DDS, PS	P.O.	BOX C-9	6012	,	0.0	91-1085186		
		BELL	_EVUE, W	VA 98009	-9612	3с	Administrator's telephone number		
1	If the name and/or FINI of the plan of	unancer has shanged sine	o the leet		nort filed for this plan anter the	415	206-365-5454		
	If the name and/or EIN of the plan spane, EIN, and the plan number from				port filed for this plan, enter the	4b	EIN		
		· 	<u> </u>			4c	PN		
5a	Total number of participants at the beginning of the plan year				5a	7			
b	b Total number of participants at the end of the plan year					5b	8		
С				·	E o	0			
	complete this item)					5c	8 		
ъа b	Were all of the plan's assets during	0 , ,	J		(See instructions.)dent qualified public accountant (IQ		X Yes No		
D					ons.)		X Yes No		
			t use For	rm 5500-	SF and must instead use Form 55	00.			
Pa	art III Financial Information	on							
7	Plan Assets and Liabilities				(a) Beginning of Year		(b) End of Year		
а	Total plan assets			7a	634139	9	687798		
b	Total plan liabilities			7b	()			
С	Net plan assets (subtract line 7b fr	rom line 7a)		7c	634139	9	687798		
8	Income, Expenses, and Transfers				(a) Amount		(b) Total		
а	Contributions received or receivab (1) Employers			8a(1)	(
	(2) Participants			8a(2))			
	(3) Others (including rollovers)			8a(3))			
b	, , , , , ,			8b	97288	-			
C			-	8c	01200		97288		
d			-				3.20		
	to provide benefits)			8d	43629	9			
е	Certain deemed and/or corrective	distributions (see instruct	tions)	8e					
f	Administrative service providers (s	salaries, fees, commission	ns)	8f					
g	Other expenses			8g					
h	Total expenses (add lines 8d, 8e,	8f, and 8g)		8h			43629		
- 1	N () () () () () () () () () (
•	Net income (loss) (subtract line 8h	n from line 8c)		8i			53659		

Form 5500-SF 2009	Page 2- 1
-------------------	------------------

		•	
Part IV	l Dian	('harac	eteristics
гант	- ган	Oliai at	iteliants

HERE

SIGN HERE Signature of plan administrator

Filed with authorized/valid electronic signature.

Signature of employer/plan sponsor

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	٧	Compliance Questions								
10	Du	ring the plan year:				Yes	No		Amount	
а		is there a failure to transmit to the plan any participant contribution CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducian			10a		X			
b		re there any nonexempt transactions with any party-in-interest? (Cline 10a.)			10b		X			
С	W	as the plan covered by a fidelity bond?			10c	X				100000
d		I the plan have a loss, whether or not reimbursed by the plan's fide dishonesty?			10d		X			
е	ins	ere any fees or commissions paid to any brokers, agents, or other purance service or other organization that provides some or all of the tructions.)	ne benefits under the	e plan? (See	10e		X			
f	На	s the plan failed to provide any benefit when due under the plan? .			10f		X			
g	Dio	I the plan have any participant loans? (If "Yes," enter amount as of	year end.)		10g		X			
h		nis is an individual account plan, was there a blackout period? (Sec			10h					
i	If 1	Oh was answered "Yes," check the box if you either provided the receptions to providing the notice applied under 29 CFR 2520.101-3.	equired notice or on	e of the	10i					
art	۷I	Pension Funding Compliance								_
11		his a defined benefit plan subject to minimum funding requirements							Yes	X No
12	9999)									
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable							_	_
а	If a	waiver of the minimum funding standard for a prior year is being a nting the waiver.	mortized in this plar	n year, see instruc	ctions,	and e	enter th	ne date of th	ne letter ru	ling
lf v	-	completed line 12a, complete lines 3, 9, and 10 of Schedule M			uı		Day		rear	
-	b Enter the minimum required contribution for this plan year									
		er the amount contributed by the employer to the plan for this plan					12c			
d		otract the amount in line 12c from the amount in line 12b. Enter the gative amount)				[12d			
е	Wil	the minimum funding amount reported on line 12d be met by the	funding deadline?			<u> </u>		Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets								
13a	На	s a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	r?					Yes	X No
	If "	Yes," enter the amount of any plan assets that reverted to the empl	loyer this year				13a			
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?									
С	If c	uring this plan year, any assets or liabilities were transferred from tich assets or liabilities were transferred. (See instructions.)								
1	3c() Name of plan(s):				130	c(2) El	N(s)	13c(3) PN(s)
Cauti	ion:	A penalty for the late or incomplete filing of this return/report	will be assessed u	ınless reasonabl	le cau	ise is	estab	lished.		
Unde SB o	r pe Sc	nalties of perjury and other penalties set forth in the instructions, I onedule MB completed and signed by an enrolled actuary, as well as true, correct, and complete.	declare that I have	examined this retu	ırn/rep	ort, in	cludin	g, if applica		
			12/23/2010	ROBERT R. UHL	MANIC	SIEK I	פחח			
SIG	V	nea white authorized/valla electronic signature.	12/20/2010	NODENT K. UHL	IVI/AINS	∍i⊑r∖, l	טטט			

Date

Date

12/23/2010

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

ROBERT R. UHLMANSIEK, DDS

5500-SF Electronic Filing Authorization

Plan Name:

Robert R. Uhlmansiek, DDS, PS Profit Sharing Plan

EIN/PN:

91-1085186/001

Plan Year:

11/01/2009 - 10/31/2010

I hereby authorize Proctor Company to electronically file the above return with the US Department of Labor's Electronic Filing Acceptance System (EFAST).

I have signed Form 5500-SF for this return and understand a scanned copy of this return bearing my manual signature will be included in the electronic filing and posted on the US Department of Labor's internet site for public disclosure.

Plan Administrator

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee
Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

	Annual Report Identification Information	n				
	r the calendar plan year 2009 or fiscal plan year beginning		/2009	and ending	10	/31/2010
	This return/report is for: x single-employer plan	☐ multiple-en	nplover plan (not multiemployer)		one-participant plan
	This return/report is for: first return/report	final return		, , , , , , , , , , , , , , , , , , ,	_	g one-participant plan
	an amended return/report		•	port (less than 12 mon	the)	
_		님		port (less than 12 filon	u 15 <i>)</i>] pp/c
C		automatic e	EXTENSION		L	DFVC program
11.0	special extension (enter desc	<u> </u>				
	Basic Plan Information enter all requested	d information.			1 41	-
1a	Name of plan					Three-digit plan number
	Robert R. Uhlmansiek, DDS, PS Profit Shari	ng Plan				(PN) ▶ 001
					•	Effective date of plan
22	Plan sponsor's name and address (employer, if for single-employer				 	11/01/1991
Z a	Robert R. Uhlmansiek, DDS, PS	oyer plan)				Employer Identification Number (EIN) 91-1085186
						Plan sponsor's telephone number
	P.O. Box C-96012					(206) 365-5454
US	Bellevue WA 98009-9612					Business code (see instructions) 521210
3a	, , , , ,	/er, enter "Same"))			Administrator's EIN
	Same					
					3c /	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor has changed since t	he last return/repo	ort filed for thi	s plan, enter the	4b E	EIN
	name, EIN and the plan number from the last return/report. Spo	nsor's Name		, , , , , , , , , , , , , , , , , , , ,	4c F	DNI
52	Total number of participants at the beginning of the plan year .				5a	7
b	, , ,				5b	8
c	Total number of participants with account balances as of the en					
_	complete this item)				5c	8
	Were all of the plan's assets during the plan year invested in elig	-		•		XYes No
b	Are you claiming a waiver of the annual examination and report under 29 CFR 2520.104-46? (See instructions on waiver eligibil					XYes No
	If you answered "No" to either 6a or 6b, the plan cannot us	-	•			
1.	Financial Information					
7	Plan Assets and Liabilities	the second the	(a) B	eginning of Year		(b) End of Year
а	Total plan assets	7a		634,139		687,798
þ	Total plan liabilities	7b		0		
C	Net plan assets (subtract line 7b from line 7a)	7c		634,139		687,798
8	Income, Expenses, and Transfers for this Plan Year			(a) Amount		(b) Total
а	Contributions received or receivable from:			_		
	(1) Employers			0		
	(2) Participants			0		
h	(3) Others (including rollovers)					
b				97,288		
c d	Total income(add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums					97,288
-	to provide benefits)			43,629		
е	Certain deemed and/or corrective distributions (see instructions					
f	Administrative service providers (salaries, fees, commissions) .	8f				
g	Other expenses	· · 8g				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				43,629
i	Net income (loss) (subject line 8h from line 8c)					53,659
i	Transfers to (from) the plan (see instructions)	8i				

Form	5500.	SF.	2009

			1
Page	2-		l

	Form 5500-SF 2009	Page 2-		_			
[• <u>€</u>]	Plan Characteristics			<u>.</u>			
9a	If the plan provides pension benefits, enter the applicable pension 2E 3D	sion feature codes from the List of Plan Charact	eristic	Code	s in the	instructions:	<u>.</u>
b	If the plan provides welfare benefits, enter the applicable welfare	are feature codes from the List of Plan Characte	ristic (Codes	in the i	instructions:	
1. (6)	Compliance Questions						
10	During the plan year:			Yes	No	An	nount
a	Was there a failure to transmit to the plan any participant co 29 CFR 2510.3-102? (See instructions and DOL's Voluntary	Fiduciary Correction Program)	10a		х		
b	Were there any nonexempt transactions with any party-in-in on line 10a.)	•	10b	ļ <u>.</u>	х		
С	Was the plan covered by a fidelity bond?		10c	x			100,000
d		olan's fidelity bond, that was caused by fraud	10d		х		
e	Were any fees or commisions paid to any brokers, agents, or insurance services or other organization that provides some instructions.)	or all of the benefits under the plan? (See	10e		x	,	
f	Has the plan failed to provide any benefit when due under the	ne plan?	10f	ĺ	х		
g	Did the plan have any participant loans? (If "Yes," enter amo	ount as of vear end.)	10a	ļ · · -	х		
h		riod? (See instructions and 29 CFR					
i	If 10h was answered "Yes," check the box if you either provexceptions to providing the notice applied under 29 CFR 25.		10i				
·**;}	Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding rec 5500))	uirements? (If "Yes," see instructions and comp	lete S	chedu	le SB (Form	Yes X No
12	Is this a defined contribution plan subject to the minimum ful (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as		r secti	ion 30	2 of EF	RISA?	☐Yes XNo
а	If a waiver of the minimum funding standard for a prior year						tter ruling ear
lf	you completed line 12a, complete lines 3, 9, and 10 of Sch						
b	Enter the minimum required contribution for this plan year .			. L	12b		
C	Enter the amount contributed by the employer to the plan fo	r this plan year		. L	12c	L	
d	Subtract the amount in line 12c from the amount in line 12b. negative amount)		fa 		12d		
e	Will the minimum funding amount reported on line 12d be m	et by the funding deadline?				Yes	_No _N/A
* 6.1	Plan Terminations and Transfers of As	ssets				<u>.</u> .	
13a	Has a resolution to terminate the plan been adopted during if "Yes," enter the amount of any plan assets that reverted to				13a	· · · ·	Yes X No
k	Were all the plan assets distributed to participants or benefit of the PBGC?	ciaries, transferred to another plan, or brought u			trol		Yes X No
C		rred from this plan to another plan(s), identify the	plan((s) to	_		
	13c(1) Name of plan(s):			1:	3c(2) ⊟	IN(s)	13c(3) PN(s)
			+				

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

beliet, it is	s true, correct, and complete.		
14000	Well Telly	12/10/10	Robert R. Uhlmansiek, DDS
450.0043	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
Stort	- Miles Z sells	12/10/10	Robert R. Uhlmansiek, DDS
1 3	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor