Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

Pa	art I Annual Report Identification Information								
For	For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009								
Α .	This return/report is for: Single-employer plan	le-employer plan multiple-employer plan (not multiemployer) one-participant plan							
В	This return/report is for: first return/report	final retur	n/report	_					
	an amended return/report	short plan	year return/report (less than 12 mo	onths)					
С	Check box if filing under: Form 5558		X DFVC program						
_	C Check box if filing under: Form 5558 automatic extension special extension (enter description)								
Pa	Int II Basic Plan Information—enter all requested informa	•							
	Name of plan			1b	Three-digit				
	AS UPHOLSTERY INC				plan number				
				_	(PN)				
				1C	Effective date of plan 01/01/2006				
2a	Plan sponsor's name and address (employer, if for single-employer	plan)		2b	Employer Identification Number				
ALM	AS UPHOLSTERY INC				(EIN) 90-0002928				
2256	HUDSON AVE			2c	Plan sponsor's telephone number 585-467-8621				
	HESTER, NY 14617-3956			2d	Business code (see instructions)				
					811420				
	Plan administrator's name and address (if same as Plan sponsor, er AS UPHOLSTERY INC 2256 HUDSO		")	3b	Administrator's EIN 90-0002928				
/ (LIVI)	ROCHESTER		17-3956	3c	Administrator's telephone number				
					585-467-8621				
	f the name and/or EIN of the plan sponsor has changed since the las name, EIN, and the plan number from the last return/report. Sponsor		port filed for this plan, enter the	4b	EIN				
,	idine, Ent, and the plan namber from the last retain proport. Openior	o name		4c	PN				
5a	Total number of participants at the beginning of the plan year				2				
b	Total number of participants at the end of the plan year			- 5b	2				
C	C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not								
	complete this item)			. 5c	<u>2</u>				
6a b	Were all of the plan's assets during the plan year invested in eligible Are you claiming a waiver of the annual examination and report of a		,		X Yes No				
b	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a				X Yes No				
If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year				
a	Total plan assets	7a	1494		21272				
_	Total plan liabilities	7b	440	0					
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c	1494	2	21272				
8 a	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount		(b) Total				
a	(1) Employers	8a(1)	73	81					
	(2) Participants	8a(2)	1420						
	(3) Others (including rollovers)	8a(3)	(
b	Other income (loss)	8b	417	' 9					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			633				
d	Benefits paid (including direct rollovers and insurance premiums	0~1		0					
е	to provide benefits) Certain deemed and/or corrective distributions (see instructions)	8d 8e	(
f	Administrative service providers (salaries, fees, commissions)	8f							
	Other expenses			0					
g h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8g 8h		<u> </u>	0				
i	Net income (loss) (subtract line 8h from line 8c)	8i			6330				
i	Transfers to (from) the plan (see instructions)	8j		0	3300				
		OI.		_					

Part IV	Plan	Characteristics

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2K 2T 3D

D I	rtn	e pian provides weirare benefits, enter the applicable weirare featur	re codes from the L	list of Pian Charac	cterisi	iic Coo	ies in	tne instruct	ions:	
Part '	٧	Compliance Questions								
10	Dui	uring the plan year:					No		Amount	
а		Nas there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				10b		X			
С	Was the plan covered by a fidelity bond?				10c		X			
	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						X			
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)						X			
f	Has	Has the plan failed to provide any benefit when due under the plan?					X			
g	Did	the plan have any participant loans? (If "Yes," enter amount as of y	year end.)		10g		X			
		is is an individual account plan, was there a blackout period? (See 20.101-3.)) CFR	10h		X			
		10h was answered "Yes," check the box if you either provided the required notice or one of the xceptions to providing the notice applied under 29 CFR 2520.101-3								
Part \		Pension Funding Compliance								
		nis a defined benefit plan subject to minimum funding requirements?							Пур	X No
		0))his a defined contribution plan subject to the minimum funding requ							Yes	
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.		1412 Of the Code	01 36	Clion	JUZ UI	LINIOA:	□	, П 110
а	lf a	waiver of the minimum funding standard for a prior year is being am	nortized in this plar						ne letter ru	uling
	-	nting the waivercomplete lines 3, 9, and 10 of Schedule MB			h		Day		Year	
		• • • • • • • • • • • • • • • • • • • •	,	•		Γ	12b			
	Enter the minimum required contribution for this plan year.						12c			
d							12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?							Yes	No	N/A
Part \	/II	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted during the plan year	ar or any prior yea	r?					Yes	X No
	lf "Y	es," enter the amount of any plan assets that reverted to the emplo	oyer this year				13a			
		Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							No X	
	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
13c(1) Name of plan(s):					13c(2) EIN(s) 13c(3) PI				3) PN(s)	
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN	F	iled with authorized/valid electronic signature.	01/07/2011 ALMAS UPHOLSTERY INC							
HERE	- Г	Signature of plan administrator	re of plan administrator Date Enter name of individual signing as plan administrator							

Date

Enter name of individual signing as employer or plan sponsor