Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

P	art I An	nual Report I	dentification Infor	mation						
For			cal plan year beginning	04/01/200)9	and ending 0	3/31/2	2010		
Α	This return/re	eport is for:	X single-employer plan	1	multiple-e	employer plan (not multiemployer)	one-participant plan			
В	This return/re	port is for:	first return/report		final retur	n/report		_		
			an amended return/i	eport	short plar	year return/report (less than 12 mo	nths)			
C	Check box if	filing under:	Form 5558	·	<u>.</u>	extension	,	DFVC program		
•	CHECK DOX II	illing under.	special extension (e	L nter descripti	1	o oxionolon				
D	ort II Do	sia Blan Infa	<u> </u>	•	,					
	Name of pla		rmation—enter all req	uestea inform	nation		1h	Three-digit		
			OGY OF LENOX HILL H	OSPITAL P	C PROFIT	-SHARING TRUST	10	plan number		
710 0	ATOLD IIII	0.110 0.111.151020	JOT OF ELITOX FILE FIL	JOI 11712, 1 .	0.110111			(PN) • 001		
							1c	Effective date of plan		
								04/19/2004		
			dress (employer, if for sind DGY OF LENOX HILL HO				26	Employer Identification Number (EIN) 20-0719612		
AD V	ANCED IMA	SING & RADIOLO	OG F CENOX FILE IN	JOFITAL, F.	0.		2c	Plan sponsor's telephone number		
	EAST 77TH							212-434-2685		
NEW	/ YORK, NY	10021					2d	Business code (see instructions)		
32	Dlan admini	atrataria nama an	d address (if same as Pl		ntor "Com	~"\	2 h	621111 Administrator's EIN		
			OGY OF LENOX HILL	100 EAST 7			30	20-0719612		
HOS	PITAL, P.C.			NEW YORK	, NY 10021		3с	Administrator's telephone number		
								212-434-2685		
			olan sponsor has change oer from the last return/re			port filed for this plan, enter the	4b	EIN		
	1101110, 2111, 0	and the plan name	or nom are last returning	port. Opono	or o marrio		4c	PN		
5a	Total numb	er of participants	at the beginning of the pl	an year			5a	18		
b	Total numb	er of participants	at the end of the plan yea	ar			5b	19		
С	Total numb	er of participants	with account balances as	s of the end o	of the plan y	ear (defined benefit plans do not	_			
		•					5c	19		
		•	. ,	J		(See instructions.)		Yes No		
b						ndent qualified public accountant (IQ ions.)		X Yes No		
			•			SF and must instead use Form 55				
Pa	rt III Fir	nancial Inform	nation		_					
7	Plan Assets	and Liabilities				(a) Beginning of Year		(b) End of Year		
а	Total plan a	ssets			7a	2298709	9	3389668		
b	Total plan li	abilities			7b	()	0		
С	Net plan as	t plan assets (subtract line 7b from line 7a)			7с	2298709	9	3389668		
8	Income, Ex	penses, and Tran	sfers for this Plan Year					(b) Total		
а			oloro for trilo i fair i car			(a) Amount		(b) Total		
			eivable from:		90(1)		7	(b) Total		
		ers	eivable from:		8a(1)	644527	-	(b) Total		
	(2) Particip	vers vants	eivable from:		8a(2)	64452)	(b) Total		
h	(2) Particip (3) Others	ers eants(including rollover	eivable from: s)		8a(2) 8a(3)	64452)	(b) Total		
b	(2) Particip (3) Others Other incom	rers pants (including rollover ne (loss)	eivable from:		8a(2) 8a(3) 8b	64452)	• •		
C	(2) Particip (3) Others Other incom	eants(including rollover ne (loss)e	eivable from: s)ss)		8a(2) 8a(3) 8b	64452)	(B) Total		
_	(2) Particip (3) Others Other incom Total incom Benefits pa	eants	eivable from:	premiums	8a(2) 8a(3) 8b 8c	644521)	• •		
C	(2) Particip (3) Others Other incom Total incom Benefits pa to provide b	ers	eivable from: s)s)	premiums	8a(2) 8a(3) 8b 8c 8d	64452	2	• •		
c d	(2) Particip (3) Others Other incom Total incom Benefits pa to provide b Certain dee	ers	eivable from: s)	premiums	8a(2) 8a(3) 8b 8c 8c	644521 () () () () ()	2	• •		
c d e	(2) Particip (3) Others Other incom Total incom Benefits pa to provide b Certain dee Administrat	ers	eivable from: s)	premiums estructions)	8a(2) 8a(3) 8b 8c 8c 8d 8e	644527 (0 (446432	2	• •		
c d e f	(2) Particip (3) Others Other incom Total incom Benefits pa to provide b Certain dee Administrat Other exper	ers	eivable from: s)	premiums estructions)	8a(2) 8a(3) 8b 8c 8d 8e 8f 8g	644527 (0 (446432	0 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	• •		
c d e f g	(2) Particip (3) Others Other incom Total incom Benefits pa to provide b Certain dee Administrat Other exper	rers	eivable from: (s)	premiums structions)	8a(2) 8a(3) 8b 8c 8d 8e 8d 8e 8f 8g	644527 (0 (446432	0 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	1090959		

Part IV	Plan	Charac	teristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2G 2J 3D

D .	11 1110	plan provides wellare beliefits, effer the applicable wellare feat	ure codes from the	List Of Flatt Criara	iciens	iic Coi	ues III	uie iiisuut	Alloris.			
Part	٧	Compliance Questions										
10	Dur	ing the plan year:				Yes		Amount		t		
а		Was there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)						X					
С	Was the plan covered by a fidelity bond?					X				50000		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						X					
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)						X					
f	Has the plan failed to provide any benefit when due under the plan?				10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)						X					
_	If th	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X					
i		10h was answered "Yes," check the box if you either provided the required notice or one of the coeptions to providing the notice applied under 29 CFR 2520.101-3										
Part '	VI	Pension Funding Compliance										
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))							es X No				
12	ls t	is a defined contribution plan subject to the minimum funding rec	quirements of sectio	n 412 of the Code	or se	ction 3	302 of	ERISA?	Y	es 🔀 No		
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicabl	,									
		vaiver of the minimum funding standard for a prior year is being a ting the waiver.										
	-	ompleted line 12a, complete lines 3, 9, and 10 of Schedule M					Day		Teal			
		r the minimum required contribution for this plan year		_			12b					
		r the amount contributed by the employer to the plan for this plan					12c					
d	Sub	ract the amount in line 12c from the amount in line 12b. Enter the	e result (enter a min	us sign to the left	of a		12d					
е	Will	the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A		
Part \	VII	Plan Terminations and Transfers of Assets										
13a	Has	a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	ır?					☐ Ye	es X No		
	If "Y	es," enter the amount of any plan assets that reverted to the emp	lover this year				13a			—		
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control						es X No					
	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)											
13	13c(1) Name of plan(s):						13c(2) EIN(s)			(3) PN(s)		
Cauti	on:	A penalty for the late or incomplete filing of this return/report	will be assessed	unless reasonab	le cau	ıse is	establ	ished.	l .			
Under SB or	per Sch	alties of perjury and other penalties set forth in the instructions, I edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.	declare that I have	examined this retu	ırn/re _l	port, ir	cludin	g, if applic	,			
SIGN	F	Filed with authorized/valid electronic signature. 01/13/2011 NEAL EPSTEIN										
HERE						individual signing as plan administrator						

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor