## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

|      | art I Annual Report Identification Information   |              |                                       |        |   |
|------|--|--------------|---------------------------------------|--------|---|
| For  | calendar plan year 2009 or fiscal plan year beginning 09/01/2009   | 9            | and ending 0                          | 8/31/2 | 2010  |
| Α    | This return/report is for: Single-employer plan  | multiple-e   | mployer plan (not multiemployer)      |        | one-participant plan                                |
| В    | This return/report is for:   | final retur  | n/report                              |        |   |
|      | an amended return/report   | short plan   | year return/report (less than 12 mor  | nths)  |   |
| С    | Check box if filing under:   | automatic    | extension                             |        | DFVC program  |
|      | special extension (enter descriptio  |              |                                       |        |   |
| Pa   | art II Basic Plan Information—enter all requested informa  | ,            |                                       |        |   |
|      | Name of plan   |              |                                       | 1b     | Three-digit   |
|      | HEADSTART ECEAP 401K PLAN  |              |                                       |        | plan number   |
|      |  |              |                                       | 4 -    | (PN)  |
|      |  |              |                                       | 10     | Effective date of plan<br>09/01/2008                |
|      | Plan sponsor's name and address (employer, if for single-employer  | . ,          |                                       | 2b     | Employer Identification Number                      |
| WAS  | SHINGTON STATE ASSOCIATION OF HEADSTART AND ECEA PR  | OGRAMS       |                                       | 2c     | (EIN) 23-7444862<br>Plan sponsor's telephone number |
| 841  | N. CENTRAL AVENUE, SUITE 204   |              |                                       | 1      | 253-373-9100  |
| KEN' | T, WA 98032  |              |                                       | 2d     | Business code (see instructions) 624100             |
|      | Plan administrator's name and address (if same as Plan sponsor, er SHINGTON STATE ASSOCIATION OF HEADSTART 841 N. CENT                 |              |                                       | 3b     | Administrator's EIN 23-7444862                      |
|      | ECEA PROGRAMS KENT, WA 98  |              | VOL, GOTTE 204                        | 3с     | Administrator's telephone number                    |
| 4    | If the name and/or EIN of the plan sponsor has changed since the las   | st return/re | port filed for this plan, enter the   | 4h     | 253-373-9100<br>EIN                                 |
|      | name, EIN, and the plan number from the last return/report. Sponso   |              | port mod for time plant, officer time |        |   |
|      |  |              |                                       |        | PN  |
|      | Total number of participants at the beginning of the plan year   |              |                                       | 5a     | 2   |
| b    | Total number of participants at the end of the plan year   |              |                                       | 5b     | 2   |
| С    | Total number of participants with account balances as of the end of complete this item)  |              |                                       | 5c     | 2   |
| 6a   | Were all of the plan's assets during the plan year invested in eligibl   | e assets?    | (See instructions.)                   |        | X Yes No  |
| b    | , ,  |              |                                       |        | X Yes ☐ No  |
|      | under 29 CFR 2520.104-46? (See instructions on waiver eligibility a<br>If you answered "No" to either 6a or 6b, the plan cannot use Fo |              | <i>'</i>                              |        | res [] No   |
| Pa   | irt III Financial Information  | JIIII 3300-  | or and must mistead use i orm 55      |        |   |
| 7    | Plan Assets and Liabilities  |              | (a) Beginning of Year                 |        | (b) End of Year                                     |
| а    | Total plan assets  | . 7a         | (                                     | )      | 66849   |
| b    | Total plan liabilities   | 7b           |                                       |        |   |
| С    | Net plan assets (subtract line 7b from line 7a)  | . 7c         | (                                     | )      | 66849   |
| 8    | Income, Expenses, and Transfers for this Plan Year   |              | (a) Amount                            |        | (b) Total   |
| а    | Contributions received or receivable from:   | 0-(4)        | 9684                                  |        |   |
|      | (1) Employers  | 8a(1)        |                                       | _      |   |
|      | (2) Participants   | 8a(2)        | 23600                                 | _      |   |
| b    | (3) Others (including rollovers) Other income (loss)   | 8a(3)<br>8b  | 33565                                 |        |   |
| C    | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)   | 8c           | 33300                                 |        | 66849   |
| d    | Benefits paid (including direct rollovers and insurance premiums   |              |                                       |        | 333.3   |
|      | to provide benefits)   | 8d           |                                       |        |   |
| е    | Certain deemed and/or corrective distributions (see instructions)  | 8e           |                                       | 4      |   |
| f    | Administrative service providers (salaries, fees, commissions)   | 8f           |                                       | 4      |   |
| g    | Other expenses   | 8g           |                                       |        |   |
| h    | Total expenses (add lines 8d, 8e, 8f, and 8g)  | 8h           |                                       |        | 0   |
|      | , , ,  |              |                                       |        |   |
| į    | Net income (loss) (subtract line 8h from line 8c)  Transfers to (from) the plan (see instructions)                                     | 8i           |                                       |        | 66849   |

| Part IV | Plan | Charac | teristics |
|---------|------|--------|-----------|

SIGN HERE

Signature of employer/plan sponsor

**9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| art  | V Compliance Q                               | uestions   |                       |                       |                        |        |           |        |            |              |
|--|--|--|-----------------------|-----------------------|------------------------|--------|-----------|--------|------------|--------------|
| 0  | During the plan year:                        |  |                       |                       |                        | Yes    | No        |        | Amou       | nt           |
| а  |  | ansmit to the plan any participant contribution<br>See instructions and DOL's Voluntary Fiducia                |                       |                       | 10a                    |        | X         |        |            |              |
| b  | •  | mpt transactions with any party-in-interest? (   |                       | •                     | 10b                    |        | X         |        |            |              |
| С  | Was the plan covered b                       |  | 10c                   |                       | X                      |        |           |        |            |              |
| d  |  | , whether or not reimbursed by the plan's fid  |                       |                       | 10d                    |        | X         |        |            |              |
| е  | insurance service or oth                     | issions paid to any brokers, agents, or other er organization that provides some or all of t                   | he benefits under the | e plan? (See          | 10e                    |        | Х         |        |            |              |
| f  | Has the plan failed to pr                    | ovide any benefit when due under the plan?   |                       |                       | 10f                    |        | X         |        |            |              |
| g  | Did the plan have any pa                     | articipant loans? (If "Yes," enter amount as c   | of year end.)         |                       | 10g                    |        | X         |        |            |              |
| h  |  | count plan, was there a blackout period? (Se   |                       |                       | 10h                    |        | Χ         |        |            |              |
| i  |  | es," check the box if you either provided the the notice applied under 29 CFR 2520.101-3                       |                       |                       | 10i                    |        | Χ         |        |            |              |
| art \  | VI Pension Fundi                             | ing Compliance   |                       |                       |                        |        |           |        |            |              |
|  |  | plan subject to minimum funding requiremen   |                       |                       |                        |        |           |        | П、         | Yes X No     |
| 2  |  | ution plan authiost to the minimum funding re  |                       |                       |                        |        |           |        |            | Yes X No     |
|  |  | ution plan subject to the minimum funding re<br>or 12b, 12c, 12d, and 12e below, as applicab                   | •                     | n 412 or the Code     | or se                  | Cuon a | 002 01    | EKISA? | Ш          | 163 / 140    |
| а  | If a waiver of the minimugranting the waiver | m funding standard for a prior year is being   | amortized in this pla | Mon                   | th                     |        |           |        |            |              |
|  |  | complete lines 3, 9, and 10 of Schedule N  |                       |                       |                        | Г      | 40h       |        |            |              |
|  |  | ired contribution for this plan year   |                       |                       |                        | 1      | 12b       |        |            |              |
|  |  | outed by the employer to the plan for this plan  | -                     |                       |                        | -      | 12c       |        |            |              |
|  | negative amount)                             | ne 12c from the amount in line 12b. Enter th   |                       |                       |                        | ∟      | 12d       | 7 ./   | п          | <b>□</b> 1/4 |
|  |  | g amount reported on line 12d be met by the  | funding deadline?     |                       |                        |        |           | Yes    | No         | X N/A        |
| art \  | VII   Plan Termina                           | tions and Transfers of Assets  |                       |                       |                        |        |           |        |            |              |
| 3a   | Has a resolution to termi                    | inate the plan been adopted during the plan  | year or any prior yea | ır?                   |                        |        |           |        | `          | Yes X No     |
|  |  | nt of any plan assets that reverted to the emp   |                       |                       |                        |        | 13a       |        |            |              |
|  | of the PBGC?                                 | distributed to participants or beneficiaries, tr   |                       |                       |                        |        | ntrol<br> |        |            | Yes X No     |
|  |  | any assets or liabilities were transferred from<br>s were transferred. (See instructions.)                     | this plan to another  | plan(s), identify the | ne plar                |        |           |        | 1          |              |
| 13   | <b>3c(1)</b> Name of plan(s):                |  |                       |                       | 13c(2) EIN(s) 13c(3) F |        |           |        | c(3) PN(s) |              |
|  |  |  |                       |                       |                        |        |           |        |            |              |
|  |  |  |                       |                       |                        |        |           |        |            |              |
| auti   | on: A penalty for the la                     | te or incomplete filing of this return/repor   | t will be assessed    | unless reasonab       | le cau                 | se is  | establ    | ished. |            |              |
| B or   |  | other penalties set forth in the instructions, I<br>d and signed by an enrolled actuary, as well a<br>emplete. |                       |                       |                        |        |           |        |            |              |
| SIGN   | Filed with authorized/\                      | valid electronic signature.  | 02/03/2011            | CATHY GARLAN          | ID                     |        |           |        |            |              |
| HERE Signature of plan administrator Date Enter name of individual signing as plan administrator |  |  |                       |                       | or                     |        |           |        |            |              |

Date

Enter name of individual signing as employer or plan sponsor

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

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Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

|      | art I Annual Report Identification Information  | 0 / 0 1 / 0 0 1 |                                     |       | 00/21/0010                        |               |  |  |  |
|------|---|-----------------|-------------------------------------|-------|-----------------------------------|---------------|--|--|--|
| For  |   | 9/01/200        | 9 and ending                        |       | 08/31/2010                        |               |  |  |  |
| A    | This return/report is for:  | multiple-emp    | oloyer plan (not multiemployer)     |       | one-participant plan              |               |  |  |  |
| В    | This return/report is for: first return/report  | final return/r  |                                     |       |                                   |               |  |  |  |
|      | an amended return/report  | short plan ye   | ear return/report (less than 12 mor | nths) |                                   |               |  |  |  |
| C    | Check box if filing under: X Form 5558  | automatic ex    | ctension                            |       | DFVC program                      | ř.            |  |  |  |
|      | special extension (enter description  | n)              |                                     |       |                                   |               |  |  |  |
| Pa   | art II Basic Plan Information—enter all requested information                                     | ation           |                                     |       |                                   |               |  |  |  |
| 1a   | Name of plan  |                 |                                     | 1b    | Three-digit                       |               |  |  |  |
|      | WSA Headstart ECEAP 401K Plan   |                 |                                     |       | plan number<br>(PN)               | 001           |  |  |  |
|      |   |                 |                                     | 10    | Effective date of                 |               |  |  |  |
|      |   |                 |                                     | 10    | 09/01/2008                        | , an          |  |  |  |
| 2a   | Plan sponsor's name and address (employer, if for single-employer Washington State Association of | plan)           | 1=                                  | 2b    | Employer Identific                | ation Number  |  |  |  |
|      | Washington State Association of<br>Headstart and ECEA Programs                                    |                 |                                     | _     | (EIN) 23-7444                     |               |  |  |  |
|      | neadstait and BobA Flograms   |                 |                                     | 2c    | Plan sponsor's te<br>(253) 373-93 | ephone number |  |  |  |
|      | 841 N. Central Avenue, Suite 204  |                 |                                     | 2d    | Business code (se                 |               |  |  |  |
|      | Kent  |                 | WA 98032                            |       | 624100                            |               |  |  |  |
| 3a   | Plan administrator's name and address (if same as Plan sponsor, e                                 | nter "Same")    |                                     | 3b    | Administrator's El                | N             |  |  |  |
|      | W. Marcial  |                 | s                                   | 20    | Administrator's telephone number  |               |  |  |  |
|      |   |                 |                                     | 30    | (253) 373-9:                      | L00           |  |  |  |
| 4    | f the name and/or EIN of the plan sponsor has changed since the las                               | st return/repo  | ort filed for this plan, enter the  | 4b    | EIN                               |               |  |  |  |
|      | name, EIN, and the plan number from the last return/report. Sponso                                | r's name        |                                     | 40    | PN                                |               |  |  |  |
| F.o. | Total number of participants at the beginning of the plan year                                    |                 |                                     |       | PN                                | 2             |  |  |  |
|      |   |                 |                                     | 5a    | 1                                 | 2             |  |  |  |
|      | Total number of participants at the end of the plan year  |                 |                                     | 5b    | )                                 |               |  |  |  |
| С    | Total number of participants with account balances as of the end of complete this item)           |                 |                                     | 5c    |                                   | 2             |  |  |  |
| 6a   | Were all of the plan's assets during the plan year invested in eligib                             | and the same of |                                     |       |                                   | X Yes No      |  |  |  |
|      | Are you claiming a waiver of the annual examination and report of                                 | an independ     | ent qualified public accountant (IC | PA)   |                                   |               |  |  |  |
|      | under 29 CFR 2520.104-46? (See instructions on waiver eligibility                                 |                 |                                     |       |                                   | X Yes No      |  |  |  |
| P    | If you answered "No" to either 6a or 6b, the plan cannot use Fort III Financial Information       | orm 5500-5F     | and must instead use Form 55        | 00.   |                                   |               |  |  |  |
| 7    | Plan Assets and Liabilities   |                 | (a) Beginning of Year               |       | (b) End o                         | f Vear        |  |  |  |
| a    | Total plan assets   | 7a              | (a) Degining of Year                | 0     | (b) End o                         | 66,849        |  |  |  |
| b    | Total plan liabilities  | 7b              |                                     |       |                                   |               |  |  |  |
| c    | Net plan assets (subtract line 7b from line 7a)   | 7c              |                                     | 0     |                                   | 66,849        |  |  |  |
| 8    | Income, Expenses, and Transfers for this Plan Year  | 1000            | (a) Amount                          | 1     | (b) To                            | 5 98          |  |  |  |
| а    | Contributions received or receivable from:  |                 | (a) Amount                          |       | (D) 10                            | vari          |  |  |  |
|      | (1) Employers   | 8a(1)           | 9,68                                | 4     |                                   |               |  |  |  |
|      | (2) Participants  | 8a(2)           | 23,60                               | 0     |                                   |               |  |  |  |
|      | (3) Others (including rollovers)  | 8a(3)           |                                     |       |                                   |               |  |  |  |
| b    | Other income (loss)   | 8b              | 33,56                               | 5     |                                   |               |  |  |  |
| С    | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  | 8c              |                                     |       |                                   | 66,849        |  |  |  |
| d    | Benefits paid (including direct rollovers and insurance premiums to provide benefits)             | 8d              |                                     |       |                                   |               |  |  |  |
| е    | Certain deemed and/or corrective distributions (see instructions)                                 | 8e              |                                     |       |                                   |               |  |  |  |
| f    | Administrative service providers (salaries, fees, commissions)                                    | 8f              |                                     |       |                                   |               |  |  |  |
| g    | Other expenses  | 8g              |                                     |       |                                   |               |  |  |  |
| h    | Total expenses (add lines 8d, 8e, 8f, and 8g)   |                 |                                     |       |                                   | 0             |  |  |  |
| i    | Net income (loss) (subtract line 8h from line 8c)   | 8i              |                                     |       |                                   | 66,849        |  |  |  |
|      | Transfers to (from) the plan (see instructions)   | 8j              |                                     |       |                                   |               |  |  |  |

| Page 2- |  |
|---------|--|
|---------|--|

|  |  | 2009 |
|--|--|------|
|  |  |      |
|  |  |      |

SIGN HERE

Signature of employer/plan sponsor

|              | F01111 3500-5F 2009  | - 10                    | ge = [                                  |               |               |                  |             |        |         |       |
|--------------|--|-------------------------|---|---------------|---------------|------------------|-------------|--------|---------|-------|
| Par          | t IV Plan Characteristics  |                         |   |               |               |                  |             |        |         |       |
| 9a           | If the plan provides pension benefits, enter the applicable pension fee  | ature codes from the    | List of Plan Chara                      | cteris        | tic Co        | des in           | the instru  | ctions |         |       |
| h            | 2E 2F 2G 2J 2K 3D  If the plan provides welfare benefits, enter the applicable welfare fea   | ture codes from the L   | ist of Plan Chara                       | cteris        | tic Coc       | les in t         | he instruc  | tions  |         |       |
| D            | in the plant provided fremand serious, once the approved to the serious seriou |                         |   |               |               |                  |             |        |         |       |
| Part         | V Compliance Questions   |                         |   |               |               |                  |             |        |         |       |
| 10           |  |                         |   |               | Yes           | No               |             | Ame    | ount    |       |
| a            | During the plan year:  Was there a failure to transmit to the plan any participant contributio   | ns within the time per  | riod described in                       |               | 1.00          |                  |             | Allik  | Juni    |       |
| ч            | 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducia   |                         |   | 10a           |               | X                |             |        |         |       |
| b            | Were there any nonexempt transactions with any party-in-interest? (on line 10a.)   |                         |   | 10b           |               | Х                |             |        |         |       |
| С            | Was the plan covered by a fidelity bond?   |                         | 10c                                     |               | X             |                  |             |        |         |       |
| d            |  | lelity bond, that was o | caused by fraud                         | 10d           |               | Х                |             |        |         |       |
| е            | Were any fees or commissions paid to any brokers, agents, or other insurance service or other organization that provides some or all of tinstructions.)  | the benefits under the  | plan? (See                              | 10e           |               | х                |             |        |         |       |
| f            | Has the plan failed to provide any benefit when due under the plan?  |                         |   | 10f           |               | X                |             |        |         |       |
| q            | Did the plan have any participant loans? (If "Yes," enter amount as o  | of year end.)           | *************************************** | 10g           |               | Х                |             |        |         |       |
| -            | If this is an individual account plan, was there a blackout period? (Se  |                         |   | iog           |               |                  |             |        |         |       |
|              | 2520.101-3.)   |                         |   | 10h           |               | Х                |             |        |         |       |
| i            |  |                         |   | 40:           |               | Х                |             |        |         |       |
|              | exceptions to providing the notice applied under 29 CFR 2520.101-3   | 3                       |   | 10i           |               | Λ                |             | _      | _       |       |
| Part         | A STATE OF THE PROPERTY OF THE |                         |   |               | 0.1.          |                  | (E          | _      | _       |       |
| 11           | Is this a defined benefit plan subject to minimum funding requiremen 5500))  |                         |   |               |               |                  |             |        | Yes     | X No  |
| 12           | Is this a defined contribution plan subject to the minimum funding re  |                         |   |               |               |                  |             |        | Yes     |       |
| 12           | (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicate  |                         | 11 412 01 1116 0000                     | 01 30         | CHOIL         | 502 01           | LICION I    |        |         |       |
| a            | If a waiver of the minimum funding standard for a prior year is being granting the waiver.   | amortized in this plan  | n year, see instruc                     | ctions<br>th  | , and e       | enter the<br>Day | e date of   | the le | tter ru | ling  |
| lf           | you completed line 12a, complete lines 3, 9, and 10 of Schedule I  | MB (Form 5500), and     | d skip to line 13.                      |               | _             |                  |             |        |         |       |
| b            | Enter the minimum required contribution for this plan year   |                         |   |               |               | 12b              |             |        |         |       |
| C            | Enter the amount contributed by the employer to the plan for this pla  | n year                  |   |               |               | 12c              |             |        |         |       |
| d            | Subtract the amount in line 12c from the amount in line 12b. Enter the negative amount)  |                         |   |               |               | 12d              |             |        |         |       |
| е            | Will the minimum funding amount reported on line 12d be met by the   | funding deadline?       |   |               |               |                  | Yes         |        | No 2    | X N/A |
| Part         | VII Plan Terminations and Transfers of Assets  |                         |   |               |               |                  |             |        |         |       |
| 13a          | Has a resolution to terminate the plan been adopted during the plan  | year or any prior yea   | r?                                      |               |               |                  |             |        | Yes     | X No  |
|              | If "Yes," enter the amount of any plan assets that reverted to the em  |                         |   |               | Γ             | 13a              |             |        |         | -     |
| b            | Were all the plan assets distributed to participants or beneficiaries, t of the PBGC?  | ransferred to another   | plan, or brought                        | under         | the co        | ontrol           |             | Г      | Yes     | X No  |
| С            | If during this plan year, any assets or liabilities were transferred from which assets or liabilities were transferred. (See instructions.)  | n this plan to another  | plan(s), identify th                    | ne pla        | n(s) to       |                  |             |        |         |       |
|              | 13c(1) Name of plan(s):  |                         |   |               | 13c(2) EIN(s) |                  |             |        | 13c(3)  | PN(s) |
|              |  |                         |   |               |               |                  |             |        |         |       |
|              |  | 11                      |   |               |               |                  |             | +      |         |       |
| Cau          | tion: A penalty for the late or incomplete filing of this return/repo  | rt will be assessed i   | inless reasonab                         | le cai        | ise is        | establ           | ished       |        |         |       |
| Unde<br>SB c | er penalties of perjury and other penalties set forth in the instructions, or Schedule MB completed and signed by an enrolled actuary, as well if, it is true, correct, and complete.  | I declare that I have   | examined this retu                      | urn/re        | port, ir      | ncludin          | g, if appli |        |         |       |
| 20110        | 111 0.11   | 1/2                     | a +1                                    | C.C. (10.100A |               |                  |             | _      |         |       |
| SIG          | -  | 1/24//                  | Cathy Garl                              | 10000         |               | _                |             | 1 205  |         |       |
| HEF          | HERE Signature of plan administrator Date Enter name of individual signing as plan administrator   |                         |   |               |               |                  |             |        |         |       |

Date

Enter name of individual signing as employer or plan sponsor