Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2010

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Complete all entries in accordance with the instructions to the Form 5500-SF. **Annual Report Identification Information** For calendar plan year 2010 or fiscal plan year beginning and ending 07/31/2010 single-employer plan multiple-employer plan (not multiemployer) one-participant plan A This return/report is for: first return/report final return/report **B** This return/report is for: an amended return/report short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Three-digit 1a Name of plan plan number HARON PLUMBING AND HEATING CORP. 401(K) PLAN 001 (PN) ▶ 1c Effective date of plan 07/01/1998 2a Plan sponsor's name and address (employer, if for single-employer plan) 2b Employer Identification Number HARON PLUMBING AND HEATING CORP. 11-2304465 (EIN) 2c Plan sponsor's telephone number 3462 BAILEY AVE **BRONX, NY 10463** 2d Business code (see instructions) 3a Plan administrator's name and address (if same as Plan sponsor, enter "Same") **3b** Administrator's EIN HARON PLUMBING AND HEATING CORP 3462 BAILEY AV 11-2304465 **BRONX, NY 10463** 3c Administrator's telephone number 718-881-6000 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year..... 5a 0 **b** Total number of participants at the end of the plan year..... 5b C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not 0 complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III | Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 31117 0 a Total plan assets..... 7a **b** Total plan liabilities..... 7b 31117 Net plan assets (subtract line 7b from line 7a)..... 7с 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 8a(1) (1) Employers 8a(2) (2) Participants (3) Others (including rollovers)..... 8a(3) -694 Other income (loss)..... 8b -694 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8с Benefits paid (including direct rollovers and insurance premiums to provide benefits)..... 8d Certain deemed and/or corrective distributions (see instructions) ... 8e Administrative service providers (salaries, fees, commissions)...... 8f Other expenses..... 8g 30423 Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h -31117 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions).....

	Fo	orm 5500-SF 2010 Page 2-								
Par	t IV	Plan Characteristics								
		plan provides pension benefits, enter the applicable pension feature codes from the List of Plan $_{ m IF}$ $_{ m 2G}$ $_{ m 2J}$ $_{ m 2K}$ $_{ m 3D}$	Character	istic Co	des in	the instru	uction	3:		
		plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan	Characteris	stic Co	des in	the instru	ctions			
art	: V	Compliance Questions								
0	During	g the plan year:		Yes	No		Am	ount		
а		there a failure to transmit to the plan any participant contributions within the time period describ FR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			X					
b		there any nonexempt transactions with any party-in-interest? (Do not include transactions report to 10a.)			X					
С	Was	the plan covered by a fidelity bond?	10с		X					
d		ne plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by f			X					-
е	insura	any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier ance service or other organization that provides some or all of the benefits under the plan? (Sections.)	e		X					
f	Has th	he plan failed to provide any benefit when due under the plan?	10f		X					
g	Did th	ne plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h		is an individual account plan, was there a blackout period? (See instructions and 29 CFR 101-3.)			X					
i		was answered "Yes," check the box if you either provided the required notice or one of the otions to providing the notice applied under 29 CFR 2520.101-3	10i		X					
art	VI F	Pension Funding Compliance								
1		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions an						Yes	X	No
2	Is this	s a defined contribution plan subject to the minimum funding requirements of section 412 of the	Code or s	ection :	302 of	ERISA?.		Yes	X	No
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а		siver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling the waiver								
lf y	•	impleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to lin			Day					
b	Enter	the minimum required contribution for this plan year			12b					
С	Enter	the amount contributed by the employer to the plan for this plan year			12c					
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the ive amount)			12d					
е	Will th	ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N.	l/A
art	VII	Plan Terminations and Transfers of Assets								
3a	Has a	resolution to terminate the plan been adopted during the plan year or any prior year?					X	Yes		No

If "Yes," enter the amount of any plan assets that reverted to the employer this year..... X Yes No

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

which assets or liabilities were transferred. (See instructions.) 13c(1) Name of plan(s): 13c(2) EIN(s)

13c(3) PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	12/30/2010	THOMAS FASCALDI
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor