Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation Col	mplete all entries in acco	rdance wit	h the instructions to the Form 550	0-SF.				
	art I Annual Report Identific								
For	calendar plan year 2010 or fiscal plan ye	ear beginning 01/01/20	10	and ending 1	2/31/2	2010			
Α.	This return/report is for:	-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
В	This return/report is for:	eturn/report	final retur	n/report					
	an am	ended return/report	short plar	n year return/report (less than 12 mo	nths)				
C	Check box if filing under:	5558	automatio	extension		DFVC program			
_	special extension (enter description)								
Do									
	Irt II Basic Plan Information	enter all requested inforr	nation		1h	Three-digit			
	Name of plan RETT & WORDEN, PS 401(K) PLAN				טו	plan number			
D/ (i ti	CETT & WORDEN, TO 40 T(N) TEN					(PN) • 001			
					1c	Effective date of plan	_		
						01/01/1990			
	Plan sponsor's name and address (emp	oloyer, if for single-employe	er plan)		2b	Employer Identification Number			
BARI	RETT & WORDEN, PS					(EIN) 91-2072579			
2101	4TH AVE STE 700				2c Plan sponsor's telephone nur				
	TLE, WA 98121-2393				2d	Business code (see instructions)			
					24	541110			
3a	Plan administrator's name and address	(if same as Plan sponsor,	enter "Same	e")	3b	Administrator's EIN			
BARI	RETT & WORDEN, PS	2101 4TH A SEATTLE, V				91-2072579			
		,			3c	Administrator's telephone number 206-436-2020	r		
1 i	the name and/or EIN of the plan sponse	or has shanged since the l	act roturn/ro	aport filed for this plan, enter the	4h	EIN			
	name, EIN, and the plan number from the	ū		port filed for this plan, enter the	40	EIN			
BARI	PN								
5a	Total number of participants at the begi	inning of the plan year			5a		9		
b	Total number of participants at the end		5b	1	2				
С							_		
	complete this item)			` .	5c	1	0		
6a	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)								
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
Da	rt III Financial Information	6b, the plan cannot use	Form 5500-	SF and must instead use Form 55	00.				
7	Plan Assets and Liabilities		_	(a) Beginning of Year		(b) End of Year 57117	7 0		
	Total plan assets		<u>7a</u>	407000	_	37117	0		
b	Total plan liabilities			467859		57117			
<u>C</u>	Net plan assets (subtract line 7b from li		7с	407038	,	57117	9		
8	Income, Expenses, and Transfers for th			(a) Amount		(b) Total	_		
а	Contributions received or receivable fro (1) Employers		8a(1)	14577	7				
	(2) Participants			32548	3				
	` '		- ` '	(_				
L	(3) Others (including rollovers) 8a(3) Other income (loss) 8b								
b	, ,			03310	_	11264	1		
C	Total income (add lines 8a(1), 8a(2), 8a		8c			11204	7.1		
d	Benefits paid (including direct rollovers to provide benefits)	•	8d	8729					
е	Certain deemed and/or corrective distril			()				
f	Administrative service providers (salarie								
g	Other expenses	,)				
9 h	Total expenses (add lines 8d, 8e, 8f, ar					932	21		
;	Net income (loss) (subtract line 8h from					10332	20		
i	Transfers to (from) the plan (see instruc								
J	mandidid to (morn) the plant (dee libitut	-u	8i		,				

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Part IV	Dian	('hara	cteristics
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9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D

b	If the plan	provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	cteris	tic Cod	des in t	he instru	ctior	ns:	
art	V Co	mpliance Questions							
0	During th	e plan year:		Yes	No		A	mount	
а		Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)							4725
b					X				
С	Was the	plan covered by a fidelity bond?	10c	X					200000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
е					Χ				
f	Has the p	plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the p	lan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h		an individual account plan, was there a blackout period? (See instructions and 29 CFR -3.)	10h		X				
i		s answered "Yes," check the box if you either provided the required notice or one of the sto providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI Per	nsion Funding Compliance							
11		lefined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com						Yes	No
2									
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
lf y	ou comp	leted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_					
b	b Enter the minimum required contribution for this plan year								
С	C Enter the amount contributed by the employer to the plan for this plan year								
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
е	Will the m	ninimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
art	VII PI	an Terminations and Transfers of Assets							
3a	Has a res	colution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
		enter the amount of any plan assets that reverted to the employer this year			13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							X No	
С		this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the sets or liabilities were transferred. (See instructions.)	ne plai	n(s) to				_	_
1	3c(1) Nam	ne of plan(s):		130	c(2) EII	N(s)		13c(3) PN(s)
Caut	ion: A ner	nalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le car	ise is	establ	ished			
Jnde SB o	r penalties r Schedule	of perjury and other penalties set forth in the instructions, I declare that I have examined this return the MB completed and signed by an enrolled actuary, as well as the electronic version of this return.	urn/rep	ort, in	cludin	g, if appli			
ellel	, it is true,	correct, and complete.							

SIGN	Filed with authorized/valid electronic signature.	02/24/2011	GREGORY WORDEN
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	02/24/2011	GREGORY WORDEN
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor